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Quantum Mechanical Study of the β - and δ -Lyase Reactions during the Base Excision Repair Process: Application to FPG

Shahin Sowlati-Hashjin^a and Stacey D. Wetmore^{a*}

Abstract

Bacterial FPG (or MutM) is a bifunctional DNA glycosylase that is primarily responsible for excising 8-oxoguanine (OG) from the genome by cleaving the glycosidic bond and the DNA backbone at the 3'- and 5'-phosphates of the damaged nucleoside. In the present work, quantum mechanical methods (SMD-M06-2X/6-311+G(2df,2p)//IEF-PCM-B3LYP/6-31G(d)) and a ring-opened Schiff base model that includes both the 3'- and 5'-phosphate groups are used to investigate the β - and δ -elimination reactions facilitated by FPG. Both the β - and δ -elimination reactions are shown to proceed through an E1cB mechanism that involves proton abstraction prior to the phosphate-ribose bond cleavage. Since transition states for the phosphate elimination reactions could not be characterized in the absence of leaving group protonation, our work confirms that the phosphate elimination reactions require protonation by a residue in the FPG active site, and can likely be further activated by additional active-site interactions. Furthermore, our model suggests that 5'-PO₄ activation may proceed through a nearly isoenergetic direct (intramolecular) proton transfer involving the O4' proton of the deoxyribose of the damaged nucleoside. Regardless, our model predicts that both 3'- and 5'-phosphate protonation and elimination steps occur in a concerted reaction. Most importantly, our calculated barriers for the phosphate cleavage reactions reveal inherent differences between the β - and δ -elimination steps. Indeed, our calculations provide a plausible explanation for why the δ -elimination rather than the β -elimination is the rate-determining step in the BER facilitated by FPG, and why some bifunctional glycosylases (including the human counterpart, hOgg1) lack δ -lyase activity. Together, the new mechanistic features revealed by our work can be used in future large-scale modeling of the DNA-protein system to unveil the roles of key active sites residues in these relatively unexplored BER steps.

1 Introduction

2 Oxidation of guanine to form 8-oxoguanine (OG) is among the most common DNA
3 damaging events,¹ occurring ~1000 times per cell per day.²⁻⁴ Since the Hoogsteen face of OG can
4 form a stable mispair with adenine, a canonical Watson-Crick G:C base pair can be converted
5 into a T:A pair after two rounds of replication following OG formation. Thus, it is crucial to
6 identify and replace OG with the native nucleobase. In cells, OG is primarily removed by the
7 base excision repair (BER) process, which more generally excises many different damaged DNA
8 nucleobases that deviate from the canonical analogues by only a few atoms.⁵

9 The chemical step of BER begins when a DNA glycosylase uses either an amine group of
10 an active site residue (bifunctional glycosylase) or a water molecule (monofunctional
11 glycosylase) to displace the damaged nucleobase, which produces an abasic site.^{6, 7} During the
12 deglycosylation step catalyzed by a bifunctional glycosylase, a Schiff base forms between the
13 nitrogen of the nucleophile and C1' of the sugar moiety, and the sugar ring opens. In the next (β -
14 elimination) step, a proton bound to C β is abstracted by a base and the 3'-phosphate with respect
15 to the damaged nucleoside is eliminated (see, for example, Scheme 1(a) – (b)).⁸ In addition to β -
16 lyase activity, some bifunctional glycosylases possess δ -lyase activity, which expels the 5'-
17 phosphate with respect to the damaged nucleoside after C4'-H abstraction (see, for example,
18 Scheme 1(c) – (d)).⁸ When the bifunctional glycosylase does not exhibit δ -lyase activity, 5'-
19 phosphate elimination is facilitated by an AP-endonuclease. Regardless, the bifunctional
20 glycosylase is recovered via hydrolysis of the DNA–protein crosslink. At the end of the repair
21 process, a native nucleotide is added at the original damage site by a DNA polymerase and the
22 DNA strand is sealed by a ligase.

1 To date, eight different DNA glycosylases have been identified in *E. coli* and ten in
2 humans, each of which targets specific types of damaged nucleobases, with the substrate
3 specificity overlapping in some cases.⁹ Bifunctional formamidopyrimidine-DNA glycosylase
4 (FPG or MutM) is primarily responsible for excising OG in bacteria. Although its human
5 counterpart, hOgg1, is specific to OG and 2,6-diamino-4-hydroxy-5-formamidopyrimidine
6 (FapyG), FPG also excises several other damaged nucleobases, such as thymine glycol (Tg),
7 dihydrouracil (DHU) and 5-hydroxyuracil (5-OHU), as well as hydantoins.⁹⁻¹³ FPG and hOgg1
8 also differ in the active site nucleophile that catalyzes the base excision step, which has been
9 identified to be a proline residue (Pro2) for FPG compared to a lysine side chain (Lys249) for
10 hOgg1. Interestingly, FPG is approximately 80-fold more efficient than hOgg1 at excising OG.¹⁴
11 Moreover, although hOgg1 exhibits only weak β -lyase activity,^{5, 15} FPG exhibits both β - and δ -
12 lyase activity.⁹

13 The unique features of FPG compared to hOgg1 have attracted attention towards this
14 bacterial enzyme. Despite an abundance of experimental studies on different aspects of the BER
15 process facilitated by FPG,^{13, 16-21} and structural information gained from available DNA-
16 enzyme crystal structures,²²⁻²⁴ several mechanistic features of the reactions catalyzed by FPG
17 remain unclear and require further detailed investigation. Indeed, very little is known about the
18 mechanism of action of the β - and δ -lyase reactions catalyzed by FPG. Specifically, the general
19 base that abstracts the proton in the β -elimination reaction is currently unidentified.⁹ A strong
20 acceptor is required for this proton abstraction step despite the lower pK_a of C2' in the Schiff
21 base than the nucleotide.⁹ A crystallographic water in the proximity of C2' (3.5 Å) was suggested
22 to play this role in *Geobacillus stearothermophilus* (*Bst*-FPG).²³ However, this molecule is not
23 present in related enzymes, including *E. coli* FPG.⁹ Although the excised OG has also been

1 proposed to facilitate proton abstraction in the case of hOgg1,^{25, 26} it has been suggested that OG
2 does not explain the overall efficiency of FPG.⁹ Moreover, since OG is not present in a crystal
3 structure of the borohydride-trapped DNA–protein crosslink, it has been proposed that excised
4 OG is not tightly bound in the FPG active site, likely diffusing away after cleavage.²³ In addition
5 to unknowns surrounding C4' proton abstraction, there are currently no proposals for the identity
6 of the general base that abstracts the C4' proton in the δ -elimination reaction.

7 Although there has been some speculation about the general base that abstracts the
8 protons, even fewer details are available about the phosphate elimination reaction. Crystal
9 structures reveal that the 3'- and 5'-phosphates with respect to the damaged nucleoside are in
10 close proximity to conserved residues in FPG.^{23, 27} Specifically, the 3'-phosphate interacts with
11 Lys57 and Arg259, while the 5'-phosphate interacts with Asn169, Arg259 and Tyr242.²³ Indeed,
12 it has been proposed that Lys57 and Arg259 protonate the 3'- and 5'-phosphate moieties,
13 respectively, while the other residues further stabilize the increased negative charge formed upon
14 phosphate departure through hydrogen-bonding contacts.^{23, 24} Although this evidence suggests
15 that acidic residues in the proximity of the phosphate leaving groups are important for
16 elimination, the reaction mechanisms for the excision steps have yet to be uncovered.

