

PCCP

Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this *Accepted Manuscript* with the edited and formatted *Advance Article* as soon as it is available.

You can find more information about *Accepted Manuscripts* in the [Information for Authors](#).

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard [Terms & Conditions](#) and the [Ethical guidelines](#) still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



Cite this: DOI: 10.1039/xxxxxxxxxx

Thermal diffusivity measured with a single plasmonic nanoparticle[†]

André Heber,^a Markus Selmke,^b and Frank Cichos^{*a}Received Date
Accepted Date

DOI: 10.1039/xxxxxxxxxx

www.rsc.org/journalname

A method to measure the thermal diffusivity around a single heated gold nanoparticle is presented. It is based on photothermal single particle microscopy and employs the phase delay of a temperature modulation due to the finite thermal diffusivity. The phase delay is detected optically averaging over the focal volume of a diffraction limited beam of light. The thermal diffusivity is extracted by comparison to electromagnetic scattering calculations of the photothermal signal. Measurements in the solid (polymer) and liquid (water) are presented and compare well with literature data. The method paves the way for extended measurements of non-diffusive and heterogeneous heat transport in complex media.

1 Introduction

The understanding of thermal transport processes has greatly benefitted from numerous methods that measure heat transfer close to surfaces or of macroscopic samples. The general principle behind these measurements is that a thermal perturbation is induced and then monitored. The temporal evolution of this thermal perturbation is a reporter for the thermal diffusivity. There are many different methods that implement this principle. Using the 3ω method a metallic wire acts as the heating and temperature probe at the same time.¹ Other methods apply a delta-like heating by a pulsed laser to an absorbing surface^{2–4} or particles^{5,6} and then measure transient reflectance or absorption that are related to temperatures using a delayed probe laser pulse. Scanning thermal microscopy⁷ probes temperatures directly and measures thermal conductivities at high spatial resolution, but requires physical contact with the probe. Recently, surface plasmon resonance shifts of single gold nanoparticles have been used to quantify the average thermal conductivity at an interface.⁸ Macroscopic thermal lensing can be utilized to measure thermal diffusivities in solids⁹, liquids and colloidal suspensions.¹⁰

In this paper, we demonstrate the capabilities of quantitative single particle photothermal (PT) microscopy^{11–13} to assess thermal diffusivities locally within a medium using far-field optical readout. This is not possible with most other methods apart from transient absorption^{5,6} which employs picosecond pulsed lasers and thermal lensing microscopy.⁹ Thermal diffusivity mea-

surements using PT microscopy will enable the study of thermal transport in samples where gold nanoparticles are already used as tracers¹⁴ or for local temperature manipulations.¹⁵

PT microscopy is a versatile method to study absorbing particles. This technique enables absorption measurements of single nanoparticles and single molecules¹⁶, imaging^{14,17} as well as correlation spectroscopy, i.e. to investigate dynamics in solution.^{18,19} The PT contrast originates from optically excited objects that dissipate their thermal energy into the surrounding medium as heat. Around these nano-sources of heat a temperature profile is created that brings about a refractive index change due to thermal expansion. As the photothermally induced transmission change is small as compared to the overall transmission a lock-in detection scheme is put forward in which the heating laser is harmonically modulated.²⁰ The refractive index change is detected by a continuous wave laser in transmission demodulated by a lock-in amplifier. The amplitude of the PT signal has been shown to depend on the modulation frequency of the optical heating^{9,20–23} due to a finite thermal diffusivity. Therefore, the frequency dependence of the photothermal signal contains information on the thermal transport property of the medium surrounding the nanoparticle. This feature can be used to selectively detect absorption using just a single laser beam.²³ To extract the thermal diffusivity from the frequency dependence of the PT signal it has to be modelled quantitatively. Attempts have been undertaken but have given unrealistic thermal diffusivities due to a simplified model²⁴ or the neglect of aberrations.²³ To make PT microscopy a quantitative method for the measurement of thermal diffusivities the PT signal is calculated for different modulation frequencies. The modelling starts with the calculation of the thermal transport around the harmonically heated gold nanoparticle leading to a refractive index profile. Afterwards the transmission

