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## Electrophoresis of pH-Regulated Nanoparticles: Impact of Stern Layer

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## Abstract

A multi-ion model taking into account the Stern layer effect and the surface chemistry reactions is developed for the first time to investigate the surface charge properties and electrophoresis of pH-Regulated silica nanoparticles (NPs). The applicability of the model is validated by comparing its prediction to the experimental data of the electrophoretic mobility of silica NPs available from the literature. Results show that if the particle size is fixed, the Stern layer effect on the surface charge properties of the NP is notable at high pH and background salt concentration; however, that effect on the particle mobility is significant when pH is around neutrality and the slat concentration is medium high (ca. 0.07 M) because of the double-layer polarization effect. Moreover, if pH and the background salt concentration are fixed, the Stern layer effect on the zeta potential and electrophoretic mobility of the NP becomes more significant for smaller particle size. Neglecting the Stern layer effect could result in the overestimation of the zeta potential, surface charge density, and electrophoretic mobility of a NP on the order of several times.

**Keywords**: Electrokinetics; Zeta Potential; Charge Regulation; Double-Layer Polarization; Stern Layer Capacitance

### **1. Introduction**

Electrophoresis has been widely utilized in diverse fields such as bioanalytical tools,<sup>1, 2</sup> (bio)particle separation,<sup>3, 4</sup> DNA sequencing,<sup>5, 6</sup> and nanoparticle sensing,<sup>7-10</sup> to name a few. Recent progress in nanotechnology enables the use of charge-regulated nanoparticles (NPs) made of, for example, silica,<sup>11-14</sup> Fe<sub>3</sub>O<sub>4</sub>,<sup>15, 16</sup> and lipids<sup>17</sup> for versatile applications. For example, silica NPs have attracted noteworthy attention of researchers in various fields due to their widespread and potential applications in areas ranging from bioanalytical to biomedical sciences.<sup>11-14</sup> Many researches demonstrated that the stability and the electrophoretic behavior of silica NPs in aqueous solution are highly related to their surface charge properties (e.g., zeta potential and surface charge density),<sup>18-20</sup> which vary with the solution pH and slat concentration. This implies that the charged condition of the surface of silica NPs reveals a charge regulation nature. Therefore, a correct analysis and a fundamental understanding of the surface charge properties of such particles in various solution conditions (e.g., background pH and salt concentration) are crucial for the use of silica NPs in relevant applications.

It is known that a metal oxide surface in contact with an aqueous solution is charged with variations of pH and background salt concentration due to the interfacial chemistry reactions of dissociable functional groups on its wall. Meanwhile, the electrical double layer (EDL), including an immobile Stern layer and a diffusive layer, adjacent to the metal oxide surface is formed. As schematically shown in Fig. 1, the formation of Stern layer by the attraction of compacted counterions on a charged particle surface causes a decrease in the electric potential at the metal-oxide-wall/liquid interface, the so-called surface potential  $\psi_s$ , to a lower level called the zeta potential  $\psi_d$  (or called electrokinetic potential).<sup>21</sup> Recently, some researches have demonstrated that the Stern layer has a significant influence on the electroosmotic flow,<sup>22</sup> streaming current,<sup>23-26</sup> and ionic conductance<sup>27-30</sup> in a nanochannel or

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nanopore. Carrique et al.<sup>31</sup> also studied the Stern layer effect on the electrophoretic motion of the concentrated suspension of colloidal particles without considering the charge regulation nature and the double-layer polarization (DLP) effect stemming from the convective motion of multiple ionic species. They all concluded that the attraction of stagnant counterions within the Stern layer remarkably affects the apparent zeta potential of the nanochannel (or colloid particle). Therefore, the transport phenomena in the nanochannel and the electrophoretic mobility of the suspension of colloidal particle are influenced accordingly. However, to the best of our knowledge, there are still no references to explore the influence of the Stern layer on the electrophoresis of charge-regulated colloidal particles. In previous studies on the surface charge properties<sup>32-34</sup> and the electrophoretic behavior<sup>19, 20, 35-43</sup> of charge-regulated particles, the Stern layer effect was generally neglected, implying that the surface potential at the particle wall/liquid interface and the zeta potential at the Stern layer/diffusive layer interface of charged particles are identical. The assumption of neglecting the Stern layer effect, though makes the analysis much simplifier, could result in not only a wrong estimation of the zeta potential and electrophoretic mobility of particles, but an incorrect description of their behaviors.

In this study, we investigate, for the first time, the surface charge properties and electrophoresis of pH-regulated silica NPs with simultaneous consideration of the Stern layer effect and surface protonation/deprotonation reactions on the particle wall. The model extends the previous analyses, where either the Stern layer effect or the surface chemistry reactions on the particle surface was neglected, <sup>19-21, 31-43</sup> to the more general case much closer to the reality. In addition to the model validation by the existing experimental data of the electrophoretic mobility of silica NPs, the key parameters including the background salt concentration, pH, particle size, and surface capacitance of the Stern layer are examined comprehensively to demonstrate their influences on the zeta potential and electrophoretic

behavior of the silica NP.

