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The widely used engineering material copper is a prototype of an electrochemically passive metal. In this work, the passive films on evaporated copper in 0.1M NaOH are investigated in situ and operando by spectroscopic ellipsometry and Raman spectroscopy, both conducted during oxidation in potentiostatic step experiments. Oxide growth is initiated by jumping from a potential at which the surface is oxide-free to -0.1 V vs. Ag|AgCl|3M KCl (+0.11 V vs. standard hydrogen electrode, SHE). At subsequent electrode potential jumps, no corresponding jumps in thickness are observed; instead, oxide growth proceeds steadily. Above +0.3 V vs. Ag|AgCl|3M KCl (+0.51 V vs. SHE), the oxide layer thickness remains constant at ~7 nm. Raman spectra show a peak at 530 cm⁻¹, which agrees with the dominant peak in spectra of copper mixed oxide, Cu_4O_3 $(Cu_2^{I}Cu_2^{I}O_3)$. Crystalline Cu_4O_3 nucleates from a precursor state showing strong photoluminescence (PL), which hints at the involvement of Cu₂O. Overall, the PL spectra of the growing oxide and absorption spectra indicate the presence of Cu₂O in the thin films. Absorption spectra cannot be understood as a superposition of the spectra from different well-described copper oxides, which points to defect-rich oxides that show rather different spectra. Raman spectra also point to an involvement of both crystalline and amorphous oxides that coexist. The results show that the passive layers on copper are more complex than the duplex layers described in the literature; they do contain an oxide with mixed valency of copper.

1 Introduction

Copper is one of the widest used metal because of its availability and good corrosion resistance. As examples, copper canisters are used for nuclear waste disposal, it is used as interconnect material for microelectronic devices, as electrical wires, heat exchangers, machine tools and in construction engineering. Thus, understanding the corrosion and passivation of copper is important to ensure long lifetime of the manufactured devices.^{1,2} Copper oxide based corrosion products naturally form on metallic copper, and lead to passivation of the metal.³ The term "passivation" is used here to describe a situation in which fast dissolution of a material is expected, but not observed.⁴ From a variety of postmortem studies of oxide growth at high temperatures in different atmospheres, oxide formation is found to start with the formation of Cu₂O.^{3,5,6} An outer layer of CuO is finally formed, leading to duplex corrosion product layers.^{3,7,8} In aqueous solutions, formation of protective layers is well-described by the Pourbaix diagram of copper.⁹ Different copper oxides can be obtained depending on the applied potential, exposure time and pH. In this context, understanding the corrosion process requires knowledge about the structure, including the electronic structure of the forming films.

Copper oxides received considerable interest for multiple applications, such as spintronic devices, optoelectronics, photocatalysis and solar technology.^{10–13} One reason for their popularity is their low band gap, ranging between 2.1 eV for Cu₂O and 1.4 eV for CuO.^{12,14–20} The two main oxide phases are Cu₂O and CuO, the former considered as one of the most important p-type semiconductors.^{21–23} Recently, Cu₂O gained significant interest for solar cells because of its availability and nontoxicity. Consequently also optical and electronic properties of the other oxides, CuO and Cu₄O₃ are being investigated for possible applications.¹⁹ Several computational works were devoted to the analysis of the electronic properties of bulk and thin film Cu₂O.^{24,25} However, there appears to be a lack of reliable band-structure calculations for CuO and Cu₄O₃. Moreover, the oxide film formed as a corrosion product on metal may have different electronic properties than the bulk oxide.

The formation of copper oxides in solution has been examined e.g. by in situ electrochemical atomic force microscopy (ECAFM),²⁶ in situ scanning tunneling microscopy (STM),^{27–32} and in situ Raman spectroscopy.^{33,34} Formation of a partially or-

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dered OH layer was found at a potential of -0.81 V vs. SHE, while formation of crystalline Cu₂O was found at -0.11 V vs. SHE by electrochemical STM.²⁷ Epitaxial growth of Cu₂O has been observed on Cu(111) and Cu(100) in the potential range between -0.2 V and -0.02 V vs. SHE by ECAFM. ²⁶ Combined electrochemical and surface enhanced Raman experiments in alkaline NaClO₄ electrolyte (pH 13) have shown sequential formation of Cu₂O followed by mixed Cu₂O/Cu(OH)₂ layers. These species were identified by the appearance of vibrational modes at 625, 525 and 460 cm⁻¹.³⁴ Chloride adsorption leads to interesting structural features as well as dynamics on Cu(110) at potentials negative of the oxidation potential, as studied by STM and reflection anisotropy spectroscopy.³⁵ Different methods detecting changes in alkanethiole probe molecules have enabled an analysis of copper oxide structural dynamics under conditions of atmospheric corrosion.^{36,37} Overall, the importance of in situ observation for such films has been established.³⁸

A recent review compares the knowledge of optical and electronic properties of Cu_2O , Cu_4O_3 and CuO, including a discussion of thin films prepared by different techniques.¹⁹ That work also contains a thorough discussion of absorption and photoluminescence (PL) spectra, which serves as a basis for spectral interpretation here. Currently, strong computational efforts are being made to understand the band structure, defect levels and optical properties of the different oxides.^{16,39–46} A recent comparison of structural stability and electronic band structure of all three copper oxide compounds showed that Cu_2O has a direct band gap while CuO and Cu_4O_3 both have indirect band gaps.⁴⁷ Other calculations suggested Cu_4O_3 to be metallic.¹⁹

