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Mechanistic Insight to Selective Catalytic Reduction of NO by NH$_3$ over Low-valent Titanium-porphyrin: A DFT Study

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ABSTRACT

In this work, the reaction mechanism of ammonia selective catalytic reduction (NH\textsubscript{3}-SCR) of nitric oxide over a low-valance Ti-porphyrin catalyst was studied by density functional theory (DFT) calculations for both low- and high-spin states. The reaction proceeds via (i) NH\textsubscript{3} complexation with the Ti-porphyrin complex, and its subsequent oxidation to NH\textsubscript{2}, with a large activation barrier of 32–34 kcal/mol because of the difficulty of N-H bond dissociation. (ii) Bonding between NO and the NH\textsubscript{2} ligand forms an NH\textsubscript{2}NO intermediate by an Eley-Rideal-type mechanism. Calculated activation energies for this step are 4.34 and 10.22 kcal/mol for the low- and high-spin states, respectively. (iii) Formation of NHNOH by rearrangement of the NH\textsubscript{2}NO intermediate. Spin crossings in steps (ii) and (iii) play an important role in the overall reaction by providing a mechanism with a smaller activation energy of 17.05 kcal/mol, compared with 28.02 kcal/mol for the un-catalyzed reaction. (iv) In the final step, decomposition of NHNOH results in formation of N\textsubscript{2} and H\textsubscript{2}O molecules, with a small energy barrier of approximately 7–9 kcal/mol. For pairwise pathway comparisons, Ti-porphyrin in the triplet state offers 8.43 kcal/mol greater stability than the singlet does, and the reaction is more likely to proceed through a high-spin pathway because of its lower relative energies compared to low spin. The obtained activation energies for NH\textsubscript{3}-SCR of NO are comparable with theoretical results for the reduction of NO over V\textsubscript{2}O\textsubscript{5} and Fe-zeolite systems. Thus, Ti-porphyrin has potential as an alternative catalyst for NH\textsubscript{3}-SCR of nitric oxide.

**Keywords:** NH\textsubscript{3}-SCR; Reaction mechanism; De-NOx, Density Functional Theory.
1. Introduction

Ammonia selective catalytic reduction (NH$_3$-SCR) of NO, is widely used to remove NO gas from stationary plant and diesel vehicles because of its high efficiency and economy of operation. Commercial catalysts available for NH$_3$-SCR of NO, the V$_2$O$_5$-WO$_3$(MoO$_3$)/TiO$_2$ catalyst systems perform best over the range of 300–400 °C. However, high-temperature operation of vanadium oxide-based catalysts has some drawbacks, including toxicity of vanadia species that are created, significant conversion of SO$_2$ to SO$_3$, and generation of N$_2$O, a greenhouse gas.$^{1-5}$ Consequently, there is strong interest in the development of NH$_3$-SCR catalysts that avoid the problems associated with existing commercial catalyst systems.

Porphyrin is an interesting compound because it readily self assembles into ordered monolayers. The molecule possesses two axial coordination sites that may function as centers of catalytic activity, or sensor functionality. Furthermore, porphyrin is well suited for anchoring to a solid substrate to form metal-porphyrin assemblies that feature in several useful applications,$^{6-10}$ including photovoltaic materials, field-responsive materials, and catalytic materials. Metal-porphyrins are potential catalysts for many commercially important redox reactions.$^{11-17}$ Yamamoto et al. reported that a non-metal porphyrin derivative-modified TiO$_2$ catalyst for photo-assisted selective catalytic reduction (photo-SCR) of nitric oxide, achieved an NO conversion of 79% with N$_2$ selectivity of 100%.$^{18}$ However, it is unclear how the porphyrin moiety and TiO$_2$ interact to activate NH$_3$ in the reaction.

