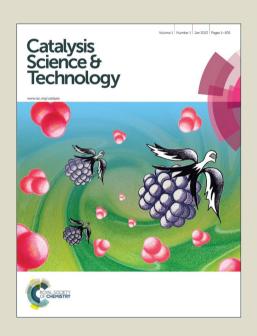
## Catalysis Science & Technology

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#### **ARTICLE**

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# The cascade synthesis of α,β-unsaturated ketones via oxidative C–C coupling of ketones and primary alcohols over ceria catalyst

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We herein report the oxidative C–C coupling of ketones and primary alcohols to produce  $\alpha,\beta$ -unsaturated ketones in the absence of base additives. This cascade synthetic reactions were conducted at 150 °C in 12 h using heterogeneous CeO<sub>2</sub> catalyst. The conversion of acetophenone reached 74% with 89% selectivity of chalcone. A correlation between CeO<sub>2</sub> crystal plane and catalytic performance is established as the catalytic activities decrease in the sequence of (110) > (111) > (100). The characterizations with Raman spectroscopy, CO<sub>2</sub>-temperature programmed desorption (CO<sub>2</sub>-TPD), and *in-situ* active-site-capping tests have shown that the unusual catalysis of CeO<sub>2</sub> catalyst is attributed to the coexistence of basic and redox active sites. These sites synergistically catalyze the oxidation of alcohol to aldehyde and the Aldol condensation to ketones. Moreover, the CeO<sub>2</sub> catalyst can be reused for several times after calcination to remove the surface-adsorbed substances.

#### Introduction

α, β-Unsaturated ketone is a class of important chemicals, which have numerous applications such as pesticides and food additives.<sup>[1]</sup> They are traditionally prepared by the classical Aldol condensation reaction between ketones and aldehydes. [2] The direct C-C bond coupling of ketones with primary alcohols is a more promising and economical process in synthesizing  $\alpha$ , β-unsaturated ketones. The tandem reactions combine the oxidation (or dehydrogenation) of alcohols to aldehydes, and the Aldol condensation of aldehydes with ketones with minimal work-up and less waste production (e.g., efficiency in catalyst utilization, without isolation of aldehyde intermediates).<sup>[3]</sup> The tandem reactions have been recently studied using bifunctional catalysts, which consist of precious metals with active sites for oxidative conversion, and alkaline supports with active sites for condensation reaction, such as the catalysts of Pd/AlO(OH), [4] Pd/MgO-Al<sub>2</sub>O<sub>3</sub>,<sup>[5]</sup> Pt/CeO<sub>2</sub>,<sup>[6]</sup> or Au/AlO(OH).<sup>[7]</sup> But the majority of the reported processes still require alkali additives.[8]

The development of inexpensive and highly efficient non-precious metal catalysts have drawn great attention in the  $\alpha$ ,  $\beta$ -unsaturated ketones synthesis, such as early-transition-metal nitrides (TiN, NbN, and NbCN). But the preparation of these materials involves the nitridation of the parent oxides under fierce conditions. Recently, Chen *et al.* log used the metal oxide  $CrO_3$  as a catalyst. However, the chromium species is dissolved

homogeneously in the reaction system and the use of chromium is environmental unfriendly. Therefore, it is desirable to develop an active, robust, and green catalyst for the cascade synthesis of ketones.

In general, the oxidative dehydrogenation of alcohols is a redox process and the Aldol condensation of aldehydes with ketones is catalyzed by acids or bases. Therefore, the expected catalyst for this tandem reaction is required to possess both redox sites and acid or base sites. CeO<sub>2</sub> has both redox and acid-base sites and has been widely used in various catalytic reactions, which have been well reviewed by Laurence Vivier and other researchers.<sup>[11]</sup> We and other investigators have recently discovered that CeO<sub>2</sub> is a highly active and selective catalyst in the Prins condensation,<sup>[12]</sup> transformylation,<sup>[13]</sup> CO<sub>2</sub> fixation<sup>[14]</sup> and other organic reactions<sup>[15]</sup> because of its redox and acid-base properties.

Herein, we report that  $CeO_2$  is an efficient catalyst for the cascade synthesis of  $\alpha$ ,  $\beta$ -unsaturated ketones from ketones and primary alcohols without base additive. Various ketones and primary alcohols were transformed to the corresponding  $\alpha$ ,  $\beta$ -unsaturated ketones over  $CeO_2$ . Besides, the catalyst is robust and can be reused several times with comparable results. Detailed studies have revealed that the performance of  $CeO_2$  in tandem reactions is attributed to its unique catalytic properties.

