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Phosphonic anchoring groups in organic dyes for solid-state solar cells

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Abstract

We report the synthesis and the optoelectronic characterization of three new 4*H*-pyran-4-ylidene and thiazole derivatives (*pyt*) as metal-free organic dyes for solid-state dye-sensitized solar cells (DSSCs). We investigate the performance and the long-term stability of devices employing *pyt* dyes functionalized with carboxylic and phosphonic acids as TiO₂ anchoring groups. In contrast to reports on liquid electrolyte DSSCs, we show that solid-state DSSCs prepared with phosphoric *pyt* derivatives can achieve similar power conversion efficiency to their carboxyl analogues. We make use of Mott-Schottky analysis and equivalent circuit models to demonstrate that phosphonic group induces a significantly increase in built-in voltage at the TiO₂-hole transporter interface, which results in higher open circuit voltage.

INTRODUCTION

The growing energy demand and the necessity of sustainable energy generation will require electric power harvested from renewable energy sources.^{1,2} The production of sustainable energy can either be concentrated where the renewable resource is most abundant or it can be directly produced where needed. The latter is preferred to avoid distribution losses and costs.³ Solar energy is the most abundant renewable sources for distributed energy production, in particular because a number of different photovoltaic technologies are available that can match diverse requirements of energy generation.⁴ The sun light to electric power conversion efficiency (PCE) and the cost per Watt are the figures of merit commonly used to characterize the performance of photovoltaic technologies. Among others, the recent organic-inorganic perovskite solar cells seems particularly promising to deliver high PCE at low cost.⁵ They still suffer however from insufficient lifetimes. Also, depending on the particular application, other criteria could be decisive when selecting the most appropriate technology. For example, differently coloured solar cells are commercially valuable for buildings, automotive applications and portable device integration.^{6,7} In these applications, dye-sensitized solar cells (DSSCs) are the most flexible photovoltaic technology, offering a potentially infinite choice of colours with reasonable PCE and at low cost.⁸⁻¹¹

A typical DSSC consists of an electron-transporting layer of dye-sensitized TiO₂ nanoparticles, which are infiltrated with a redox electrolyte. They have been widely investigated over the last 20 years, since the first successful demonstration by O'Regan and Grätzel. 12 Beyond the effort to improve the PCE, much of the research activity has been focused on their long-term operational stability, which is now at a stage that allows the commercialisation of liquid electrolyte DSSCs. 13-16 Several studies have indicated that dve desorption from the TiO₂ surface is one of the primary reasons of performance degradation. ¹⁶ Carboxylic acids are the most commonly used anchoring group to attach dye molecules to the TiO₂ surface, but they can be easily hydrolysed in presence of water or alkaline conditions, causing their desorption, thus compromising the device operation. Among several alternatives to carboxylic acids, 17-20 phosphonic acids have been proposed as TiO₂ anchoring group to improve device stability. ²¹⁻²³ Indeed, a number of reports showed that phosphonic acids tend to bind more strongly to metal oxide surfaces than carboxylic acids. 24-27 These reports predicted however a reduced electron injection efficiency from the photoexcited dye into the TiO2 conduction band when phosphonic acid anchoring groups are used, potential leading to a higher charge recombination. These theoretical predictions have recently been confirmed in a systematic study of liquid electrolyte DSSCs by Brennan and co-workers.²¹ They showed reduction in PCE by a factor of two for metal-complex based dye functionalized with a phosphonic rather than with a carboxylic anchoring group. Several other publications also reported the use of phosphonic acid as a dye anchoring group in liquid electrolyte DSSCs, ²⁸⁻³¹ but a systematic comparison between carboxvlic and

phosphonic groups on device PCE and long-term stability is missing. In particular, metal-free organic dyes with phosphonic acid anchoring groups have not been reported. Compared to metal-complexes, metal-free organic dyes often achieve higher molar extinction coefficients, 32,33 which are particularly important to fully absorb light in devices with short optical path lengths, for example in solid-state DSSCs (ss-DSSCs) 34 where a number of factors limit thickness of the absorbing layer to less than 3 μ m.

Here, we report the synthesis and the optoelectronic characterization of three new 4*H*-pyran-4-ylidene and thiazole derivatives (*pyt*) as metal-free organic dyes. We investigate the device performance and the long-term stability of ss-DSSCs employing *pyt* dyes functionalized with carboxylic or phosphonic acids as TiO₂ anchoring groups. We show how the anchoring groups influence the electronic properties of the TiO₂ junction and how this impacts on device operation. Finally, we demonstrate that organic dyes employing phosphonic acid anchoring groups achieve a similar PCE as carboxylic acid analogues in ss-DSSCs.