We recently published a theoretical study demonstrating the potential for low-valance Ti-porphyrin as catalyst for N$_2$O decomposition.$^{19}$ We are interested in extending our theoretical study of the Ti-porphyrin catalyst to another decomposition of the NO$_x$ family, namely, the NH$_3$-SCR of NO, for which several reaction mechanisms have been proposed.$^{20-24}$ A proposed mechanism for the molecular gas-phase NH$_3$-SCR of NO suggests
that the NH$_2$NO intermediate rearranges to NHNOH before decomposition occurs.$^{25}$ This
intermediate step is absent from previous theoretical reports. DFT calculations suggest that
the NH$_3$-SCR of NO comprises four consecutive elementary steps (Scheme 1): (1) NH$_3$
oxidation; NH$_3$ adsorbs to a Lewis acid site on the Ti-metal center and an NH$_2$ species is
generated, (2) NH$_2$NO formation; an NO molecule attaches to the NH$_2$ to form an NH$_2$NO
intermediate, (3) NHNOH formation; NH$_2$NO rearranges to form NHNOH. This step is
absent from previous reports.$^{26-28}$ (4) NHNOH decomposition; the NHNOH decomposes to
N$_2$ and H$_2$O molecules. Therefore, in this work, we investigate the use of low-valance Ti-
porphyrin as catalyst for the NH$_3$-SCR of NO, and elucidate the intermediates, transition
states, and the energy barriers along the potential-energy surface of the Lewis-acid-based
reaction. The low- and high-spin states of the catalyst are considered throughout the reaction.
The reaction mechanism and calculated energy barriers obtained from this catalyst are
discussed and compared with those of two other potential catalysts: V$_2$O$_5$ and Fe-zeolite.

2. Model and Method

The catalytically active center of Ti-porphyrin is represented as a 34-atom single cluster
(Fig. 1). The catalyst model contains a Ti atom coordinated by shells of porphyrin nitrogen
atoms. The low-valance Ti(II)-porphyrin complex has no charge. We applied the M06-L
density functional, which is specifically designed for transition metal complexes. This
functional has been demonstrated to provide reasonable estimates of the energetic and
electronic properties of transition metal complexes, and for organometallic and
inorganometallic catalysts in particular.$^{29}$ The 6-31G(d,p) basis set was used for the N, C, O,
and H atoms, and the LANL2DZ effective core potential was applied to describe the Ti core
electrons. We previously used this model and method to investigate N$_2$O decomposition over
All calculations were carried out using the Gaussian 09 program package.\textsuperscript{30} All atoms in the system were fully relaxed during the geometry optimizations. We confirmed that the saddle point had only one imaginary vibration frequency at the transition state. Additionally, we compared activation energy barriers corrected with Zero-point energy $(E_{a-ZPE})$ at 298 K, with the uncorrected energies.

For the reaction energy profiles, the relative energy was calculated by:

$$\Delta E = E_{\text{complex}} - (E_{\text{catalyst}} + E_{\text{adsorbate}})$$

where $E_{\text{complex}}$, $E_{\text{catalyst}}$ and $E_{\text{adsorbate}}$ are the total energies of the Ti-porphyrin-gas complex, the Ti-porphyrin intermediate, and small gas molecules (e.g., NO, NH$_3$, and N$_2$), respectively.

For the reaction pathways calculated for low- and high-spin states, we examined the possibilities of spin crossing or intersystem crossing.

### 3. Results and Discussion

Our proposed reaction mechanism for NH$_3$-SCR of NO over Ti-porphyrin comprises four consecutive elementary steps (Scheme 1): (1) NH$_3$ oxidation, (2) NH$_2$NO (nitrosamine) formation, (3) NHNOH formation, and (4) NHNOH decomposition to H$_2$O and N$_2$;

**Step 1:** NH$_3$ oxidation

\[
\text{[Cat]} + \text{NH}_3 \rightarrow \text{[Cat]} \cdots \text{NH}_3
\]

\[
\text{[Cat]} \cdots \text{NH}_3 \rightarrow \text{H[Cat]} \cdots \text{NH}_2
\]