The complex interplay between electrochemistry, electronic structure of forming oxide films, and its effect on corrosion processes is still much less understood. This work focuses on in situ spectroscopic investigations of the oxide forming in different stages of the oxidation of copper, with the aim of understanding the nature of the forming oxide. For this purpose, in situ and operando spectroscopic ellipsometry and Raman spectroscopy have been carried out in alkaline solutions. The PL background observed in the Raman spectra yields additional information about the oxides. An extension of a previous analysis scheme for spectroscopic ellipsometry provides the possibility to obtain spectra of the thin films without the need for modelling. While the spectroscopic ellipsometry yields global information, Raman spectroscopy, which has been carried out in a confocal Raman microscope, yields local information. Finally, global chemical composition of the sample surfaces were investigated ex situ after removal from electrochemical cells using X-ray photoelectron spectroscopy (XPS) analysing both photoelectron and Auger peaks.

2 Materials and methods

2.1 Sample preparation

Evaporated copper was used as a working electrode. Si(100) (Siegert Wafer, Aachen, Germany) single crystal wafers were cleaned with neutral detergent Extran (VWR) and isopropanol. On the freshly cleaned surface, a 10 nm chromium adhesion layer was initially deposited. Subsequently, copper was evaporated

by electron beam evaporation. Chromium and copper pellets with purity 99.999% (Wieland Edelmetalle, Pforzheim, Germany) were used. All evaporation was carried out in a Leybold Univex 450 chamber. During evaporation, the pressure was around $6 \cdot 10^{-7}$ mbar. Copper was evaporated at an evaporation rate of 10 nm min⁻¹. Typically, a layer with thickness of 200 nm was obtained.

2.2 In situ Raman spectroscopy

In situ (Stokes) Raman spectra were recorded using a Labram confocal Raman microscope (Horiba Jobin Yvon, France). An objective with magnification $10\times$, numerical aperture 0.25, was used to illuminate the sample with light from the 632 nm (1.96 eV) line of a HeNe laser, leading to an illuminated spot with $\sim 10 \ \mu m$ diameter. Backscattered light was collected via the same objective and analysed on a CCD detector. Electrochemical experiments were conducted using a custom-made three electrode electrochemical cell, which was equipped with a quartz window through which the laser beam was incident on the copper surface. Electrode potential E was controlled by a Compactstat potentiostat (Ivium Technologies, Eindhoven, The Netherlands) and (unless noted otherwise) is reported here with reference to Ag|AgCl|3M KCl. Currents are always normalised to electrode areas and reported as current density *j*. All experiments were conducted in 0.1M NaOH (pH≈13). The working electrode area in the cell was ≈ 1.15 cm⁻¹. An Ag|AgCl|3M KCl microreference electrode (DriRef-2SH, World Precision Instruments, Sarasota, FL, USA) was used as a reference electrode. Its electrode potential has been determined as +0.208 mV vs. standard hydrogen electrode by a calibrated Ag|AgCl|3M KCl electrode (Metrohm, Filderstadt, Germany). A graphite rod served as counter electrode. The electric contact of the sample was made via a Cu tape connecting the evaporated copper to the potentiostat. The electrolyte was not purged with inert gas, and was stagnant during the experiments.

2.3 Electrochemical spectroscopic ellipsometry2.3.1 Measurements

Most electrochemical experimental conditions were the same as described in section 2.2. Spectroscopic ellipsometry experiments were performed using an SE 800 spectroscopic ellipsometer (Sentech Instruments, Krailling/Berlin, Germany) and a Compactstat potentiostat (Ivium Technologies, Eindhoven, The Netherlands). Wavelength range for spectroscopic ellipsometry was 300-810 nm (1.53-4.13 eV), and angle of incidence was 70°. The light source was a xenon lamp. A freshly prepared Cu sample was directly mounted in the optical-electrochemical cell, which was equipped with liquid flow connections, a Pt mesh counter electrode and Ag|AgCl|3M KCl microreference electrode (DriRef-2SH, World Precision Instruments, Sarasota, FL, USA). The working electrode area was 2.5 cm², illuminated area on the working electrode $\approx 0.7 \text{ cm}^2$. Cell design was discussed in detail elsewhere.^{48,49} To remove oxygen, the 0.1M NaOH electrolyte was externally saturated with argon, and purged with a rate of 2 mL min^{-1} for ≈ 10 min using a peristaltic pump (Ismatec IDEX Health and Science, Glattbrugg, Switzerland). During the measurement, the pump rate was reduced to 10.6 μ L min⁻¹. The first five ellipsometric measurements were conducted at the open circuit potential (OCP). A potential of -1.0 V was subsequently applied for a certain time to reduce any oxide on the surface and to start ellipsometric experiments with an oxide-free surface. The experiments then proceeded in potentiostatic steps to more and more positive potentials. Ellipsometric measurements were carried out during these experiments. In this work, duration of a single ellipsometric measurement was ≈ 15 s. The external purging with argon and the electrolyte flow through the cell at low rate were the only differences in experimental conditions compared to the Raman experiments discussed in section 2.2. In experiments in an electrochemical cell, the presence of oxygen had no effect on the oxide formation peaks in polarisation curves. To rule out any effect of oxygen reduction on the measurement of the reference state in ellipsometric experiments, the purging was considered appropriate. As such a reference state measurement is not used in Raman data analysis, external purging was not carried out in such experiments.

