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- expected that metal-ion batteries with particular applications and performances can be
- achieved by designing these oxides monolayers.
- Keywords: Transition-metal oxide monolayers; Li/Na diffusion; cell voltage and
- specific capacity; volume expansion; metal-ion battery; first-principles calculation
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Rechargeable energy storage devices have been in ever-increasing demand as energy sources in huge-amount of portable electronics, commercial electronic vehicles, and large-scale electric networks [1-3]. As a robust technology, metal-ion batteries, especially lithium-ion batteries (LIBs), have been attracting extensive interests because they can deliver high energy, power capacities, and have reasonable stability [4-5]. However, it is a great challenge to develop metal-ion batteries to deal with society's fluctuating energy needs, because of the low abundance of electrode materials. At the same time, the overall performance strongly relies on the structures and properties of the electrode materials. Substantial efforts have been devoted to develop electrode materials with nanostructures, including nanotubes, nanowires, nanoparticles, nanoporous structures, and their composite, because they may enhance energy and power capacities, improve charging/discharging time, and even reduce the cost [6-11]. Recently, two-dimensional (2D) monolayers have attracted increasing attention for their applications into metal-ion batteries as cathodes and anodes due to their particular structural, physical, and chemical properties [12-21]. As anode electrode, layered MoS2 showed the high-rate transportation of sodium ions due to the 45 short diffusion paths and allows easy $Na⁺$ ion insertion/de-insertion [12]. TiS₃ monolayer showed a lower energy barrier for the diffusion of Na atoms [13]. Much lower energy barriers for metal-ion diffusion could be achieved on 2D transition-metal carbides/nitrides (so called MXenes) [17, 18]. As one of most important components in metal-ion batteries, the cathode plays a determinate role to

Computational Method

Results and Discussion

85 The 1T 2D transition-metal oxides monolayers $(MO₂, M = Mn, Co, and Ni)$ are constructed as reported in literature [36], where the monolayer is a three-atom-thick 87 layer in a sequence of O-M-O and has D_{3d} point-group symmetry (Figures 1a&b). So, its electrochemical properties are studied for comparison. The monolayers are first fully optimized to obtain their lattice parameters. The relaxed geometries show that the lattice constants of considered 2D oxides monolayers are 2.887, 2.819, and 2.823 Å (Table I). We see that our results on lattice constants are larger than those in

92 literature [36], because GGA+U was used in their work.

93 Supercell with $3\times3\times1$ unit cells for oxide monolayer is constructed to find the stable ion-adsorption site (Figure 1). There are three possible positions at one side of the monolayer, including direct top of O atom (TO), top of metal atom (TM), and hexagonal center (HC, opposite top of O atom) (Figure 1). The supercells with one Li/Na ion at all the possible sites are relaxed to find the site of stable adsorption. As an indication of the stable adsorption, the adsorption energy (*Ead*) is calculated as below:

100
$$
E_{ad} = E(ML + i \text{on}) - E(ML) - \mu_{ion}
$$
 (1)

where *E(ML+ion)* and *E(ML)* are the total energies of the monolayer supercell with 102 and without one Li/Na atom, and μ_{ion} is the energy of Li/Na calculated from the bulk. Our calculations show that the adsorption energies are negative at all of three possible sites on each monolayer supercell, indicating that Li/Na-intercalation is exothermic. We notice that the ion adsorption energy on TO are almost equal to that on TM or HC (Figure 2), except Na on NiO2 monolayer supercell (Figure 2c). After carefully examining the relaxed structures, we find that Li/Na atom that is initially on the direct top of O atom shifts to other sites, TM or HC, indicating that TO be unstable to host Li/Na atom. Although Na keeps on the top of O atom after relaxation, the adsorption energy (negative) is larger than those on other sites (TM and HC) (Figure 2c), also showing that TO is not favourite to Li/Na atom. We also notice that hexagonal centre (HC) is much stable to hold Li/Na atom because of the strong

The longer binding distances indicate that these 2D metal oxide monolayers are suitable for metal-ion batteries. Ion diffusion is one of key issues for their applications in batteries, which is responsible to the charging/discharging process. Therefore, it is necessary to calculate ion-diffusion barriers on these monolayers. In our calculations, the ion-diffusion barrier is calculated by moving one Li/Na atom from one stable adsorption site to another via a metastable adsorption site, which shows the lowest energy barrier [13, 15, 44]. Because the most stable and metastable adsorption sites on each monolayer are the hexagonal center (HC) and top of metal atom (TM), 133 respectively, the easiest paths should be $HC - TM - HC$ (red dots $0 - 3 - 6$ in Figure

To investigate the Li/Na-ion storage capacities in these 2D oxides monolayers, we study their electrochemical properties under various intercalation densities. A number of electrochemical properties can be derived directly from the difference in total energies before and after lithium intercalation – a procedure offering improved accuracy through the cancellation of errors. The intercalation energy (*Eint*), as an indication for the stable storage of Li, is calculated from