#### **Experimental**

#### Materials

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Chemicals and oxides were of analytical grade, purchased from Aladdin Chemicals, and used without further purification.

#### CeO<sub>2</sub> preparation

The CeO<sub>2</sub> sample was prepared by a conventional precipitation method. [12] Briefly, 5.0 g of Ce(NO<sub>3</sub>)<sub>3</sub>·6H<sub>2</sub>O was dissolved in 100 mL of Millipore-purified water (18 m $\Omega$ ·cm), and the solution was adjusted to pH = 11.0 by the addition of NH<sub>4</sub>OH (3.4 M) under magnetic stirring at room temperature. The resulting gel mixture was washed with pure water, dried in an oven at 120 °C for 12 h, and calcined at 500 °C in air (50 mL min<sup>-1</sup>) for 4 h. To obtain CeO<sub>2</sub> of different morphologies, Ce(NO<sub>3</sub>)<sub>3</sub>·6H<sub>2</sub>O was used as the cerium source. The preparation of different morphologies of CeO<sub>2</sub> samples was previously reported by our group and other groups. [12-13, 16] Briefly, 0.868 g of Ce(NO<sub>3</sub>)<sub>3</sub>·6H<sub>2</sub>O and an appropriate amount of NaOH (0.016-15 g) were dissolved in 5 mL and 35 mL of deionized water, respectively. Then, these two solutions were mixed in a Teflon bottle, and this mixture was stirred for 30 min at room temperature, and finally formed a milky slurry. Subsequently, the Teflon bottle with this mixture was held in a stainless steel vessel autoclave, which was then placed in an oven at temperatures in the range of 100-180 °C for 12 h or 24 h. After the hydrothermal treatment, the resulting precipitate was separated by centrifugation, thoroughly washed with deionized water and ethanol, followed by drying at 60 °C in air for 12 h.

#### Catalytic reactions and product analyses

In a typical reaction, the catalyst was added into a screw capped glass pressure vessel with a stirring bar. A solution of 58  $\mu L$  acetophenone (0.5 mmol) and 78  $\mu L$  benzyl alcohol (0.75 mmol) in 2 mL solvent was added, and the reactor was screwed tightly and heated to the set value in an oil bath. The products of the reaction were analyzed by gas chromatography (GC, Agilent 7890A) and gas chromatography-mass spectrometry (GC-MS) using an Agilent 7890A/5975C instrument equipped with an HP-5MS column (30 m in length, 0.25 mm in diameter). Authenticated samples were used to quantify the reactants and products. The calculation of conversion and selectivity is based on acetophenone.

#### CO<sub>2</sub> temperature-programmed desorption (CO<sub>2</sub>-TPD)

The chemisorption of CO<sub>2</sub> on CeO<sub>2</sub> with different morphologies, fresh CeO<sub>2</sub>, and used CeO<sub>2</sub> was conducted in a U-type quartz tube. In a typical CO<sub>2</sub>-TPD experiment, 35 mg of dried sample was placed in the quartz tube and pretreated in flowing Ar at 210 °C for 1 h. Then, the sample was cooled to 30 °C and successive pulses of CO<sub>2</sub> were injected, using Ar (30 mL min<sup>-1</sup>) as the carrier gas, until no change in the CO<sub>2</sub> concentration was detected. After CO<sub>2</sub> adsorption, the sample

was flushed with Ar (30 mL min<sup>-1</sup>) for 10 min. The  $CO_2$  desorption was carried out by increasing sample temperature from 30 to 600 °C (ramping rate: 10 °C min<sup>-1</sup>) in an Ar gas flow (30 mL min<sup>-1</sup>). The gas effluents during the  $CO_2$  adsorption and desorption were analyzed by an on-line mass spectrometer (GSD320 Thermostar).

#### Catalyst characterizations

Powder X-ray diffraction (XRD) patterns were acquired using a PANalytical X-Pert diffractometer, applying Cu-K $\alpha$  radiation at 40 kV and 40 mA. Continuous scans were collected over the  $2\theta$  range of 5° to 80°. Transmission electron microscopy (TEM) and high-resolution TEM (HRTEM) images were recorded on a JEOL-2100F electron microscope operating at 200 kV. Raman spectra were recorded on a micro-Raman spectrometer (Renishaw) equipped with a CCD detector using a He/Ne laser with a wavelength of 532 nm.