17 In light of the above experimental unknowns, several computational studies have
18 investigated different aspects of the BER pathway facilitated by FPG.²⁸⁻³⁵ Previous
19 computational work includes molecular dynamics simulations of the *anti* (χ defined as
20 $\angle(\text{O4}'\text{-C1}'\text{-N9}\text{-C4})$ equals $180 \pm 90^\circ$) and *syn* ($\chi = 0 \pm 90^\circ$) conformers of OG, (unmodified)
21 G and several other lesions (such as FapyG, 4,6-diamino-5-formamidopyrimidine (FapyA), and
22 7,8-dihydro-8-oxoadenine (8-oxoA)) bound in the FPG active site, which shed light on the lesion
23 binding modes and substrate interactions in the recognition pocket.³¹⁻³⁴ In addition, quantum

1 mechanical studies have investigated the catalytic reaction mechanism of the first BER step
2 under the assumption that deglycosylation occurs prior to sugar ring opening.^{29, 30} A recent
3 combined experimental and computational study has characterized a deglycosylation mechanism
4 involving ring opening preceding glycosidic bond cleavage.^{36, 37} Beyond the initial
5 deglycosylation step, several unique pathways for the β -elimination reaction were characterized
6 in our lab using modified nucleoside-3'-monophosphate models and OG^- as the general base.^{28, 38}
7 However, the nucleoside-3'-monophosphate models employed in the previous studies were
8 neutralized by a sodium ion,^{28, 38} which prevents the phosphate protonation that has been
9 proposed to facilitate the reaction.^{23, 24} Furthermore, no computational study to date has
10 considered the mechanism of action of the δ -elimination reaction.

11 The present work uses quantum mechanical methods and a small, yet chemically-
12 relevant, model as a first step towards gaining currently missing information about the
13 mechanistic details of the β - and δ -elimination reactions catalyzed by a bifunctional glycosylase
14 during BER. In contrast to previous computational studies on the β -elimination step,^{28, 38} anionic
15 phosphate models are used to investigate the role of phosphate activation in the elimination
16 reactions. Furthermore, our model simultaneously includes both 3'- and 5'-phosphate residues to
17 allow the first comparison between the β - and δ -elimination reactions in attempts to reveal key
18 mechanistic and energetic similarities and/or differences between these BER steps. This
19 approach will unveil whether differences in the inherent chemistry helps explain why some
20 bifunctional glycosylases only facilitate the β -elimination reaction. By using a simplistic model
21 to characterize different potential pathways for the β - and δ -lyase reactions, the most relevant
22 routes will be identified that can subsequently be considered using large-scale DNA-protein
23 models in the future. Although we focus our discussion on FPG, our model choice allows our

1 results to be related to other bifunctional DNA glycosylases that use a proline residue to cleave
2 the glycosidic bond, and that nick the DNA strand at the 3'- and 5'-sides of the damaged
3 nucleoside (such as endonuclease VIII (Nei), Nei mammalian homologs (Nei-like: Neil)).
4 Moreover, since the nature of the amine nucleophile has been shown to have negligible effects
5 on the mechanism and energetics of the β -elimination step in the BER pathway,^{28, 38} our current
6 results for the 3'-phosphate elimination are valid for enzymes that employ a lysine nucleophile
7 and exhibit only β -lyase activity (such as hOgg1, *E. coli* endonuclease III (Nth) and (human)
8 hNTH1).

9

10 **Computational Details**

11 To simultaneously investigate the β - and δ -elimination reactions catalyzed by FPG, 1-[4-
12 hydroxy-3,5-diyl dimethyl bis(phosphate)pentylidene] pyrrolidinium was used as the core
13 component of our model (Scheme 2(a)). This component was built from the ring-opened
14 deoxyribose intermediate optimized in our previous study²⁸ by replacing the 5'-methoxy group
15 with a 5'-phosphate group. The 3'- and 5'-phosphates are capped with methyl groups in our
16 model to avoid hydrogen bonding between the DNA backbone and nucleobase (OG) that cannot
17 occur in the DNA-protein system. Support for our chosen starting model comes from a
18 comparison between the associated relaxed structure and crystal structures of FPG bound to a
19 borohydride-trapped abasic site (PDB ID: 1K82)²⁴ or a Schiff base intermediate (PDB ID:
20 1L1Z;²³ see Fig. S1, ESI). Specifically, deviations between our model and the crystal structures
21 mainly arise due to crystallization techniques used to trap the DNA-protein crosslink (such as
22 mutating key residue(s) or employing inhibitors), different C1' hybridizations (sp^3 in the crystal

1 structures versus sp^2 in the optimized model), and the flexibility of the terminal phosphates in
2 our model that are bound within a DNA oligomer in the crystal structures.

3 Similar to previous studies on the 3'-phosphate elimination BER step performed in our
4 group,^{28, 38} OG^- (or H-OG) is added to our model to act as the general base (or acid) as required
5 for the reaction to proceed (Scheme 2(b)). Furthermore, OG^- can accept (or H-OG can deliver) a
6 proton using either O8 or N9, and the associated pathways are denoted as O-base and N-base
7 throughout the present work, respectively. Nevertheless, OG^- or H-OG is removed from the
8 model for the reactions in which a general acid or base is not required. Similarly, the cleaved 3'-
9 phosphate is removed from our model following the β -elimination step to prevent artificial
10 interactions between the eliminated group and the remaining sugar-proline crosslink that are
11 unlikely to occur in the DNA-protein system.

12 The reaction potential energy surfaces (PESs) were searched by characterizing transition
13 states (TSs), and following the corresponding intrinsic reaction coordinate (IRC) in both
14 (forward and backward) directions. All resulting stationary points were fully optimized at the
15 B3LYP/6-31G(d) level of theory in the presence of bulk solvent as described by the IEF-PCM
16 method implemented in Gaussian 09.³⁹ Since molecular simulations predict the dielectric
17 constant to be $\epsilon \approx 3$ in the center of globular proteins and increases gradually to up to $\epsilon \approx 10$ at
18 the boundary,⁴⁰⁻⁴⁴ the dielectric was approximated as diethyl ether ($\epsilon = 4.24$) in all calculations
19 based on the shape of FPG and the active site location. Within our model, the product of some
20 chemical steps (denoted as “primed” intermediates) do not exactly correspond to the reactant for
21 the subsequent reaction due to inevitable translational motion of OG (the general base/acid)
22 during the reaction. In these cases, the structure obtained from the reverse IRC was considered to
23 be the intermediate for the previous reaction step. Unless otherwise mentioned, all reported

1 energies correspond to relative Gibbs energies obtained from single-point calculations at the
2 SMD-M06-2X/6-311+G(2df,2p) level of theory, which include scaled (0.9806) zero-point
3 vibrational energy and unscaled thermal corrections calculated at the optimization level of
4 theory. All calculations were carried out using Gaussian 09 (Revision C.01).³⁹

6 Results and Discussion

7 As outlined in the Introduction, the chemical steps facilitated by FPG include
8 deglycosylation, ring opening, β -elimination and δ -elimination. In this study, we have focused on
9 characterizing possible pathways for the β - and δ -elimination phases of BER facilitated by FPG
10 (Scheme 3). The relative SMD-M06-2X/6-311+G(2df,2p) Gibbs energies for all reaction steps
11 are provided in Tables 1 and 2, and the corresponding Gibbs reaction surfaces are shown in Fig.
12 1. The IEF-PCM-B3LYP/6-31G(d) relative energies (with and without (scaled) zero-point
13 vibrational energy corrections) are provided in the ESI (Tables S1 – S4). In the sections below,
14 important structural features of the stationary points and the energy barriers for all reaction
15 pathways characterized are compared to each other and the literature when possible, and the
16 biochemical implications of our findings that are important for future large-scale modeling on
17 complete DNA–enzyme models are discussed.

19 β -Lyase Step

20 As described in the Introduction, the β -elimination reaction catalyzed by FPG occurs in
21 conjunction with proton abstraction from C_β ($C2'$ of the deoxyribose) by a general base (Scheme

1 1(a)). Although either the *pro*-S-2' or *pro*-R-2' hydrogen can be abstracted in this step, structural
2 information suggest that the elimination reaction proceeds by *pro*-S-2' removal in *E. coli* FPG.⁹
3 Specifically, the average (ν_2) torsion angle around C1'-C2'-C3'-C4' in *E. coli* FPG ($\nu_2 = -$
4 81.6°) is close to the value required for *pro*-S-2' removal through an *anti* stereochemical
5 elimination ($\nu_2 \approx -60^\circ$).⁹ Moreover, a previous computational study on the β -elimination
6 reaction determined that *pro*-S-2' abstraction has a smaller associated barrier than *pro*-R-2'.³⁸
7 Therefore, only *pro*-S-2' removal was considered for the reaction facilitated by FPG in the
8 present work.