2.3.2 Ellipsometric data analysis

Spectra were measured of the ellipsometric parameters Ψ and $\Delta,$ and were used to calculate the ellipsometric ratio ρ

$$\rho = \frac{r_{\rm p}}{r_{\rm s}} = \tan(\Psi) {\rm e}^{i\Delta} \tag{1}$$

of the amplitude reflection coefficients $r_{\rm p}$ and $r_{\rm s}$ for p- and spolarised light, respectively,^{50,51} where $i = \sqrt{-1}$. Data analysis was performed based on a perturbation approach as described by Lekner,⁵¹ in extension to the approach discussed previously.⁴⁸ For layers with a total thickness *d* small compared to the wavelength λ , ρ is expanded to first order around ρ_0 for a step profile in the dielectric function, yielding⁵¹

$$\rho = \rho_0 - F J_1, \tag{2}$$

where the factor *F* contains details of the optical system.^{48,51} For a system ambient — single layer — substrate, the first-order perturbation parameter J_1 is given as ⁵¹

$$J_{1} = \frac{(\varepsilon_{1} - \varepsilon_{s})(\varepsilon_{s} - \varepsilon_{2})}{\varepsilon_{s}} d = \left(\varepsilon_{1} + \varepsilon_{2} - \frac{\varepsilon_{1}\varepsilon_{2}}{\varepsilon_{s}} - \varepsilon_{s}\right) d, \qquad (3)$$

where index 1 on ε indicates the incidence medium (electrolyte), index 2 the exit medium (copper substrate) and index s the layer. The dielectric function is $\varepsilon = (n + ik)^2$, the square of the complex refractive index with real part *n* and imaginary part *k*. All ε and consequently also J_1 depend on λ .

Data analysis proceeded via (1) the determination of $\varepsilon_2(\lambda)$ from measurements of the oxide-free surface, (2) the determination of *d* at each electrode potential to (3) the determination of $\varepsilon_s(\lambda)$, i.e. the spectrum of the formed layer, as follows. First, the substrate (pseudo)dielectric function $\varepsilon_2(\lambda)$ was determined from measurements of ρ_0 according to ⁵⁰

$$\varepsilon_{2}(\lambda) = \varepsilon_{1}(\lambda) \left\{ \sin^{2} \theta_{1} + \sin^{2} \theta_{1} \tan^{2} \theta_{1} \left[\frac{1 - \rho_{0}(\lambda)}{1 + \rho_{0}(\lambda)} \right]^{2} \right\}, \quad (4)$$

with θ_1 as angle of incidence, at -1.0 V where no oxide was present on the surface. The λ -dependence of ε_1 was calculated based on literature values for the λ -dependence of the refractive index of water, with a correction for the concentration dependence dn/dc at a single wavelength of the electrolyte 0.1 M NaOH.⁵² Resulting curves for $\varepsilon_2(\lambda)$ agree with literature values within the limits of disagreement between different sources at wavelengths below 600 nm.^{53,54} Variations in ε_1 within typical deviations lead only to variations in $\varepsilon_2(\lambda)$ lower than the difference between different literature sources.^{53,54}

Second, at each electrode potential other than the oxide-free reference potential of -1.0 V, the layer thickness d of the formed oxide layer was determined. To determine d, two different approaches have been evaluated, without differences in the final conclusions. Approach (a) uses the shift in Δ with increasing d and is equivalent to the approach described before.⁵⁵ For both CuO and Cu₂O layers of thicknesses up to 50 nm, expected ellipsometric spectra have been simulated. The Δ values at $\lambda = 700$ nm have been plotted against *d* and fit to a second order polynomial. The resulting calibration curve agree to within 5% for the two oxides. Values for Cu₂O have been used to determine *d* from the observed difference in Δ to the initial Δ at the oxide-free surface. Approach (b) follows the assumption that at wavelengths > 650 nm, the formed oxide layer is non-absorbing, i.e. $IM(\varepsilon_s) = 0.4^{48}$ In this case, one can exploit the fact that for metallic substrates, $IM(\varepsilon_2) \neq 0$, hence eqn. (3) can be separated into real and imaginary part as

$$\operatorname{Re}(J_1) = \left[\varepsilon_1 + \operatorname{Re}(\varepsilon_2) - \varepsilon_s - \frac{\varepsilon_1}{\varepsilon_s} \operatorname{Re}(\varepsilon_2)\right] d, \tag{5}$$

and

$$IM(J_1) = \left[1 - \frac{\varepsilon_1}{\varepsilon_s} IM(\varepsilon_2)\right] d$$
(6)

where the λ -dependence was dropped. Eqs. 5 and 6 form a system of equations with two unknowns ε_s and *d*, which can be solved to yield⁴⁸

$$\boldsymbol{\varepsilon}_{\rm s} = \operatorname{Re}(\boldsymbol{\varepsilon}_2) - \operatorname{IM}(\boldsymbol{\varepsilon}_2) \cdot \frac{\operatorname{Re}(J_1)}{\operatorname{IM}(J_1)},\tag{7}$$

and the obvious solution for d after substituting the result into Eqs. 5 and 6. Averaging results for all wavelengths from 650 nm to 820 nm yielded statistically relevant results for d, together with a standard deviation used as error estimate.

