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Demystifying fluorine chemical shifts: Electronic structure calculations address origins of seemingly anomalous $^{19}$F-NMR spectra of fluorohistidine isomers and analogues

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Fluorine NMR spectroscopy is a powerful tool for studying biomolecular structure, dynamics, and ligand binding, yet the origins of $^{19}$F chemical shifts are not well understood. Herein, we use electronic structure calculations to describe the changes in $^{19}$F chemical shifts of 2F- and 4F-histidine/(5-methyl)-imidazole upon acid titration. While the protonation of the 2F species results in a deshielded chemical shift, protonation of the 4F results in an opposite, shielded chemical shift. The deshielding of 2F-histidine/(5-methyl)-imidazole upon protonation can be rationalized by concomitant decreases in charge density on fluorine and a reduced dipole moment. These correlations do not hold for 4F-histidine/(5-methyl)-imidazole, however. Molecular orbital calculations reveal that for the 4F species, there are no lone pair electrons on the fluorine until protonation. Analysis of a series of 4F-imidazole analogues, all with delocalized fluorine electron density, indicates that the deshielding of $^{19}$F chemical shifts through substituent effects correlates with increased C-F bond polarity.

In summary, the delocalization of fluorine electrons in the neutral 4F species, with gain of a lone pair upon protonation may help explain the difficulty in developing a predictive framework for fluorine chemical shifts. Ideas debated by chemists over 40 years ago, regarding fluorine's complex electronic effects, are shown to have relevance for understanding and predicting fluorine NMR spectra.

Introduction

While we have a robust framework for understanding and predicting NMR spectra for $^1$H and $^{13}$C nuclei, the origins of $^{19}$F chemical shifts are not as well understood. Fluorine nuclei are known to be sensitive probes of local environment, $^{1-6}$ and biosynthetic incorporation of fluoro-labeled amino acids into proteins allows the use of $^{19}$F-NMR for studies of protein structure, dynamics, and ligand binding. $^{7,23}$ Despite the sensitivity of $^{19}$F-NMR and the breadth of literature on proteins labelled with $^{19}$F-amino acids, there is still no unifying theoretical basis for predicting fluorine chemical shifts in proteins. When scientists wish to determine which fluorine chemical shift in a protein comes from a certain residue, it is necessary to make mutants at every fluorinated site, one-by-one eliminating fluorine NMR signals. $^{16,17,23,24}$ Such work is extremely time-intensive. This report is a step toward developing a quantitative predictive framework for $^{19}$F chemical shifts, which will enable greater chemical insight. Such an advance would be a breakthrough, streamlining experiments and realizing the full potential of $^{19}$F NMR spectroscopy.

Experimental work over four decades ago used substituent effects to build an understanding of fluorine electronic structure through $^{19}$F NMR spectroscopy. Studies of the fluorine chemical shifts of substituted aromatic systems led scientists to postulate fluorine hyperconjugation, $^{27}$ and donation of fluorine p electrons to the pi aromatic system ($p-\pi$ donation). $^{28}$ Later experiments extended to substituted aliphatic systems, investigating polar and resonance effects on aliphatic fluorine chemical shifts. $^4$ This present work examines effects of fluorine electron delocalization on chemical shifts, through analysis of molecular orbitals calculated with DFT.

Previous theoretical efforts have also advanced our understanding of fluorine chemical shifts. This includes the assignment of fluorine signals from 5-fluorotryptophan residues in the solid-state NMR spectrum of the membrane-bound ion channel peptide gramicidin A by Sternberg et al., $^{29}$ using $ab\ initial$ calculations and semi-empirical bond polarization parameters for chemical shift calculations. Coupling calculated $^{19}$F chemical shifts with Molecular Dynamics (MD) simulations, the authors were able to describe multiple conformations of tryptophan side chains in gramicidin A. Analysis by Lau and Gerig of the fluorine chemical shifts of dihydrofolate reductase (DHFR) labelled with 6-fluorotryptophan suggested that differences in fluorine chemical shifts are a sum of the following factors: hydrogen bonding, short-range interactions, electric fields and local effects.