9 In agreement with previous computational studies on the β -lyase step of BER,^{28, 38} we
10 find that the elimination reaction occurs in two successive steps with proton abstraction
11 preceding phosphate cleavage (i.e., an E1cB mechanism). In our model, four different routes
12 were characterized for the first *pro*-S-2' abstraction step (Scheme 3). Specifically, the proton
13 abstraction from the reactant (RC1) was considered to be facilitated by O8 (denoted as O-base)
14 or N9 (denoted as N-base) of OG^- . Furthermore, since proton abstraction immediately follows
15 deglycosylation, OG^- was placed in an orientation with respect to the rest of the model that
16 corresponds to an *anti* or *syn* nucleoside conformation.

17 For O-base *pro*-S-2' abstraction, the initial *syn* OG^- pathway led to a transition state with
18 a similar structure (Fig. S2, ESI), but (~ 40 kJ/mol) higher barrier (Table S1, ESI), than isolated
19 from an initial *anti* OG^- configuration. Thus, this pathway is not further discussed. Along the
20 *anti* O-base *pro*-S-2' abstraction pathway (Fig. 2, left), the transition state (TS1, Fig. 2, left)
21 occurs with $d(O8 \cdots H) = 1.246 \text{ \AA}$, $d(C2' \cdots H) = 1.388 \text{ \AA}$, and $\angle(C2' \cdots H \cdots O8) = 174.3^\circ$. Both
22 $d(O8 \cdots H)$ and $d(C2' \cdots H)$ are slightly shorter (by 0.045 and 0.008 \AA , respectively) than
23 previously estimated using a nucleoside-3'-monophosphate model²⁸ since interactions between

1 the 5'-phosphate and OG^- (mainly N7-H) in our current model delay the TS. In the associated
2 intermediate (IC1'; Fig. 2, left), the proton is completely transferred to OG ($d(\text{H}-\text{O8}) = 0.992 \text{ \AA}$)
3 and a planar $\text{C3}'-\text{C2}'-\text{C1}'-\text{N}\alpha$ arrangement is formed. H-OG maintains an $\text{N7}-\text{H}\cdots\text{O}$ interaction
4 with the 5'-phosphate, which pulls H-OG away from C2' (i.e., $d(\text{O8}-\text{H}\cdots\text{C2}') = 2.114 \text{ \AA}$
5 compared to 2.063 \AA in the absence of the 5'-phosphate).²⁸

6 When C2'-H abstraction is facilitated by N9 (N-base pathway, Scheme 3), the proton
7 abstraction barrier is significantly ($\sim 32 \text{ kJ/mol}$) lower when initiated with an *anti* rather than a
8 *syn* OG^- orientation (Table S2, ESI). This difference mainly arises because the optimized
9 reactant from the reverse IRC for the *syn* TS contains an intact glycosidic bond and an opened
10 ring (Fig. S3, ESI). Thus, a larger calculated barrier arises for the *syn* than *anti* OG^- pathway
11 since the barrier also accounts for the deglycosylation reaction. Although the deglycosylation
12 BER step is not the focus of the current investigation, we acknowledge that there is a controversy
13 in the literature surrounding the order of the deglycosylation and ring opening steps.^{9, 36, 37} Using
14 a similar model, our previous work suggests that (*syn* dOG) deglycosylation requires ~ 140
15 kJ/mol when occurring prior to ring opening.^{28, 38} However, the current work predicts the
16 calculated deglycosylation barrier to be 83.4 kJ/mol when occurring after ring opening in
17 conjunction with C2'-H abstraction. Thus, our data suggests that the deglycosylation reaction
18 can occur with a much lower energy barrier once the deoxyribose ring is opened. This finding is
19 supported by the most recent experimental and computational studies on the deglycosylation of
20 OG^{36} and FapyG³⁷ facilitated by FPG, which proposed that an initial acid-catalyzed ring-opening
21 step is followed by barrierless deglycosylation. Regardless, since the present investigation is
22 concerned with the (β - and δ -) lyase activity of FPG, we focus our attention on the *anti* OG^- N-
23 base pathway for β -lyase activity below.

1 In the *pro-S-2'* abstraction transition state associated with the *anti* OG^- N-base pathway
2 (TS1; Fig. 2, right), $d(\text{N9}\cdots\text{H}) = 1.400 \text{ \AA}$ and $d(\text{C2}'\cdots\text{H}) = 1.334 \text{ \AA}$, which are comparable to the
3 distances found using a model that lacks the C5'-phosphate moiety ($d(\text{N9}\cdots\text{H}) = 1.421 \text{ \AA}$ and
4 $d(\text{C2}'\cdots\text{H}) = 1.320 \text{ \AA}$). Furthermore, the $\angle(\text{C2}'\cdots\text{H}\cdots\text{N9})$ angle is 169.2° , which is only slightly
5 ($\sim 2^\circ$) larger than previously obtained using the smaller model.²⁸ TS1 leads to an intermediate
6 (IC1'; Fig. 2, right) with a planar $\text{C3}'\text{-C2}'\text{-C1}'\text{-N}\alpha$ arrangement and H-OG in the proximity of
7 the crosslink ($d(\text{N9-H}\cdots\text{C2}') = 2.288 \text{ \AA}$). However, H-OG is further removed from the
8 crosslink than in the intermediate associated with the O-base pathway (by 0.174 \AA), likely due to
9 repulsive contacts between O8 and the 5'-phosphate.

10 Comparison of the (*anti* OG^-) transition states for C2'-H abstraction facilitated by O8
11 and N9 reveals that $d(\text{N9}\cdots\text{H})$ is significantly (0.154 \AA) longer than $d(\text{O8}\cdots\text{H})$, while the
12 $\text{C2}'\cdots\text{H}$ distance is 0.058 \AA shorter for the N-base than O-base pathway (Fig. 2). Moreover, the
13 proton transfer occurs with a smaller angle for the N-base ($\angle(\text{C2}'\cdots\text{H}\cdots\text{N9}) = 169.4^\circ$) than O-
14 base ($\angle(\text{C2}'\cdots\text{H}\cdots\text{O8}) = 174.3^\circ$) pathway. These structural differences result in a larger barrier
15 for the N-base (51.5 kJ/mol) than O-base (22.0 kJ/mol) reaction. Although the O-base abstraction
16 barrier is in good agreement with that calculated using a nucleoside-3'-monophosphate model
17 and the same level of theory (21.5 kJ/mol), our N-base abstraction barrier is larger than in the
18 absence of the 5'-phosphate (26.0 kJ/mol),²⁸ which may be due to destabilizing interactions
19 between O8 and O5' in the transition structure associated with the current model. In fact, in the
20 N-base pathway, OG retains the initial *anti* orientation in the current study (Fig. 2), but
21 rearranges to a *syn* orientation in the nucleoside-3'-monophosphate model, which leads to a
22 stabilizing interaction between O5' and N2-H.²⁸

1 Following the proton abstraction step, the C3'-PO₄ bond cleavage completes the β-
2 elimination reaction (Scheme 1(b)). Since a negatively charged phosphate is a poor leaving
3 group, efforts to locate a transition state for the elimination reaction without protonating the
4 phosphate group were unsuccessful. Indeed, the 3'-phosphate with respect to the damaged
5 nucleoside is exposed to conserved Lys57 and Arg259 active site amino acids in crystal
6 structures of FPG bound to DNA (Fig. 4(a)).^{23,24} The proposed mechanism for the C3'-PO₄ bond
7 cleavage involves protonation of a terminal oxygen in the phosphate moiety by Lys57, with
8 additional stabilization provided by Arg259.²⁴ In our model, free H-OG (i.e., OG⁻ formed
9 following deglycosylation and C2' proton abstraction by O8 (O-base) or N9 (N-base)) acts as the
10 general acid to deliver a proton to the phosphate group (Scheme 3). To model this reaction step,
11 H-OG was moved from the O5'-side in the intermediate from the C2' abstraction step (IC1'; Fig.
12 2, left) to the O3'-side of the original deoxyribose moiety to generate IC1 (Fig. 3), the reactant
13 for the C3'-phosphate activation/departure. Although activation was considered from both O8
14 and N9, the transition state for 3'-phosphate protonation by N9 could not be located, likely due to
15 steric or electrostatic clashes between O8 of H-OG and O4' of the sugar-phosphate backbone.