Third, the data for $\varepsilon_2(\lambda)$ and *d* are substituted into Eqn. (3), and this equation is solved numerically for ε_s at all wavelengths using the fsolve function from GNU Octave (https://www.gnu.org/software/octave/). Resulting solutions have been put back into Eqn. (3) to check if the solutions are valid. The two different approaches to determine the layer thickness yield similar spectra of $\varepsilon_s(\lambda)$, with numerical differences but with the same spectral features. The thickness obtained using approach (a) are 10-20% lower. Here, the results from approach (b) are presented, because this approach does not rely on external optical constant data. Data at higher wavelengths is not analysed in the spectra to avoid overinterpretation of the data in this wavelength range.

2.3.3 At-a-glance visualisation of ellipsometric spectra

On a metal surface, qualitative information can directly be obtained from an analysis of the Ψ spectra without detailed analysis. Because of the surface selection rule, ⁵⁶ the absorption of light from a thin film strongly affects $|r_p|$, but leaves $|r_s|$ almost unaffected. ^{49,57} For a more intuitive interpretation of ellipsometric spectra, the authors would like to introduce a "pseudo reflectance absorbance", *PRA*, defined in analogy to reflectance absorbance, $RA = -\log_{10} (I/I_0) = -\log_{10} (R/R_0)$, which is commonly used to analyse reflectance spectra. ⁵⁶ Here, *I* denoted the irradiance (intensity) of light at the detector, and *R* is the reflectivity, $R = |r|^2$, which strongly depends on the polarisation of light. The index 0 indicates the measurement of a reference state of the surface, in this work the oxide-free surface at negative electrode potentials.

Considering that $|r_{\rm s}| \approx |r_{\rm s,0}|$ because of the surface selection rule implies that

$$\frac{\tan\Psi}{\tan\Psi_0} \approx \frac{|r_{\rm p}|}{|r_{\rm p,0}|}.\tag{8}$$

Consequently,

$$PRA = -\log_{10}\left(\frac{\tan^2\Psi}{\tan^2\Psi_0}\right) \tag{9}$$

is a useful quantity to plot for a qualitative analysis of a spectrum, as $PRA \approx RA$ in p-polarisation.

Notice that this is not replacing a full analysis of ellipsometric data; however, using the *PRA* enables a visualisation of the essential feature from an absorption spectrum of a thin film on a metal surface directly from ellipsometric spectra, which will be shown for the copper system in section 3.4. A more detailed analysis of the polarisation of reflected light also implies that averaging from an inhomogeneous sample is important, so that several Ψ exist, ^{58,59} leading to a more involved situation.

2.4 Ex situ PL spectroscopy

PL spectroscopy was carried out ex situ after electrochemical experiments in an alpha 300M (Witec, Ulm, Germany) confocal Raman microscope with excitation at 532 nm (2.33 eV). (No in situ Raman experiments conducted using this setup are shown here, because of the dominating luminescence in these spectra.)

2.5 XPS

XPS (Quantera II, Physical Electronics, Chanhassen, MN, USA) was performed to investigate the chemical composition of the sample surface after electrochemical experiments. The monochromatic Al K α X-ray source (1486.6 eV) operated at a pass energy of 55 eV, step size of 0.1 eV at a measurement area of 100 μ m · 100 μ m. The take-off angle was 45°. The binding energy scale was referenced to the C-C signal at 284.8 eV. The quantitative analysis was carried out with CasaXPS 2.3.15 (http://www.casaxps.com/). Depth profiles were obtained by sputtering with an argon plasma at a voltage of 1 kV with 7 mA for the first step and then at 2 kV with a current of 7 mA for the rest of the sputter steps. The sputtered area was 2 mm · 2 mm. The sputtering rate in copper was determined by sputtering through a 200 nm evaporated copper on a silicon wafer as 2.87 nm min⁻¹

1 - 10

for 1 kV and 5.77 nm min⁻¹ for 2 kV.

3 Results and discussion

3.1 Electrochemistry of copper

The cyclic voltammogram (CV) of copper in the ellipsometric in situ cell (Fig. 1) compares favourably with CVs from literature.^{27,28,34,60} The measurements in the potential region from -1.4 to 0.9 V encompassed two anodic peaks (A₁ and A₂) and the accompanying cathodic features (C_2 and C_1). A_1 and A_2 are typically assigned to oxidation peaks corresponding to the formation of copper(I) and copper(II) oxides, respectively, and the related C₂ and C₁ reduction peaks correspond to their reduction. 27,28,34,60 In the ellipsometric cell, the C₂ is split, which is not observed in control experiments in a bare electrochemical cell, and is also not observed in the literature. At potentials below -1.2 V, the hydrogen evolution reaction (HER) is observed. At potentials above +0.6 V, copper dissolution and the oxygen evolution reaction (OER) lead to rising current.⁴ This behaviour is characteristic for transpassive dissolution.⁴ The disadvantage of CV experiments is that time-dependent and potential-dependent features are interleaved. Therefore, the growth of oxide on copper was investigated with potential steps between constant electrode potentials.