RESULTS AND DISCUSSION

Synthesis and optoelectronic characterization

Figure 1. Molecular structure of the compounds prepared for this study, where R is a carboxylic acid, *pyt*-COOH, a phosphonic acid, *pyt*-PO(OH)₂, or mono-protected phosphonic acid, *pyt*-PO(OEt)OH.

Figure 1 shows the molecular structure of the conjugated organic compounds prepared for this study. The molecules consist of the same 4*H*-pyran-4-ylidene and thiazole unit (*pyt*), which is linked to 2-cyanovinylcarboxylic acid, *pyt*-COOH, cyanovinylphosphonic acid, *pyt*-PO(OH)₂, and mono-protected cyanovinylphosphonic acid, *pyt*-PO(OEt)OH. All compounds have been synthesized with a good yield by a three step procedure starting from (2,6-diphenyl-4*H*-pyran-4-yl)diphenylphosphine oxide. The synthetic protocol includes a Horner reaction, followed by a formylation and a final Knoevenagel condensation. Details on the synthetic methods and the chemical characterization of the final compounds are given in the Experimental Section.

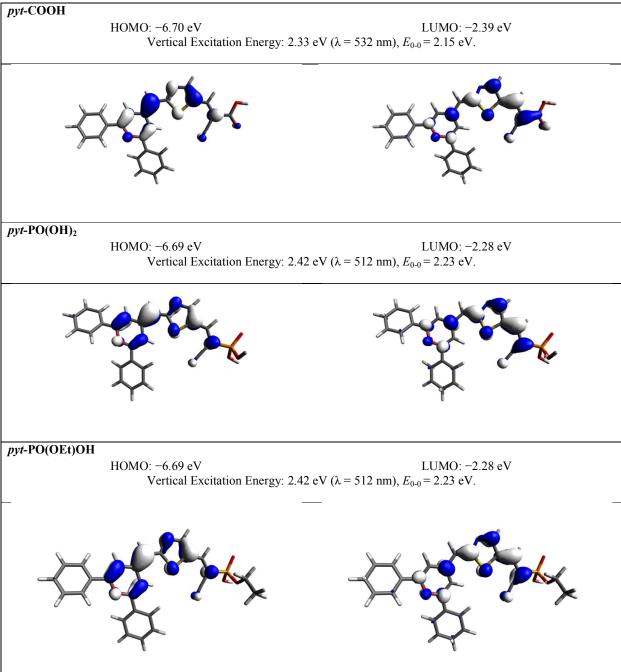


Figure 2. Calculated molecular orbital distribution of HOMO (left), LUMO (right), relative energy levels, vertical excitation energy at the absorption maximum (λ) and adiabatic excitation energy (E_{0-0}), simulating DMF as solvent at TD-M06-2x/6-311+G(2d,p)/M06-2x/6-31G* level of theory.

Figure 2 shows the distribution of the highest occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital (LUMO) of *pyt*-COOH, *pyt*-PO(OH)₂ and *pyt*-PO(OEt)OH, with the

corresponding energy levels and the excitation energies calculated from DFT, simulating dimethylformamide (DMF) as solvent. In all the compounds, the HOMO is mainly distributed over the 4*H*-pyran-4-ylidene and in part on the thiazole unit, while the LUMO is mainly located on the thiazole and the cyanovinylcarboxylic or the cyanovinylphosphonic units. With such a molecular orbital distribution, the HOMO-LUMO photoexcitation moves electron density from the 4*H*-pyran-4-ylidene unit, which acts as an electron donor moiety, towards the cyanovinylcarboxylic or the cyanovinylphosphonic units, which act as electron acceptors. Changing the electron acceptor moiety from a carboxylic to a phosphonic group marginally affects the HOMO, but has a strong impact on the LUMO energy. Indeed, the LUMO energy level of the phosphonic compounds is about 0.1 eV closer to vacuum and consequently the HOMO to LUMO excitation energy is larger than in the carboxylic compound. We note that the level of theory used in this study did not predict any difference between the two phosphonic derivatives, *pyt*-PO(OEt)OH and *pyt*-PO(OH)₂.

Table 1. Electrochemical and optical parameters.