16 The 3'-phosphate protonation and elimination reactions are concerted (TS2, Fig. 3). In the
17 transition state, $d(\text{O3}'\cdots\text{C3}') = 1.529 \text{ \AA}$ and H-OG (O8) delivers the proton to the phosphate at
18 an $\angle(\text{O8}\cdots\text{H}\cdots\text{O3}')$ angle equal to 164.4° , with $d(\text{O8}\cdots\text{H}) = 1.361 \text{ \AA}$ and $d(\text{H}\cdots\text{O3}') = 1.103 \text{ \AA}$.
19 The $d(\text{O3}'\cdots\text{C3}')$ distance in the transition state is significantly shorter than when the phosphate
20 group is neutralized by a sodium ion (2.529 and 2.576 Å for the O-base and N-base pathways,
21 respectively).²⁸ The calculated relative Gibbs energy for the 3'-phosphate cleavage step is 19.9
22 kJ/mol (Table 1). The β-elimination reaction results in an intermediate in which 3'-PO₄ is
23 protonated at O3' and the O3'-C3' bond is completely cleaved (IC2, Fig. 3).

1 We emphasize that the 3'-phosphate elimination could only be characterized with our
2 model once the leaving group was protonated, and our newly calculated barrier (19.9 kJ/mol) is
3 significantly less than that previously reported when the phosphate moiety is solely stabilized by
4 a sodium cation (80.7 – 95.4 kJ/mol).²⁸ Therefore, we anticipate that protonation of the
5 phosphate moiety is required prior to expulsion, which is in-line with the elimination mechanism
6 conjectured from experimental crystal structures.²⁴ Furthermore, this mechanism is supported by
7 longer distances (weaker interactions) between the 3'-phosphate with respect to the damaged
8 nucleoside and active site residues in hOgg1 (Fig. 4(b)),²⁵ which shows only weak β -lyase
9 activity.¹⁵ Interestingly, the contact distances between FPG active site residues (Lys57 and
10 Arg259) and the 3'-phosphate are shorter to the terminal oxygen atoms ($\sim 2.5 - 3.1 \text{ \AA}$) than O3'
11 ($\sim 4.9 - 5.1 \text{ \AA}$) in the lesion recognition complex (PDB ID: 1R2Y),⁴⁵ as well as Schiff base (PDB
12 ID: 1K82)²⁴ and abasic site (PDB ID: 1L1Z²³ and 3TWM⁴⁶) intermediates (see Fig. 4(a));
13 however, all efforts to protonate a terminal oxygen atom of the phosphate group in our model
14 were unsuccessful, and instead only O3' could be protonated. Although this discrepancy could be
15 an artifact of our computational model, this finding may suggest that active site residues adopt
16 new positions as the reaction proceeds that alter active site–phosphate interactions compared
17 with the static pictures provided by crystal structures or other species are involved in the
18 reaction. For example, water molecules can be found in the proximity of the 3'-phosphate,^{45, 47}
19 which may protonate O3'. Regardless of the acid that protonates the phosphate group, additional
20 stabilizing phosphate–enzyme interactions (Fig. 4(a)) may further lower the barrier height
21 determined with our model. Therefore, the relative importance, dynamics and interplay of the
22 multiple hydrogen bonds between FPG, active site water and the 3'-phosphate found in

1 experimental crystal structures must be more carefully considered using a complete DNA–
2 enzyme model.

3

4 **δ -Lyase Step**

5 Current knowledge about the mechanism of the δ -lyase activity facilitated by FPG is even
6 less than that for the β -lyase step. In parallel to the β -lyase reaction, it has been proposed that a
7 (currently unidentified) base abstracts a proton from C4' and, subsequently, the 5'-phosphate is
8 eliminated (Scheme 1(c)–(d)).⁹ Unlike for the β -elimination step, there is only one proton
9 abstraction possibility (Scheme 4(a)). In our model, C4'–H is initially abstracted by either O8 or
10 N9 of OG[−] (Scheme 3), which produces a conjugated π -system over C4'–C3'–C2'–C1'–N α (Fig.
11 5). The associated transition structure is earlier for the N-base than O-base pathway (TS3, Fig.
12 5), with $d(\text{C4}'\cdots\text{H})$ being 0.023 Å shorter and $d(\text{X}\cdots\text{H})$ being 0.101 Å longer (X = N9 for N-base
13 and O8 for O-base pathway). The earlier TS for the N-base pathway may be due to hydrogen
14 bonding between O4'–H and O8 of OG, which is not feasible along the O-base pathway since
15 O4'–H is instead oriented towards the 5'-phosphate (TS3, Fig. 5). For the O-base pathway, OG[−]
16 abstracts the C2' and C4' protons with a similar angle ($\angle(\text{C2}'\cdots\text{H}\cdots\text{O8}) = 174.3^\circ$ and
17 $\angle(\text{C4}'\cdots\text{H}\cdots\text{O8}) = 171.9^\circ$) in the β - and δ -elimination steps. In contrast, the proton abstraction
18 angles differ by $\sim 11^\circ$ for the N-base pathway ($\angle(\text{C2}'\cdots\text{H}\cdots\text{N9}) = 169.2^\circ$ and $\angle(\text{C4}'\cdots\text{H}\cdots\text{N9}) =$
19 158.2°), although both angles are smaller than in the corresponding O-base pathway. In the
20 intermediate complex (IC3, Fig.4), O8 hydrogen bonds with O4'–H and N9–H interacts with 5'-
21 PO₄ along the N-base pathway, while such specific interactions are absent in the O-base
22 intermediate.

1 The energy barriers associated with proton abstraction in the δ -elimination step are 34.7
2 or 48.0 kJ/mol for the O-base or N-base pathways, respectively (Table 2). The higher barrier for
3 the N-base pathway stems at least in part from hydrogen bonding between O4'-H and O8 in the
4 associated reactant (RC2, Fig. 5). Both transition structures (TS3, Fig. 5) show bending of the
5 sugar-phosphate moiety relative to the corresponding reactant (RC2). This bending occurs since
6 it is energetically more favorable for the molecule to form an extended conjugated π -system after
7 proton abstraction by aligning the p -orbital of C4' in a parallel fashion with respect to the p -
8 orbitals of C3', C2', C1', and N α (Scheme S1, ESI), which results in a highly stable intermediate
9 (IC3, Fig. 5 and Table 2). The intermediate associated with the N-base pathway is more stable
10 with respect to the corresponding reactant (-102.8 kJ/mol) than the O-base intermediate (-53.8
11 kJ/mol) due discrete hydrogen bonds between H-OG and the sugar-phosphate moiety. Although
12 the C4' and C2' hydrogen abstraction barriers are similar for the N-base pathways (within 3
13 kJ/mol), the C4'-H abstraction pathway requires an additional 12 kJ/mol than C2'-H abstraction,
14 likely due to the aforementioned bending in the transition state.