**Step 2:** NH$_2$NO formation

\[
\text{H[Cat]} \cdots \text{NH}_2 + \text{NO} \rightarrow \text{H[Cat]} \cdots \text{NH}_2 \cdots \text{NO}
\]

\[
\text{H[Cat]} \cdots \text{NH}_2 \cdots \text{NO} \rightarrow \text{H[Cat]} \cdots \text{NH}_2 \cdots \text{NO}
\]
Step 3: NHNOH formation

\[ \text{H[Cat]} - \text{NH}_2 - \text{NO} \rightarrow \text{H[Cat]} - \text{NHNOH} \]

Step 4: NHNOH decomposition

\[ \text{H[Cat]} - \text{NHNOH} \rightarrow \text{N}_2 - \text{H[Cat]} - \text{H}_2\text{O} \]
\[ \text{N}_2 - \text{H[Cat]} - \text{H}_2\text{O} \rightarrow \text{H[Cat]} + \text{N}_2 + \text{H}_2\text{O} \]

For the Ti-Porphyrin catalyst, we found that the high-spin state structure offers 8.43 kcal/mol greater stability than that of the low-spin state. Thus, at equilibrium, the high spin state structure will be dominant. However, the difference in energy between the two states is not sufficient to disregard either of them, and thus, we studied the reaction mechanisms for both spin states. Because the details of the reaction mechanisms are similar for both states, we discuss in detail only the high spin state reaction pathway.

3.1 NH\textsubscript{3} Oxidation

The proposed catalytic cycle begins with oxidation of NH\textsubscript{3} over the low-valance Ti-porphyrin catalyst (Fig. 2). NH\textsubscript{3} adsorbs to the Lewis acid site of \textsuperscript{3}Ti-porphyrin to form the \textsuperscript{3}Ti-NH\textsubscript{3} adduct, with a calculated adsorption energy of -51.64 kcal/mol, and an intermolecular distance of 2.20 Å. In the transition state, \textsuperscript{3}TS1, a hydrogen atom from the adsorbed NH\textsubscript{3} approaches a porphyrin nitrogen atom to a distance of 1.22 Å. Transition state \textsuperscript{3}TS1 has one imaginary frequency at -1062i cm\textsuperscript{-1}, which represents the motion during N-H bond cleavage.

The NH\textsubscript{3} oxidation process has an activation energy (\(E_{a1}\)) of 35.45 kcal/mol. We applied Zero-point energy at 298 K to correct the activation energy (\(E_{a-ZPE}\)), and found \(E_{a1-ZPE}\) to be 32.43 kcal/mol, slightly less than the uncorrected value. The high barrier energy calculated for this endothermic step results from the difficulty of N-H bond dissociation. At final product of this step, the Ti-NH\textsubscript{2} adduct has a bond distance of 1.92 Å.
3.2 NH$_2$NO formation

During the NH$_3$-SCR of NO, the $^3$TiH-NH$_2$ intermediate interacts with doublet state NO to form the key intermediate, $^4$TiH-NH$_2$NO (Fig. 3). NO adsorbs to $^3$TiH-NH$_2$ with an adsorption energy of -6.04 kcal/mol. The H$_2$N···NO intermolecular distance is 2.43 Å. In this second transition state ($^4$TS2), the ON···NH$_2$ intermolecular distance is 1.69 Å. The $^4$TS2 energy barrier ($E_{a2}$) is just 9.20 kcal/mol ($E_{a2-ZPE} = 10.22$ kcal/mol). Finally, a new N–N bond forms with an N–N bond distance of 1.46 Å to form the H$_2$N-NO intermediate ($^4$TiH-NH$_2$NO). The formation of NH$_2$NO is a thermodynamically favorable process.