^a Universität Leipzig, Linnéstr. 5, 04103 Leipzig, Germany. Fax: +49(0) 341 97 32598; Tel: +49(0) 341 97 32571; E-mail: cichos@physik.uni-leipzig.de

^b Princeton University, Chemistry Department, Princeton, New Jersey 08544, United States

[†] Electronic Supplementary Information (ESI) available: [details of any supplementary information available should be included here]. See DOI: 10.1039/b000000x/

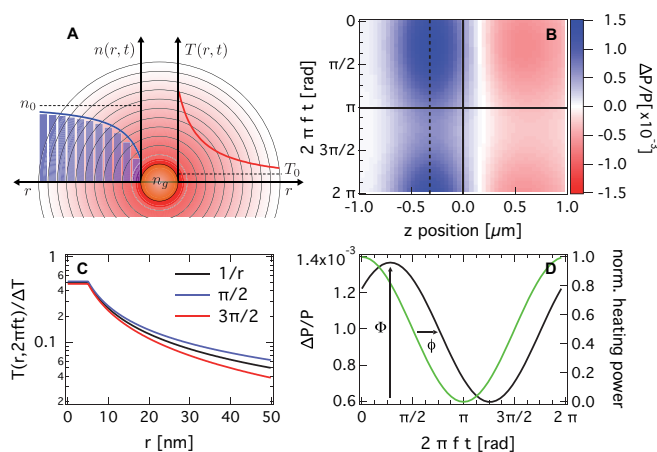


Fig. 1 A Temperature and refractive index profile around a heated gold nanoparticle. B Calculated transmission signal change on the detector $\Delta P/P$ due to the presence of a harmonically heated gold nanoparticle ($R = 5$ nm) in PDMS. The nanoparticle is positioned on the solid vertical line at $z = 0$. The relative transmission change $\Delta P/P$ is shown for different displacements between nanoparticle and laser foci along the optical axis (z -position) at different time instances during one modulation cycle $2\pi ft$. The blue color encodes an enhanced transmission due to the optically heated nanoparticle and a red one a decrease. Dashed line: position of maximal signal. Horizontal line: heating is turned off. The thermal diffusion length is 150 nm. C Comparison of the temperature profiles when the heating power is decreasing (blue) or increasing (red) to the case with continuous heating. D Transmission profile for the dashed line in B for one heating period (black). Normalized power of the heating laser (green). The vertical arrow indicates the amplitude Φ of the photothermal signal and the horizontal one the phase delay ϕ between the optical heating and the detection signal.

signal of the detection laser as measured by a photodiode is determined.

2 Numerical Calculations

The gold nanoparticle is excited at its plasmon resonance and dissipates the excitation energy as heat into its surrounding medium which increases the temperature locally. An analytical solution for the thermal transport of a harmonically heated sphere has recently been derived.²⁵ It includes the heat capacity of the nanoparticle as well as interfacial thermal resistances. However, in our experiments the mentioned contributions are negligibly small. Only at modulation frequencies f larger than 10 MHz the heat capacity of the nanoparticle starts to show an effect on the temperature profiles. To see an effect on the interfacial thermal resistance modulation frequencies of more than 100 MHz have to be used. For those reasons the time dependent temperature profile $\Delta T(r, t)$ was derived in the proper limit based on the theory of Berto et al.²⁵ retaining the finite particle size only

$$N = \sqrt{(R/R_{\text{th}})^2 + (1 + R/R_{\text{th}})^2} \quad (1)$$

$$\psi(r) = \frac{r - R}{R_{\text{th}}} + \arctan\left(\frac{R/R_{\text{th}}}{1 + R/R_{\text{th}}}\right) \quad (2)$$

$$\Delta T(r, t) = \Delta T \frac{R}{r} \left(1 + \frac{1}{N} e^{-\frac{r-R}{R_{\text{th}}}} \cos(2\pi ft - \psi(r))\right). \quad (3)$$