#### Results and discussion

#### Catalyst screen and reaction condition optimizations

In a preliminary experiment, the C–C coupling of acetophenone and benzyl alcohol was conducted over various solid oxides at 130  $^{\circ}$ C in 24 h (Table 1, entries 1–11). The reaction did not occur in the absence of catalyst (Table 1, entry 1). CeO<sub>2</sub> showed the highest activity with 64% acetophenone (1) conversion and 86% chalcone (3) selectivity (Table 1, entry 2). MgO, MnO<sub>2</sub>, and Y<sub>2</sub>O<sub>3</sub> gave 36%, 21%, and 18% acetophenone conversions, respectively (Table 1, entries 3–5). Other oxides, such as Nb<sub>2</sub>O<sub>5</sub>, Al<sub>2</sub>O<sub>3</sub>, V<sub>2</sub>O<sub>5</sub>, ZrO<sub>2</sub>, SiO<sub>2</sub>, and TiO<sub>2</sub> exhibited far lower activities (Table 1, entries 6–11).

Different solvents were examined in the synthesis of chalcone from acetophenone and benzyl alcohol (Table 1, entries 12–18). p-Xylene offered the highest conversion (74%) and the best chalcone selectivity (89%) at 150 °C in 12 h (Table 1, entry 12). Although 2-propanol gave the moderate conversion (49%), the chalcone selectivity was poor (8%) (Table 1, entry 13). Methanol and acetonitrile gave the low acetophenone conversions (~10%) and moderate chalcone selectivities (~65%) (Table 1, entries 14-15). In addition, the coupling reaction were much worse in dioxane, dimethyl formamide (DMF), and dimethyl sulfoxide (DMSO) (Table 1, entries 16-18). This is perhaps due to that these polar solvent molecules strongly adsorb on catalyst via coordination to coordinatively unsaturated metal ions (eg.  $(CH_3)_2$ -S=O $\rightarrow$ Ce<sup>3+</sup>,  $(CH_3)_2$ -N-C=O $\rightarrow$ Ce<sup>3+</sup>)<sup>[17]</sup> and compete with polar substrates and reaction intermediates. In addition, these molecules would block the redox process of CeO<sub>2</sub>. Reaction in Ar generated large amount of 1-phenylethanol (21% GC yield) and dihydrochalcone (15% GC yield) (Table 1, entry 19), which indicates this is a hydrogen-transfer reaction between acetophenone and benzyl alcohol. Oxygen is used to oxidize the active surface hydrogen species. Reaction in pure oxygen was detrimental to the conversion of acetophenone (Table 1, entry 20). This is perhaps due to the use of pure  $O_2$  gas enhances the

oxidation rate of benzyl alcohol, benzaldehyde as the main oxidation product would accumulate and would be oxidized to benzoic acid, and benzoic acid would poison the basic sites of CeO<sub>2</sub>

The following optimization reactions focused on  $CeO_2$  catalyst in order to achieve high yield of chalcone. Fig. 1 shows the catalytic results at temperatures of 40-150 °C. The conversion of acetophenone increased from 5% to 74% with the increase of temperature. Simultaneously, the selectivity of chalcone decreased from 100% to 89%. Dihydrochalcone (4) and 1-phenylethanol (5) as byproducts were generated at high temperature, indicating transfer hydrogenation reactions take place. Similar results have been obtained by several studies. [8c, 8g]

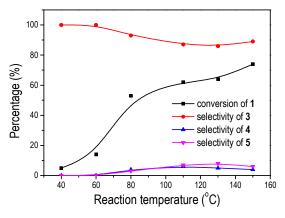
**Table 1** C–C coupling of acetophenone and benzyl alcohol over various oxides <sup>a</sup>

Ph 1	+ Ph	`OH <del>catalyst</del> Ph′	0 3	Ph + Ph	Pł	0H 1 + Ph 5
Entry	Catalyst	Solvent	Conv.		Sel.(%)	
Entry	Catalyst	Solvent	(%) <sup>b</sup>	3	4	5
1	-	p-xylene	0	-	-	-
2	$CeO_2$	p-xylene	64	86	5	8
3	MgO	p-xylene	36	90	-	10
4	$MnO_2$	p-xylene	21	100	-	-
5	$Y_2O_3$	p-xylene	18	45	-	55
6	$Al_2O_3$	p-xylene	2	100	-	-
7	$\mathrm{Nb_2O_5}$	p-xylene	4	-	-	100
8	$V_2O_5$	p-xylene	0	-	-	-
9	$ZrO_2$	p-xylene	0	-	-	-
10	$SiO_2$	p-xylene	0	-	-	-
11	$TiO_2$	p-xylene	0	-	-	-
12 °	$CeO_2$	p-xylene	74	89	5	6
13 <sup>d</sup>	$CeO_2$	2-Propanol	49	8	-	92
14 <sup>d</sup>	$CeO_2$	Methanol	11	67	-	33
15 <sup>d</sup>	$CeO_2$	Acetonitrile	10	64	-	36
16 <sup>d</sup>	$CeO_2$	Dioxane	8	-	-	100
17 <sup>d</sup>	$CeO_2$	DMF	0	-	-	-
18 <sup>d</sup>	$CeO_2$	DMSO	0	-	-	-
19 °	$CeO_2$	p-xylene	64	45	23	32
20 f	$CeO_2$	p-xylene	51	89	4	7

