



**Ce K edge XAS of ceria-based redox materials under realistic conditions for the two-step solar thermochemical dissociation of water and/or CO<sub>2</sub>**

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1 Ce K edge XAS of ceria-based redox materials  
2 under realistic conditions for the two-step solar  
3 thermochemical dissociation of water and/or CO<sub>2</sub>

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7 **Abstract**

8 X-ray absorption spectroscopy was used to characterise ceria-based materials  
9 under realistic conditions present in a reactor for solar thermochemical two-step  
10 water and carbon dioxide splitting. A setup suitable for *in situ* measurements in  
11 transmission mode at the cerium K edge from room temperature up to 1773 K  
12 is presented. Time-resolved X-ray absorption near-edge structure (XANES) data,  
13 collected for a 10 mol% hafnium-doped ceria sample (Ce<sub>0.9</sub>Hf<sub>0.1</sub>O<sub>2-δ</sub>) during re-  
14 duction at 1773 K in a flow of inert gas and during re-oxidation by CO<sub>2</sub> at 1073 K,  
15 enables the quantitative determination of the non-stoichiometry  $\delta$  of the fluorite-  
16 type structure. XANES analysis suggests the formation of the hexagonal Ce<sub>2</sub>O<sub>3</sub>  
17 phase upon reduction in 2% hydrogen/helium at 1773 K. we discuss the experi-  
18 mental limitations and possibilities of high-temperature *in situ* XAS at edges of  
19 lower energy as well as the importance of the technique for understanding and im-  
20 proving the properties of ceria-based oxygen storage materials for thermochemical  
21 solar energy conversion.

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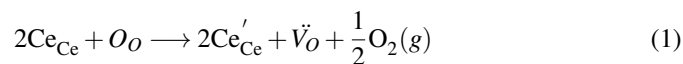
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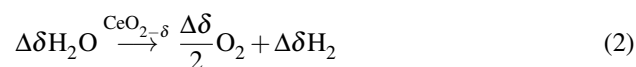
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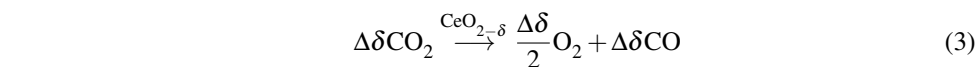
## 22 1 Introduction

23 At elevated temperatures and under reducing conditions, cerium dioxide reaches a high  
 24 level of non-stoichiometry  $\text{CeO}_{2-\delta}$  while maintaining its fluorite-type structure<sup>1</sup>. The  
 25 formation of dioxygen ( $\text{O}_2(\text{g})$ ), oxygen vacancies ( $\check{V}_\text{O}$ ) and  $\text{Ce}^{3+}$  defects ( $\text{Ce}'_{\text{Ce}}$ ) from  
 26  $\text{Ce}^{4+}$  cations ( $\text{Ce}_{\text{Ce}}$ ) and  $\text{O}^{2-}$  anions ( $\text{O}_\text{O}$ ) is described in equation 1 with Kröger-  
 27 Vink notation. The high mobility of those vacancies and the capacity to reversibly  
 28 bind and release oxygen with fast kinetics mean that ceria is of great importance for a  
 29 large number of applications that require the activation, transport and storage of oxy-  
 30 gen. In heterogeneous catalysis ceria-based oxides are applied as reducible supports for  
 31 oxygen storage and activation, in three-way catalytic converters for the abatement of  
 32 combustion engine exhaust gas, and in preferential oxidation (PROX) catalysts<sup>2</sup>. Elec-  
 33 trochemical applications of ceria-based materials include its use as electrolytes in solid  
 34 oxide fuel cells (SOFCs)<sup>3</sup>. The chemical (ambipolar) diffusion coefficient of oxygen  
 35 in 15% samarium doped ceria falls in the range  $1.7 \cdot 10^{-5}$  to  $1.1 \cdot 10^{-4} \text{ cm}^2\text{s}^{-1}$  at 1073  
 36 K and within  $2.1 \cdot 10^{-4}$  to  $3.6 \cdot 10^{-4} \text{ cm}^2\text{s}^{-1}$  at 1773 K<sup>4</sup>.

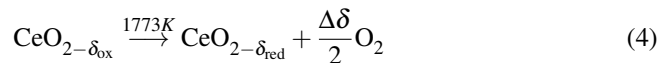


37 In the case of hydrogen production, a two-step redox cycle exploiting the oxygen stor-  
 38 age capacity  $\Delta\delta = \delta_{\text{red}} - \delta_{\text{ox}}$  of  $\text{CeO}_{2-\delta}$  was first described by Otsuka et al.<sup>5</sup>. The  
 39  $\text{Ce}^{3+}/\text{Ce}^{4+}$  redox cycle can be driven by high-temperature heat from concentrated so-  
 40 lar radiation and enables splitting of water into its elements (equation 2) and/or carbon  
 41 dioxide into carbon monoxide and oxygen (equation 3), thereby converting and storing  
 42 solar energy in chemical bonds in a manner first proposed and demonstrated experi-  
 43 mentally by Abanades et al.<sup>6,7</sup>. The first partial reaction of the thermochemical looping  
 44 process is the endothermal reduction of ceria (equation 4), usually performed at 1773  
 45 K. The second partial reaction – closing the redox cycle – is the exothermal oxidation  
 46 of ceria (equations 5 and 6), which is performed at the same or at lower temperatures.

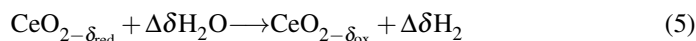




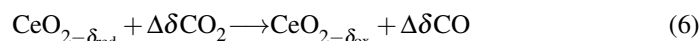
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49



50 Sweep gas with a low oxygen content is used to charge and discharge the reactor con-  
 51 taining the ceramic redox material. A solar cavity receiver reactor was used in the first  
 52 demonstration on a technical scale by Chueh et al.<sup>8</sup>.