#### 2. Theoretical Model

We consider the electrophoresis of a spherical silica NP of radius  $R_p$ , driven by an applied uniform electric field, **E**, of strength *E* in an incompressible, aqueous Newtonian salt solution containing *N* types of ionic species, as shown in Fig. 1. The spherical coordinate system  $(r, \theta, \varphi)$  with the origin at the center of the NP is adopted, and **E** is applied in the *z*-direction (parallel to  $\theta = 0$ ).

#### 2.1 Charge regulation and basic Stern layer model

Due to the interfacial chemistry reactions of plentiful silanol (Si-OH) functional groups, the silica NPs in contact with an aqueous solution bear surface charges. Suppose that the silanol groups undergo the following two interfacial deprotonation and protonation reactions, Si-OH  $\leftrightarrow$  Si-O<sup>-</sup> + H<sup>+</sup> and Si-OH + H<sup>+</sup>  $\leftrightarrow$  Si-OH<sub>2</sub><sup>+</sup>, respectively. Let  $K_A$  and  $K_B$  be the equilibrium constants of the above two reactions, respectively. The surface charge density of the silica NP,  $\sigma_s$ , can be expressed as

$$\sigma_{s} = -\left(\frac{FN_{total} \times 10^{18}}{N_{a}}\right) \left\{ \frac{10^{-pK_{A}} - 10^{-pK_{B}} \left[H^{+}\right]_{s}^{2}}{10^{-pK_{A}} + \left[H^{+}\right]_{s} + 10^{-pK_{B}} \left[H^{+}\right]_{s}^{2}} \right\}.$$
(1)

Here,  $pK_j = -\log K_j$ , j = A and B;  $N_{total}$  (in the unit of sites/nm<sup>2</sup>) is the total number site density of functional groups on the NP surface;  $N_a$  and F are the Avogadro's number and Faraday constant, respectively;  $[H^+]_s$  is the surface concentration of protons on the NP. If the particle-particle interaction is neglected,  $[H^+]_s$  can be described by the Boltzmann distribution, that is,

$$[\mathrm{H}^{+}]_{s} = 10^{-\mathrm{pH}} \exp\left(-\frac{F\psi_{s}}{RT}\right),\tag{2}$$

where R and T are the universal gas constant and absolute temperature, respectively;  $\psi_s$ 

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is the surface potential of the NP.

Equations (1) and (2) imply that  $\sigma_s$  is dominated by  $\psi_s$  (not the zeta potential of the NP,  $\psi_d$ ), but in previous literatures for charge-regulated particles,<sup>19, 20, 32-48</sup>  $\psi_s$  is all assumed to be the same as  $\psi_d$  for simplicity. Note that only  $\psi_d$  (not  $\psi_s$ ) can be determined directly from experimental measurements. This is because when a charged particle is immersed in an aqueous salt concentration, the EDL, including a Stern layer and a diffusive layer, forms immediately, and the attraction of compacted counterions in the Stern layer results in a significant decrease in  $\psi_s$  to a lower level called  $\psi_d$ , as shown in Fig. 1. Suppose that counterions inside the Stern layer are immobile.<sup>49</sup> The electric potential ( $\phi$ ) within that layer can be described by

$$\frac{1}{r^2}\frac{d}{dr}\left(r^2\frac{d\phi}{dr}\right) = 0 \quad (R_p \le r \le R_p + h_s), \tag{3}$$

where  $h_s$  is the thickness of the Stern layer, which is very thin (i.e. 1-3 times ionic size) compared to the NP radius and can be neglected  $(h_s \rightarrow 0)$ . Solving eqn (3) subject to the boundary conditions, (i)  $\phi = \psi_s$  at  $r = R_p$  and (ii)  $\phi = \psi_d$  at  $r = R_p + h_s$ , yields

$$\phi = \frac{\left(\frac{1}{R_p} - \frac{1}{r}\right)}{\left(\frac{1}{R_p} - \frac{1}{R_p + h_s}\right)} (\psi_d - \psi_s) + \psi_s.$$
(4)

Consequently, the relationship between  $\psi_s$  and  $\psi_d$  can be described by the following basic Stern layer model,

$$\sigma_{s} = -\varepsilon_{f} \mathbf{n} \cdot \nabla \phi \Big|_{r=R_{p}} = -\varepsilon_{f} \left. \frac{d\phi}{dr} \right|_{r=R_{p}} = \varepsilon_{f} \left( \frac{1}{h_{s}} + \frac{1}{R_{p}} \right) (\psi_{s} - \psi_{d}) = C_{s} \left( \psi_{s} - \psi_{d} \right), \tag{5}$$

where  $\varepsilon_f$  is the permittivity of the liquid phase, **n** is the unit normal vector directed into the

fluid phase, and  $C_s = \varepsilon_f \left(\frac{1}{h_s} + \frac{1}{R_p}\right) \approx \varepsilon_f / h_s$  is the surface capacitance of the Stern layer on