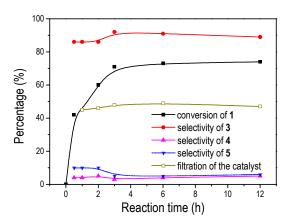
<sup>a</sup> Reaction conditions: **1** (58 μL), **2** (78 μL), catalyst (100 mg), solvent (2 mL), 130 °C, 24 h, 1 atm air. <sup>b</sup> The conversion was based on acetophenone, determined by GC with the internal standard method. <sup>c</sup>150 °C for 12 h, isolated: 44 %. <sup>d</sup> 150 °C for 12 h. <sup>c</sup> 150 °C for 6 h in Ar. <sup>f</sup> 150 °C for 6 h in oxygen.

Optimization of the reaction time was conducted at 150 °C. As can be seen from the Fig. 2, the chalcone selectivity was above 84% throughout the reaction. The acetophenone conversion rapidly increased to 73% after 6 h and leveled off at 74% after 12 h, probably due to the strong adsorption of organic species on CeO<sub>2</sub>. [18] CeO<sub>2</sub> behaves as a truly heterogeneous catalyst, as proved by the hot filtration test (Fig. 2). According to the

discussion above, 12 h at 150  $^{\circ}$ C in *p*-xylene under air were chosen as the suitable reaction conditions for further experiments.



**Fig. 1** Effect of reaction temperature on the catalytic performance of  $CeO_2$  in the C–C coupling of acetophenone and benzyl alcohol. Reaction conditions: **1** (58  $\mu$ L), **2** (78  $\mu$ L),  $CeO_2$ (100 mg), p-xylene (2 mL), 24 h, air.



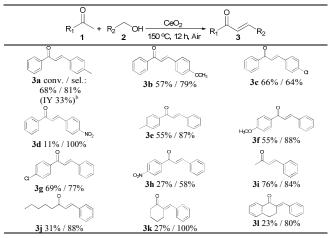
**Fig. 2** Reaction profiles for the C–C coupling of acetophenone and benzyl alcohol over CeO<sub>2</sub>. Reaction conditions: **1** (58  $\mu$ L), **2** (78  $\mu$ L), CeO<sub>2</sub> (100 mg), *p*-xylene (2 mL), 150 °C, air.

#### Coupling of various ketones and primary alcohols

In order to explore the substrate scope and functional group tolerance, we extended the  $CeO_2$  catalyzed C-C coupling protocol to a wide range of ketones and primary alcohols (Table 2). We employed two different strategies to explore the efficiency of the  $CeO_2$  for the synthesis of diverse  $\alpha$ ,  $\beta$ -unsaturated ketones. In the first strategy, various substituted benzyl alcohols were allowed to react with acetophenone. Substituted benzyl alcohols bearing an electron-donating or withdrawing group reacted with acetophenone to afford the corresponding  $\alpha$ ,  $\beta$ -unsaturated ketones in moderate conversions (> 57%) and selectivities (> 64%) (3a, 3b, 3c). For

the p-nitrobenzyl alcohol, however, the desired coupling reaction is inhibited (3d) (11% acetophenone conversion), the condensation of acetophenone nitrobenzaldehyde is much more difficult than benzaldehyde (Supporting information, Table S1), which causes the accumulation of intermediates and seems to be detrimental to the coupling reaction. In the second strategy, the benzyl alcohol remained unchanged and was allowed to react with various ketones, such as p-substituted acetophenone, alkyl ketones, and cyclic ketones. The conversions of p-substituted acetophenone are in a medium level (> 55%) (3e, 3f, 3g) with the exception of p-nitroacetophenone (3h) (27% conversion). In the presence of p-nitroacetophenone, benzaldehyde yield was remarkably improved due to the oxidation of benzyl alcohol. When acetone was used as a substrate, the conversion of acetone reached 76% (3i) and dibenzylideneacetone was produced as the byproduct. For other alkyl ketones and cyclic ketones, such as 2heptanone, cyclohexanone, and 1-tetralone, the desired products were obtained but in low conversions (23% ~31%) (3i, 3k, 3l).