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*Electronic Supplementary Information (ESI) available: Calculated fluorine chemical shifts with multiple methods; molecular orbitals of fluoroimidazoles; input files for electronic structure calculations. See DOI: 10.1039/x0xx00000x
magnetic anisotropies. Additionally, Dalvit and Vulpetti classified different fluorine-containing functional groups based on fluorine electron density and their interactions with proteins. Their experimental and theoretical results showed that the most-shielded fluorine atoms are most likely to form interactions with hydrogen bond donors of a protein. On the other hand, more deshielded fluorine atoms interact with hydrophobic side chains and carbonyl carbons. These efforts in the past decade are significant steps toward understanding $^{19}$F-NMR spectra. Still, a framework for the a priori assignment and prediction of fluorine chemical shifts remains to be developed.

Towards providing a better theoretical basis for predicting $^{19}$F-chemical shifts, we have sought to explain the physical origins for a long-standing mystery regarding the $^{19}$F chemical shifts of fluorohistidine isomers upon acid titration. Yeh et al. studied $^{19}$F and $^1$H NMR spectra for 2-fluoro- and 4-fluorohistidine, -imidazole, and -(3-methyl)-imidazole in aqueous, basic, and acidic solutions. As expected, Yeh et al. found that the $^1$H chemical shifts for all fluoroisomers are deshielded upon protonation of the imidazole ring. Likewise, 2-fluorohistidine and 2F-imidazoles exhibited a downfield (higher frequency) shift in the $^{19}$F signal upon acid titration. However, 4F-histidine and 4F-imidazoles exhibit an upfield (lower frequency) shift in the $^{19}$F signal at low pH.

We have identified electronic structure methods that can replicate the experimental $^{19}$F chemical shifts of 2- and 4-fluoro-histidine and -(3-methyl)-imidazole upon acid titration. Analysis provides a plausible explanation for the anomalous chemical shift changes observed. This system is a key place to start work on a rational framework for $^{19}$F chemical shifts. Since the NMR spectra of 2 & 4 fluorohistidines are nearly identical to their imidazole analogues, the discussion here focuses on 2- & 4- (5-methyl)-imidazole, given that they are small and easily amenable to computation. Understanding the physical origins of these specific chemical shift changes may provide clues that, in turn, will lead to a better understanding of $^{19}$F chemical shift differences in proteins.

2 Methods

All the input files were prepared in GaussView 5, modifying the geometry provided in the 2F-histidine crystal structure for desired protonation states and CS substitution. All the electronic calculations were performed using Gaussian 09 software. Optimized geometries, energies, $^{19}$F NMR shifts, electrostatic charge distributions, and Natural Chemical Shielding (NCS) were performed with multiple methods to ensure general conclusions. Calculations were performed with the BHandHLYP and B3LYP density functionals and the MP2 method, using 6-311++G(3df,2p), aug-cc-pVTZ, and 6-31G* basis sets and water solvation with SMD and CPCM solvents. Negative frequencies were not observed for any molecule, indicating that the geometries are at energy minima. All reported values are from calculations using BHandHLYP hybrid density functional, 6-311++G(3df,2p) basis and SMD water solvation. Values from other methods are given in supplementary information, Table S1. Reported relative energies are free energies given from frequency calculations, setting the energy of the most stable tautomer to zero. NMR values are calculated with Gauge Independent Atomic Orbital (GIAO) method, and isotropic chemical shifts are reported. Molecular orbitals are visualized using Avogadro software. The standard reference for the $^{19}$F NMR values reported in Table 1 is CF$_3$F, with shifts reported as $\delta_{\text{cal}} - \delta_{\text{ref}}$. The value of $\delta_{\text{ref}}$ comes from an optimized geometry of hexafluorobenzene with BHandHLYP/6-311++G(3df,2p) method, and SMD model water solvation.