Fig. 1 — CV of evaporated copper in 0.1M NaOH in the cell used for ellipsometric experiments at a scan rate of 50 mV s⁻¹. \Box steady-state current densities *j*_{steady} from the potentiostatic step experiments shown in Fig. 2

3.2 Thickness of the oxide

Fig. 2 shows the current response and layer thickness for a sample in electrochemical potentiostatic step experiments. Before the application of a controlled potential, five ellipsometric measurements were conducted at open circuit potential (OCP). The sample was then reduced at -1.0 V for 5 min, and subsequently oxidized at -0.1, +0.1, +0.2, +0.3, +0.4 and +0.5 V, i.e. at potentials where no gas evolution (OER, HER) is observed, for 15 min each. During the initial reduction step at -1.0 V, the native oxide initially present on the copper surface is reduced. Subsequent application of different positive potentials lead to an electrochemical oxidation. Fig. 2 shows the typical spike in current density af-

ter switching the electrode potential to -0.1 V. Simultaneously, the thickness of the oxide film increases to 2 nm. While holding the potential at -0.1 V, an increase in current is observed after ≈ 200 s at this potential. The onset of this current peak agrees with an observed further increase in layer thickness. For all further potential jumps, the typical anodic current spikes are observed that include the capacitive current. However, the layer thickness growth at higher potentials does not have an obvious connection to the applied electrode potential. Above a potential of +0.3 V, the thickness remains constant at 7 nm.



Fig. 2 Current densities j and thickness of the interfacial layer d as function of experiment time t. The applied electrode potentials are indicated in the curve; the spikes in current density mark the switching of the electrode potential. Standard deviation for the thickness is on average 1.7 nm, individual error bars are omitted for clarity

For the second half of the period at each potential as shown in Fig. 2, *j* was averaged to yield *j*_{steady}, which is overlayed with the CV in Fig. 1. At -0.1 V, the result would not correspond to a steady state current density, however, at the higher potentials, this procedure yields steady state current densities. The resulting curve does not follow the CV. Integrating the currents and determination of the charge assuming that only Cu₂O is formed, ³⁰ leads to a final thickness of ~30 nm, which is an indication that metal dissolution is still taking place.

Because *d* does not increase at the last three potential steps, the current transient spikes after the potential jumps were integrated to yield a charge density *Q*, which in turn was used to determine a differential capacitance $C_d = dQ/dE$. For the last three potential jumps, $C_d = (0.47 \pm 0.05) \text{ mF cm}^{-2}$. Potential independence confirms the constant thickness found in ellipsometric results. The comparably large value of C_d points to the presence of a pseudo-capacitance, e.g. from a system which can easily change its valency during polarisation.⁴

3.3 Nature of the oxides as determined by Raman spectroscopy

In situ Raman spectroscopy has been conducted to complement ellipsometric information with vibrational fingerprints of the formed oxides. The time at which the sample was polarised at a certain potential was doubled from the ellipsometric measurements, but the potential sequence was the same as in section 3.2. Spectra recorded at the different potentials at the end of the 30 min hold period are displayed without any baseline treatment in Fig. 3. Several full time series at each potential are available in ESI-Fig. 2-19[†].



Fig. 3 Potential-dependent Raman spectra (excitation: 633 nm; 1.96 eV) for oxidation of copper in 0.1M NaOH in potentiostatic steps between potentials as indicated in the graph. Spectra shown were recorded at the end of the 30 min hold period at each potential.

Two dominant features are obvious in the spectra. There is a strong luminescence background starting from -1.0 V, which decreases with increasing electrode potential. A sharp Raman feature with the maximum at ≈ 530 cm⁻¹ can clearly be distinguished for the first time at +0.1 V. This peak has a shoulder at higher wavenumbers centred at 535 cm⁻¹. The peak consists of two components already at its first appearance, and the lower wavenumber side becomes slightly more pronounced at higher potentials.

To assign the observed peak to a vibrational mode, it is useful to compare the results with the copper oxide vibrational spectra reported in the literature. Both bulk CuO and Cu_2O exhibit a major Raman feature at \approx 635 cm⁻¹, whereas Cu(OH)₂ shows a band at 490 cm⁻¹.^{33,34} None of these features is prominently observed here. On the other hand, the Raman spectrum of Cu₄O₃ is dominated by an A_{1g} mode at ${\approx}540~\text{cm}^{-1}.^{21,61}$ The spectra here hence show the presence of Cu₄O₃ in the oxide films starting at +0.1 V. Two possible explanation may be put forward for the split nature of the peak observed here. First, the spectrum of Cu₄O₃ contains an Eg mode at slightly lower wavenumbers. Second, Cu_2O shows peaks around this wavenumber, too. ^{61–63} In the wavenumber region investigated here, Cu₂O shows the sharpest peak at $\approx 210 \text{ cm}^{-1}$.^{21,62,63} Indeed, in some spectra a small peak is observed there. The presence of Cu₂O can therefore not be excluded. On the other hand, the Raman spectrum of CuO is dominated by an A_g mode at ≈ 300 cm⁻¹, which was only observed

once in a single experiment at +0.3 V.