Herein, ΔT is the temperature elevation in the steady state at the nanoparticle's surface, R its radius, $R_{\text{th}} = \sqrt{\alpha/\pi f}$ the thermal diffusion length and α being the thermal diffusivity of the medium which is the quotient of thermal conductivity divided by the volumetric heat capacity. The thermal diffusion length characterizes how far thermal energy is transported during one modulation cycle. The term N is a small correction to the amplitude of the temperature modulation and $\psi(r)$ its phase delay in a certain distance r from the centre of the nanoparticle. Equation 3 is slightly more accurate for finite R as compared to the temperature profile of a point source²⁶ typically used in this context and does not contain any additional material parameters. The temperature profiles differ significantly from a purely one over distance dependence (see Fig. 1 C). The temperature elevation alters the refractive index locally as

$$\Delta n(r, t) = \frac{\partial n}{\partial T} \Delta T(r, t). \quad (4)$$

The thermorefractive coefficient $\frac{\partial n}{\partial T}$ quantifies the refractive index change with temperature. At an instant the gold nanoparticle is surrounded by a radially symmetric refractive index profile that is modulated in time being induced by the harmonically modulated heating laser. The situation is sketched in Fig. 1 A. The PT signal depends on the exact shape of the non-absorbed detection laser beam as it over different $\psi(r)$. The two laser beams are co-aligned using their scatter images and focussed by an oil-immersed objective (NA=1.4). Their transmission is collected by a dry objective (NA=0.8). The peak intensity of both laser beams have no displacement in any spatial dimension. The aberrations caused by the high NA objectives significantly alter the intensity distributions, which needs to be taken into account when modelling the photothermal signal.

The transmission signal of the non-absorbed laser beam as detected by a photodiode is computed utilizing a generalized Lorenz-Mie framework^{11,27} for the gold nanoparticle and the time-dependent temperature profile calculated using Eq. 3. This step is repeated for different positions of the laser foci with respect to the nanoparticle and times during the heating cycle. The result of such a calculation is displayed in Fig. 1 B. The image shows the variation of the transmission signal due to the modulated heating power and the nanoparticle at each position z along the optical axis. The nanoparticle is positioned at $z = 0$. A dispersive lensing signature is found when moving the particle along the optical axis. This signal is on top of the transmission signal of the nanoparticle without the refractive index profile.²⁷ For particles smaller than 3 nm in radius this contribution disappears and a pure dispersive signal due to the refractive index profile is ob-

served. More importantly the plot in Fig. 1 B is not symmetric with respect to the horizontal line. This is due to the fact that if the optical heating of the nanoparticle is turned off the thermal energy is not instantaneously dissipated into the surrounding medium. This results in a phase delay ϕ of the detected signal with respect to the optical heating. Figure 1 D shows the time dependent transmission signal when the detection laser focus and nanoparticle are displaced by $z = -320$ nm which also corresponds to the solid vertical line in Fig. 1 B and to about one Rayleigh range. Here the transmission signal due to the nanoparticle is extremal. Phase delay ϕ and amplitude Φ of the PT signal for each position z in Fig. 1 B are determined by calculating the fundamental Fourier components of transmission profiles as in Fig. 1 D.

3 Experiments

We record the PT signal for single gold nanoparticles in a polymer matrix (Polydimethylsiloxan - PDMS; SYLGARD 184 from Dow Corning). Heating and detection laser are co-aligned and focussed onto single gold nanoparticles (Nanopartz, $R = 5$ nm). The detection power was adjusted to $P_d = 1.9$ mW at the wavelength $\lambda_d = 635$ nm and the heating power to $P_h = 0.9$ mW at $\lambda_h = 532$ nm. The transmission signal of the detection laser is recorded by a photodiode. The modulated transmission signal is analyzed by a lock-in amplifier resulting in two different components one being in-phase Φ_{\cos} and another one being out-of-phase Φ_{\sin} with the modulated optical heating. The latter two correspond to the fundamental Fourier components mentioned in a paragraph before. Further details on the experimental implementation have previously been reported in Ref. 11. The signal to noise ratio of the PT signal is around 40 for accumulation times of $20 \mu\text{s}$. The relations

$$\Phi = \sqrt{\Phi_{\cos}^2 + \Phi_{\sin}^2} \quad (5)$$

$$\phi = \arctan(\Phi_{\sin}/\Phi_{\cos}) \quad (6)$$

are used to calculate the amplitude of the photothermal signal Φ and its phase delay ϕ with respect to the optical heating ϕ marked in Fig. 1 D. In this study the amplitude of the photothermal signal is normalized to the maximal observed amplitude at $f = 10$ kHz.