53 The oxygen storage capacity  $\Delta\delta$  of the redox material is pivotal for the overall ef-  
 54 ficiency of the process as well as the recovery of sensible heat, which also depends  
 55 on the mode of operation: isothermal or with a temperature swing between reduction  
 56 and re-oxidation. The energy conversion efficiency and the ideal design and mode  
 57 of operation of high temperature solar thermochemical looping reactors are still un-  
 58 der debate<sup>9-13</sup>. Thermodynamic analysis based on published data<sup>14,15</sup> indicates that  
 59 advanced redox materials for this process must exhibit similar structural stability and  
 60 kinetics but a higher  $\Delta\delta$  at lower reduction temperatures and higher oxygen partial  
 61 pressure than pure ceria to improve efficiency and economical viability<sup>13,16</sup>.

62 Many attempts at optimization have been made to increase the solar-to-fuel energy  
 63 conversion efficiency of the process: 1) to improve intrinsic properties of the material  
 64 such as higher oxygen storage capacity  $\Delta\delta$  and higher reaction rates by doping (e.g.  
 65 Kaneko et al.<sup>17</sup>) and 2) improve properties of heat and mass transfer by using macro-  
 66 porous structures (e.g. Rudisill et al.<sup>18</sup>). Of fundamental importance in the quest for  
 67 better materials is their stability upon exposure to a very large number of thermochem-  
 68 ical redox cycles.

69 There is little experimental evidence on the nature of the electronic and geomet-  
 70 ric structure of ceria-based materials under the harsh conditions perpetuated by a solar  
 71 thermochemical looping reactor. A large number of intermediary phases were found  
 72 for ceria<sup>15</sup>, but were not visible in XRD patterns collected by Abanades et al.<sup>6</sup>. The  
 73 determination of element structure-property relationships in more complex ceria-based

74 mixed oxides is our motivation for building an *in situ* cell for X-ray absorption spec-  
75 troscopy that can withstand the extreme conditions in a solar thermochemical reactor.

## 76 **2 *In situ* XAS under relevant solar reactor conditions**

77 X-ray absorption spectroscopy (XAS) is a powerful technique for determining the elec-  
78 tronic and geometric structure of functional materials under relevant operating condi-  
79 tions<sup>19,20</sup>. The challenge faced in construction of a high temperature *in situ* cell capa-  
80 ble of mimicking conditions in a solar thermochemical looping reactor, is to guarantee  
81 the access of photons of the required energies to a sample with an appropriate stable  
82 geometry in a well-known environment of uniform temperature and under a controlled  
83 gas flow. Equipment for *in situ* XAS up to 1273 K is readily available and cell designs  
84 for catalytic systems are helpfully reviewed by Bare and Ressler<sup>21</sup>. Guilera et al.<sup>22</sup>  
85 described cells for transmittance and fluorescence where reactive gases are employed,  
86 Huwe et al.<sup>23</sup> and An et al.<sup>24</sup> described cells for temperatures up to 1273 K. Above  
87 this temperature, however, *in situ* XAS data are hardly available because mechanically  
88 stable and inert materials that are permeable to X-rays are very limited. This is also the  
89 case for advanced techniques based on X-ray emission detection with more demanding  
90 X-ray optics, such as; high energy resolution fluorescence detection (HERFD)<sup>25</sup>, high  
91 energy resolution off-resonant spectroscopy (HEROS)<sup>26</sup>, or resonant inelastic X-ray  
92 scattering (RIXS)<sup>27</sup>. Approaches to performing spectroscopic measurements at sig-  
93 nificantly higher temperatures have been reported. A small sample may be placed on  
94 a resistive heating wire (e.g. Richet et al.<sup>28</sup>, Neuville et al.<sup>29</sup>), which is limited by  
95 the melting point of the wire material. Another approach overcomes this limitation by  
96 heating an aerodynamically levitated sample with a laser (Landron et al.<sup>30,31</sup>, Hennet  
97 et al.<sup>32</sup>).

98 The requirements of an *in situ* XAS flow cell with a controlled atmosphere (inert  
99 gas, water and/or CO<sub>2</sub>) that may be used up to 1773 K, strongly restrict the choice of  
100 materials and geometry. Moreover, it is preferable to measure pure samples as prepa-  
101 ration of pellets with diluent (for adjusting the absorption edge jump and transmission)

102 will probably result in contamination. Considering the low transmission of potential  
103 wall materials of such an *in situ* cell, (e.g. boron nitride, aluminium nitride and alu-  
104 mina) and the small unit edge step absorption length of ceria of  $\approx 5 \mu\text{m}$  at the cerium  
105  $L_{III}$  edge (5.723 keV), it is more promising to perform *in situ* XAS measurements in  
106 transmission mode at the cerium K edge (40.443 eV) because there, the unit edge step  
107 absorption length of ceria is  $\approx 80 \mu\text{m}$ . Uniform, self-supporting pellets of this thick-  
108 ness are fairly easy to prepare.

109 Paun and Safonova et al.<sup>33</sup> determined the concentration of  $\text{Ce}^{3+}$  in ceria nanopar-  
110 ticles with narrow size distributions by means of cerium K edge XANES. A shift in the  
111 edge position of a  $\text{Ce}^{3+}$  reference compound by -6.2 eV relative to a  $\text{Ce}^{4+}$  reference  
112 compound illustrates that K edge XAS is a sensitive tool for the determination of the  
113 electronic structure. HERFD-XAS at the cerium  $L_{III}$  edge revealed the dependence of  
114 spectral features on particle size. Kaneko et al.<sup>34</sup> reported cerium  $L_{III}$  edge XAS data  
115 of reduced and oxidised ceria mixed oxides before and after exposure to thermochem-  
116 ical cycling. Bessa et al.<sup>35</sup> determined the oxidation state of cerium in a catalyst for  
117 methane steam reforming from cerium  $L_{III}$  edge XANES spectra acquired *in situ* in  
118 fluorescence mode. They found a larger amount of  $\text{Ce}^{3+}$  due to the presence of  $\text{Sm}_2\text{O}_3$   
119 in a Rh/ $\text{Sm}_2\text{O}_3$ -ceria-alumina catalyst.