More details emerge when analysing the time dependence of the spectra at one electrode potential from different series of experiments, which are displayed in the ESI[†]. To understand the behaviour of the spectra shown there, one needs to keep in mind, that Raman spectroscopy carried out in a confocal microscope as in this work is a local probe, probing an area of few 10 μ m in diameter. On the other hand, the measured current and also the ellipsometric experiments discussed here probe extended areas of the surface. In two of the three experimental series shown here, the peak at $\approx 530 \text{ cm}^{-1}$ attributed to Cu₄O₃ is first observed at +0.1 V, while in the third experiment it is already observed at -0.1 V. First appearance of the peak does not correlate with the potential jumps, but at some apparently random time at a certain potential. Such a behaviour is not surprising when inspecting the layer thickness growth shown in Fig. 2, which happens also during holding the electrode potential constant. The sudden appearance of the peak is consistent with a nucleation phenomenon. As soon as Cu₄O₃ crystals nucleated in the illuminated spot, the peak is visible, and remains almost unaffected in subsequent experiments. The peak may also disappear again after its initial formation (series 2, ESI-Fig. 11; series 3, ESI-Fig. 17). After disappearance, in series 3 it reappeared at the next potential (ESI-Fig. 18). Disappearance and reappearance show no obvious relation to the electrode potential. In the period between disappearance and reappearance of the peak, two very broad peaks are detected centred around 590 cm⁻¹ and 470 cm⁻¹ (ESI-Fig. 17/18), attributed to strongly disordered or even amorphous species. As Cu(OH)₂ shows a peak at 490 cm⁻¹, ^{33,34} hydroxide may be involved here. The observed changes are a sign of the constant remodelling of the interfacial film, through which current flows that may induce amorphisation of the film, with loss in the sharp Raman peaks which would indicate a crystalline structure.

The time dependent spectra also show further, less prominent peaks. (In addition, spectra also show the water deformation mode $\approx 1645 \text{ cm}^{-1}$,⁶⁴ and the third series is showing a peak at $\approx 1080 \text{ cm}^{-1}$ attributed to the symmetric C-O stretching mode of carbonate,^{65–67} which is known to accumulate in alkaline solutions. These two are not of interest in this work.) The intensity of a peak at \approx 960 cm⁻¹ correlates with the intensity of the main peak at 530 cm^{-1} , which is why it is attributed to the second order $2A_{1g}$ mode of the same. Most importantly, a peak at ≈ 705 cm⁻¹ is observed in the transient stage before the occurrence of the peak at 530 cm⁻¹, mostly at -0.1 V. This peak is not observed in any known copper oxide, ^{19,21,34,61–63,68} which makes a certain assignment impossible. As this peak is clearly originating from a transient structure which appears before the formation of crystalline Cu_4O_3 , it may be related to a structure containing Cu^{II} ions on a "wrong" lattice site, e.g. on Cu^I sites. However, many alternatives can be imagined, including a metastable Cu₄O₃ precursor. A Cu₂O-like precursor oxide was reported as detected by fast transient measurements. 69

3.4 Electronic structure via absorption and photoluminence spectroscopy

Especially the initial spectra shown in Fig. 3 show a strong photoluminescence centred at \approx 1.7 eV, even with a fine structure. This luminescence disappears at higher electrode potentials. Looking at the data from time series 1 (ESI-Fig.2-7[†]) shows that this luminescence strongly decreases in intensity as soon as the Raman peak at \approx 530 cm⁻¹ appears. This decrease in intensity with beginning of the observation of the Raman peak of crystalline Cu₄O₃ points to defects as the origin of this luminescence, as opposed to a band to band transition in one of the involved materials. A candidate for this observed luminescence involve copper vacancies (V_{Cu}), as their thermodynamic energy level has been calculated to be \approx 1.6 eV below the conduction band.⁴¹ In experimental literature, peaks associated with several defects have been reported in the PL spectrum of Cu₂O, with doubly charged oxygen vacancies (V_0^{2+}) around 1.7 eV. ^{16,19} The observed fine structure may be related to the electric field.⁷⁰ It is rather remarkable that strong PL is already observed in the spectra recorded at -1.0 V. At this potential, the peak cannot originate from a bulk-like oxide, but rather may be caused from the disordered first monolayer of OH/O adsorbed to the copper surface at these potentials. The presence of hydroxide at these potentials was proposed in a recent electrochemical work.⁷¹ This observation also reminds us that in the reference state used for ellipsometric data analysis (section 2.3.2), the interface is not as ideal as assumed.

Further insight into the oxide's electronic structure may be obtained from analysis of the absorption spectrum obtained as a result of the analysis of ellipsometric spectra. Fig. 4a shows the PRA spectra (section 2.3.3) recorded during oxidation. Spectra show a peak at \approx 2.1 eV, and a very broad feature peaking slightly below 3.5 eV, with a shoulder at higher photon energies. There are only minor differences between the different electrode potentials. However, the features in the spectra become more developed with higher electrode potentials, which is consistent with the slow growth observed. Analysing the first two spectra at -0.1 V shows that the shape of the second spectrum already closely matches the shape of the further spectra. Only the peak at 3.5 eV is still not developed.