the NP.<sup>50</sup>

## 2.2 Governing equations and boundary conditions for electrophoretic motion of NP

Suppose that the strength of **E** is much weaker than that of equilibrium electric field established by the charged silica NP.<sup>51</sup> Similar to the treatments of O'Brien and White,<sup>52</sup> and Ohshima,<sup>53</sup> the present problem can be solved by the perturbation approach, where a dependent variable can be decomposed into an equilibrium term and a perturbed term, denoted by a subscript *e* and a prefix  $\delta$ , respectively,<sup>51</sup> representing the value of that variable in the absence and presence of external electric field **E**, respectively. For example, the electric potential ( $\psi$ ) within the liquid phase ( $r \ge R_p + h_s$ ) can be expressed as  $\psi = \psi_e + \delta \psi$ , where  $\psi_e$  and  $\delta \psi$  are the equilibrium potential and the perturbed potential, respectively. Moreover, we assume that the dispersion is so dilute that the presence of particle-particle interaction is neglected, the system is at a quasi-steady state, and the flow is in the creeping regime. Taking into account the double layer polarization (DLP) effect arising from the convective motion of ionic species around the EDL of a charged NP, it can be shown that the governing equations for the electric, ionic concentration, and flow fields within the liquid phase can be summarized, in scaled forms, as<sup>19, 42</sup>

$$\nabla^{*2} \psi_{e}^{*} = -\frac{\left(\kappa R_{p}\right)^{2}}{\sum_{i=1}^{N} \frac{z_{i}^{2} c_{i0}}{z_{1}^{2} c_{10}}} \sum_{i=1}^{N} \frac{z_{i} c_{i0}}{z_{1} c_{10}} \exp\left(-\frac{z_{i} \psi_{e}^{*}}{z_{1}}\right), \tag{6}$$

$$\nabla^{*2} \delta \psi^{*} = \frac{\left(\kappa R_{p}\right)^{2}}{\sum_{i=1}^{N} \frac{z_{i}^{2} c_{i0}}{z_{1}^{2} c_{10}}} \sum_{i=1}^{N} \frac{z_{i}^{2} c_{i0}}{z_{1}^{2} c_{10}} \left(\delta \psi^{*} + g_{i}^{*}\right) \exp\left(-\frac{z_{i} \psi_{e}^{*}}{z_{1}}\right), \tag{7}$$

$$\nabla^{*^{2}} g_{i}^{*} - \frac{z_{i}}{z_{1}} \nabla^{*} \psi_{e}^{*} \cdot \nabla^{*} g_{i}^{*} - P e_{i} \left( \mathbf{u}^{*} \cdot \nabla^{*} \psi_{e}^{*} \right) = 0, \quad i = 1, 2, \dots N$$
(8)

$$c_{i}^{*} = \exp\left(-\frac{z_{i}\psi_{e}^{*}}{z_{1}}\right)\left[1 - \frac{z_{i}}{z_{1}}\left(\delta\psi^{*} + g_{i}^{*}\right)\right], \quad i = 1, 2, \dots N$$
(9)

$$\nabla^{*^2} \mathbf{u}^* - \nabla^* \delta p^* + \nabla^{*^2} \psi_e^* \nabla^* \delta \psi^* + \nabla^{*^2} \delta \psi^* \nabla^* \psi_e^* = \mathbf{0}, \qquad (10)$$

$$\nabla^* \cdot \mathbf{u}^* = 0. \tag{11}$$

In the above,  $\nabla^* = R_p \nabla$ ,  $\nabla^{*^2} = R_p^2 \nabla^2$ ,  $\psi_e^* = \psi_e / \psi_{ref}$ ,  $\delta \psi^* = \delta \psi / \psi_{ref}$ ,  $g_i^* = g_i / \psi_{ref}$ ,  $c_i^* = c_i / c_{i0}$ ,  $\mathbf{u}^* = \mathbf{u} / U_{ref}$ , and  $\delta p^* = \delta p / p_{ref}$  with  $\psi_{ref} = RT / Fz_1$ ,  $U_{ref} = \varepsilon_f (\psi_{ref})^2 / \eta R_p$ , and  $p_{ref} = \varepsilon_f (\psi_{ref} / R_p)^2$  being the reference thermal potential, velocity, and pressure, respectively.  $\kappa = \left[\sum_{i=1}^N c_{i0} (Fz_i)^2 / \varepsilon_f RT\right]^{1/2}$  is the reciprocal of Debye length;  $z_i$ ,  $c_i$ ,  $D_i$ , and  $Pe_i = \varepsilon_f (\psi_{ref})^2 / \eta D_i$  are the valence, concentration, diffusivity, and electric Peclet number of ionic species *i*, respectively;  $\eta$  is the dynamic viscosity of the liquid phase;  $g_i$ is a hypothetical perturbed potential describing a polarized EDL;  $\mathbf{u}$  is the fluid velocity relative to the particle;  $\delta p$  is the external pressure;  $c_{i0}$  is the bulk concentration of ionic species *i* far away from the charged particle. Note that  $c_i$  and  $c_{i0}$  are all in the SI unit of mM in the present study.