120 Yamamoto et al.<sup>36</sup> reported cerium  $L_{III}$  and zirconium K-edge time-resolved energy-  
121 dispersive *in situ* XAS of a ceria-zirconia supported Pt catalyst under reducing (hydro-  
122 gen) and oxidising (oxygen) conditions up to 773 K. Rodriguez et al.<sup>37</sup> reported cerium  
123  $L_{III}$  and Zr K edge XAS of this system. The tetragonal-to-cubic transition of ceria-  
124 zirconia solid solutions was investigated by EXAFS analysis up to 1073 K by Acuna  
125 et al.<sup>38</sup>. The distribution of oxygen vacancies doped ceria materials was investigated  
126 by XRD, K and L edge EXAFS by Deguchi et al.<sup>39</sup> and Nitani et al.<sup>40</sup>. Lee et al.<sup>41</sup>  
127 described typical margins of error for the first oxygen shell by fitting K edge EXAFS  
128 data, whereas Zhang et al.<sup>42</sup> compared results of XPS and L edge XAS to determine  
129 the  $\text{Ce}^{3+}$  concentration in ceria nanocrystals.

130 Cerium is coordinated eight-fold by oxygen atoms occupying the tetrahedral posi-  
131 tions of the fluorite-type structure. The formation of an oxygen vacancy described in

Table 1: Expected changes in cerium K edge XAFS features related to non-stoichiometry in fluorite-type  $\text{CeO}_{2-\delta}$ .

Equation	value at $10^{-6}$ bar oxygen, 1773 K ( $\delta = 0.1$ )
$\Delta N_{\text{Ce-O}}^1 = -4 \cdot \delta$	-0.4
$\Delta E = -6.2 \cdot 2\delta$ eV	-1.24 eV

132 equation 1 leads to an energy shift in the edge position and a reduction of the Ce-O co-  
 133 ordination number. Table 1 summarizes the relationship between the non-stoichiometry  
 134  $\delta$  and expected cerium K edge XAFS features. These data indicate that even at the  
 135 highest non-stoichiometry relevant for a solar thermochemical looping process, the  
 136 corresponding spectral changes are small in both the XANES and the EXAFS. It is  
 137 thus very difficult to detect and quantify related structural changes, particularly under  
 138 non-ambient conditions.

139 At high temperature substantial damping of the EXAFS signal significantly limits  
 140 the access to structural information. In the case of a flat EXAFS region, however, the  
 141 preferred strategy is to exploit spectral features in the XANES which are less affected  
 142 by thermal damping. In contrast to the cerium  $L_{III}$  near edge region, which has more  
 143 complex and oxidation-state-dependent spectral features, the shift in the edge position  
 144 relates only to the oxidation state at the cerium K edge. Here, we describe *in situ*  
 145 cerium K edge XAS under relevant solar reactor conditions for splitting of  $\text{CO}_2$  up to  
 146 1773 K.

## 147 3 Experimental details

### 148 3.1 Preparation of material

149  $\text{Ce}_{0.9}\text{Hf}_{0.1}\text{O}_{2-\delta}$  was synthesized using ceria (Sigma Aldrich, 99.9%,  $< 5\mu\text{m}$ ) and  $\text{HfO}_2$   
 150 (Alfa Aesar, 99.95%). De-agglomeration and mixing were carried out by conventional  
 151 ball milling on a roller mill (ZO, RM1) for 24 h in polypropylene bottles using YSZ  
 152 balls 5 mm in diameter (Tosoh). After 24 h the slurry was separated from the YSZ balls  
 153 and dried at 358 K for 12 h. Samples of 15 mg were pressed uni-axially at 5 kN in a 6  
 154 mm pressing tool. The pellets were fired in a furnace (Carbolite HTF 17/10) at 1873 K

155 for 5 h in air on a ceria powder to avoid contamination.

### 156 **3.2 *In situ* XAS experiments**

157 *In situ* XAS experiments were carried out at beam line BM01B of the Swiss-Norwegian  
158 Beamlines (SNBL) at the European Synchrotron Radiation Facility (ESRF) in Greno-  
159 ble, France. BM01B at SNBL is a multi-technique beam line for combined *in situ* X-  
160 ray diffraction, X-ray absorption and Raman scattering experiments<sup>43</sup>. An unusually  
161 small gap between the first and the second monochromator crystal enables measure-  
162 ments from the Ti K to the Au K edges in one single Bragg rotation using a Si (111)  
163 crystal. The divergence of the beam is approximately twice as large as the Darwin  
164 width. Taking into account both the intrinsic contribution of the Si-111 monochroma-  
165 tor crystal and the divergence<sup>44</sup>, the energy resolution  $\Delta E$  of the instrument at 40 keV  
166 is better or equal to 6.0 eV. The incident and transmitted intensities were monitored  
167 with ionization chambers filled with 1 bar krypton/argon (20/80%) and 1.2 bar krypton  
168 that were before and after the *in situ* cell, respectively. A pellet of ceria in cellulose  
169 was placed between the second and third ionization chamber as a reference. The stor-  
170 age ring (6 GeV) was operated in 16 bunch filling mode at 90 mA intensity and 10 h  
171 life-time. Measurements were carried out in transmission mode at the cerium K edge  
172 (40.433 keV) with a step width of 1 eV and 100 ms step time. The monochromator was  
173 detuned to suppress higher-order harmonics. Spectra of the XANES region (40.2 to 41  
174 keV) with a step width of 1 eV were recorded with a time resolution of 0.676 min<sup>-1</sup>.  
175 Spectra of the EXAFS region (40.2 to 42 keV) were recorded at room temperature with  
176 a step width of 1 eV.