15 Similar to the β -elimination step, 5'-phosphate departure follows proton abstraction from
16 the neighbouring (C4') carbon. Furthermore, a transition state for the 5'-phosphate elimination
17 could not be located in the absence of leaving group protonation. However, in the case of δ -
18 elimination, efforts to protonate the phosphate group by (either O8 or N9) H-OG (the
19 intermediate (IC3) following the C4' abstraction step) were also unsuccessful. Instead, two
20 unique pathways were characterized for 5'-PO₄ protonation/departure that involve the O4' proton
21 (Schemes 3 and 4). In the first (direct) pathway, 5'-PO₄ is activated through direct proton transfer
22 from O4' to O5' (Scheme 4(c)). In the second (assisted) pathway, the O4' proton is initially
23 transferred to OG⁻ and 5'-PO₄ is then activated for departure at O5' by H-OG (Scheme 4(c') –

1 (c'')). Although technically either O8 or N9 could abstract the O4' proton, only the N-base
2 pathway could be characterized. Furthermore, in order for O4'–H abstraction to take place, H–
3 OG in the previous intermediate (IC3) is replaced by OG[−] in the reactant for the assisted pathway
4 (RC3').

5 In the transition state for O4' proton abstraction along the assisted pathway (TS4', Fig. 6),
6 $d(\text{O4}'\cdots\text{H})$ and $d(\text{H}\cdots\text{N9})$ are 1.370 and 1.158 Å, respectively, and $\angle(\text{O4}'\cdots\text{H}\cdots\text{N9}) = 171.6^\circ$.
7 Similar to the β -elimination reaction, the phosphate protonation and cleavage steps are
8 simultaneous in both the assisted (TS4'') and direct (TS4) pathways (Fig. 6). In the assisted
9 pathway, $d(\text{O5}'\cdots\text{C5}')$ = 2.452 Å, which is significantly longer than in the transition state for the
10 β -elimination ($d(\text{O3}'\cdots\text{C3}')$ = 1.529 Å) and slightly longer than in the direct pathway
11 ($d(\text{O5}'\cdots\text{C5}')$ = 2.310 Å). Furthermore, proton delivery occurs at a narrower angle
12 ($\angle(\text{O4}'\cdots\text{H}\cdots\text{O5}')$ = 145.8°) in the direct pathway compared to the assisted 5'-PO₄ cleavage
13 ($\angle(\text{N9}\cdots\text{H}\cdots\text{O5}')$ = 171.9°) and the β -elimination step ($\angle(\text{O8}\cdots\text{H}\cdots\text{O3}')$ = 164.4°). The energy
14 barrier for the 5'-PO₄ elimination is 188.6 kJ/mol for the direct route and 166.5 kJ/mol for the
15 assisted pathway, which involves an additional 21.9 kJ/mol barrier associated with O4' proton
16 abstraction. This suggests that there is not an energetic preference for one pathway over the other
17 within our model. The immediate product of the δ -elimination reaction is an enolate moiety
18 (IC4'/IC4''), which rearranges to the final ketone product through a proton transfer from the
19 expelled phosphate to C5' (Scheme 4(d) – (e)). In the transition state (TS5, Fig. 7), the phosphate
20 group delivers the proton with $\angle(\text{O5}'\cdots\text{H}\cdots\text{C5}')$ = 171.6°, $d(\text{O5}'\cdots\text{H})$ = 1.512 Å and $(\text{C5}'\cdots\text{H})$ =
21 1.200 Å. The associated calculated barrier is large (121.7 kJ/mol, Table 2) due to perturbation of
22 the conjugated π -system upon protonation of C5' (Scheme 4(d) – (e)), which completes the δ -
23 lyase reaction (P, Fig. 7).

1 As discussed above, a transition state for the 5'-phosphate elimination reaction could not
2 be isolated without phosphate protonation. Analysis of experimental crystal structures suggests
3 that the 5'-phosphate interacts with Asn169, Arg259 and Tyr237 in the FPG active site (Fig.
4 4(a)), with Arg259 being proposed to protonate a terminal oxygen for phosphate departure.^{23, 24}
5 However, as discussed for 3'-phosphate elimination, efforts to locate a transition state
6 corresponding to protonation of a terminal oxygen atom were unsuccessful and instead
7 protonation only occurred at O5' in our model. It is interesting to note that FPG active site
8 residues are generally closer to O5' (< 4.6 Å) than O3' (< 5.3 Å) in a range of crystal
9 structures,^{23, 24, 45, 46} which may suggest that protonation of the 5'-phosphate at O5' is more
10 feasible. Nevertheless, the distances between the active site residues and terminal oxygen atoms
11 in the 5'-phosphate group are shorter (up to 3.6 Å) than to O5' (up to 4.6 Å) as discussed for the
12 3'-phosphate.

13 According to our model, the calculated barrier for the 5'-PO₄ elimination (166.5 – 188.6
14 kJ/mol) is significantly larger than anticipated for an enzyme-catalyzed reaction. Although this is
15 likely in part due to the small size of our model, which neglects additional interactions with the
16 5'-phosphate occurring in the FPG active site, our results highlight the intrinsic low reactivity of
17 the C5'–O5' bond. As discussed for the 3'-PO₄ elimination step, larger computational models are
18 required to elucidate the reaction mechanism and role of active site amino acids and/or solvent in
19 this important process. Regardless, our calculated barrier for the δ -elimination step is much
20 larger than determined for the β -elimination step (19.9 kJ/mol). This predicted difference
21 between the β - and δ -elimination reactions is in agreement with experimental evidence
22 suggesting that δ -elimination is the rate-determining step for OG removal by FPG.⁴⁸

1 Furthermore, this observation may explain why bifunctional glycosylases that lack intensive
2 interactions with 5'-PO₄ (such as hOgg1; Fig. 4(b)) do not show δ -lyase activity.¹⁵

3

4 **Conclusion**

5 In the current study, the β - and δ -elimination reactions facilitated by bifunctional
6 glycosylases in the context of the base excision repair process were examined, with specific
7 emphasis on bacterial FPG. Our model predicts that both the β - and δ -elimination reactions
8 proceed through a step-wise (E1cB) mechanism in which (C2' or C4') proton abstraction is
9 followed by cleavage of the phosphate backbone. Attempts to characterize the transition state
10 corresponding to phosphate elimination without protonation were unsuccessful, and our model
11 predicts phosphate protonation and elimination to be simultaneous events. In the case of 5'-side
12 cleavage, two nearly isoenergetic pathways for phosphate protonation/elimination were
13 characterized, which involved either (intramolecular) proton transfer from O4' or protonation
14 assisted by a general acid (OG⁻ in our model abstracts the O4' proton and delivers the proton to
15 the 5'-phosphate group). Regardless, our calculations suggest that the additional charge
16 developing on the phosphate moiety must be highly stabilized in the glycosylase active site in
17 order for the reaction to occur. It is anticipated that the multiple active site interactions with the
18 phosphate groups seen in experimental crystal structures of FPG bound to DNA will further
19 lower the barriers calculated with our model. Interestingly, our model indicates that O3' and O5'
20 are intrinsically more likely to accept a proton than the terminal oxygen atoms of the phosphate
21 group, which contradicts conclusions drawn from interaction distances measured in experimental
22 crystal structures. Most importantly, the calculated barrier for 5'-phosphate elimination is larger

1 than for 3'-phosphate elimination. This intrinsic difference between the β - and δ -
2 dephosphorylation reactions at least in part rationalizes why the δ -elimination is the rate-
3 determining step for some bifunctional glycosylases (such as bacterial FPG) and explains why
4 some bifunctional glycosylases do not exhibit δ -lyase activity (such as human hOgg1). Future
5 work can use the reaction pathways characterized in the present study and large-scale DNA-
6 protein models to investigate the effects of interactions with active site residues on the
7 elimination reactions in order to verify our reported mechanisms and elucidate the roles of
8 individual DNA-protein contacts.

9

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15 Innovation (CFI). Computer resources were provided by NUCLEIC (New Upscale Cluster for
16 Lethbridge to Enable Innovative Chemistry) and WestGrid, part of the Compute/Calcul Canada
17 HPC platform.