	E ₀₋₀ (eV)	$E_{\rm ox}$ (V) vs. NHE	$E_{\rm HOMO}$ (eV)	$E_{ m LUMO}$ (eV)
pyt-COOH	2.28	1.01	-6.01	-3.73
pyt-PO(OH) ₂	2.46	0.93	-5.90	-3.44
pyt-PO(OEt)OH	2.43	0.92	-5.89	-3.46

Table 1 lists the main electrochemical and optical parameters as measured by differential pulse voltammetry, UV-Vis light absorption and emission spectra (see SI for details). The zero-zero transition energy (E_{0-0}) was estimated from the intersection of the absorption and emission spectra (see SI). The HOMO energy (E_{HOMO}) was extracted from the oxidation potentials (E_{ox}) versus a normal hydrogen electrode (NHE) according to the procedure described by Thompson and co-workers, using the formula E_{HOMO} (eV) = $-1.4 E_{ox}$ (V) $-4.6.^{32}$ The LUMO energy was extracted using the formula $E_{LUMO} = E_{HOMO} + E_{0-0}$. Nevertheless, both computation and experiments show that replacing carboxylic with phosphonic acid has a minor effect on the HOMO, and, it moves the LUMO energy closer to the vacuum level. As a result E_{0-0} is higher for the phosphonic dyes. Furthermore, experimental data shows that E_{0-0} for pyt-PO(OEt)OH is slightly lower (by less than 0.1 eV) than for pyt-PO(OH)₂.

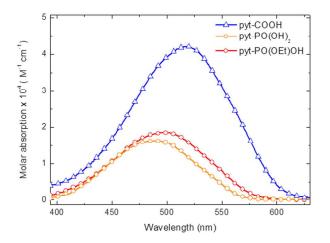


Figure 3. UV-Vis molar absorption spectra for *pyt*-COOH, *pyt*-PO(OH)₂ and *pyt*-PO(OEt)OH in DMF. Figure 3 shows that all the compounds exhibit relatively broad absorption in the visible region, which matches with the spectra calculated for the intramolecular HOMO-LUMO transition (see SI). Both the phosphonic and the carboxylic compounds have a molar absorption higher than 10⁴ M⁻¹cm⁻¹ in the 450-550 nm spectral region.

Device performance and long-term stability

The results of the previous paragraph suggests that conjugated organic compounds based on 4*H*-pyran-4-ylidene and thiazole (*pyt*) can work as effective donor-acceptor organic dyes irrespective of their funtionalisation. The carboxylic acid group of *pyt*-COOH and the phosphonic acid groups of *pyt*-PO(OH)₂ and *pyt*-PO(OEt)OH can act as an anchoring groups linking the dye molecules to a TiO₂ surface.²⁵.²² Here, the influence of different anchoring groups on device performance and the long-term stability of ss-DSSCs is explored.

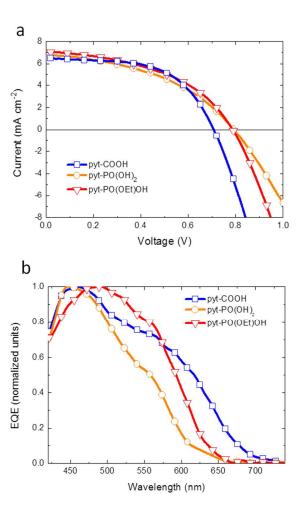


Figure 4. (a) Current-voltage curves under forward bias for devices employing *pyt*-COOH, *pyt*-PO(OH)₂ and *pyt*-PO(OEt)OH under AM 1.5 simulated sunlight of 100 mW cm⁻² equivalent solar irradiance. (b) External quantum efficiency (EQE) spectra normalized at the peak value.

Table 2. Device performance parameters.