177 A 10 mg pellet (diameter 5 mm) was introduced into the cell. Samples were ex-  
178 posed to reducing conditions (1 atm argon or 2% H<sub>2</sub> in helium) and oxidising con-  
179 ditions (1 atm CO<sub>2</sub>) at a gas flow rate of 100 mL min<sup>-1</sup>. The rate of heating was 50  
180 K·min<sup>-1</sup>, cooling to 1073 K - 100 K·min<sup>-1</sup>; cooling from 1073 K to room temperature  
181 was achieved by switching off the furnace. Gases used were; Ar (BIP, Air Products,  
182 O<sub>2</sub> < 10 ppb water < 20 ppb CO + CO<sub>2</sub> < 100 ppb THC (as CH<sub>4</sub>) < 100 ppb N<sub>2</sub> < 1  
183 ppm), CO<sub>2</sub> 4.5, 2% H<sub>2</sub> 5.0 in He 5.0 (Messer France SAS).



### 184 3.3 Data processing

185 Normalisation by a standard procedure and further data treatment were performed us-  
186 ing Matlab code. The energy shift in the edge position  $\Delta E$  was extracted by spline  
187 interpolation of golay-filtered normalised spectra at an absorption value of 0.746 cor-  
188 responding to the edge position of the first spectrum. Geometrically, this corresponds  
189 to the intersection of the rising absorption curves with a line parallel to the energy axis.  
190 The non-stoichiometry  $\delta$  was calculated by assuming a linear relationship between  $\Delta E$   
191 and the  $\text{Ce}^{3+}$  concentration, and hafnium consistently present as  $\text{Hf}^{4+}$ . The noise level  
192 in the reference spectra did not allow for the correction of possible energy drifts by  
193 alignment of reference spectra.

### 194 3.4 High-temperature *in situ* XAS cell

195 Figure 1 shows a schematic representation of our setup for XAS measurements in trans-  
196 mission mode, including the high-temperature XAS cell and a photograph of an alu-  
197 mina sample holder. The main components are two mass flow controllers (Bronkhorst)  
198 for dosing reducing and oxidizing gases, a 4-way valve (Vici) that enables a rapid  
199 switch from reducing to oxidizing atmospheres and vice versa, the tubular *in situ* cell,  
200 which is heated with an infrared furnace (Ulvak VHT E44), and a mass spectrome-  
201 ter (MS, Pfeiffer Ominstar GSD 320) for on-line analysis of the composition of the  
202 product gas.

203 The *in situ* cell consists of an alumina tube (99.7 Alsint, Haldenwanger Ceramics,  
204 9.5 mm x 12.7 mm x 400 mm). The ends of the tube are connected to steel adapters  
205 for 12 mm swagelok tubes. The inlet and the outlet were manufactured by modifying  
206 12 mm swagelok linear connectors. In the cell, the temperature in the hot zone (100  
207 mm) was measured at the position of the sample with an S-type (Pt/Rh) thermocouple  
208 covered by an alumina sheath. The conditions that can be reached in the cell are given  
209 in Table 2. Samples are introduced on an alumina sample holder consisting of two  
210 cylindrical parts. The inner diameter (3.5 mm) of the sample holder determines the  
211 maximum cross section of the X-ray beam on the sample. The X-ray beam is parallel

Table 2: Characteristics of the setup for *in situ* XAS under realistic two-step solar thermochemical water- and CO<sub>2</sub>-splitting conditions.

Parameter	value
Temperature range	room temperature to at least 1773 K
Inner diameter (cell)	9.5 mm
Volume	35 mL
Cross sectional diameter of beam on sample	< 3.4 mm

212 to the axis of the *in situ* cell and passes through removable 1 mm thick PTFE windows  
 213 at the inlet and outlet. The cell withstands isothermal CO<sub>2</sub> and water splitting condi-  
 214 tions as well as reducing atmospheres (5% hydrogen/helium) and allows fast cycling  
 215 between reducing and oxidizing conditions at high rates of heating and cooling and  
 216 temperatures from room temperature up to at least 1773 K. Heating and cooling rates  
 217 of several hundred K·min<sup>-1</sup> can be reached due to the power of the IR furnace and the  
 218 small thermal mass of the cell. Rates of heating and cooling are primarily limited by  
 219 resistance to thermal shock. The total inner volume of the cell is 35 mL. Given the  
 220 small amount of sample of typically 10 to 15 mg, the rather large dead volume repre-  
 221 sents a drawback, but can be compensated for using high gas flows. The *in situ* cell is  
 222 placed between the first ( $I_0$ ) and second ( $I_{T1}$ ) ionization chambers. A reference mate-  
 223 rial exposed to ambient conditions and located between the second and third ionization  
 224 chamber is measured simultaneously. The same cell is used for material testing in the  
 225 home laboratory.

## 226 4 Results and Discussion

227 Figure 2(a) illustrates normalised cerium K edge spectra of Ce<sub>0.9</sub>Hf<sub>0.1</sub>O<sub>2- $\delta$</sub>  at 298,  
 228 1073 and 1773 K at three different levels of non-stoichiometry  $\delta$ . Spectra were recorded  
 229 during two-step CO<sub>2</sub>-splitting with a temperature swing between 1773 and 1073 K, un-  
 230 der isothermal conditions for CO<sub>2</sub> splitting at 1773 K and after reduction with hydrogen  
 231 at 1773 K. After reduction a shift in the edge position to lower photon energy was found  
 232 at all temperatures, indicating the accessibility of structural information related to the  
 233 near edge region of the spectra up to 1773 K. As indicated by the data in Table 1, the

234 expected edge shift is small after reduction in a flow of argon and larger after reduction  
235 in hydrogen.