Table 2 Scope of the coupling reaction of ketones with alcohols catalyzed by  $\text{CeO}_2$  <sup>a</sup>



<sup>a</sup> Reaction conditions: **1** (0.5 mmol), **2** (0.75 mmol), CeO<sub>2</sub> (100 mg), *p*-xylene (2 mL), 150 °C, in 12 h, air. The conversions based on ketones consumption were determined by GC-MS. <sup>b</sup> Yield of isolated product.

#### **Catalyst Stability**

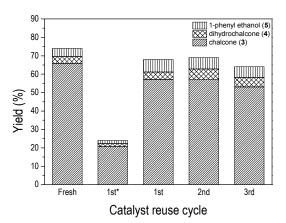
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The recyclability of the  $CeO_2$  catalyst was investigated. After reaction, spent  $CeO_2$  was recovered by centrifugation, washed with ethanol, dried, and then used for a subsequent cycle. As depicted in Fig. 3, the fresh catalyst reached 66% yield of chalcone. However, deactivation of the catalyst (21% yield), with a color change from pale yellow to grey yellow, was observed in the first reuse. The possible reason of catalyst deactivation is that the reaction intermediate species blocks active sites on the catalyst surface. Accordingly, TPO-MS analysis indicates the obvious peaks of  $CO_x$  (x = 1, 2) appearing at around 255 °C (Figure S1). This test suggests that the

reaction intermediate adsorbed on  $CeO_2$  surface can be removed by calcination. Therefore, before any successive use, the catalyst is calcined at 300  $^{\circ}C$  to remove the adsorbed organic species. The calcined  $CeO_2$  can be reused for several times (Fig. 3). Although the catalytic activity of  $CeO_2$  gradually decreased slightly during such repeated uses. The possible reason is that the specific area of the  $CeO_2$  gradually decreased slightly during repeated calcination processes. These results indicate  $CeO_2$  is a robust heterogeneous catalyst for this reaction.

#### Effects of the CeO<sub>2</sub> crystal planes

The relationship between the catalytic activity and the morphology (rod, octahedron, and cube)/crystal planes of nanostructured CeO<sub>2</sub> was investigated by performing the C-C coupling reaction. CeO2 samples with different morphologies were synthesized according to procedures previously reported in the literature. [12-13, 16] The X-ray diffraction (XRD) patterns (Fig. S2) confirm the formation of ceria based on the diffraction peaks observed at  $2\theta = 28.7^{\circ}$ ,  $33.2^{\circ}$ ,  $47.7^{\circ}$  and  $56.6^{\circ}$  (JCPDS) 65-2975), corresponding to the (111), (200), (220) and (311) planes of a CeO<sub>2</sub> fluorite-type structure, respectively, with no significant impurities observed. According to high-resolution transmission electron microscope (HRTEM) images (Fig. S3), these samples have different exposed crystal plane: (110) and (100) for rods, (111) for octahedra, and (100) for cubes. This result is in good agreement with Yan et al.[19] The chalcone yield over the rod sample is 48% (Table 3, entry 1), whereas the octahedron sample and the cube sample only had 6% and 2% chalcone yield, respectively (Table 3, entries 2–3). In consideration of the BET surface area of CeO2, the generation rate of chalcone per added surface area per hour (yield) was also calculated and compared. It can be found that the generation rate of chalcone over the rod sample is 3.953 µmol m<sup>-2</sup> h<sup>-1</sup>, which is about 3-fold and 4-fold of octahedron (1.190  $\mu$ mol m<sup>-2</sup> h<sup>-1</sup>) and cube (0.926  $\mu$ mol m<sup>-2</sup> h<sup>-1</sup>), respectively. The sequence of catalytic activity (rod > octahedron > cube) and the HRTEM result indicated that the (110) crystal plane of CeO<sub>2</sub> nanorods is the most active one for this reaction in these crystal planes.



**Fig. 3** Catalyst recycling for the C–C coupling of acetophenone and benzyl alcohol over CeO<sub>2</sub>. Reaction conditions: **1** (58  $\mu$ L), **2** (78  $\mu$ L), CeO<sub>2</sub> (100 mg), catalyst (100 mg), *p*-xylene (2 mL), 150 °C, 12 h, air. 1st\* was the first run of CeO<sub>2</sub> without calcination. 1st was the first run after calcination.