Only the very first spectrum recorded immediately after the electrode potential jump is significantly different. A very small peak at 2.0 eV, i.e. slightly shifted compared to its later position, is visible. At photon energies >3 eV, no feature is yet developed. Consequently, the structure responsible for the peak at lower photon energies develops before the entity leading to the absorption at 3.5 eV.

Results from the full analysis of ellipsometric data (see section 2.3.2) are shown in Fig. 5a. These spectra look qualitatively similar to the PRA spectra shown in Fig. 4. There is a sharp band at \approx 2.2 eV, and a broad band centred at \approx 3.3 eV. Spectra show only minor changes between the different potentials, with the spectrum obtained at potentials above 0 V showing slightly more developed features. For comparison, the PL spectrum recorded ex situ with 2.33 eV excitation after finalising the experiment is shown in Fig. 5b, which also shows a peak at \approx 2.2 eV.



Fig. 4 Pseudo-reflectance absorbance (PRA) spectra obtained from the ellipsometric Ψ spectra as defined in eqn. (9). (a) Spectra obtained at the end of the hold period at the potential as indicated. (b) Spectra of the initial phase of oxidation. The last spectrum recorded at the reference potential -1.0 V and the first two spectra at the potential -0.1 V where oxide formation is observed are shown

When comparing the spectra obtained here in situ to those obtained for different copper oxides, 19,72,73 one notices that the spectra obtained here are completely different from those of pure copper oxides. The oxide layers obtained here must therefore contain several different components. Understanding the spectra of copper oxides is overall rather involved. Computations of the dielectric function of Cu₂O have shown that for certain maxima in the spectra, many different transitions contribute, 46 e.g. because of electron-hole interactions. A similar situation may occur in the other oxides.

For an interpretation of the spectra, the PL is a good starting point. Strong PL is also observed in situ when exciting with 532 nm/2.33 eV. The current state of knowledge is that there is no PL from CuO.¹⁹ If Cu₄O₃ has an indirect band gap,⁴⁷ no strong PL is expected — the same holds also if it is metallic.¹⁹ The observation of PL is therefore a strong indication of the presence of Cu₂O. The good agreement between PL and absorption spectrum (via k) makes it likely that the same fundamental process is responsible for both. Cu₂O has four transitions, known as yellow, green, blue and indigo lines.^{19,61} The one lowest in energy at 2.17 eV ("yellow") corresponds to the forbidden direct band to band transition.¹⁹ Here, we attribute the observed peaks in PL and k to this transition. Defects in the Cu₂O lattice break the symmetry and hence typically relax the transition rules. Here, we attribute the observation of the otherwise inactive transition to the prominent presence of defects in the films.

The strongest feature in the Cu_2O absorption spectrum is expected to be at 3.31 eV,¹⁹ which is close to the maximum of the



Fig. 5 (a) Imaginary part *k* of refractive index of the growing oxide layer on copper determined as described in section 2.3.2 at the potentials indicated in (b). Spectra recorded at the end of the hold time at the respective potential are shown. The vertical lines show selected important transitions experimentally found for Cu₂O, Cu₄O₃ and CuO, ¹⁹ also labelled in (b). (b) Ex situ PL spectrum of electrochemically oxidized sample, excited with 532 nm (2.33 eV). Energy scale applies to both subfigures

peak observed here. For CuO, the strongest peak is at 3.46 eV, ¹⁹ already on the decreasing side of the *k* spectrum observed here. Like in the Raman spectra (section 3.3), CuO can be ruled out as dominating the spectra. The Cu₄O₃ spectrum is dominated by a peak at 3.9 eV, which is a region in which differences are observed — *k* decreases towards higher wavenumbers. Overall, defect-rich films may have significantly different absorption spectra compared to those with little defects.⁵⁷

3.5 Surface analysis

Fig. 6 shows the sputtering profiles obtained by XPS after electrochemical experiments, which gives more insight into the chemical composition of the oxide layers.

The surface spectrum shows a strong satellite peak in the Cu 2p spectrum indicative of Cu^{II}.^{74–78} The position of the peak in the Cu LMM spectrum (917.8 eV) also confirms the presence of Cu^{II}. This may be the consequence of the oxidation of the substrate after coming in contact with air. Peak fitting of the Cu $2p_{3/2}$ region yielded a ratio Cu^I:Cu^{II} of ≈ 2 :1. After the initial sputtering step of 3 nm, the strong satellite peak disappears and a weak satellite peak can be observed in the Cu 2p spectrum, which matches the one exhibited by Cu^I. The Cu LMM peak position (916.8 eV) also confirms that below the surface, significant amounts of Cu^I are present.^{74–77} Quantification of the Cu $2p_{3/2}$ region yields a ratio Cu^I:Cu^{II} of \approx 9:1. However, it is known that sputtering may reduce Cu^{II} to Cu^I.^{75,79} Further, Cu^I is hard to quantify in the presence of Cu⁰.⁷⁸ Longer sputtering, up to 60 nm into the metal,



Fig. 6 Ex situ analysis of the layer after electrochemical in situ spectroscopic ellipsometry experiments. (a) Auger LMM spectra and (b) Cu 2p spectra obtained during XPS sputter depth profiling. Sputter depths are indicated in the graph. (c) k spectrum. (d) Raman spectrum after excitation with 633 nm (1.96 eV)

resulted in complete removal of the oxide. Both the Cu 2p and Cu LMM spectra show peaks indicative of copper metal. $^{74-77}$ Overall, XPS confirms the presence of both oxidation states of copper. Results do not contradict the presence of $\rm Cu_4O_3,$ nor do they confirm it.