The following boundary conditions are specified for eqn (7)-(11): (i) At a point far away from the particle, the electric, ionic concentration, and flow fields are not influenced by its presence, implying that  $\mathbf{n} \cdot \nabla^* \psi_e^* = 0$ ,  $\mathbf{n} \cdot \nabla^* \delta \psi^* = -E^* \cos \theta$ ,  $g_i^* = -\delta \psi^*$ , and  $\mathbf{u}^* = -U_p^* \mathbf{e}_z$ ,<sup>54</sup> where  $E^* = E / E_{ref}$ ,  $U_p^* = U_p / U_{ref}$ ,  $E_{ref} = \psi_{ref} / R_p$ ,  $\mathbf{e}_z$  is the unit vector in the *z* direction, and  $U_p$  is the electrophoretic velocity of the silica NP. (ii) Because the Stern layer is very thin and ions and fluid inside that layer are stationary, the no-slip, nonconductive, and ion-impenetrable boundary conditions are applied at the Stern layer/liquid interface  $(r = R_p + h_s)$ , yielding  $\mathbf{u}^* = 0$ ,  $\mathbf{n} \cdot \nabla^* \delta \psi^* = 0$ , and  $\mathbf{n} \cdot \nabla g_i^* = 0$ , respectively. (iii) Because the

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equilibrium electric potential and field are continuous at the Stern layer/liquid interface  $(r = R_p + h_s)$ ,<sup>55</sup>  $\psi_e = \phi = \psi_d$  and  $\mathbf{n} \cdot \nabla \psi_e = \mathbf{n} \cdot \nabla \phi$ . If  $h_s << R_p$ , substituting eqn (4) into  $\mathbf{n} \cdot \nabla \psi_e = \mathbf{n} \cdot \nabla \phi$  at  $r = R_p + h_s$  yields  $\mathbf{n} \cdot \nabla \psi_e = \mathbf{n} \cdot \nabla \phi \approx -\frac{C_s(\psi_s - \psi_d)}{\varepsilon_s}$ . (12)

Combining eqn (1), (5), and (12), we obtain the following boundary condition for  $\psi_e^*$  at

$$r = R_{p} + h_{s},$$

$$\mathbf{n} \cdot \nabla^{*} \psi_{e}^{*} = -\frac{\sigma_{s}}{\varepsilon_{f} \left(\psi_{ref} / R_{p}\right)}$$

$$= \left(\frac{FN_{total}R_{p} \times 10^{18}}{\varepsilon_{f}N_{a}\psi_{ref}}\right) \left\{\frac{10^{-pK_{A}} - 10^{-pK_{B}} \left[10^{-pH} \exp\left(-\frac{F\psi_{s}}{RT}\right)\right]^{2}}{10^{-pK_{A}} + \left[10^{-pH} \exp\left(-\frac{F\psi_{s}}{RT}\right)\right] + 10^{-pK_{B}} \left[10^{-pH} \exp\left(-\frac{F\psi_{s}}{RT}\right)\right]^{2}}\right\}, \quad (13)$$

where  $\psi_s$ , based on eqn (12), can be replaced by

$$\psi_s = \left(\psi_e - \frac{\varepsilon_f \mathbf{n} \cdot \nabla \psi_e}{C_s}\right)\Big|_{r=R_p+h_s}.$$
(14)

#### 2.3 Electrophoretic mobility of the silica NP

The forces acting on the silica NP include an electrical driving force,  $F_e$ , and a hydrodynamic drag force,  $F_h$ . Assuming that the considered system is in a quasi-steady state, the forces acting on the particle in the *z* direction should vanish, that is,  $F_{ez} + F_{hz} = 0$ . In this case,  $F_{ez}$  and  $F_{hz}$  can be evaluated, respectively, by the surface integration of the Maxwell stress tensor,  $\sigma^{\mathbf{E}}$ , and the hydrodynamic stress tensor,  $\sigma^{\mathbf{H}}$ , over the Stern layer/liquid interface of the particle, *S*. It can be shown that<sup>51</sup>

$$F_{ez} = \iint_{S} \left( \frac{\partial \psi_{e}}{\partial n} \frac{\partial \delta \psi}{\partial z} - \frac{\partial \psi_{e}}{\partial t} \frac{\partial \delta \psi}{\partial t} n_{z} \right) dS, \qquad (15)$$

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$$F_{hz} = \iint_{S} \left( \boldsymbol{\sigma}^{\mathbf{H}} \cdot \mathbf{n} \right) \cdot \mathbf{e}_{z} dS , \qquad (16)$$

where  $n_z$  is the z component of **n**;  $\partial/\partial n$  and  $\partial/\partial t$  are the rate of variation in the direction of **n** and that of the unit tangential vector **t**, respectively.

To obtain the electrophoretic mobility of the silica NP,  $\mu = U_p / E$ , a trial-and-error solution procedure needs be applied as the follows. (i) For given conditions along with a given E, an initial value of  $U_p$ , which can be estimated by the approach proposed by Hsu and Tai<sup>19</sup> without considering the Stern layer effect, is assumed, and then solve eqn (6)-(11) subject to the boundary conditions assumed. (ii) Calculate  $F_{ez}$  and  $F_{hz}$  by eqn (15) and (16), respectively. (iii) Check if  $|F_{ez} + F_{hz}| < 10^{-6}$ . If it is satisfied, the assumed  $U_p$  is correct; otherwise,  $U_p$  is adjusted based on the Newton-Raphson method. (iv) The scaled electrophoretic mobility of the silica NP,  $\mu^*$ , can be obtained by  $\mu^* = \mu / (U_{ref} / E_{ref})$ .