236 The valence of cerium and the corresponding non-stoichiometry  $\delta$  can be deter-  
237 mined from the relative energy shift in the edge position, which is an accurate measure  
238 of oxidation state under ambient conditions<sup>33</sup>. A simple but effective method to cap-  
239 ture these energy shifts is to measure the energy of the spectra at constant normalised  
240 absorption. In normalised spectra, damping leads to an apparent shift in the edge posi-  
241 tion to lower energies. Despite temperature differences of 1575 K, the accuracy of the  
242 edge position is remarkably good without any correction for temperature. Below is a  
243 discussion of the effect of temperature on the shift in the edge position.

244 The amplitude of the extended X-ray absorption fine structure is strongly affected  
245 by damping because of thermal and structural disorder. As the temperature increases,  
246 the useful range for EXAFS analysis becomes smaller, as the energy range in which  
247 oscillations are distinguishable from noise moves close to the absorption edge. While  
248 oscillatory features are still visible at 1073 K, the post edge region contains only very  
249 weak oscillations at 1773 K. Furthermore, anharmonic oscillations hinder a detailed  
250 EXAFS analysis<sup>45,46</sup>. Therefore, we did not attempt to fit EXAFS at high temperature.

251 After reduction in hydrogen, both the shift in the edge position and the spectral  
252 features suggest the formation of the hexagonal  $\text{Ce}_2\text{O}_3$  phase. In this crystal struc-  
253 ture, cerium is surrounded by seven oxygen atoms. The relationship between non-  
254 stoichiometry and coordination number given in Table 1 is valid only for the cubic,  
255 fluorite-type phase of ceria.

256 Figure 3 displays the results of the completed experiment. It gives the temperature  
257 in the reactor, the gas atmosphere, and the mass spectrometer signals for oxygen ( $m/z =$   
258 32) and water ( $m/z = 18$ ) which form under reducing conditions in a flow of argon and  
259 2% hydrogen/helium, respectively. Furthermore, Figure 3 shows the non-stoichiometry  
260  $\delta$  in  $\text{Ce}_{0.9}\text{Hf}_{0.1}\text{O}_{2-\delta}$  and the corresponding energy of the edge shift obtained at con-  
261 stant normalised absorption. In addition, a contour plot of normalised difference spec-  
262 tra highlights minute spectral changes. A spectrum recorded at 1073 K after thermal  
263 reduction in a flow of argon at 1773 K was subtracted to obtain these difference spectra.

264 In the first part of the experiment, two redox cycles with a temperature swing were  
265 performed, consisting of a reduction step at 1773 K in a flow of argon followed by  
266 an oxidation step at 1073 K in a flow of CO<sub>2</sub>. The MS signal shows that in the first  
267 cycle, while heating from room temperature, oxygen release starts at about 1323 K and  
268 peaks when the temperature reaches 1773 K. After cooling to 1073 K and re-oxidation  
269 in a flow of CO<sub>2</sub> at 1073 K, the same amount of oxygen is released from the material  
270 in the second cycle, indicated by an oxygen MS signal peak area ratio of 1.00, which  
271 suggests complete re-oxidation. The onset of the second oxygen peak occurs at the  
272 same temperature as in the first cycle but has a different peak shape, indicating that  
273 oxygen release is slower. The maximum oxygen concentration in the flush gas is lower  
274 and the peak broader. After the second re-oxidation at 1073 K, isothermal redox cycles  
275 were performed by heating up in CO<sub>2</sub> and switching between argon and CO<sub>2</sub> at 1773  
276 K. When the temperature reached 1773 K, the oxygen signal presented as a small peak.  
277 After switching from CO<sub>2</sub> to argon the oxygen release from the sample was revealed by  
278 comparison with the MS signal obtained when the same switch was performed at 1073  
279 K. The sample was then cooled to 298 K and another redox cycle with a temperature  
280 swing carried out. During heating 12% of the oxygen released in the first cycle was  
281 detected, confirming that this fraction of Ce<sup>3+</sup> is oxidised to Ce<sup>4+</sup> by residual oxygen.

282 In the second part of the experiment, the sample was reduced by heating from 1073  
283 to 1773 K in a flow of 2% hydrogen in He. After cooling to 298 K, the temperature  
284 was increased to 1073 K in CO<sub>2</sub> followed by reduction at 1773 K in a flow of 2%  
285 hydrogen in helium and oxidation at 1073 K in CO<sub>2</sub>. The MS signal for water clearly  
286 indicates that reduction of the sample begins to take place at 1073 K. A similar amount  
287 of water forms in the second reduction treatment with hydrogen. Heating from room  
288 temperature to 1073 K in CO<sub>2</sub> leads to re-oxidation, as proved by the formation of a  
289 CO peak at 28 m/z (not shown).

290 It is evident that the non-stoichiometry  $\delta$  determined from XAS spectra is in good  
291 agreement with the MS signals reported above. The precision and noise of  $\delta$  obtained  
292 from XAS can be estimated from the first six spectra of the pristine sample taken in  
293 a flow of argon at 298 K and the average of which is  $6.886 \cdot 10^{-4}$  and a standard

294 deviation of  $8.6 \cdot 10^{-3}$ . The maximum value of  $\delta$  in  $\text{Ce}_{0.9}\text{Hf}_{0.1}\text{O}_{2-\delta}$  is 0.45 based on  
295 the assumption that hafnium is always present as  $\text{Hf}^{4+}$ .