To connect these results to the results from in situ measurements, ex situ ellipsometric and Raman spectra are shown in Fig. 6c and d. Spectra of k are very similar in shape to those recorded in situ (Fig. 5), with a slight shift of the initial maximum to lower wavenumbers, and different peak heights. The qualitative similarities leads to the conclusion that the dominating electronic transitions are preserved. On the other hand, the Raman spectrum is qualitatively different from the spectra in situ (Fig. 3). Here, peaks at \approx 325 cm⁻¹, and \approx 380 cm⁻¹ may be attributed to slightly shifted Ag and Bg modes of CuO.²¹ The peak at \approx 440 cm⁻¹ may be the Raman-inactive A_u mode, ²¹ which becomes active because of a relaxation of transition rules due to defects. The highest mode at \approx 695 cm⁻¹ is in a region where no mode of copper oxides is typically observed. ^{19,21} Importantly, the peak at 532 cm^{-1} , which is dominating the in situ spectra and was attributed to Cu_4O_3 , was absent in the ex situ spectra.

4 Summary and conclusions

The passive layer on copper is more complex than the view of a duplex layer presented so far in the literature. While during growth at high temperatures, the presence of an inner layer of



Fig. 7 Schematic summary of the structural picture of the passive films on copper in NaOH obtained in this study

Cu₂O and an outer layer of CuO has been observed,⁷ the Raman results for the electrochemical passive films obtained here lead to the conclusion that the mixed oxide, Cu₄O₃, must play a prominent role in the film. The large interfacial (pseudo)capacitance obtained for the films also indicates the presence of a structure in which the ions can easily change their valency, supporting the presence of Cu₄O₃. Upon removal from the electrolyte, Cu₄O₃ transforms into CuO, and presumably to the classical duplex layer. Raman spectra indicate that in solution both crystalline as well as amorphous regions are present in the film. PL spectra show the presence of Cu₂O via its normally inactive "yellow" line at 2.17 eV. Excitation below the band gap at 1.96 eV leads to strong defectrelated luminescence, presumably also of a Cu₂O-like structure. The obtained absorption spectra in the energy range 2-4 eV cannot be explained with a simple superposition of the spectra of Cu₂O and CuO, as expected for a duplex layer. Also, the inclusion of Cu₄O₃ does not change this disagreement. The conclusion is therefore that defects also play a prominent role in these passive films, and lead to significantly altered absorption spectra. Overall, the films are strongly dynamic, and transformations between amorphous and crystalline regions occur. The amount of evidence for the presence of CuO in situ is extremely limited. On the other hand, the Raman spectra point to formation of CuO after removal from solution. Fig. 7 visualizes schematically the view of copper oxides presented in this work.

The properties of the passive films are only weakly dependent on the electrode potential. After the films start to grow at -0.1 V the growth in thickness does not correlate with potential jumps. This observation is in disagreement with the point defect model.⁸⁰ Above +0.3 V, the film thickness remains constant despite potential changes. Also, the absorption spectra do not change their shape except in the initial phase of the oxide formation, indicating only gradual changes in electronic structure. Evidence for a precursor oxide in the initial stages of the film formation is found, which shows a Raman peak at 705 cm⁻¹. Overall, the passive film on copper may have more similarities to the passive film on iron than originally perceived. On iron, a concen-

tration gradient in Fe²⁺ and Fe³⁺ on the lattice of Fe₃O₄/Fe₂O₃ is present.⁴ Because of the difference in crystal structure between the copper oxides, ¹⁹ a directly analogous situation is not possible. However, a gradient in composition of Cu⁺ and Cu²⁺ is still likely.

The evidence presented here relies mostly on the interpretation of vibrational and electronic spectra. We note here that there is already disagreement between some of these reference spectra.^{19,21} This is likely caused by the non-stoichiometry of the present compounds. Reference data is needed for oxides grown at a controlled oxygen partial pressure, but to the knowledge of the authors not available. With currently available reference data it is also hard to distinguish Cu_4O_3 from a mixture of Cu_2O and CuO by XPS. Likewise, efforts of the computational side, including computation of the full spectra of the respective oxides,⁴⁶ are needed for a full understanding of the chemistry at the copper/solution interface.

The results presented here are the outcome of a fundamental study. In practise, these results imply that the oxide forming on copper as a result of high temperature oxidation and of aqueous oxidation are different. For often inhibitor-based corrosion protection of copper-based alloys in aqueous solutions, the implication is that when choosing inhibitors, their interaction – including the electronic interaction and possible electron transfer – with the Cu_4O_3 may be important.

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