3.1 Measurement in the solid

Measurement results for the normalized amplitude of 62 different $R = 5$ nm sized gold nanoparticles in PDMS are shown in Fig. 2 A. Each data point is averaged over 0.8 s giving a SNR of approximately 10^4 . The measurements were performed twice on every nanoparticle at modulations frequencies f between 10 kHz and 2 MHz. The amplitude of the PT signal saturates for low f and decreases with increasing frequencies (see Fig. 2 A). This behaviour is observed for all particles.

The recorded signal amplitudes vary significantly from particle to particle (see Fig. 2 C). Which can be explained by the proportionality of Φ to the particle's volume. From the standard deviation of the normalized amplitude being 40% one can conclude

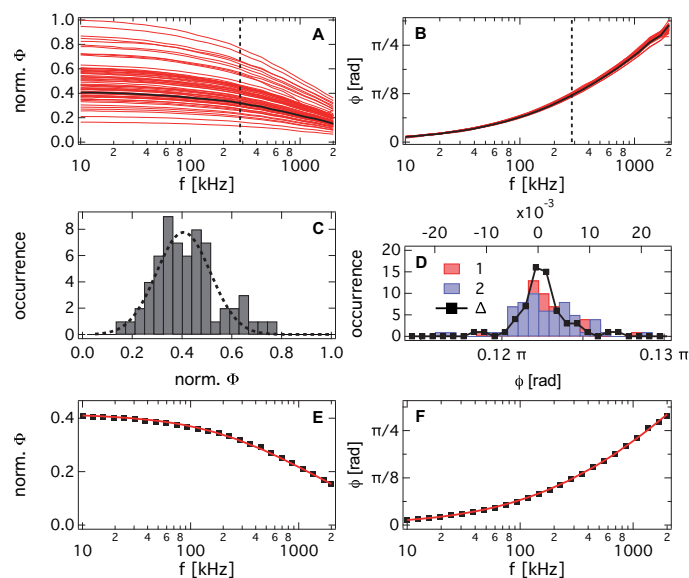


Fig. 2 **A** The PT amplitude normalized to the maximal amplitude at $f = 10$ kHz and **B** phase delay as a function of the modulation frequency. The graph shows the result for one acquisition of 62 different nanoparticles. At the dashed line $f = 284$ kHz. **C** histogram of the different photothermal signal amplitudes at 284 kHz. **D** histogram of the phase delays at 284 kHz measured in two different acquisitions (bars) as well as a histogram of their difference (line and markers). For the black colored graph in **A** and **B** the corresponding fits are depicted in **E** for the amplitude and **F** for the phase delay. Both fits give a thermal diffusivity of $\alpha = 1.33 \cdot 10^{-7} \text{ m}^2 \text{ s}^{-1}$.

that the size dispersion of the nanoparticles is in the order of 12% which is in agreement with the value given by the manufacturer being 10%.

The phase delays ϕ of the different particles are shown in Fig. 2 B as a function of the modulation frequency. The phase delay increases with the modulation frequency. At low modulation frequencies the temperature profiles show a one over distance dependence from the nanoparticle where only the amplitude is modulated. Therefore, the phase delay is very small. At higher f the temperature modulation is diminished and the phase delay increases. The phase delay for very high frequencies will saturate at a finite value. A temperature profile that is constant in time with a one over distance dependence is retained. At one particular modulation frequency f the spread of ϕ is much lower as compared to the spread of the normalized amplitude (see Fig. 2 C and D). The variance of ϕ for all measurements is comparable to the variance in case the difference between two measurements of the same particle is taken. Therefore, we were not able to detect any heterogeneities in the sample concerning the thermal transport which is an expected result. In Fig. 2 E and F the result of the numerical calculation is scaled to ϕ and Φ for one measurement. The scaling factor is directly proportional to the thermal diffusivity. The numerical results fit the experimental ones in their frequency dependence very well.