The formation energy of surface oxygen vacancy is a key parameter for the oxidation reactions. [20] DFT theoretical studies indicate that the formation energies of ceria surface oxygen vacancies in the (110) surface are the lowest, followed by (100) and (111),[21] which is in agreement with the results that the (110) plane exhibited the highest activity. In addition, the concentration of surface oxygen vacancy was also determined by Raman spectroscopy in the present study (Fig. S4). Two bands were observed in these three samples, which were ascribed to the Raman-active F2g vibrational mode of the CeO<sub>2</sub> fluorite-type structure (the intense band at 462 cm<sup>-1</sup>)<sup>[22]</sup> and surface oxygen vacancy sites (the weak band at 590 cm<sup>-</sup> 1),[23] respectively. The ratio of the integrated peak areas under the bands at 590 cm<sup>-1</sup> and 462 cm<sup>-1</sup> ( $A_{590}/A_{462}$ ) can be used to quantify the relative concentration of surface oxygen vacancy sites. [24] The calculated values were listed in the Table 4. The tendency of the A<sub>590</sub>/A<sub>462</sub> ratio matches well with the catalytic performance of these CeO<sub>2</sub> samples.

**Table 3** C–C coupling of acetophenone and benzyl alcohol over different crystal planes of the CeO<sub>2</sub> catalyst <sup>a</sup>

Entry	Morphology	Crystal plane	$S (m^2 g^{-1})^b$	Chalcone yield. (%) <sup>c</sup>
1	rod	(110)/(100)=2/1	86	48 (3.953) <sup>d</sup>
2	octahedron	(111)	21	6 (1.190)
3	cube	(100)	9	2 (0.926)

<sup>&</sup>lt;sup>a</sup> Reaction conditions: **1** (58 μL), **2** (78 μL), CeO<sub>2</sub> (100 mg), *p*-xylene (2 mL), 150 °C, for 12 h, under air. <sup>b</sup> S = BET surface area. <sup>c</sup> Yield = conversion × selectivity, the conversions based on acetophenone consumption were determined by GC. <sup>d</sup> Date in parenthesis indicated the molar product per reaction time (h) per added surface area of CeO<sub>2</sub> (unit: μmol m<sup>-2</sup> h<sup>-1</sup>).

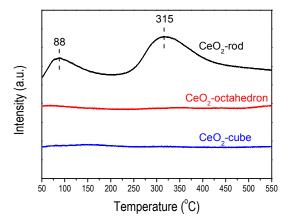


Fig. 4 CO<sub>2</sub>-TPD profiles of the CeO<sub>2</sub> with different morphology.

Furthermore, the effect of crystal plane on the basic properties of the CeO<sub>2</sub> catalysts was investigated by the CO<sub>2</sub>-TPD technique. The CO2-TPD peaks representing the base strength distribution of CeO<sub>2</sub> catalysts with different morphologies are presented in Fig. 6. In general, the numbers of weak, medium, and strong catalyst basic sites are estimated from the CO<sub>2</sub> desorption peak area under their TPD curves for the temperature range of  $100 \sim 250$ ,  $250 \sim 400$ , and >400 °C.<sup>[25]</sup> According to Fig. 6, the CO<sub>2</sub>-TPD profile for CeO<sub>2</sub>-rod showed two peaks centred around 88 °C and 315 °C, which were attributed to the weak and medium basic sites, respectively. However, there was no obvious CO<sub>2</sub> desorption peak for the CeO<sub>2</sub>-octahedron and CeO<sub>2</sub>-cube samples in the range of 50-550 °C. In detail, the distribution of the number of basic sites for the CeO<sub>2</sub> catalysts are listed in Table 4. As revealed in Fig. 6 and Table 4, the different distribution of basic sites for each catalyst indicates that the basicity and base strength distribution are influenced by the morphology of the CeO<sub>2</sub> catalysts and the (110) places shows a large number of weak and medium basic sites as compared to the (111) and (100) places.

**Table 4** Concentration of oxygen vacancy and basic sites of CeO<sub>2</sub> with different morphology

Entry	Catalyst	$A_{595}/A_{462}^{a}$	Basic sites (µmol/g) <sup>b</sup>		
Entry	Catalyst		weak	medium	total
1	CeO <sub>2</sub> -rod	0.077	659	680	1339
2	CeO <sub>2</sub> -octahedron	0.003	0	0	0
3	CeO <sub>2</sub> -cube	0.001	0	0	0

 $<sup>^</sup>a$   $A_{599}/A_{462}$  means intrinsic oxygen vacancy concentration of  $CeO_2$  samples based on Raman spectroscopy.  $^b$  Determined by  $CO_2$ -TPD expressed in micromoles  $CO_2$  desorbed per gram catalyst.

To sum up, the (110) crystal plane is considered as the most active plane of  $CeO_2$  due to its substantial enhanced redox and basic properties.