	$J_{\rm sc}$ (mA cm ⁻²)	PCE (%)	$V_{oc}(V)$	FF
pyt-COOH	6.4	2.6	0.71	0.57
pyt-PO(OH) ₂	6.8	2.3	0.79	0.43
pyt-PO(OEt)OH	7.1	2.6	0.79	0.46

Devices were prepared by sensitizing 1.5 μ m thick mesoporous TiO₂ films with *pyt*-COOH, *pyt*-PO(OH)₂ and *pyt*-PO(OEt)OH, using 2,2'-7,7'-tetrakis(*N*,*N*-di-*p*-methoxyphenylamine)-9,9'-spirobifluorene (spiro-OMeTAD) as the hole transporting material (HTM),³⁴ adding the commonly used additive combination of lithium bis(trifluoromethanesulfonyl)imide (Li-TFSI) and 4-tert-butylpyridine (TBP).³⁵ Figure 4 shows the device current-voltage (*J*–*V*) characteristics and external quantum efficiency (EQE) of the best performing devices, with the corresponding performance parameters summarized in Table 2. We note that the PCEs reported in Table 2 were achieved after optimizing the device preparation procedure in several experiments over a period of 6 moths (see Supporting Information). The overall PCE is similar for all three dyes, with *pyt*-PO(OH)₂ slightly lower than *pyt*-PO(OEt)OH and *pyt*-COOH. In particular the short circuit currents (J_{sc}) are quite similar, since we estimated an error about 0.5 mA cm². Owing to the lower molar absorption of *pyt*-PO(OH)₂ and *pyt*-PO(OEt)OH, a similar J_{sc} therefore suggests that the phosphonic anchoring groups provide a more efficient TiO₂ sensitization.

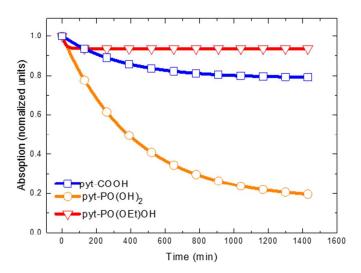


Figure 5. Spectral absorption peak as function of the time during TiO₂ film sensitization in 0.1 mM dyeing solutions of *pyt*-COOH, *pyt*-PO(OH)₂ and *pyt*-PO(OEt)OH in ^tBuOH:CH₃CN (1:1 v:v). The lines are B-spline interpolations.

To investigate the origin of the relatively high J_{sc} values of TiO₂ sensitised with pyt-PO(OH)₂ and pyt-PO(OEt)OH, the kinetics of dye loading onto mesoporous TiO₂ films was monitored. Figure 5 shows the spectral absorption peak of dyeing solution as function of the time. Note that pyt-PO(OH)₂ is not stable in t BuOH:CH₃CN, showing solution bleaching after only a few hours even in the absence of TiO₂ films. This probably is caused by a strong acidic environment induced by the free phosphonic acid group,

which may be responsible for dye degradation in solution. The data in Figure 5 can therefore not be used to extract information on pyt-PO(OH)₂ loading onto TiO₂. In contrast, the solutions of pyt-COOH and pyt-PO(OEt)OH are stable. The concentration of PO(OEt)OH in solution decreased rapidly during the first 100 minutes and then saturated. Surprisingly, the concentration of pyt-COOH kept decreasing over the entire time monitored window (about 24 hours). This trend may arise either from an extremely slow TiO₂ sensitization or from undesired dye aggregation at the TiO₂ surface. Since a number of previous studies have shown that the sensitization of thin TiO₂ mesoporous films (below 2 μ m) with carboxylic organic dyes is completed within 3 hours, pyt-COOH aggregation onto the first properly chemisorbed layer of molecules is the likely cause for the data in Fig. 5. Notably, PO(OEt)OH does not show any evidence of this type of dye aggregation, which may be a partial cause for the relatively high J_{sc} despite a molar absorption which is lower than that of pyt-COOH.

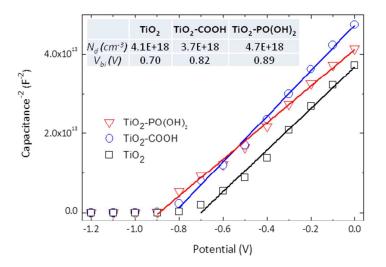


Figure 6. Mott-Schottky plot for p-n junctions between spiro-OMeTAD (p-type material) and TiO_2 (n-type material) without dye sensitization. Benzoic and phenylphosphonic acid were used to mimic the effect of the COOH and $PO(OH)_2$ anchoring groups on the TiO_2 . The table lists the doping density (N_d) and the built-in voltage (V_{bi}) extracted from the linear fits.