3 Results

At neutral pH, the imidazole ring exists in two tautomeric forms: $\tau$ (protonation at N3) or n (protonation at N1) (Fig. 1), depending on which nitrogen is protonated. The tautomeric states of fluorohistidine/imidazoles have not been definitively determined by experiment, although the crystal structure suggests 2F-histidine is protonated at N3 (the $\tau$-tautomer – Fig. 1a). Thus, we carried out calculations on both tautomers of each fluoroisomer. The $\tau$-tautomer of 4F-histidine/(5-methyl)-imidazole was found to be more stable than the $\tau$-tautomer (by $-15.0/25.8 \text{ kJ/mol using BHandHLYP/6-311+g(3df,2p)}$ method). The two tautomers of 2F-(5-methyl)-imidazole were found to be nearly isoenergetic. However, we found that the $\tau$-tautomer of 2F-histidine is more stable than $\tau$-tautomer (by 5.3 kJ/mol), as it is in canonical histidine. Our discussion from this point focuses on 2F- and 4F-(5-methyl)-imidazoles, since the simplified systems (relative to zwitterionic fluorohistidines) allow for more straightforward analysis, but shed light on experimental results for fluorohistidines.

The results reported herein are for chemical shifts of the putatively more stable tautomers of each fluoro-isomer: $\tau$-tautomer (N3-H) for 2F-(5-methyl)-imidazole (Fig. 1a) and $\tau$-tautomer (N1-H) for 4F-(5-methyl)-imidazole, shown in Fig. 1c. Reassuringly, we found that the direction of the $^{19}$F chemical shifts upon titration did not depend on the computational method used. The BHandHLYP/6-311++G(3df,2p) calculations with SMD water solvation gave the best quantitative agreement with experimental $\Delta$ppm values, where $\Delta$ppm is the difference between the chemical shifts of the fluorooimidazolium and the fluorooimidazole ($\Delta$ppm = $\delta_{\text{inc}} - \delta_{\text{int}}$, given as $\Delta_i$ in Ref. 31).

Previous experimental work on the protonation of fluoroypyridines, with results akin to 4F-(5-methyl)-imidazole, led researchers to postulate that anomalous values of $\Delta$ppm arise from magnetic anisotropy. Although we cannot account for the contribution of magnetic anisotropy in the method used here, the isotropic values in the chemical shielding tensor are able to reproduce the magnitude and direction of the fluorine chemical shifts of fluorooimidazolides/histidines upon protonation.

For clues to the differences between 4F- vs 2F- $^{19}$F chemical shifts, we first looked at the charges on the fluorine atom to get a simple picture of the electron density available to shield the nucleus. In both the 2-fluoro and 4-fluoro cases, the charge...
than half of the change in the calculated chemical shift of 2F ppm (experimentally between the Natural Chemical Shielding of corr have the greatest contribution to shielding of the many of them appear to cancel each other out. One would find that the molecular orbitals of the neutral and protonated species were very similar. There are differences in contributions to the fluorine chemical shift from every MO, but many of them appear to cancel each other out. One would expect that electrons highly localized around fluorine would have the greatest contribution to shielding of the 19F nucleus. The calculated molecular orbital 2 (MO 2, the second-lowest in energy), visualized in Fig. 2a and 2b using Avogadro software, corresponds to a lone pair orbital on fluorine. Differences between the Natural Chemical Shielding of this MO in 2F-(5-methyl)-imidazole and 2F-(5-methyl)-imidazolium results in a deshielded shift (4.3 ppm downfield/higher frequency). The overall difference in chemical shift was calculated to be 7.8 ppm (experimentally it is 3.55 ppm), indicating that more than half of the change in the calculated chemical shift of 2F-

(S-methyl)-imidazole upon acid titration can be attributed to changes in electron density in the fluorine lone pair molecular orbital. This corroborates with the calculated reduction in electrostatic charge on fluorine upon protonation, which leads to less shielding of the fluorine nucleus.

The shift in electron density away from the fluorine nucleus in 2F-(5-methyl)-imidazole can also be seen in changes in the dipole moment. The neutral species has a dipole moment of μ=5.2 Debye, whereas 2F-(5-methyl)-imidazolium shows a drastic change to 0.77 Debye, showing a reduction in polarization toward the fluorine atom in the protonated species. However, the same pattern does not hold for 4F-(5-methyl)-imidazole. Neutral 4F-(5-methyl)-imidazole has a dipole moment of 7.1 Debye, and the dipole moment of 4F-(5-methyl)-imidazolium is only slightly reduced to 6.2 Debye. This may be seen as an indication that the electronic structure of the 4F-species is intrinsically different from the 2F-species. The authors of the pioneering experimental work on fluorinated imidazoles and histidines suggested that differences in pKa measured for 2- vs 4- fluoro-imidazole rings may be due to electronic structure differences that arise in 4-fluoro species, beyond α-inductive effects.