**Table 5** Effect of capping agent on the catalytic performance of CeO<sub>2</sub> in the C-C coupling of acetophenone with benzyl alcohol. <sup>a</sup>

Entry	Capping agent	C (0/)b	Sel. (%)		
	(0.58 mmol)	Conv. (%) <sup>b</sup>	3	4	5
1	-	39(43) <sup>c</sup>	96	2	2
2	Pyridine	37(31) <sup>c</sup>	99	-	1
3	Benzoic acid	0(11) <sup>c</sup>	-	-	-

<sup>&</sup>lt;sup>a</sup> Reaction conditions: 1 (58 μL), 2 (78 μL), CeO<sub>2</sub>(100 mg), p-xylene(2 mL), 100 °C, for 12 h, under Air. <sup>b</sup> The conversions based on acetophenone consumption were determined by GC-GS. <sup>c</sup> Date in parenthesis indicated the conversion of benzyl alcohol.

#### In situ capping tests

In order to make clear the influence of the surface acid-base properties of CeO2 for the coupling reaction, the in-situ acidbase sites capping experiments have been performed. Usually, pyridine strongly reacts with the acidic sites of the catalyst, [26] while benzoic acid poisons the basic sites. [27] In the present work, the C-C coupling of acetophenone and benzyl alcohol was performed in the presence of pyridine or benzoic acid. The conversion was almost the same with or without the addition of pyridine. (37% in Table 5, entry 2 vs. 39% in Table 5, entry 1). In contrast, the addition of benzoic acid completely suppressed the reaction (Table 5, entry 3). These results indicate that the basic sites of CeO<sub>2</sub> are the catalytically active center for the reaction. Otherwise, the conversions based on the benzyl alcohol consumption were also showed in the column of conversion (Table 5). In the presence of benzoic acid (0.58 mmol), the aerobic oxidation of benzyl alcohol was constrained.

#### Reaction mechanism

In this study, CeO<sub>2</sub> acted as bifunctional catalyst and played two roles: oxidative dehydrogenation of alcohol and Aldol condensation of benzaldehyde with acetophenone. In the first step, although the alcohol is oxidized by lattice oxygen, the CeO<sub>2</sub> acts as catalyst. Because if the benzyl alcohol oxidation was conducted in the absence of oxygen, the conversion of benzyl alcohol was below 1 %. In addition, the recycling of CeO<sub>2</sub> without calcination in the benzyl alcohol oxidation also proved this point (See Table 6). Fresh CeO<sub>2</sub> gave 22 % benzyl alcohol conversion (Table 6, Entry 1). After reaction, used CeO<sub>2</sub> was recovered by centrifugation, washed with ethanol, dried, and then used for a subsequent cycle. As depicted in Table 6, Entry 2, the used CeO<sub>2</sub> obtained 29% benzyl alcohol conversion. It can be concluded that CeO2 worked as catalyst. Moreover, it is generally accepted that benzyl alcohol oxidation over ceria proceeds via Mars-van Krevelen mechanism. [28] Tamura et al have also reported that CeO<sub>2</sub> acts as a reusable and efficient heterogeneous catalyst for the imine formation from benzyl alcohol and aniline.<sup>[15a]</sup> In the first step of this reaction, oxidative dehydrogenation of benzyl alcohol with oxygen

species occurs on the redox sites of CeO<sub>2</sub> catalyst. Benzyl alcohol removes the lattice oxygen, leading to the formation of oxygen vacancy, then the oxygen vacancy is replenished with molecular oxygen through oxygen mobility.

**Table 6** Benzyl alcohol oxidation over CeO<sub>2</sub> <sup>a</sup>

	Catalyst	a ach	Sel. (%)		
Entry		Conv. (%) <sup>b</sup>	6	7	
1	Fresh CeO <sub>2</sub>	22	92	8	
2	Used CeO <sub>2</sub> <sup>c</sup>	29	92	8	

<sup>a</sup> Reaction conditions: **2** (0.5 mmol), Catalyst (100 mg), p-xylene (2 mL), 150 °C, for 5 h, 1 atm air. <sup>b</sup> The conversions and selectivity based on benzyl alcohol consumption were determined by GC. <sup>c</sup> The used CeO<sub>2</sub> was recovered by centrifugation, washed with ethanol for three times, and dried at 100 °C.