296 The non-stoichiometry  $\delta$  reaches a maximum value of 0.10 in the first reduction  
297 step. In the second reduction step, a slightly higher value (about 0.11) is reached. Prior  
298 to release of oxygen, as indicated by MS,  $\delta$  rises to an apparent value of about 0.015,  
299 a behaviour that we ascribe to the effects of temperature. However, based on the non-  
300 stoichiometry after the second reduction step and the oxygen peak area ratio of 1.00  
301 0.35 is assumed for this apparent  $\delta$ . Results for  $\delta$  of the second re-oxidation step are  
302 very similar and in line with the MS signals. Re-oxidation at 1073 K leads to a decrease  
303 in  $\delta$  by 0.065 in the first and 0.08 in the second cycle. During cooling from 1773 K to  
304 1073 K  $\delta$  does not change significantly in the first two redox cycles. Upon switching  
305 to  $\text{CO}_2$  at 1073 K the temporal evolution of the non-stoichiometry during re-oxidation  
306 is visible.

307 The general trends of the non-stoichiometry agree with the experimental conditions  
308 while heating to 1773 K in  $\text{CO}_2$  and switching under isothermal conditions at 1773 K.  
309 However, lower values for  $\delta$  are found in argon despite a similar amount of oxygen,  
310 shorter reduction times and probably higher  $\text{CO}_2$  levels. The switch from argon to  $\text{CO}_2$   
311 at 1773 K leads to a decrease in  $\delta$  by about 0.043 and increases by about the same value  
312 in the subsequent reduction step. Decreasing the temperature of the reduced sample in  
313 argon from 1773 K to 1073 K leads to 0.008 decrease in  $\delta$  and a further decrease by  
314 0.06 to 0.066 as the temperature reaches 298 K. These edge shifts are not caused by  
315 temperature changes and play only a small role. Subsequent heating leads to oxygen  
316 release, starting at about 1623 K, and is accompanied by a 0.035 increase in  $\delta$ . This  
317 increase in  $\delta$  was twice as high as expected based on oxygen peak area ratios and  
318 corresponding values for  $\delta$  obtained earlier in the experiment and coinciding with a  
319 change in beam intensity due to refilling of the storage ring. During the last cooling  
320 step in argon to 1073 K  $\delta$  further increases and eventually plateaus at 0.14. This value  
321 drops by 0.1 during re-oxidation in  $\text{CO}_2$  at 1073 K.

322 Reduction in hydrogen leads to a clear increase in  $\delta$  under isothermal conditions at  
323 1073 K and after heating to 1773 K, reaching a maximum value of 0.44. The theoretical

324 maximum of  $\delta$  is 0.45. Cooling from 1773 K to room temperature under reducing  
325 conditions lead to a decrease in  $\delta$  from 0.44 to 0.40, as a result of temperature. This  
326 observation suggests that the extraction of the non-stoichiometry from XAS spectra  
327 recorded in the same gas environment at two temperatures separated by 1475 K, leads  
328 to an error of less than 10% without correcting for temperature effects. Re-oxidation is  
329 fast when heating from room temperature in CO<sub>2</sub>, starting at approximately 873 K, and  
330 it is approximately 75% complete when the temperature in the reactor reaches 1073 K.  
331 The second reduction step in hydrogen is similar to the first. Upon re-oxidation after  
332 switching to CO<sub>2</sub> at 1073 K the change from a hexagonal to a cubic structure is very  
333 fast. However, re-oxidation is probably not completed when the heating of the furnace  
334 is stopped. At the end of the experiment, and under oxidizing conditions at room  
335 temperature,  $\delta$  reaches an average value of 0.018. Apart from temperature effects and  
336 incomplete re-oxidation, this derivation might be explained by significant structural  
337 changes, such as the segregation of a hafnium-rich phase upon complete reduction  
338 in hydrogen. Absorption spectra before and after normalization are provided in the  
339 supporting information. Most important, changes in the total absorption and variations  
340 in the edge jump occur upon switching from argon to CO<sub>2</sub> and 2% hydrogen/helium. In  
341 the contour plot of difference spectra in Figure 3 it is possible to distinguish minima and  
342 maxima, indicating the reduced and oxidised state of ceria as well as the temperature  
343 in the reactor.

344 From 298 to 1773 K, temperature effects are also evident in the XANES region,  
345 which complicates the determination of non-stoichiometry. Interpolation at constant  
346 absorption is less affected by these influences than using the position of the maximum  
347 of the first derivative, but depends on the pre-and post-edge region for subtracting the  
348 background. The noise level related to the non-stoichiometry indicates that the ex-  
349 periment is highly dependent on the stability of the incoming X-ray beam and the  
350 mechanical movement of the monochromator crystals. The rocking curve is extremely  
351 narrow and the stability and reproducibility of the beam after detuning is limiting at  
352 a photon energy of 40 keV. An energy scan from 40 to 41 keV requires a mere 0.07  
353 ° change in the Si (111) Bragg angle. On the other hand, the energy of the beam can

354 be changed quickly, leading to better time resolution. XAS data measured at the  $L_{II,III}$   
355 edges, show more complex features related to the electronic structure of cerium. These  
356 features might be advantageous at high temperature, at which relevant features are ob-  
357 servable only in the XANES region, but do require the use of a diluent such as boron  
358 nitride. Preliminary experiments (see supporting information) showed the possibility  
359 of measuring the cerium  $L_{III}$  edge in transmission mode, but chemical stability was not  
360 achieved at high temperatures. Measurements in transmission mode restrict XAS at  
361 the absorption edges of dopant elements due to their low concentrations (typically < 20  
362 mol%) and the high absorption cross section of cerium.

363 The ceria-hafnia system has been considerably less explored than the ceria-zirconia  
364 system. High-temperature structural or thermodynamic data are available<sup>47</sup>, however  
365 little work on non-stoichiometric compounds has been done. Zhou and Gorte et al.<sup>48,49</sup>  
366 reported on ceria-hafnia and found the separation of  $Ce_{0.8}Hf_{0.2}O_2$  into  $Ce_{0.81}Hf_{0.19}O_2$   
367 and  $Ce_{0.85}Hf_{0.15}O_2$  when calcined in air at 1323 K.