In analyzing Natural Chemical Shielding (NCS) data for 4F-(5-methyl)-imidazolium, it was found that there are drastic changes in the molecular orbitals of 4F-(5-methyl)-imidazolium compared to 4F-(5-methyl)-imidazole. So much so, that comparison of NCS data for the neutral and protonated species of 4F-(5-methyl)-imidazolium is difficult. The 2nd-lowest energy molecular orbital (MO 2) of 4F-(5-methyl)-imidazolium is a lone pair orbital (delocalized somewhat toward the methyl group, see Fig. 2d) that contributes to shielding of the 19F chemical shift. What is striking is that we observe no lone pair electrons on the fluorine for neutral 4F-(5-methyl)-imidazole. Fig. 2c shows the lowest energy molecular orbital (MO 5) that has any similarity to a lone pair orbital. As can be seen in the molecular orbital in Fig. 2c, while there is electron probability

\[ \text{Fig. 2 Lowest energy molecular orbitals containing fluorine electron density (MO 2) for a) 2F-(5-methyl)-imidazole b) 2F-(5-methyl)-imidazolium; c) lowest energy lone-pair like orbital (MO 5) for 4F-(5-methyl)-imidazole d) 4F-(5-methyl)-imidazolium (MO 2).} \]
around the fluorine nucleus, the other lobe of the orbital encompasses the protonated nitrogen (N1) on the aromatic imidazole ring. The NCS data indicates that, relative to the shielding of MO 5 in the neutral species, MO 2 (lone pair) of 4F-(5-methyl)-imidazolium contributes more shielding (6.45 ppm) to the fluorine nucleus. Clearly, multiple orbitals contribute to chemical shielding, but these are the lowest-energy orbitals that have considerable electron density near the fluorine nucleus. The differences in chemical shielding provided by MO 2 (lone pair) of 4F-imidazolium and MO 5 (delocalized fluorine electrons) of neutral 4F-(5-methyl)-imidazolium account for most of the change in chemical shift upon titration. Thus, protonation appears to trigger fluorine electron localization into a lone pair in 4F-imidazolium species, giving rise to higher shielding at low pH.

When fluorine electron density is delocalized, do fluorine chemical shifts correlate with charge?

To address the question of whether 4-fluoroimidazoles have “predictable” chemical shifts when they undergo minor perturbations in electron density, we evaluated and compared the calculated charges and chemical shifts for 4F-(5-methyl)-imidazole (4F-Melm), 4F-histidine (4F-His), 4F-imidazolide (4F-Im). For 4-fluoro-substituted species, electron density (charge) is lowest for 4F-Melm (-0.275), slightly increases for 4F-His, and is highest for 4F-Im (-0.31). Table 1 summarizes the fluorine electrostatic potential (charge) alongside experimental and calculated fluorine chemical shifts. As can be seen, the 19F NMR shifts did not follow a predictable trend, with deshielded shifts corresponding to lower electron density. In fact, the chemical shifts are reversed: the most shielded 19F chemical shift, for 4F-Melm, has lowest charge density, while the most deshielded, 4F-Im, has highest charge density. However, the fluorine chemical shifts (though not the charges) do fit chemical intuition. That is to say, that the most shielded fluorine chemical shift corresponds to the imidazole with the most electron donating substituent at C5. Note that the computationally-calculated NMR chemical shifts for the 4F-imidazolide series match the experimental ordering of 19F chemical shifts. Thus, without the calculated fluorine charge density, the experimental results don’t “raise any eyebrows”.