## 3. Results and Discussion

The present problem is numerically solved by the commercial finite element software, FlexPDE (version 4.24), which was found to be adequately efficient and accurate for solving similar electrokinetic problems without considering the Stern layer effect.<sup>19, 20, 36-42</sup>

## 3.1 Verification by experimental data

To validate our model with the Stern layer effect, it is first used to fit the experimental data of Sonnefeld et al.,<sup>56</sup> where the electrophoretic mobility of silica NPs of 20 nm in radius as a function of pH in 0.001 M aqueous NaCl solution was performed. To simulate the experimental condition, we assume that there are four major ionic species (N = 4), H<sup>+</sup>, OH<sup>-</sup>, Na<sup>+</sup>, and Cl<sup>-</sup>, in solution. Let  $c_{i0}$  (i = 1, 2, 3, and 4) be the bulk concentration of these ions, respectively, and  $C_{NaCl}$  (in the unit of M) be the molar concentration of background salt. It can be shown that electroneutrality results in  $c_{10} = 10^{-pH+3}$ ,

 $c_{20} = 10^{-(14-pH)+3}$ ,  $c_{30} = 10^3 \times C_{NaCl}$ , and  $c_{40} = 10^3 \times (C_{NaCl} + 10^{-pH} - 10^{-(14-pH)})$  when pH  $\leq 7$ ; otherwise,  $c_{30} = 10^3 \times (C_{NaCl} - 10^{-pH} + 10^{-(14-pH)})$  and  $c_{40} = 10^3 \times C_{NaCl}$  when pH > 7.<sup>57, 58</sup> Fig. 2 shows that the prediction from our model (curve) at  $N_{total} = 7$  sites/nm<sup>2</sup>, p $K_A = 6.8$ ,  $pK_B = 2$ , and  $C_s = 2.5$  F/m<sup>2</sup> coincides very well with the experimental data (diamonds) in the entire pH region considered. In addition, the fitted parameters are in accordance with the reported values of silica in the literatures (e.g.,  $N_{total} = 3.8 \sim 8$  sites/nm<sup>2</sup>,  $pK_A = 6 \sim 8$ ,  $pK_B = 0 \sim 3$ , and  $C_s = 0.15 \sim 2.9$  F/m<sup>2</sup>).<sup>27, 29, 59</sup> It is thus confirmed that the present model is capable of capturing the underlying physics of the electrophoresis of silica NPs in the wide range of the solution pH.

In subsequent sections, the verified model along with the above fitted parameters of  $C_s$ ,  $N_{total}$ ,  $pK_A$ , and  $pK_B$  is then used to further investigate the effect of the Stern layer on the surface charge properties and the electrophoretic motion of a silica NP as functions of pH and background salt concentration of NaCl. Unless otherwise specified, the radius of a silica NP is fixed at  $R_p = 15$  nm.

## 3.2 Stern layer effect on surface charge properties of a silica NP

Fig. 3 and 4 depict the Stern layer effect on the variations of the zeta potential,  $\psi_d$ , and surface charge density,  $\sigma_s$ , with pH and the background salt concentration  $C_{\text{NaCl}}$ ; both the calculated results from the models with (curves) and without (spheres) considering the Stern layer effect are presented. In these two figures, the larger the value of the surface capacitance of the Stern layer  $C_s$  represents the less significant the Stern layer effect, implying that the Stern layer effect can be neglected as  $C_s \rightarrow \infty$  (solid curve) and, therefore,  $\psi_d = \psi_s$ , which is the typical assumption made in previous electrokinetic studies ignoring the Stern layer effect.<sup>19, 20, 32-48</sup> As shown in Fig. 3 and 4, the results obtained from the present model at

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 $C_s \rightarrow \infty$  (solid curve) match consistently with those from the previous model without considering the Stern layer effect (spheres), proving the soundness of the present model.

It is worth noting in Fig. 3 and 4 that the Stern layer effect on the surface charge properties ( $\psi_d$  and  $\sigma_s$ ) of a silica NP is significant when the background pH and salt concentration  $C_{\rm NaCl}$  are high. That is, the larger the values of pH and  $C_{\rm NaCl}$ , the more remarkable deviation of  $\psi_d$  and  $\sigma_s$  for  $C_s$  decreasing from an infinite value to 0.15. The more significant Stern layer effect on  $\psi_d$  and  $\sigma_s$  for higher pH arises from the fact that the surface charges of a silica NP increases as pH increases due to a greater number of the negatively charged functional groups (SiO<sup>-</sup>) dissociated from silanol groups, attracting more counterions near the particle surface. This results in a more significant difference between  $\psi_d$  and  $\psi_s$ , and therefore the Stern layer effect. Similarly, an increase in  $C_{\text{NaCl}}$  results in a decrease in the EDL thickness, leading to more counterions confined on the NP surface and also more remarkable Stern layer effect. Fig. 3 and 4 suggest that neglecting the Stern layer effect could result in an overestimation of  $\psi_d$  ( $\sigma_s$ ) between  $C_s = 0.15 \text{ F/m}^2$  and  $C_s \rightarrow \infty$ ,  $\left|\psi_{d}\left(C_{s}=0.15 \text{ F/m}^{2}\right)-\psi_{d}\left(C_{s}\rightarrow\infty\right)\right|/\psi_{d}\left(C_{s}=0.15 \text{ F/m}^{2}\right)\times100\%$ by calculated  $\left(\left|\sigma_{s}\left(C_{s}=0.15 \text{ F/m}^{2}\right)-\sigma_{s}\left(C_{s}\rightarrow\infty\right)\right|/\sigma_{s}\left(C_{s}=0.15 \text{ F/m}^{2}\right)\times100\%\right)$ , are up as high as 454 % (702 %) at pH=8 and  $C_{\text{NaCl}} = 0.1 \text{ M}$ . This implies that neglect of the Stern layer effect, which was assumed in previous studies, <sup>19, 20, 32-48</sup> might cause an apparently wrong estimation of the surface charge properties of a silica NP.