368 In a study on doped ceria compounds for thermochemical cycling<sup>50</sup>, it was found  
369 that hafnium increases the non-stoichiometry less than zirconium. This is in line with  
370 work by Baidya et al.<sup>51</sup> who found that in equimolar composition ceria-hafnia is less  
371 reducible than ceria-zirconia. The catalytic properties of ceria-hafnia materials were  
372 investigated by Reddy et al.<sup>52</sup> who found higher catalytic activity in soot oxidation  
373 compared to ceria-zirconia materials.

374 The possibility to measure XAS at high photon energies such as the Hf K edge  
375 (65.351 keV) could provide complementary structural information. Combined with *in*  
376 *situ* XRD, our approach can contribute to a better understanding of ceria-based systems  
377 under extreme conditions.

378 Unlike well-established laboratory methods to determine the amounts of reduction  
379 and oxidation products, XAS provides element-specific electronic and geometric struc-  
380 tural information and gives greater insight into redox chemistry. Several effects must  
381 be considered when working at high temperature. Radiative heat transfer predomi-  
382 nates and thus the absorbance of the sample plays a crucial role. Dark samples such as  
383 praseodymium-doped ceria might reach a higher temperature than bright samples such

384 as pure ceria. The damping of the EXAFS signal, however, might offer the possibil-  
385 ity of measuring the temperature of the sample. Our ultimate goal is to characterize  
386 materials for the thermochemical dissociation of water and CO<sub>2</sub>. To achieve this it  
387 is necessary to determine the structural features that govern the formation, distribu-  
388 tion, and reactivity of oxygen vacancies and, using this knowledge we hope to design  
389 materials with superior properties for the exchange and storage of oxygen. The cell  
390 described enables exposure of materials to relevant solar thermal conditions - in partic-  
391 ular achieving desired temperature regimes for EXAFS measurements in their reduced  
392 state. A superior redox material must be able to store large amounts of oxygen by re-  
393 acting with water or CO<sub>2</sub> with fast kinetics, high thermal stability and cyclability. An  
394 element-specific *in situ* method such as X-ray spectroscopy is necessary to determine  
395 structural changes during the production of solar fuels.

## 396 **5 Conclusions**

397 A cell was constructed for *in situ* X-ray absorption spectroscopy in transmission mode  
398 able to withstand the harsh conditions required for the thermochemical dissociation  
399 of water and/or CO<sub>2</sub> using non-stoichiometric ceria-based redox materials. Overall,  
400 ceria-based redox materials can be characterized *in situ* under relevant conditions using  
401 cerium K-edge X-ray absorption spectroscopy in transmission mode. Using diluted  
402 samples, the absorption *L*<sub>III</sub> edge of cerium can be accessed. The high penetration  
403 depth of X-rays at the cerium K edge facilitates a simple and robust cell design that  
404 can be operated under these harsh conditions. In the temperature range relevant to  
405 thermochemical looping the determination of structural properties is, to a large extent,  
406 limited to spectral features in the XANES region of the spectrum. The shift in the  
407 cerium K edge position enables accurate determination of the non-stoichiometry. By  
408 means of our cell it is possible to determine the element-specific structural changes  
409 that occur during synthesis of solar fuel. This development will enrich current efforts  
410 to elucidate optimal element combinations in solar-fuel redox materials.



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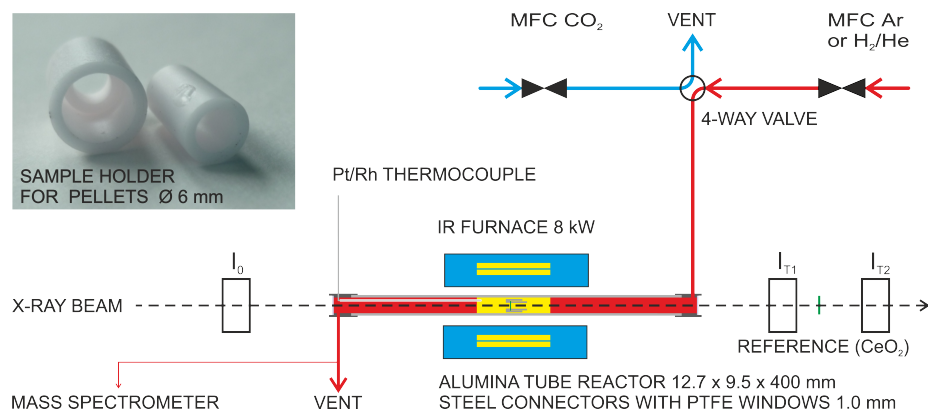
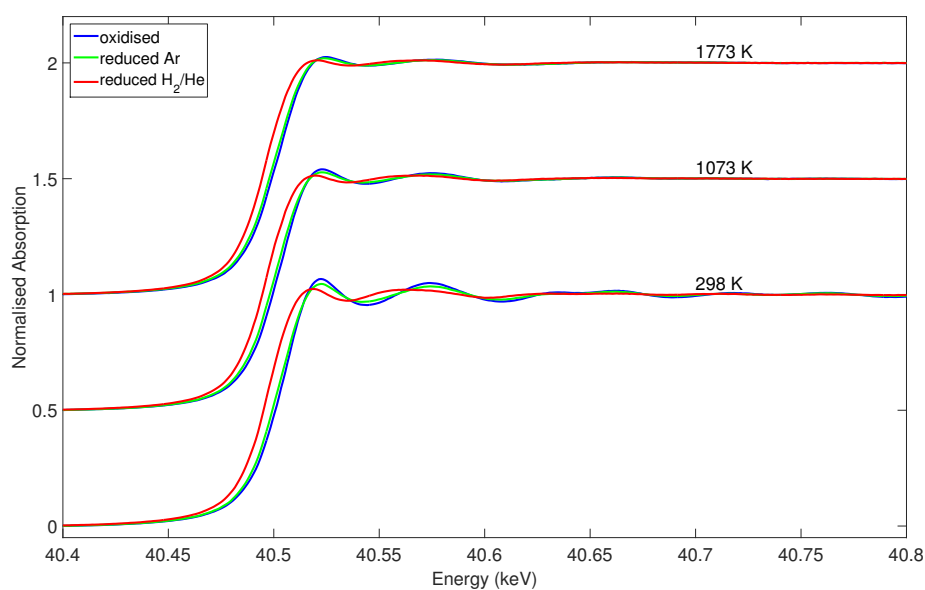
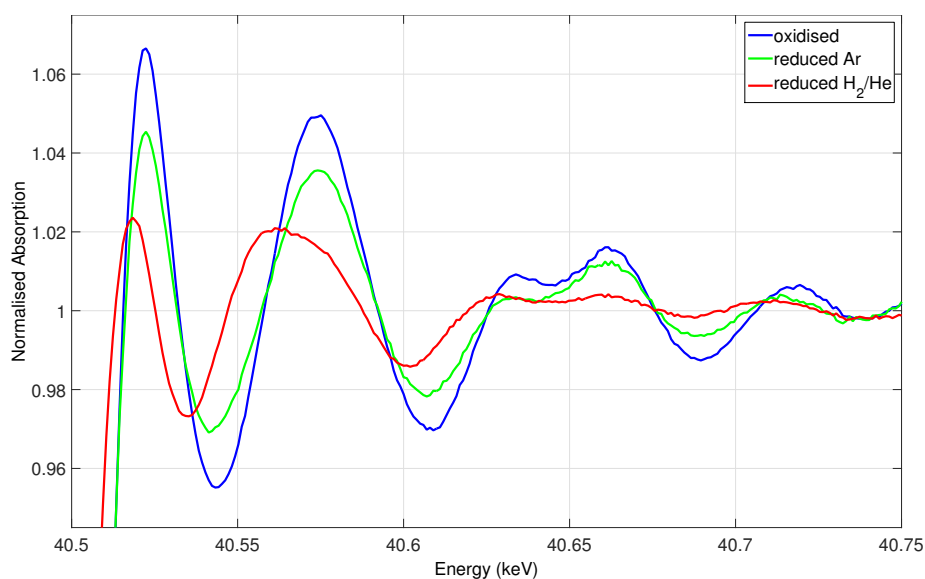