3.3 NHNOH formation

The $^4$TiH-NH$_2$NO structure is rather constrained because of its three-membered ring configuration (Fig. 4). Its transformation to $^4$TiH-NHNOH proceeds via a third transition state, $^4$TS3 (Scheme 1). The imaginary frequency at 1636i cm$^{-1}$ arises from vibrational motion associated with N–H cleavage and O–H formation. This step has an energy barrier ($E_{a3}$) of 31.49 kcal/mol, and a $E_{a3-ZPE}$ corrected value of 28.02 kcal/mol. The TS3 structure features an NNOH four-membered ring ligated to Ti. The N–O bond is elongated from 1.26 Å in TiH-NH$_2$NO to 1.45 Å in transition state. The Ti active center bound to the O, N, and NH moieties with average bond distances of approximately 2 Å. In the following step, dissolution of the bridging hydrogen atom from nitrogen yields $^4$TiH-NHNOH, with a large exothermic energy of -61.67 kcal/mol. Thus, step 3 (Scheme 1) accomplishes transformation of the NH$_2$NO intermediate to NHNOH, after which follows a facile rearrangement and elimination of N$_2$ and H$_2$O.

3.4 NHNOH decomposition

The final step in the NH$_3$-SCR of NO is decomposition of NHNOH to N$_2$ and H$_2$O (Fig. 5). After formation of the $^4$TiH-NHNOH intermediate, rearrangement to the $^4$TS4 transition
state (imaginary frequency = 1060i cm\(^{-1}\)) has a small activation energy \(E_{a4}\) of 8.53 kcal/mol \((E_{a4-ZPE} = 8.79\) kcal/mol). During this process, the NHN hydrogen atom migrates toward the OH group. The HO–HNN intermolecular distance closes to 1.32 Å, suggesting the formation of H\(_2\)O. Additionally, the N–N bond distance is shortened to 1.17 Å, that of free N\(_2\) gas. Thus, in the final step, the H\(_2\)O and N\(_2\) molecules are produced over the TiH active center, as suggested by the \(^2\)TiH-N\(_2\)-H\(_2\)O structure. Desorption energies for N\(_2\) and H\(_2\)O are 0.02 and 20.17 kcal/mol, respectively, implying that the catalyst has high selectivity toward N\(_2\).

\(^{4}\)TiH (Scheme 1, step 4) is the final intermediate in our proposed mechanism. This species may (i) repeat the catalytic cycle, facilitating NH\(_3\)-SCR of NO by a similar reaction mechanism to that described above, or (ii) the complex may regenerate the Ti-porphyrin catalyst by reaction with oxidizing agents such as O\(_2\), ammonia, or water, which are generally present in the NH\(_3\)-SCR system.\(^{31}\) We propose that catalytic regeneration of TiH proceeds via an ammonia-based redox process: TiH + NH\(_3\) \(\rightarrow\) Ti-porphyrin + NH\(_4\).

(More details are available in supporting information, SI).

3.5 Full reaction pathway for NH\(_3\)-SCR of NO over singlet or triplet-spin-state Ti-porphyrin catalyst

To elucidate the most favorable reaction route, Figure 6 shows overlaid plots of energy pathways for NH\(_3\)-SCR of NO over both low- and high-spin-state Ti-porphyrin. Because of its greater stability when compared with \(^1\)Ti-porphyrin, all of the displayed energies are relative to the \(^3\)Ti-porphyrin total energy (Fig. 6). All of the optimized geometries for the low- and high-spin-state systems, including the parameters used, are available in SI. A pairwise potential-energy-surface comparison reveals that the high-spin-state reaction mechanism proceeds at lower relative energies until the NH\(_3\)NO TS2 transition state. Spin
crossing occurs twice. The first spin crossing occurs during the \( \text{NO}^{-4}\text{TiH-NH}_2 \rightarrow ^2\text{TS2} \) transition, in which the high-spin state crosses to lower energy than the low-spin state. The second occurrence of spin crossing occurs after water desorption, during the \( ^2\text{TiH-H}_2\text{O} \rightarrow ^4\text{TiH} \) transition; the high-spin state returns to the high-energy position after release of \( \text{H}_2\text{O} \) from the active center. Thus, spin crossing influences the transformation of the \( \text{NH}_2\text{NO} \) intermediate by significantly lowering the activation barrier for the high-spin transition state (TS3) from 28.02 to 17.05 kcal/mol. This clearly shows that transformation of the nitrosamine intermediates adopts a low-spin-state configuration. We conclude that the high-spin-state plays an important role in the NH₃-SCR of NO over Ti-porphyrin, and that spin crossing facilitates the formation of NHNOH.