#### 3.3 Stern layer effect on electrophoretic motion of a silica NP

Fig. 5 and 6 depict the Stern layer effect on the scaled electrophoretic mobility,  $\mu^*$ , of a silica NP as a function of pH and the background salt concentration  $C_{\text{NaCl}}$ . These two figures reveal that the dependence of the electrophoretic behavior of a silica NP on pH and  $C_{\text{NaCl}}$ .

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depends significantly on the value of the surface capacitance of the Stern layer  $C_s$ . For example, if the Stern layer effect is neglected (e.g.,  $C_s \rightarrow \infty$ ) and extremely significant (e.g.,  $C_s = 0.15 \text{ F/m}^2$ ),  $|\mu^*|$  increases monotonically with increasing pH; however, if the Stern layer effect is moderate (e.g.,  $C_s = 0.6$  and 2.4 F/m<sup>2</sup>),  $|\mu^*|$  first increases with an increase in pH and then shows a local maximum as pH further increases to a sufficiently large value, as shown in Fig. 5. Note that the magnitude of the zeta potential ( $\psi_d$ ) of a silica NP increases with an increase in pH and  $C_s$ , as shown in Fig. 3a, resulting in an increase in its electrical driving force and electrophoretic mobility. However, an apparent increase in  $|\psi_d|$ meanwhile leads to a more significant DLP effect,<sup>60, 61</sup> which establishes a polarized local electric field in the opposite direction of the applied one and, therefore, lowers the particle mobility. Consequently, if  $\mu^*$  is dominated by the effect of increasing  $|\psi_d|$  with increasing pH,  $|\mu^*|$  increases accordingly; however, if  $\mu^*$  is dominated by the effect of DLP,  $|\mu^*|$ decreases with an increase in pH at sufficiently high pH. Note that if pH is sufficiently high and deviates appreciably from 7, the ionic strength of solution becomes higher. This yields a thinner EDL and also reduces the particle mobility.

Fig. 6 reveals that if the Stern layer effect is very significant (e.g.,  $C_s = 0.15 \text{ F/m}^2$ ),  $|\mu^*|$  decreases with increasing  $C_{\text{NaCl}}$ , which is expected because the electrical driving force decreases as  $C_{\text{NaCl}}$  increases. On the other hand, if the Stern layer effect becomes relatively insignificant,  $|\mu^*|$  shows both a local minimum and a local maximum as  $C_{\text{NaCl}}$  increases. This can be attributed to the significant DLP effect, which is remarkable when the EDL thickness becomes comparable to the particle size, typically occurring at  $1 \le \kappa R_p \le 4$ ,<sup>61</sup> and the zeta potential of a particle is extremely high at sufficiently large  $C_s$ . The significant DLP

effect causes an appreciable decrease in the electrical driving force and, therefore, a remarkable local minimum of the particle mobility at medium  $C_{\text{NaCl}}$ , as show in Fig. 6.

It is worth noting in Fig. 5 and 6 that the Stern layer effect on the electrophoretic mobility of a silica NP is significant when pH is around neutrality and  $C_{NaCl}$  is medium high, which is apparently inconsistent with the results of its surface charge properties shown in Fig. 3 and 4, in which the Stern layer effect on  $\psi_d$  and  $\sigma_s$  is significant with increasing pH and  $C_{
m NaCl}$  . For example, the maximum relative difference of  $\mu^*$  between the results at  $C_{s} \to \infty$  ,  $C_{\rm s} = 0.15 \ {\rm F/m^2}$ and calculated by  $|\mu^*(\text{dash-dotted curve}) - \mu^*(\text{solid curve})| / \mu^*(\text{dash-dotted curve}) \times 100\%$ , is ca. 40.8 % at pH 6 for a fixed  $C_{\text{NaCl}} = 0.001 \text{ M}$  (Fig. 5) and 191 % at  $C_{\text{NaCl}} = 0.07 \text{ M}$  for a fixed pH 8 (Fig. 6). This can be attributed to the aforementioned DLP effect, which reduces the particle mobility and becomes significant when the surface charge of a silica NP is large (high pH) and the NP's size is comparable to the EDL thickness (moderate  $\kappa R_p$ ). Consequently, the relative deviation of  $\mu^*$  between the results with and without considering the Stern layer effect no longer gets remarkable with continuously increasing pH and  $C_{\text{NaCl}}$ .