18

19 **Notes**

20 ^a Department of Chemistry and Biochemistry, University of Lethbridge, 4401 University Drive
21 West, Lethbridge, Alberta, T1K 3M4, Canada. E-mail: stacey.wetmore@uleth.ca

1 Electronic Supplementary Information (ESI) available: [Quantum Mechanical Study of the
2 β - and δ -Lyase Reactions during the Base Excision Repair Process: Application to FPG
3 DNA Glycosylase]. See DOI:
4

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Table 1. Relative Gibbs energies (ΔG , kJ/mol) for stationary points characterized along the *anti* O-base and N-base pathways for the β -elimination reaction.^a

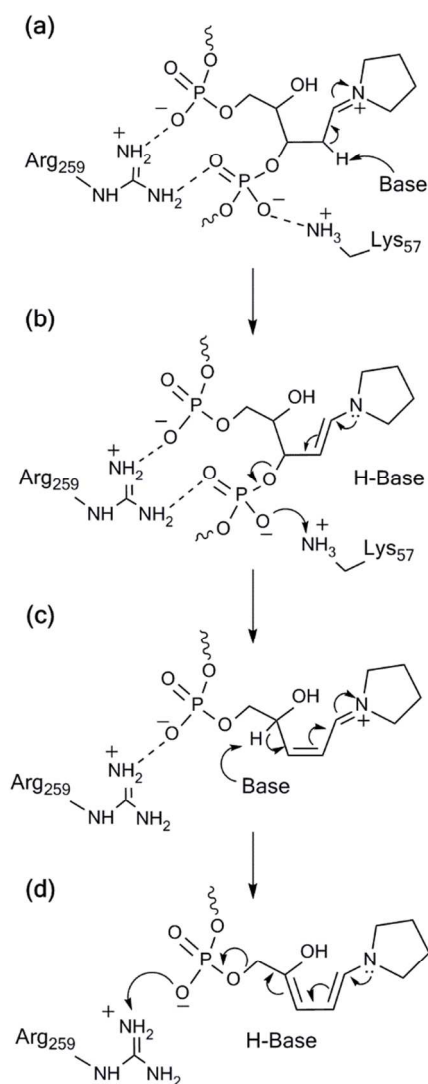
Reaction Step	Stationary Point	O-base	N-base	
C2'-H	RC1	0.0	0.0	
Abstraction	TS1	22.0	51.5	
	IC1	-0.6	-2.6	
3'-PO₄	TS2	19.3	-	
	Elimination	IC2	-18.7	-

^a Relative energies were obtained with SMD-M06-2X/6-311+G(2df,2p)//IEF-PCM-B3LYP/6-31G(d) and include (scaled) zero-point vibrational energy and (unscaled) thermal corrections. See Figures 2 – 3 for the corresponding structural information.

Table 2. Relative Gibbs energies (ΔG , kJ/mol) for stationary points characterized along the O-base and N-base pathways for the δ -elimination reaction, as well as the enol-keto rearrangement.^a

Reaction Step	Stationary Point	O-base	N-base	
C4'-H	RC2	0.0	0.0	
	Abstraction	TS3	34.7	48.0
		IC3	-53.8	-102.8
5'-PO₄	Elimination	Direct	Assisted	
		RC3/RC3'	0.0	0.0
	TS4'	-	21.9	
	TS4/IC4'	188.6	18.8	
	TS4''	-	185.3	
Enol-keto	IC4/IC4''	78.9	92.0	
	Rearrangement	TS5	200.6	-
		P	176.8	-

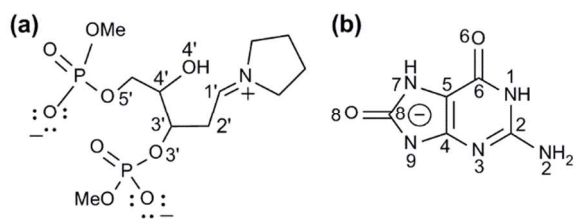
^a Relative energies were obtained with SMD-M06-2X/6-311+G(2df,2p)//IEF-PCM-B3LYP/6-31G(d) and include (scaled) zero-point vibrational energy and (unscaled) thermal corrections. See Figures 3 – 6 for the corresponding structural information.



1
 2 **Scheme 1.** Proposed chemical steps during β - and δ -lyase activity of FPG. Specifically, (a) an
 3 active-site base abstracts *pro-S*-2', which is followed by (b) the elimination of the 3'-phosphate.
 4 Subsequently, (c) C4'-H is removed by a general base and (d) δ -elimination occurs. In FPG, the
 5 3'-phosphate has been proposed to be protonated by Lys57 and further stabilized by Arg259, while the
 6 5'-phosphate has been proposed to be protonated by Arg259 and further stabilized by
 7 Tyr237 and Asn169. Asn169 and Tyr237 are not shown for clarity.

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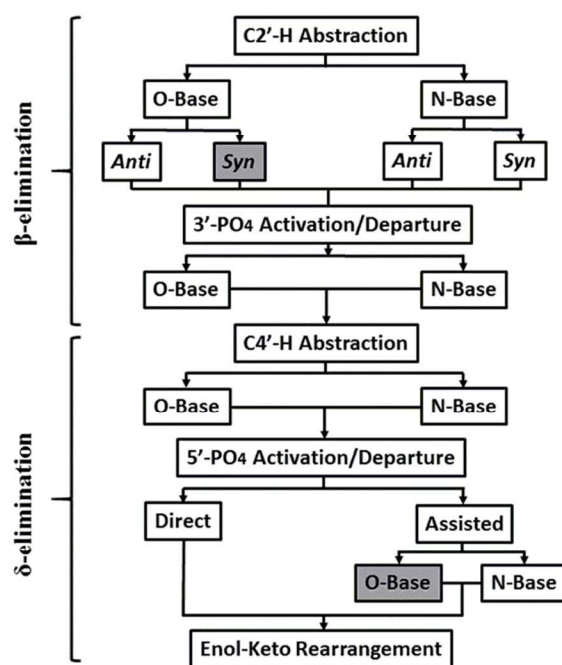
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4 **Scheme 2.** 2D representation and atomic numbering of a) 1-[4-hydroxy-3,5-diyl dimethyl
5 bis(phosphate)pentylidene] pyrrolidinium, and b) the negatively charged OG employed in our
6 initial computational model.



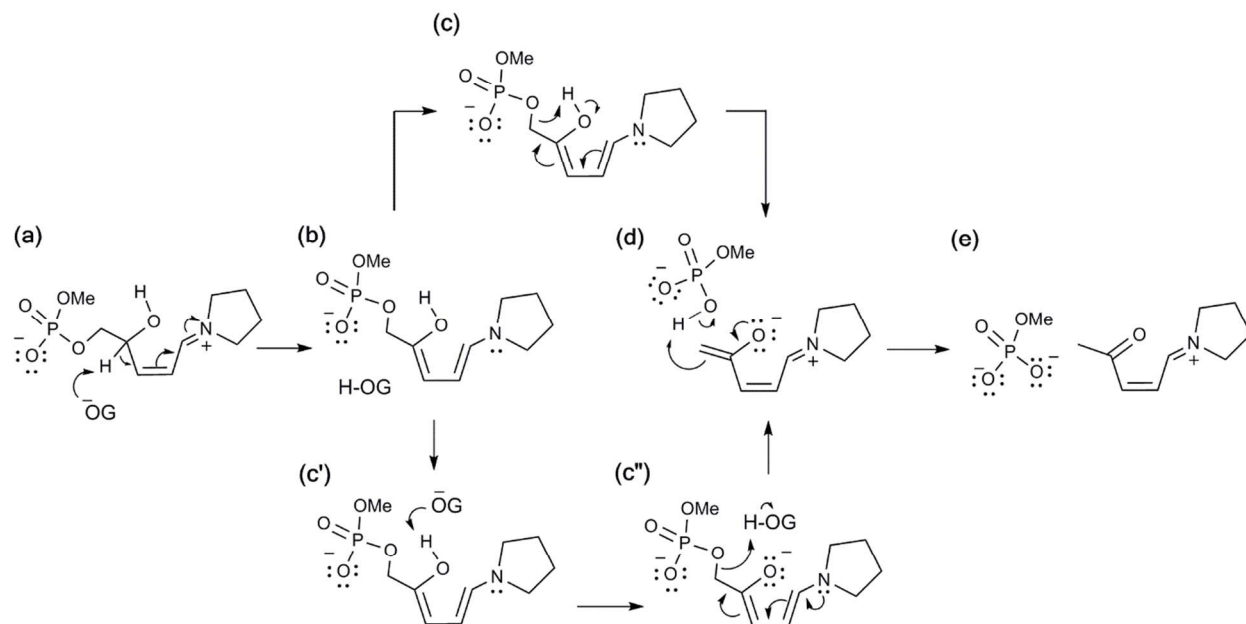
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10 **Scheme 3.** Pathways for β - and δ -elimination facilitated by FPG considered in the present study.