For the Aldol condensation of acetophenone with benzaldehyde over basic sites of CeO2, the organic species produced by benzaldehyde oxidation would blocks basic sites on the basic sites. CO<sub>2</sub>-TPD of used CeO<sub>2</sub> (CeO<sub>2</sub>-R) and fresh CeO<sub>2</sub> (CeO<sub>2</sub>-F) (See Fig. 5) proved this point. In addition, the deposited organic species desorption were confirmed by comparison between TPD of CeO<sub>2</sub>-R and CO<sub>2</sub>-TPD of CeO<sub>2</sub>-R. As depicted in Fig. 5, the peaks around 356 and 522 °C were attributed to the organic species desorption. The concentration of basic sites for the CeO<sub>2</sub>-F and CeO<sub>2</sub>-R were calculated based on the desorption peak of CO<sub>2</sub> around 120 °C, and the values were 85.6 μmol/g and 24.8 μmol/g, respectively. We think the concentration difference (60.8 µmol/g) between this two values could reflect the amount of deposited organic species. Calcination is a common manner of catalyst regeneration. The organic species which blocks basic sites on the catalyst surface would be removed by calcination and the catalyst would be recovered. Thus, we suppose that the C-C coupling reaction was a catalytic reaction over CeO<sub>2</sub>.

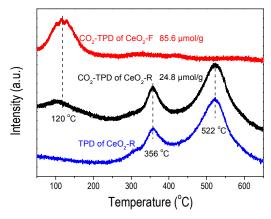
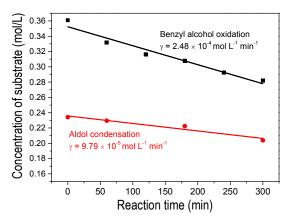


Fig. 5 CO<sub>2</sub>-TPD of CeO<sub>2</sub>-F and CeO<sub>2</sub>-R and TPD of CeO<sub>2</sub>-R

Kinetic analysis of the alcohol oxidation and Aldol reaction over  $CeO_2$  catalyst were carried out at 150 °C. The initial concentrations of benzyl alcohol and acetophenone were 0.36 mol/L and 0.23 mol/L, respectively. The reaction rate values are based on data from Fig. 6. and are calculated to be 2.48 ×  $10^{-4}$  mol L<sup>-1</sup> min<sup>-1</sup> and  $9.79 \times 10^{-5}$  mol L<sup>-1</sup> min<sup>-1</sup>, respectively. Thus, it can be concluded that the Aldol condensation is a

slower step and could be the rate determining step.



**Fig. 6** The kinetic analysis of the oxidation of benzyl alcohol and the Aldol condensation of acetophenone with benzaldehyde.

Reaction conditions: 1) Benzyl alcohol oxidation: benzyl alcohol 0.75 mmol, *p*-xylene 2 mL, CeO<sub>2</sub> 100 mg, 150 °C, 1 atm Air; 2) Aldol condensation: acetophenone 0.5 mmol, benzaldehyde 0.75 mmol, *p*-xylene 2 mL, CeO<sub>2</sub> 100 mg, 150 °C, Ar.

On the basis of the results above, a reaction mechanism for the C–C coupling of acetophenone and benzyl alcohol over CeO<sub>2</sub> catalyst can be proposed as in Scheme 1. First, the formation of benzaldehyde by the oxidative dehydrogenation of benzyl alcohol with oxygen species occurs on the redox sites of CeO<sub>2</sub> and Ce will be reduced from tetravalent (+4) to trivalent (+3). Then, the obtained benzaldehyde reacts with acetophenone to afford the chalcone over the basic sites of CeO<sub>2</sub>. At last, the target product chalcone desorbs and the active site is regenerated by calcination to remove the chemisorbed organic species for next cycle. This possible reaction mechanism is similar to the mechanism of imine formation from benzyl alcohol and imine over CeO<sub>2</sub> reported by Tamura.<sup>[15a]</sup>

**Scheme 1.** Tentative reaction mechanisms for the C–C coupling of acetophenone and benzyl alcohol over CeO<sub>2</sub>.

#### **Conclusions**

In summary, we have reported an efficient C–C coupling of ketones and primary alcohols to produce  $\alpha,\beta$ -unsaturated ketones over CeO<sub>2</sub> catalyst in the absence of alkaline additives. Strong crystal plane effects of CeO<sub>2</sub> on the C–C coupling reaction have been identified. The (110) plane of CeO<sub>2</sub> nanorods is the most active plane for the reaction among the three low index planes (110), (111), and (100). Raman spectra and CO<sub>2</sub>-TPD characterizations have suggested that the catalytically active sites of CeO<sub>2</sub> consist of surface redox and base sites. The reaction involves the oxidation dehydrogenation of benzyl alcohol on the redox sites and the Aldol condensation of benzaldehyde and acetophenone on the base sites. This study will be helpful for the understanding of catalytic reactions over ceria catalyst.

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#### Notes and references

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#### **Graphical Abstract**