## 3.4 Influence of particle size

Fig. 7 depicts the influences of the particle size,  $D_p = 2R_p$ , and the surface capacitance of the Stern layer  $C_s$  on the surface potential  $\psi_s$ , zeta potential  $\psi_d$ , and scaled electrophoretic mobility  $\mu^*$  of a silica NP. As shown in Fig. 7a, the magnitude of  $\psi_d$ (dashed curves) increases, while that of  $\psi_s$  (solid curves) decreases with increasing  $C_s$ . If  $C_s$  is sufficiently large, the value of  $\psi_d$  is close to that of  $\psi_s$ . These behaviors are expected since the larger the value of  $C_s$ , the less significant the Stern layer effect and,

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therefore, the smaller the difference between  $\psi_d$  and  $\psi_s$ . Fig. 7a also indicates that the magnitude of  $\psi_d$  and  $\psi_s$  increases with an increase in  $D_p$ . This can be attributed to an increase in the surface charges of a silica NP with increasing  $D_p$  due to an increase in its surface area.

Fig. 7b shows that if the particle size is sufficiently small (e.g.,  $D_p = 10 \text{ nm}$ ),  $|\mu^*|$ decreases monotonically with increasing  $C_s$ . Note that in this case,  $\kappa R_p = 0.52$  under the considered solution property, implying that the DLP effect is relatively insignificant. Therefore, the behavior of  $\mu^*$  is dominated primarily by the increase of  $|\psi_d|$  with increasing  $C_s$ . On the other hand, if the particle size is large (e.g.,  $D_p = 30$  and 60 nm),  $|\mu^*|$  first decreases with increasing  $C_s$  when  $C_s$  is small and then goes through a local minimum when  $C_s$  is sufficiently large. This can be explained by the fact that the DLP effect on these two sizes of silica NP becomes extremely significant ( $\kappa R_p = 1.55$  and 3.09 for  $D_p = 30$  and 60 nm, respectively) when  $C_s$  is sufficiently large due to their apparently large zeta potential shown in Fig. 7a. Note that under the considered solution properties, Fig. 7 also indicates that the smaller the particle size the more apparent relative deviation of  $\psi_d$ and  $\mu^*$  between the cases for  $C_s = 0.1$  and 30 F/m<sup>2</sup>, implying that the Stern layer effect on the zeta potential and electrophoretic mobility of a silica NP becomes more significant for smaller particle size.

#### 4. Conclusions

The Stern layer effect on the surface charge properties and electrophoresis of silica nanoparticles (NPs) is investigated for the first time with simultaneous consideration of interfacial chemical reactions on the particle wall and multiple ionic species. The model developed is validated by the existing experimental data of the electrophoretic mobility of

silica NPs. Results show that if the particle size is fixed, the Stern layer effect on the zeta potential and surface charge density of the silica NP is more significant for higher pH and background salt concentration, while that effect on its mobility is remarkable when pH is around neutrality and the salt concentration is medium high. If the solution properties are fixed, the Stern layer effect on both the zeta potential and electrophoretic mobility of the silica NP is more profound for relatively small particle size. In addition to its quantitative value, the qualitative behavior of the particle mobility as functions of pH and background salt concentration depends apparently on how significant the Stern layer effect is. Neglecting the Stern layer effect could cause not only the overestimation of the zeta potential, surface charge density, and electrophoretic mobility of the silica NP on the order of several times but also an incorrect estimation of the relevant electrophoretic behaviors under certain conditions.

## Acknowledgements

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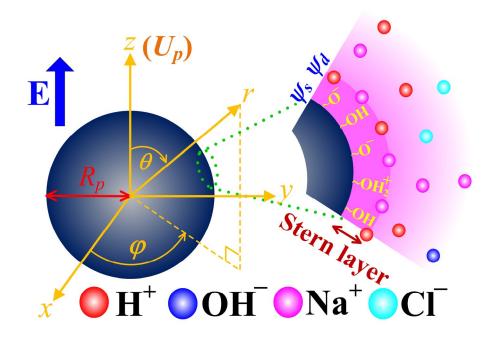
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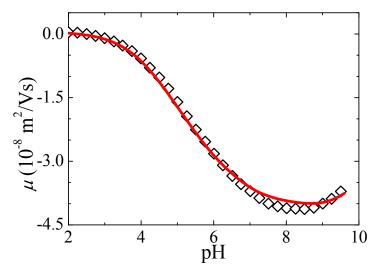
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**Fig. 1.** Schematic of the system under consideration where a charge-regulated silica NP of radius  $R_p$  immersed in a general salt solution containing multiple ionic species, H<sup>+</sup>, OH<sup>-</sup>, Na<sup>+</sup>, and Cl<sup>-</sup>, is electrophoretically driven by a uniformly applied electric field **E** in the z-direction (not to scale).  $\psi_s$  and  $\psi_d$  are the surface and zeta potentials of the charged silica NP, respectively.

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**Fig. 2.** Dependence of the electrophoretic mobility  $\mu$  on the solution pH for the case of silica nanoparticles of radius 20 nm in 0.001 M NaCl solution. Diamonds: experimental data of Sonnefeld et al.<sup>56</sup>; curve: present results at  $N_{total} = 7$  sites/nm<sup>2</sup>,  $pK_A = 6.8$ ,  $pK_B = 2$ , and  $C_s = 2.5$  F/m<sup>2</sup>.