At a fixed heating power we are able to see the expected proportionality between the signal amplitude Φ and the particle's volume.¹¹ This allows us to test whether the size dispersion has an influence on the phase delay by correlating ϕ and Φ at modu-

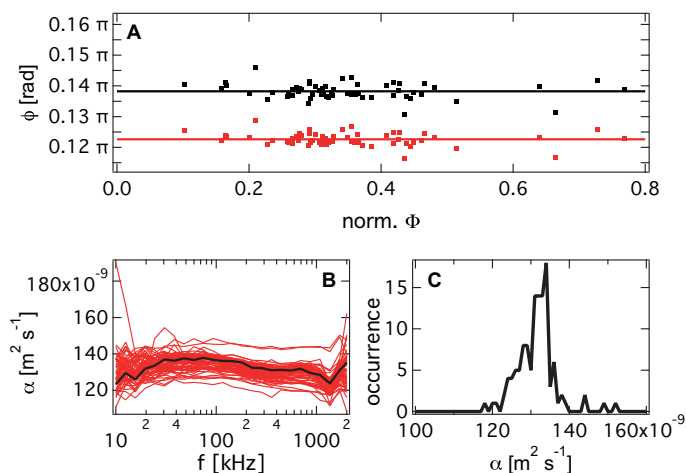


Fig. 3 A phase delay plotted against the normalized amplitude at the modulation frequencies $f = 284$ kHz (red) and $f = 355$ kHz (black). The Pearson correlation coefficients are -0.16 and -0.20 respectively. The solid lines mark the average phase delays. B The thermal diffusivity as determined from the phase delay at every measured frequency. The black colored data set has already been discussed in detail in Fig. 2 E and F. C Average thermal diffusivities are depicted as a histogram for every particle and measurement. The average thermal diffusivity is $\alpha = (1.3 \pm 0.1) \cdot 10^{-7} \text{ m}^2 \text{ s}^{-1}$.

lation frequencies of 284 and 355 kHz (see Fig. 3 C). The Pearson correlation coefficients of about -0.2 indicate that both quantities are only weakly correlated (see Fig. 3 C). The scatter of the measured phase delays and thereby of the thermal diffusivities in Fig. 2 D, 3 A, B stem from the positioning error of the nanoparticle in the laser foci. A positioning uncertainty of the nanoparticle in the co-aligned laser foci of 30 nm perpendicular to the optical axis and 80 nm along the latter results in a change of the phase delay of $1.5 \cdot 10^{-2}$ rad at $f = 284$ kHz corresponding to an error of 8% in the thermal diffusivity.

For the determination of the thermal diffusivity the measurement of the phase delay ϕ at a single modulation frequency should in principle suffice as the phase delay is independent of the heating power and the dependence on the particle's size is negligible as compared to the statistical errors. Therefore, the thermal diffusivity is determined by comparing the experimentally determined phase delay ϕ to the calculation. The thermal diffusivity measured using this procedure is shown in Fig. 3 B. The thermal diffusivities averaged over all modulation frequencies are shown as a histogram in Fig. 3 C. The average thermal diffusivity is determined with $(1.3 \pm 0.1) \cdot 10^{-7} \text{ m}^2 \text{ s}^{-1}$. The thermal diffusivities as measured by photothermal microscopy agree well with macroscopic measurements performed in a laser flash apparatus (LINSEIS LFA 1000) giving $(1.30 \pm 0.02) \cdot 10^{-7} \text{ m}^2 \text{ s}^{-1}$. The thermal diffusivity still shows a small systematic dependence on the modulation frequency (see Fig. 3 B) likely for the reason that the aberrations are not perfectly captured by our numerical calculations.

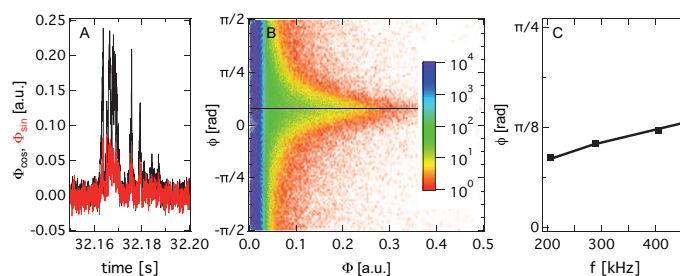


Fig. 4 A The time trace of Φ_{\cos} (black) and Φ_{\sin} (red) is shown for a representative burst event. B Occurrence of the simultaneous measurement of phase delay and amplitude at $f = 206$ kHz. The average phase delay as extracted from the 2D histogram is plotted in C. Experimentally measured (squares) and numerically calculated phase delays (solid line).