3.6 Comparison of the Ti-porphyrin theoretical energy barrier with those of other candidate catalysts.

There are few literature reports of theoretical studies into Lewis acid reaction mechanisms for NH₃-SCR of NO available for comparison. DFT/PBE calculations describing the application of the \( \text{V}_2\text{O}_5/\text{TiO}_2 \) periodic model to the NH₃-SCR of NO revealed that the rate-determining step is the deprotonation of NH₃, with an energy barrier of approximately 30 kcal/mol.²⁸ An NH₂NO intermediate forms via a concerted mechanism, with the activation energy for N–N bond formation being just 5 kcal/mol, relative to the NH₂ intermediate. Furthermore, a \( \text{V}_2\text{O}_5 \) surface cluster model for the NH₃-SCR of NO mechanism has been also intensively studied by using B3LYP/6-31G(d)/ B3LYP/6-311++G(2d,2p).²⁶ As with the Lewis site mechanism, NH₃ coordinates to the vanadium atom followed by dissociation and bonding of a hydrogen atom to an oxygen at the cluster surface. This results in formation of a V-NH₂ intermediate species with an energy barrier of 51.3 kcal/mol. In the next step, NO combines with the coordinated NH₂ species to form NH₂NO, with an energy barrier of only 0.1 kcal/mol. In an
alternative mechanism, the NH$_2$NO forms spontaneously in a conserved process, which agrees well with the energy obtained for the V$_2$O$_5$/TiO$_2$ model by periodic calculation. The Fe-zeolite catalyst has potential for NH$_3$-SCR application. The Fe-modified zeolite model (Z-[FeO]$^+$) reaction mechanism was investigated at the B3LYP/TZVP level of theory.$^{32}$ It is probable that NH$_3$ adsorbs on Z-[FeO]$^+$ followed by transfer of a proton to form Z-[NH$_2$FeOH]$^+$ with an activation barrier of 23.3 kcal/mol. Subsequently, the complex reacts with NO to form the NH$_2$NO intermediate, with an energy barrier of 3.4 kcal/mol, which is similar to the V$_2$O$_5$ catalytic calculation results.

Applying the cluster model with the MO6L functional reveals that the energy barriers for singlet- and triplet-state Ti-porphyrin catalyzed oxidation of NH$_3$ fall in the range 32–34 kcal/mol. This result is comparable with the energy barriers seen for V$_2$O$_5$/TiO$_2$ and Fe-zeolite catalysts. $^1$Ti-porphyrin requires only 4.34 kcal/mol activation energy for the NH$_2$NO formation step, while $^3$Ti-porphyrin has an activation energy of 10.22 kcal/mol. The NH$_2$NO formation energy over $^1$Ti-porphyrin is similar to those of V$_2$O$_5$ and Fe-Zeolite catalysts, while its formation over $^3$Ti-porphyrin is more endothermic. Previous calculations did not involve formation of NHNOH prior to N$_2$ and H$_2$O production. However, this is a necessary step after formation of the NH$_2$NO intermediate, particularly for the Ti-porphyrin catalyst because of the reduction in activation energy to 17.05 kcal/mol that occurs after spin crossing; this reduces the energy barrier for decomposition to N$_2$ and H$_2$O to 7-9 kcal/mol. Consequently, we propose, Ti-porphyrin as an alternative to commercial catalysts V$_2$O$_5$ and Fe-zeolite for NH$_3$-SCR of NO, based on our comparison of theoretical activation energy calculations.