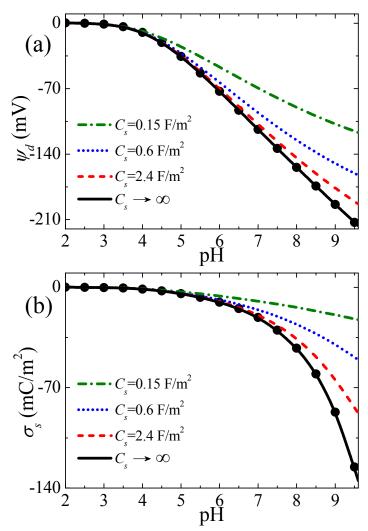


Fig. 3. Zeta potential  $\psi_d$ , (a), and surface charge density  $\sigma_s$ , (b), as a function of pH for various surface capacitance of the Stern layer  $C_s$  at the background salt concentration  $C_{\text{NaCl}} = 0.001 \text{ M}$ . Lines and spheres denote the numerical results with and without considering the Stern layer effect, respectively.

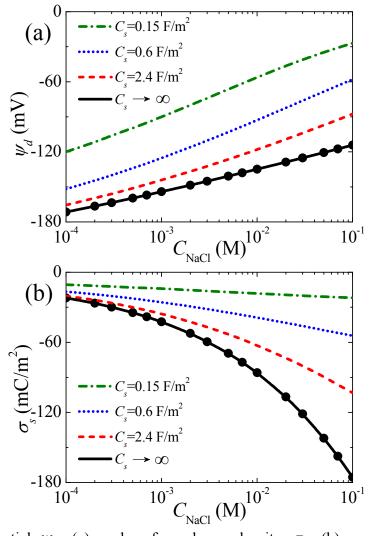
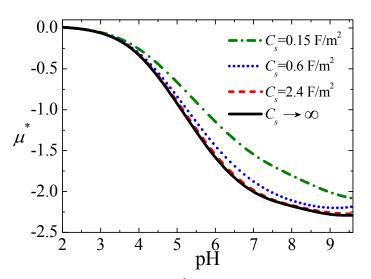
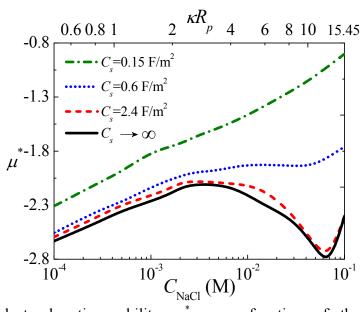


Fig. 4. Zeta potential  $\psi_d$ , (a), and surface charge density  $\sigma_s$ , (b), as a function of the background salt concentration  $C_{\text{NaCl}}$  for various surface capacitance of the Stern layer  $C_s$  at pH 8. Lines and spheres denote the numerical results with and without considering the Stern layer effect, respectively.



**Fig. 5.** Scaled electrophoretic mobility  $\mu^*$  as a function of pH for various surface capacitance of the Stern layer  $C_s$  for the case of Fig. 3.



**Fig. 6.** Scaled electrophoretic mobility  $\mu^*$  as a function of the background salt concentration  $C_{\text{NaCl}}$  (and the corresponding  $\kappa R_p$ ) for various surface capacitance of the Stern layer  $C_s$  for the case of Fig. 4.

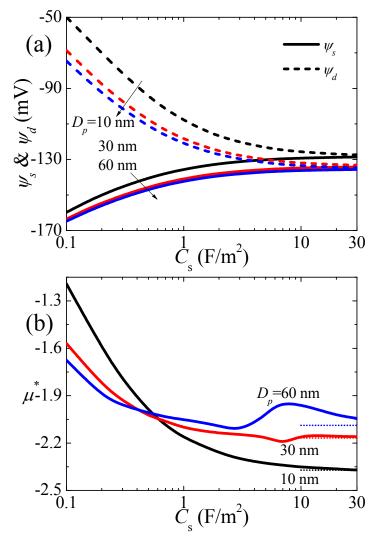
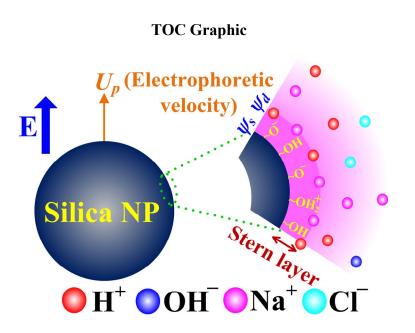


Fig. 7. Surface potential  $\psi_s$  (solid lines), zeta potential  $\psi_d$  (dashed lines), (a), and scaled electrophoretic mobility  $\mu^*$ , (b), of a silica NP with various diameters  $D_p$  as a function of the surface capacitance of the Stern layer,  $C_s$ , at  $C_{\text{NaCl}} = 0.001$  M and pH 7.5. Dotted lines in (b) denote the results without considering the Stern layer effect.



The Stern layer effect on the surface charge property and electrophoretic motion of

pH-regulated silica nanoparticles is investigated theoretically.