3.2 Measurement in the liquid

So far it has been demonstrated that PT microscopy can be extended to study thermal diffusivities in solids. In solids the two co-aligned laser beams are focussed onto an immobile particle and phase delays are recorded during frequency sweeps at the position where the PT amplitude is maximal. In solution the gold nanoparticles perform Brownian motion and signal bursts are recorded whenever a particle passes the detection focus (see Fig. 4 A). Therefore, gold nanoparticles ($R = 30$ nm sized; BBI Solutions) dissolved in water are put between two cover slips and sealed with silicon oil. The heating power is adjusted to $P_h = 0.42$ mW leading to a temperature increase at the particle's surface of maximal 50 K. The detection power is $P_d = 1.7$ mW. The focal volume is approximately 500 times smaller than the average volume occupied by a single particle ensuring single particle signals. Again the two components of the PT signal are recorded namely the in-phase (Φ_{\cos} , black) and out-of-phase (Φ_{\sin} , red) components allowing the calculation of the PT signal's phase delay and amplitude. We create 2D histograms counting the number of $20 \mu\text{s}$ time bins having a certain amplitude and phase delay as in Fig. 4 B. The histogram is constructed from a 45 min long time series. The average phase delay is extracted as follows. From the histogram line profiles are taken at a particular amplitude and the average phase delay is extracted using a Gaussian fit. This procedure is repeated for all the amplitude bins. For large signal amplitudes Φ the phase delays are independent of Φ . The corresponding average phase delays are plotted for three different modulation frequencies in Fig. 4 C. The phase delays allow to extract the thermal diffusivity of water with $(1.4 \pm 0.2) \cdot 10^{-7} \text{ m}^2 \text{ s}^{-1}$, which agrees very well with the literature value $1.43 \cdot 10^{-7} \text{ m}^2 \text{ s}^{-1}$.

4 Conclusions

We have extended photothermal microscopy to measure thermal diffusivities with gold nanoparticles of a few nanometers in radius. The presented measurements can be conducted in every existing photothermal microscopy setup and employs the phase information, which is typically neglected. Our technique will enable spatially resolved thermal transport measurements for materials previously not accessible for example of plasmonic networks.^{28,29} Based on these findings we will be able to measure

anisotropic thermal diffusivities as well as latent heats. Heterogeneous and so far unexplored biological materials become accessible as well but future studies have to investigate the spatial resolution of the thermal diffusivity measurement in detail. The thorough understanding of the frequency dependence of the photothermal signal has recently lead to the selective detection of absorbing nanoparticles using a single laser beam.²³ The insights presented above can also contribute to a more detailed understanding of nanoscopic phase transitions.^{30,31}

5 Acknowledgement

We thank Felix Fahrnbauer for the laser flash measurements. We acknowledge financial support from the European Union and the Free State of Saxony, the DFG Forschergruppe "From Local Constraints to Macroscopic Transport" and the graduate school Build-MoNa.