Conclusion
We used DFT calculations to explore the mechanism of NH$_3$-SCR of NO over low- and high-spin state Ti-porphyrin using DFT calculations. The proposed reaction mechanism is an energetically favorable process, comprising four consecutive elementary steps. The activation barrier ($i$) for NH$_3$ oxidation is 32.43 kcal/mol ($E_{a1-ZPE}$), ($ii$) for formation of NH$_2$NO is 10.22 kcal/mol ($E_{a2-ZPE}$), ($iii$) for NHNOH formation is 17.05 kcal/mol ($E_{a3-ZPE}$), and ($iv$) for decomposition of NHNOH is 8.79 kcal/mol ($E_{a4-ZPE}$). The N–H bond cleavage that occurs during oxidation of NH$_3$ is the rate-limiting step for both singlet and triplet spin states, because it has the greatest activation barrier (32-34 kcal/mol), which is in good agreement with previous reports.$^{26,28,32}$ Adsorption of NO on the coordinated NH$_2$ to form the NHNOH intermediate is thermodynamically favorable, and the subsequent decomposition of NHNOH to N$_2$ and H$_2$O is a facile process. Release of N$_2$ from the catalyst has a very small desorption energy (less than 1 kcal/mol), suggesting that this catalyst provides good N$_2$ selectivity. The obtained activation energy barriers for the NH$_3$-SCR process are comparable to those of the commercially available V$_2$O$_5$ and Fe-zeolite catalysts, and thus low-valance Ti-porphyrin is a potential catalyst for NH$_3$-SCR of NO.

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Supporting Information

† Electronic supplementary information (ESI) available. See DOI: 10.1039/xxxxxxxx

Notes

The authors declare no competing financial interests.

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Table 1. Comparison of the activation energies for NH$_3$-SCR of NO assuming the Lewis acid reaction mechanism, over commercial V$_2$O$_5$, potential Fe-zeolite, and Ti-porphyrin catalysts.

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</tbody>
</table>

$^a$Vittadini, et al. (ref. 28), $^b$Yuan et al. (ref. 26), $^c$Brüggemann et al. (ref 32)
Scheme 1: Proposed reaction mechanism for Selective Catalytic Reduction of NO by NH$_3$ over Ti-porphyrin. Steps consist of NH$_3$ oxidation (Step 1), NO insertion and NH$_2$NO formation (Step 2), NHNOH formation (Step 3), and NHNOH decomposition to H$_2$O and N$_2$ (Step 4).

Figure 1. Model of Ti-porphyrin catalyst (a) top view and (b).side view.

Figure 2. NH$_3$ oxidation over high-spin-state Ti-porphyrin.

Figure 3. NH$_2$NO intermediate formation over high-spin-state Ti-porphyrin.

Figure 4. NHNOH intermediate formation over high-spin state Ti-porphyrin.

Figure 5. NHNOH decomposition to nitrogen and water molecules over high-spin-state Ti-porphyrin.

Figure 6. Energy profile comparison for low-spin and high-spin reaction routes in the NH$_3$-SCR of NO over a Ti-porphyrin catalyst.
Scheme 1: Proposed reaction mechanism for the selective catalytic reduction of NO by NH$_3$ over a Ti-porphyrin catalyst comprising: (Step 1) NH$_3$ oxidation, (Step 2) NO insertion and NH$_2$NO formation, (Step 3) NHNOH formation, and (Step 4) NHNOH decomposition to H$_2$O and N$_2$. 
Figure 1. Model of low valance Ti-porphyrin catalyst in (a) top view and (b) side view.
Figure 2. NH$_3$ oxidation over high-spin-state Ti-porphyrin.
Figure 3. formation of NH$_2$NO intermediate over high-spin-state Ti-porphyrin.
Figure 4. NHNOH intermediate formation over high-spin-state Ti-porphyrin.
Figure 5. NHNOH decomposition to nitrogen and water over high-spin-state Ti-porphyrin.
Figure 6. Comparison of energy profiles for low-spin and high-spin reaction routes in the NH\textsubscript{3}-SCR of NO over a Ti-porphyrin catalyst.
The theoretical study shows Ti-porphyrin has potential as an alternative catalyst for NH$_3$-SCR of NO.