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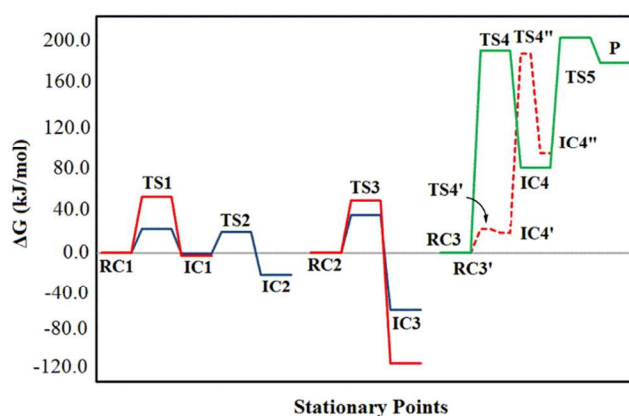


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Scheme 4. Pathways characterized in this study for the δ -elimination reaction facilitated by FPG, including direct ((b) – (c)) and assisted ((b) – (c') – (c'')) phosphate protonation/elimination routes.

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5 **Fig. 1** The SMD-M06-2X/6-311+G(2df,2p)//IEF-PCM-B3LYP/6-31G(d) Gibbs energies
6 (kJ/mol) relative to the corresponding reactant for each pathway considered in the present study,
7 including the N-base (red, solid), O-base (blue, solid), the N-base assisted 5'-PO₄ elimination
8 (red, dashed), and the direct 5'-PO₄ elimination followed by enol-keto rearrangement (green,
9 solid).

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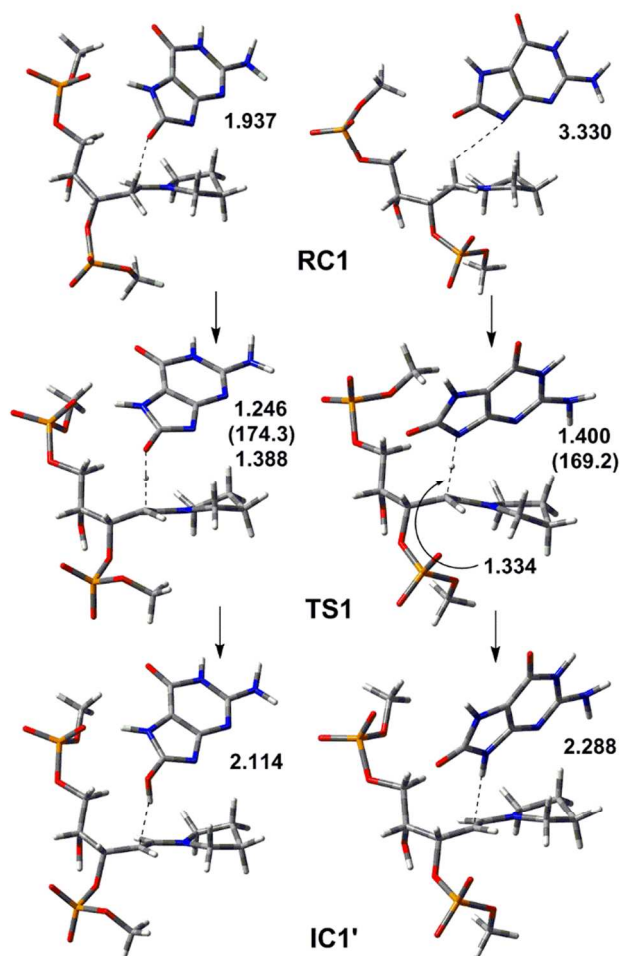
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5 **Fig. 2** Structures characterized along the *anti* O-base (left) and *anti* N-base (right) pathways for
6 the C2'-H abstraction reaction. Important distances (Å) and angles (deg, in parentheses) obtained
7 with IEF-PCM-B3LYP/6-31G(d) are provided.

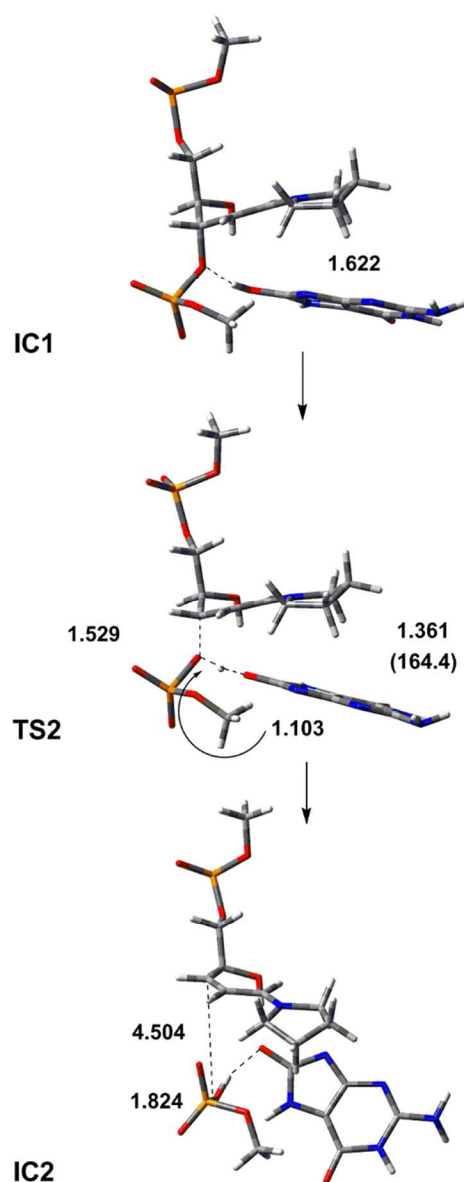
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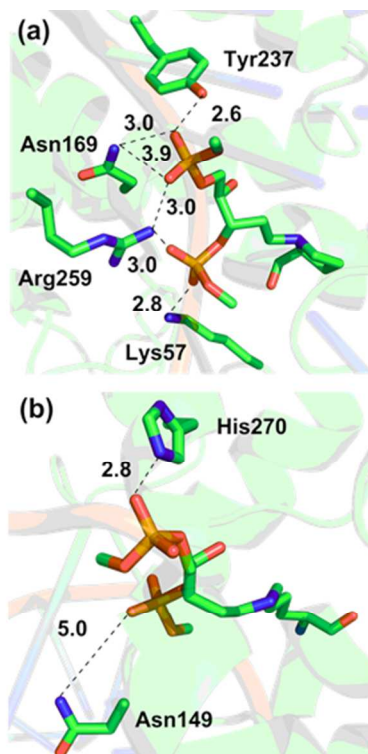
3 **Fig. 3** Structures characterized along the O-base pathway for the 3'-PO₄ activation/elimination
4 reaction. Important distances (Å) and angles (deg, in parentheses) obtained with IEF-PCM-
5 B3LYP/6-31G(d) are provided.