References

- 1 D. G. Cahill, *Rev. Sci. Instrum.*, 1990, **61**, 802–808.
- 2 W. J. Parker, R. J. Jenkins, C. P. Butler and G. L. Abbott, *J. Appl. Phys.*, 1961, **32**, 1679–1684.
- 3 D. G. Cahill, W. K. Ford, K. E. Goodson, G. D. Mahan, A. Majumdar, H. J. Maris, R. Merlin and S. R. Phillpot, *J. Appl. Phys.*, 2003, **93**, 793–818.
- 4 S. Dilhaire, G. Pernot, G. Calbris, J. M. Rampoux and S. Grauby, *J. Appl. Phys.*, 2011, **110**, 114314.
- 5 O. M. Wilson, X. Hu, D. G. Cahill and P. V. Braun, *Phys. Rev. B*, 2002, **66**, 224301.
- 6 J. Huang, J. Park, W. Wang, C. J. Murphy and D. G. Cahill, *ACS Nano*, 2013, **7**, 589–597.
- 7 A. Majumdar, *Annu. Rev. Mater. Sci.*, 1999, **29**, 505–585.
- 8 K. Setoura, Y. Okada, D. Werner and S. Hashimoto, *ACS Nano*, 2013, **7**, 7874–7885.
- 9 O. O. Dada, P. E. Feist and N. J. Dovichi, *Appl. Opt.*, 2011, **50**, 6336–6342.
- 10 L. Rodriguez, J. F. Cárdenas-García and C. Costa Vera, *Opt. Lett.*, 2014, **39**, 3406–3409.
- 11 M. Selmke, M. Braun and F. Cichos, *ACS Nano*, 2012, **6**, 2741–2749.
- 12 M. Selmke, M. Braun and F. Cichos, *Opt. Express*, 2012, **20**, 8055–8070.
- 13 M. Selmke, M. Braun and F. Cichos, *J. Opt. Soc. Am. A*, 2012, **29**, 2237–2241.
- 14 D. Lasne, G. A. Blab, S. Berciaud, M. Heine, L. Groc, D. Choquet, L. Cognet and B. Lounis, *Biophys. J.*, 2006, **91**, 4598–4604.
- 15 M. Iwaki, A. H. Iwane, K. Ikezaki and T. Yanagida, *Nano Lett.*, 2015, **15**, 2456–2461.
- 16 A. Gaiduk, M. Yorulmaz, P. V. Ruygrok and M. Orrit, *Science*, 2010, **330**, 353–356.
- 17 C. Leduc, S. Si, J. Gautier, M. Soto-Ribeiro, B. Wehrle-Haller, A. Gautreau, G. Giannone, L. Cognet and B. Lounis, *Nano Lett.*, 2013, **13**, 1489–1494.
- 18 R. Radünz, D. Rings, K. Kroy and F. Cichos, *J. Phys. Chem. A*, 2009, **113**, 1674–1677.
- 19 M. Selmke, R. Schachoff, M. Braun and F. Cichos, *RSC Adv.*, 2013, **3**, 394–400.
- 20 S. Berciaud, L. Cognet, G. A. Blab and B. Lounis, *Phys. Rev. Lett.*, 2004, **93**, 257402.
- 21 M. Harada, M. Shibata, T. Kitamori and T. Sawada, *Anal. Chim. Acta*, 1995, **299**, 343–347.
- 22 D. Boyer, P. Tamarat, A. Maali, B. Lounis and M. Orrit, *Science*, 2002, **297**, 1160–1163.
- 23 M. Selmke, A. Heber, M. Braun and F. Cichos, *Appl. Phys. Lett.*, 2014, **105**, 065428.
- 24 S. Berciaud, D. Lasne, G. A. Blab, L. Cognet and B. Lounis, *Phys. Rev. B*, 2006, **73**, 045424.
- 25 P. Berto, M. S. A. Mohamed, H. Rigneault and G. Baffou, *Phys. Rev. B*, 2014, **90**, 035439.
- 26 H. S. Carslaw and J. C. Jaeger, *Conduction of Heat in Solids*, Oxford University Press, USA, 2nd edn., 1959.
- 27 M. Selmke and F. Cichos, *J. Opt. Soc. Am. A*, 2014, **31**, 2370–2384.
- 28 G. Baffou, P. Berto, E. Bermúdez Ureña, R. Quidant, S. Monneret, J. Polleux and H. Rigneault, *ACS Nano*, 2013, **7**, 6478–6488.
- 29 P. Ben-Abdallah, R. Messina, S.-A. Biehs, M. Tschikin, K. Joulain and C. Henkel, *Phys. Rev. Lett.*, 2013, **111**, 174301.
- 30 A. Heber, M. Selmke and F. Cichos, *ACS Nano*, 2014, **8**, 1893–1898.
- 31 L. Hou, M. Yorulmaz, N. R. Verhart and M. Orrit, *New J. Phys.*, 2015, **17**, 013050.