Figure 1: Setup for *in situ* XAS under realistic two-step solar thermochemical  $\text{CO}_2$ -splitting conditions. Top left: photograph of an alumina sample holder.



(a)



(b)

Figure 2: a) Normalised cerium K edge spectra of  $\text{Ce}_{0.9}\text{Hf}_{0.1}\text{O}_{2-\delta}$ , recorded at 298, 1073, and 1773 K. 'Oxidised' (298 K) is the spectrum of the as-prepared pellet after introduction into the XAS cell. 'Oxidised' (1073) and 'oxidised' (1773) were recorded after oxidation in 1 atm  $\text{CO}_2$ . 'Reduced Ar' denotes spectra recorded after reducing the sample in a flow of argon at 1773 K and 'reduced  $\text{H}_2/\text{He}$ ' spectra recorded after reducing the sample in a flow of 2% hydrogen/helium at 1773 K. b) Close-up of spectra recorded at 298 K. 'Oxidised' denotes the spectrum of the as-prepared pellet after introduction into the XAS cell. 'Reduced Ar' denotes spectra recorded after reducing the sample in a flow of argon at 1773 K and 'reduced  $\text{H}_2$ ' spectra recorded after reduction in a flow of 2% hydrogen/helium at 1773 K.

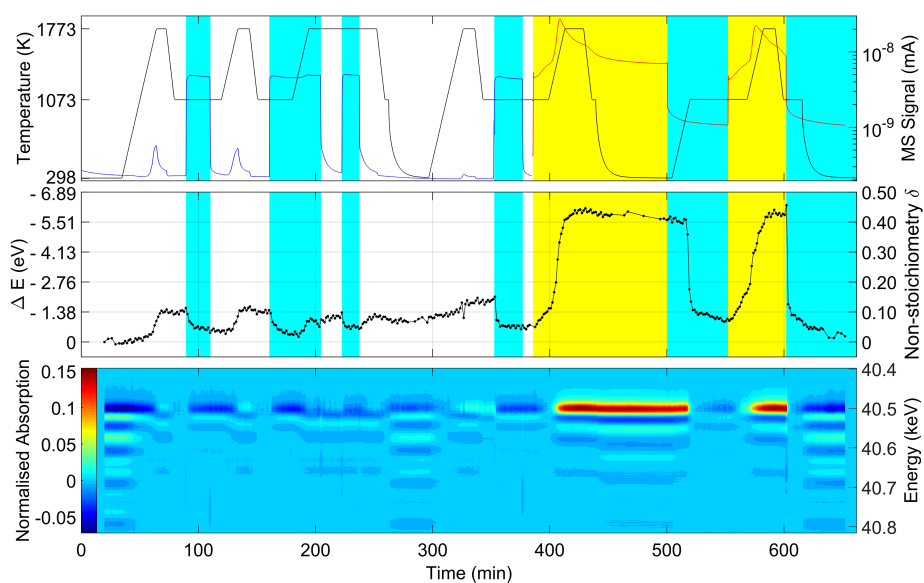


Figure 3: Top: Experimental conditions: furnace profile, gas atmosphere (white = argon, blue =  $\text{CO}_2$ , yellow = 2 % hydrogen/helium), MS signals for oxygen (blue) and water (red). Middle: Non-stoichiometry  $\delta$  and corresponding shift of the edge energy, determined by interpolation at the absorption value corresponding to the edge position of the first spectrum Bottom: Contour plot of normalised difference spectra obtained by subtraction of a spectrum recorded at 1073 K after the first reduction by flushing the reactor with argon at 1773 K. See Figure 2 for selected XAS spectra.

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