The peculiar behaviour of 4F-imidazoles, in which chemical shift and electron density have reverse relationships relative to most NMR chemical shifts, is akin to that observed in aliphatic fluorides systems. Adcock and Abeywickrema performed in-depth studies of relationships between 19F substituent chemical shifts and fluorine electron density. They studied substituent effects on a fluorinated bicyclo-octane, and found that most aliphatic fluoride chemical shifts become deshielded with increasing electron density. This is in contrast to studies on phenyl fluorides, which showed through substituent effects that fluorine chemical shifts are deshielded as electron density decreases (“normal” behaviour). So, Adcock and Abeywickrema concluded that aliphatic fluorides may have “reverse” chemical shift effects, while aromatic fluorides behave normally. They postulated that the “reverse” behaviour of 19F chemical shifts reflects the polarization of C-F σ bonds. To probe this in our data set, we looked at the charge separation between the carbon and fluorine electrostatic potential (ESP/charge). Indeed, the charge separation (polarity) of the C-F bond was greatest for the most deshielded shift, and least for the most shielded chemical shift. So, while charge of fluorine itself does not correlate with chemical shift for 4F-imidazole, which has all fluorine electron density delocalized, the extent of polarization does correlate in a reverse manner (polar bond = deshielding, less polar bond= shielding). This suggests that perhaps the reverse sigma effects seen for aliphatic systems also occur in aromatic systems in which there is full fluorine electron delocalization.

In contrast, the 2-fluoroimidazoles behaved normally, where more shielded 19F shifts can be correlated with increased electron density. The electron density on fluorine is observed to increase from 2F-His to 2F-Melm to 2F-Im. In accordance with electron density, shielding of the 19F chemical shift is observed for the molecules in same order. However, there is no correlation between C-F charge separation and 19F chemical shift for the 2-fluoroimidazoles.

Discussion

Considering only 2F-(5-methyl)-imidazole, the fluorine chemical shifts fit “chemical intuition”, with reduced fluorine electron density upon protonation leading to deshielding of the fluorine nucleus. Data from electronic structure calculations supports chemical intuition as well, with less negative fluorine electrostatic charges and reduced dipole moment coinciding with deshielding of the fluorine nucleus. In contrast, 4F-(5-methyl)-imidazolide does not show the same correlations among indicators of electron density and fluorine

<table>
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<tr>
<th>2F isomers, t-tautomers</th>
<th>2F-His</th>
<th>2F-Melm</th>
<th>2F-Im</th>
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<td>-0.278</td>
<td>-0.280</td>
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<td>19F NMR shifts (experimental)</td>
<td>59.80</td>
<td>58.59</td>
<td>56.60</td>
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Table 1 19F NMR shifts (experimental and calculated) and fluorine electrostatic potential (charge) for stable tautomers of 2-fluoro- and 4-fluoro-imidazole analogues.
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Fig. 3 Summary of correlation between fluorine chemical shifts and electronic properties. Experimental and calculated $^{19}$F chemical shifts are given, alongside reduction in charge and dipole moment upon acid titration, and natural chemical shielding (NCS) contributions from the lone pairs of 2F-(5-methyl)-imidazolium & 2F-(5-methyl)-imidazole, and lone pair (MO 2) of 4F-(5-methyl)-imidazolium & MO 5 of 4F-(5-methyl)-imidazole.

chemical shift. Fig. 3 summarizes the correlations among properties calculated by DFT and the fluorine chemical shifts.

The electronic structure of the $\tau$-tautomer (which is more stable) of 4-fluoroimidazole is unique compared to the other fluorinated imidazoles we considered. In all the molecular orbitals of 4F-(5-methyl)-imidazole that contain fluorine electron density, there is conjugation within the ring and/or overlap with the adjacent methyl group. See supplementary information (ESI) Fig. S1 for visualization of all molecular orbitals of 2F- and 4F-(5-methyl)-imidazole and –imidazolium. The electronic structure of 4F-(5-methyl)-imidazole appears to have effects along the lines of the fluorine p-π interaction proposed by Sheppard in 1965.28 Using this information, we can conclude that protonation of 4F-(5-methyl)-imidazole changes the electronic structure such that the lone pair electrons on fluorine are restored (localized), providing greater shielding to the fluorine nucleus.

We postulated the anomalous electronic structure of the 4F-imidazole moiety may arise from the electron-donating nature of the methyl (methylene) group adjacent to the fluorine in 4F-(5-methyl)-imidazole (4F-histidine), giving an effect akin to hyperconjugation. To test this, we performed calculations that replaced the methyl group with an electron-withdrawing trifluoromethyl group. Upon protonation, this species still exhibited higher shielding (by 5.09 ppm calculated by BHandHLYP/6-311++G(3d,2p)), so we can conclude that the electronic structure is not dependent on the electron-donating or –withdrawing character of the adjacent substituent on the imidazole ring.