159
$$
E_{\text{int}} = (E_{\text{tot}}(ML + nIon) - E_{\text{tot}}(ML) - n\mu_{\text{Ion}})/n
$$
 (2)

160 where $E_{tot}(ML+nIon)$ and $E_{tot}(ML)$ are the total energies of the monolayers with and 161 without Li/Na atoms, respectively. *n* is the number of Li/Na atoms or the Li/Na 162 density. When $n = 1$ in equation (2), the intercalation energy is the same as the 163 adsorption energy (equation (1)). The change of ion-intercalation density is realized 164 by increasing the number of Li/Na atoms in the supercells or reducing the sizes of 165 monolayer cells. Cells with $3 \times 3 \times 1$ units, $2 \times 2 \times 1$ units, and $1 \times 1 \times 1$ unit are used to 166 study low, medium, and high ion-intercalation densities, respectively. The $3 \times 3 \times 1$ and 167 $2 \times 2 \times 1$ supercells were constructed based on the lattice parameter of oxide unit cell 168 and kept fixed under Li-intercalation at low and medium densities. The $1\times1\times1$ cells 169 with high Li density were fully relaxed to investigate volume expansion. For 170 convenience, the Li and Na intercalated oxides monolayers are named as $Li_xMO₂$ and Na_xMO₂, respectively, where $x = \frac{n}{\hbar^2}$ 171 Na_xMO₂, respectively, where $x = \frac{h}{the \ number of units in the cell}$. Our calculations show 172 that the Li-intercalation energy on each 2D oxide monolayer is negative, which 173 increases as the increment of Li-density initially and converges to a constant (Figure 5a). The negative energy $(E_{int} < 0)$ corresponds to exothermic chemical intercalation, 175 leading to stable dissociation of Li bulk and separation of Li atoms. The

198 monolayer is lower than that on $NiO₂$, and the Na-intercalation energy on $NiO₂$ 199 monolayer is lower than that on MnO_2 . At highest Na-density ($x = 2$), the E_{int} on CoO₂ 200 is less than that on $NiO₂$ by 0.15 eV, and that on $MnO₂$ by 0.25 eV. Same as the 201 Li-intercalation, the intercalation energy of $Na₂MnO₂$ is lower than that of NaMnO₂, 202 which is contributed to the expanded lattice parameters of $\text{Na}_{x}\text{MnO}_{2}$ (Tables III and 203 IV). If considering intercalation energies only, $CoO₂$ monolayer is the best candidate 204 as electrode materials in metal-ion batteries. To confirm the stability of 205 ion-intercalated oxides monolayers, the specific energies (E_s) are calculated as below:

206
$$
E_s = -(E_{tot}(ML + nIon) - E_{tot}(ML)) / m
$$
 (3)

where *m* is the total number of transitional metal and oxygen atoms in the cell. We 208 find that the specific energy increases with the intercalation density (x) (Figure 6). As the intercalation energy is negative in the whole range of the considered intercalation density, we confirm that the Li/Na density may be as high as 2 on a single monolayer. We find that the lattice expansion under high Na-interaction is stronger than that under Li-interaction (Table IV), which may result in the lower specific energies of Na_xMO_2 at $x=1$.

214

215 For practical applications in metal-ion batteries, cell voltage and specific capacity 216 need to be evaluated. The cell voltage (V) and specific capacity (J) are calculated from $V = \frac{E(lon_x MO_2) - E(MO_2) - x\mu_{Ion}}{N}$ $V = \left| \frac{E(10n_xMO_2) - E(MO_2) - x\mu_{10n}}{x} \right|$, and $J = \frac{Q \times F}{3.6 \times M}$, where $E(10n_xMO_2)$ and $E(MO_2)$ are 218 the total energies of 2D oxide monolayer with and without Li/Na-intercalation, Q is 219 the number charge released, F is Faraday constant, and M is the atomic mass (g/mol). 220 The cell voltage is actually equal to the absolute value of intercalations energy 221 (equation (2)). We see that the cell voltage decreases as the increment of 222 ion-intercalation density (Figure 7). At the highest density, the Li-cell voltages are 223 about 1.18, 1.92 and 2.20 V for MnO_2 , CoO_2 , and NiO_2 , respectively (Figure 7a) and 224 the Na-cell voltages are 0.54, 0.78 and 0.63 V (Figure 7b and Table V). We see that 225 the cell voltages of Li_2NiO_2 and Na_2MnO_2 are higher than those of $LiNiO_2$ and 226 NaMnO₂ due to larger lattice expansion. The specific capacity of MnO₂ (617 mAhg⁻¹) 227 is the highest at the same Li/Na density because of smaller atomic mass (Table I). If 228 considering voltage cell, $CoO₂$ monolayer is better than $MnO₂$ and $NiO₂$ as cathode 229 for Li-ion and Na-ion batteries because its voltage is higher than others in a wide 230 range of ion density (Figure 7). However, $MnO₂$ monolayer is the best choice when 231 considering specific capacity.