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7 **Fig. 4** Interactions between active site residues, and the 3'- and 5'-phosphate groups in a) FPG
8 (PDB ID: 1L1Z) and b) hOgg1 (PDB ID: 1LWY). Select distances (Å) are provided. Main chain
9 residues and water molecules are not shown for clarity.

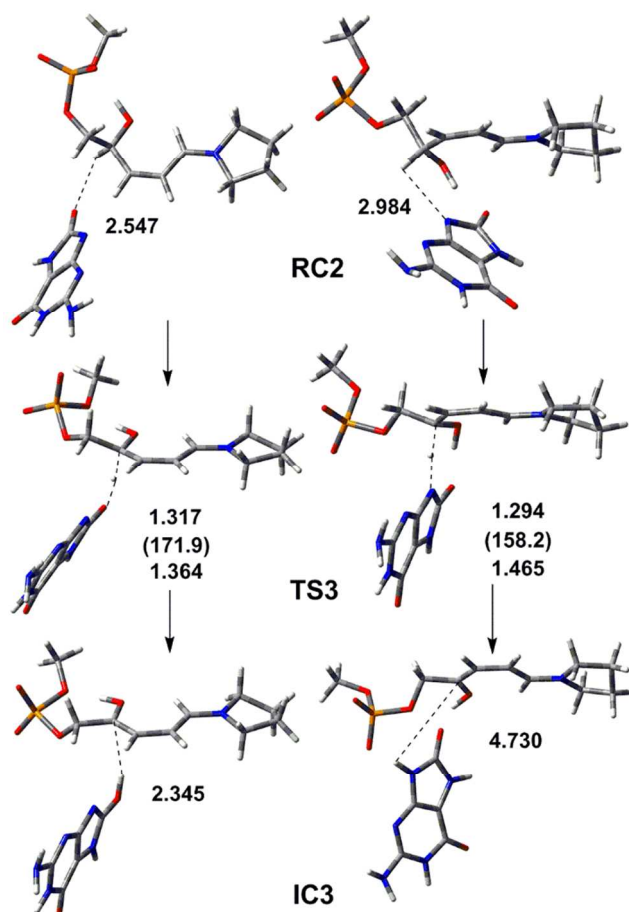
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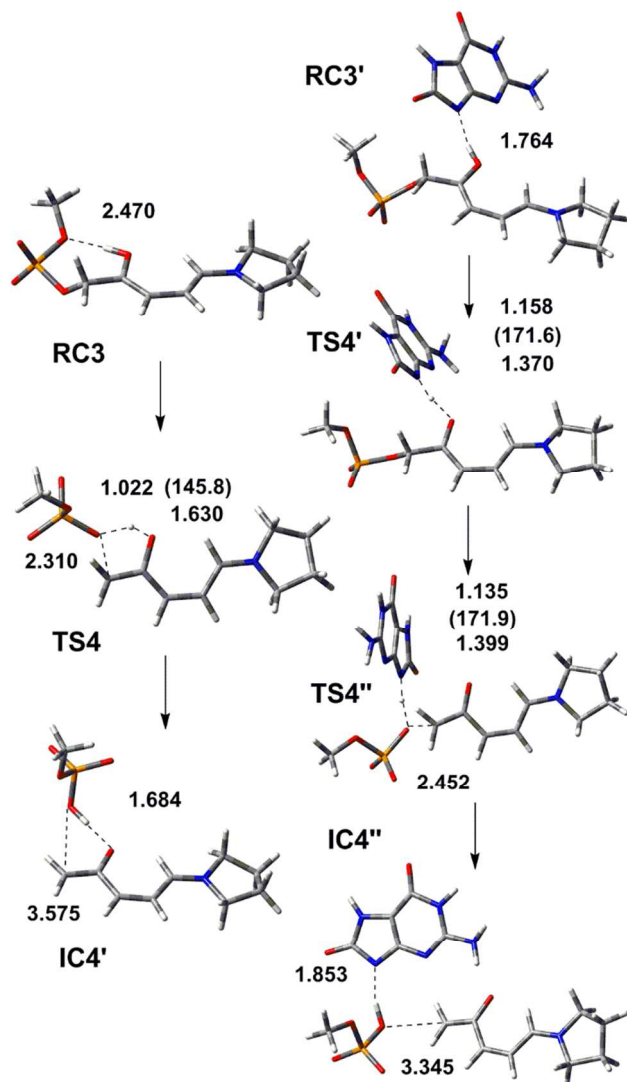
4 **Fig. 5** Structures characterized along the O-base (left) and N-base (right) pathways for the C4'-H
5 abstraction reaction. Important distances (Å) and angles (deg, in parentheses) obtained with IEF-
6 PCM-B3LYP/6-31G(d) are provided.

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3 **Fig. 6** Structures characterized along the direct (left) and acid-assisted (right) pathways for the
 4 5'-PO₄ activation/elimination reaction. Important distances (Å) and angles (deg, in parentheses)
 5 obtained with IEF-PCM-B3LYP/6-31G(d) are provided.

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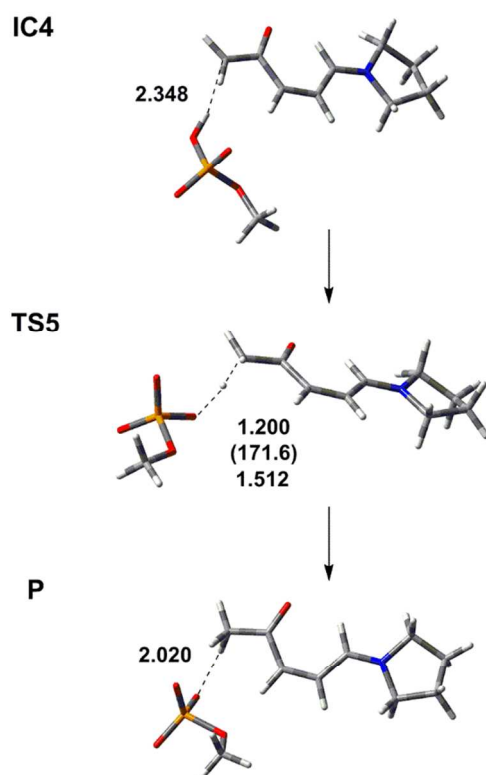
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4 **Fig. 7** Structures characterized for the enol-keto rearrangement step. Important distances (Å) and
5 angles (deg, in parentheses) obtained with IEF-PCM-B3LYP/6-31G(d) are provided.

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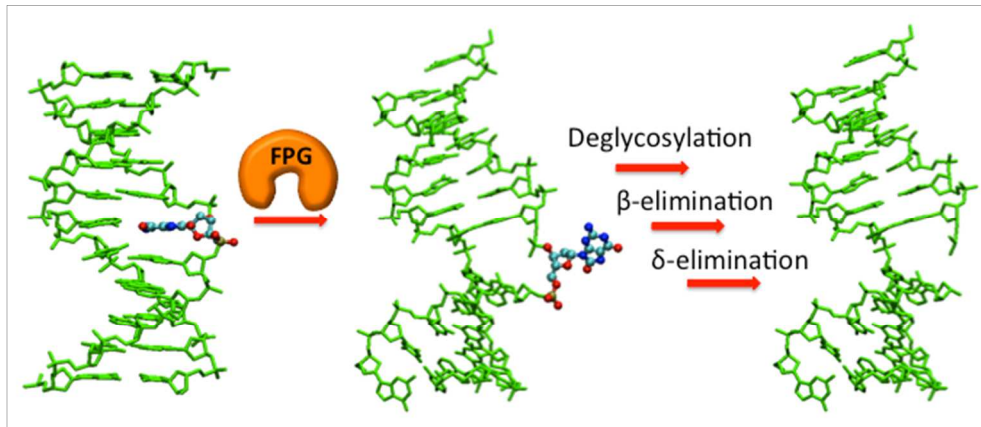
Graphic TOC

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The β - and δ -elimination reactions catalyzed by FPG during the base excision repair of 8-oxoguanine are intrinsically different.

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