The reverse behaviour of $^{19}$F NMR chemical shifts in substituted 4-fluoroimidazoles clearly relates these species with the behaviour of aliphatic fluorides. Studies of the 4F-His/Im/Mel/M series also indicated an inverse relationship among electron density and shielding of chemical shifts. Calculated charges of fluorine and C4 support Adcock and Abeywickrema’s hypothesis that “reverse” or abnormal fluorine chemical shift behaviour correlates with C-F bond polarity: deshielded shifts correspond to more polar C-F bonds (higher charge separation, provided in Table S2 of supplementary information).

It is interesting to note that the less stable $\tau$-tautomer of 4F-(5-methyl)-imidazole has a lone pair orbital on fluorine and exhibits a deshielded chemical shift upon protonation (like 2F analogues do). Thus, for N1-protonated (B- /tautomer), 4-fluoroimidazole moieties, the conjugated (delocalized) molecular orbitals of fluorine appear to be an inherent characteristic of the electronic structure. All of the systems analysed here support a hypothesis that when fluorines on an aromatic ring have no lone pair (i.e. completely delocalized electron density), the chemical shifts are abnormal or “reverse”. All aromatic fluorines with a lone pair, in the systems studied here, have $^{19}$F chemical shifts whose shielding/deshielding correlates with fluorine charge density. Further study with electronic structure methods is required to determine whether this is a general feature of $^{19}$F chemical shifts.

Conclusions

In summary, the electronic structure of 4F-(5-methyl)-imidazole (and histidine analogues) is unique, compared to the electronic structure of 2F-(5-methyl)-imidazole, 2F-(5-methyl)-imidazolium, and 4F-(5-methyl)-imidazolium analogues. Rather than a lone pair, all of the electrons and orbitals of fluorine in 4F-(5-methyl)-imidazole overlap with the aromatic ring and adjacent methyl group. Since shielding of the fluorine nucleus upon protonation was calculated and observed experimentally for 4F-(5-methyl)-imidazole, 4F-imidazole, and 4F-histidine, and calculated for 4F-(5-trifluoromethyl)-imidazole, it seems that the delocalized electronic structure has little dependence on substitution at C5. Rather, delocalized fluorine electron density appears to be an inherent characteristic of the $\tau$-tautomer (N1-H) of 4-fluoro-imidazoles. For 2F-(5-methyl)-imidazole species, predictions of deshielding from shifts of electron density away from the fluorine nucleus upon addition of positive charge are substantiated in the less-negative fluorine electrostatic potential (charge), significantly smaller dipole moment, and deshielding contributions from the fluorine lone pair of MO 2 (calculated by NCS analysis). Fig. 3 shows how the $^{19}$F-NMR chemical shifts of 2F-(5-methyl)-imidazole correlate with electronic structure properties, while only NCS data correlates well with the $^{19}$F-NMR chemical shifts of 4F-(5-methyl)-imidazole. Within a series of C5-substituted 4F-imidazoles, correlations were found between increased C-F bond polarity and deshielding of $^{19}$F chemical shifts. This abnormal or “reverse” relationship with bond polarity had been characterized previously only for aliphatic systems.4 Thus, when fluorine delocalization takes place in aromatic systems, fluorine chemical shift prediction may require more complex analysis, such as that provided by computational methods.

The concept of fluorine’s complex electronic effects, such as hyperconjugation and lone pair back-donation, is not new,27
but the importance in terms of $^{19}$F-chemical shifts has been more difficult to nail down. The results here for 2F- and 4F-histidine/(5-methyl)-imidazole seem to suggest that when electron density is localized in a fluorine lone pair, changes in $^{19}$F NMR chemical shifts might be understood and predicted with the same chemical knowledge and intuition as $^1$H and $^{13}$C chemical shifts. However, when electronic effects lead to conjugation of all fluorine orbitals, a framework for understanding fluorine chemical shifts is not so straightforward. For understanding $^{19}$F chemical shifts in proteins, the next step will be to understand to what extent local environment induces fluorine lone pair localization/delocalization. With a database of proteins with known local environments and measured $^{19}$F chemical shifts, and continued computational efforts, we may not be too far off from a unifying protocol for $a$ priori prediction of $^{19}$F-NMR spectra in proteins.

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