233 Beside the electrochemical properties, the volume expansion during ion-intercalation 234 is also a very important issue to practical application. At high ion-intercalation density, 235 the ion-ion interaction may result in ion-migration on these monolayers. To check out 236 the stable adsorption, two possible adsorption sites, HC and TM, are considered in 237 these unit cells $(Li₂MO₂$ and $Na₂MO₂$), which are fully optimized to obtain lattice 238 parameters and find the stable adsorption states. Our calculations show that the

Based on our calculations and analysis on the electrochemical and structural properties of the 2D oxides monolayers under metal-ion intercalations, we see that each 2D oxide monolayer has its own advantages for application in batteries. For example, MnO2 monolayer shows fastest ion-diffusion, highest specific capacity, and 280 smallest expansion in Li-intercalation. CoO₂ monolayer gives highest Li-cell and Na-cell voltages in a wide range of metal-ion density, and smallest lattice expansion

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282 under high Na-intercalation density. Our results are consistent with experimental 283 results on layered oxides [34], where Co_2 has a high cell potential and MnO₂ is more 284 stable than $CoO₂$ and $NiO₂$. According to specific requirements, we can choose the 285 particular oxide monolayer as electrode materials in metal-ion batteries.

286

287 Conclusion

DFT-based first-principles calculations are carried out to investigate the electrochemical and structural properties of 2D transition-metal oxides monolayers and their application Li-ion and Na-ion batteries. We find that metal ions (Li and Na) prefer to occupy the hexagonal centers on 2D oxides monolayers. The diffusion 292 barriers can be as low as 0.15 eV for Li and ~ 0.12 eV for Na on MnO₂ monolayer, 293 indicating fast charging/discharging processes. We show that $Li₂MO₂$ and Na₂MO₂ are 294 stable, leading to high ion-storage capacities. Our calculations also show that $CoO₂$ 295 has the highest cell voltage in a wide range of metal-ion density and $MnO₂$ has the highest specific density. We also find that metal ions may migrate from stable adsorption sites to metastable sites to reduce lattice expansion, leading to reduced cell 298 voltage. We further show that $MnO₂$ has less volume expansion (< 0.35 %) under high Li-intercalation density, indicating stability in the Lithium-ion-intercalation/decalation processes. Our results predict that oxide monolayer can be designed to satisfy the particular requirements for practical applications in metal-ion batteries.

Acknowledgments

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- 382 Table I. Calcualted lattice constant of the unit cells of $CoO₂$, MnO₂ and NiO₂ and their
- 383 thicknesses (O-O distance in vertial direction, *D*), M-O bond length (*DM-O*), and
- 384 specific capacity at highest ino-inercalation density.

385 Table II. Calculated adsorption energy, Li-O and Na-O distances, and diffusion barrier

386 in these oxides $3 \times 3 \times 1$ supercells.

387 Table III. Calculated lattice constant (a), layer thickness (O-O distance in vertical

388 direction, D), and M-O (*DM-O*), Li-O (*DLi-O*) and Na-O (*DNa-O*) distances in systems

389 with highest ion-intercalation density, and the energy difference of systems with

390 Li/Na at two different adsorption sites (HC and TM). HC: hexagonal center; TM: Top

391 of metal.

- 393 Table IV. The lattice constant (a), layer thickness (O-O distance in vertical direction,
- 394 D), M-O distance (D_{M-O}) and intercalation energy of oxide monolayer unit cells with

395 one Li/Na at HC adsorption sites.

- 396 Table V. Calculated cell voltages (V) of these oxides monolayers with metal ions at
- 397 different adsorption sites (HC and TM) in unit cells.

- 399 Figure caption:
- 400 Figure 1, Schematic structure of MO₂ (M= Co, Mn, and Ni): (a) top and (b) side views.
- 401 The yellow circles indicate possible adsorption sites for Li and Na in supercell.
- 402 Figure 2, Calculated adsorption energies for metal ions (Li and Na) at different
- 403 positions on the monolayers: (a) $MnO₂$, (b) $CoO₂$, and (c) $NiO₂$.
- 404 Figure 3, The metal ion diffusion path between two stable adsorption sites via a
- 405 metastable site. The yellow circles and numbers show intermediate steps.
- 406 Figure 4, Calculated (a) Li and (b) Na diffusion barriers on the surfaces of: $MnO₂$,

407 $CoO₂$, and NiO₂.

- 408 Figure 5, Calculated intercalation energies for (a) Li_xMO_2 and (b) Na_xMO_2 (M= Co,
- 409 Mn, and Ni) as a function of ion density (x).
- 410 Figure 6, Calculated specific energies for (a) $Li_xMO₂$ and (b) $Na_xMO₂$ (M= Co, Mn,
- 411 and Ni) as a function of ion density (x) .
- 412 Figure 7, Calculated cell voltage for (a) Li_xMO_2 and b) Na_xMO_2 (M= Co, Mn, and Ni)
- 413 as a function of ion density (x) .

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430 Figure 7