Table 2 shows that the device open circuit voltage (V_{oc}) for the phosphonic dyes is 80 mV higher than the carboxylic analogue. The V_{oc} is produced by the splitting between the quasi-Fermi level for electrons in the TiO₂ and holes in the spiro-OMeTAD. One of the parameters that may affect this energy offset is the doping level of the two semiconductors. The doping of the spiro-OMeTAD is mainly controlled by the concentration of Li-TFSI, which has been demonstrated to be an effective p-dopant in presence of oxygen. For the TiO₂, the doping level strongly depends on the concentration of oxygen vacancy defects, which may cause by atomic oxygen diffusing away from the lattice sites reducing Ti⁴⁺ ions to Ti³⁺ at the vacancy sites. Ti³⁺ ions within the TiO₂ lattice have been demonstrated to act as "shallow donors" or n-dopants. The concentration of Ti³⁺ ions, and thus the doping level, is strongly sensitive to the chemistry at TiO₂ surface. The concentration of Ti³⁺ ions, and thus the doping level, is strongly sensitive to the chemistry at TiO₂ surface.

To study the impact of different dye anchoring groups on the doping level of the TiO_2 , we employed capacitance-voltage measurements, which are a well-established tool to determine the doping density of p-n junctions. Here, we realized a p-n junction with the spiro-OMeTAD (p-type material) in contact with a TiO_2 (n-type material) without dye sensitization. To mimic the effect of the dye anchoring groups without introducing additional donor or acceptor levels at the p-n junction caused by redox active dye molecules, benzoic and phenylphosphonic acid were adsorbed onto the surface of mesoporous TiO_2 . Figure 6 shows a so-called Mott–Schottky plot of inverse capacity squared C^{-2} versus applied voltage, which allows the extraction of the doping density (N_d) from the slope of a linear fit. The fitted values of

 $N_{\rm d}$ in the table in Figure 6 show that the doping levels are rather similar for the bare TiO₂ and the TiO₂ modified with benzoic acid, TiO₂-COOH. Interestingly, the phenylphosphonic acid, TiO₂-PO(OH)₂, slightly enhances $N_{\rm d}$. This may suggest that phenylphosphonic acid induces a higher number of Ti³⁺ sites or oxygen vacancies at the TiO₂ surface.

The Mott–Schottky plot in Figure 6 also allows to extract the built-in voltage (V_{bi}), which is related to the potential difference across the junction in thermal equilibrium. The V_{bi} values in Figure 6 show a clear increase from bare to COOH to PO(OH)₂ modified TiO₂. In particular, V_{bi} of TiO₂-PO(OH)₂ is 70 mV higher than TiO₂-COOH, which is in good agreement with the 80 mV increase in V_{oc} found in ss-DSSCs employing the phosphonic anchoring group (see Table 2).

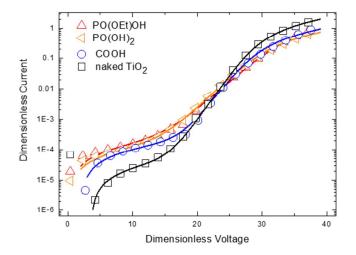


Figure 7. Dimensionless current-voltage curves in the dark for devices employing *pyt*-COOH, *pyt*-PO(OH)₂ and *pyt*-PO(OEt)OH and without any TiO₂ sensitization (naked TiO₂). Experimental data (symbols) were fitted with the Shockley equivalent circuit of equation (1) (lines). The dimensionless voltage is measured in units of the thermal voltage and the current density is measured in units of 10 mA cm⁻².

Table 3. Dimensionless fitting parameters: current density I_0 , ideality factor N, shunt and series resistances R_{shunt} and R_s . To recover these quantities in their dimensional form the current density I_0 has to be multiplied by I^* , and the shunt and series resistances have be multiplied by $V_t/(I^*A)$, where V_t is the thermal voltage (measured in Volts), A is the active area of the cell (measured in cm²) and I^* is 10 mA cm^{-2} .

	I_0	N	$R_{\rm shunt}$	$R_{\rm s}$
naked TiO ₂	9.5E-10	1.4	$3 \cdot 10^{5}$	4
pyt-COOH	2.7E-10	1.4	9.10^{4}	8
pyt-PO(OH) ₂	1.6E-7	2.1	9.10^{4}	9
pyt-PO(OEt)OH	3.3E-7	2.3	$7 \cdot 10^4$	5

Figure 7 shows the dimensionless current-voltage curves in the absence of illumination (in the dark) for devices sensitized with pyt-COOH, pyt-PO(OH)₂, pyt-PO(OEt)OH and without any TiO₂ sensitization (naked TiO₂). The current-voltage curves of each of these devices can be compared to the prediction by the well-known Shockley equivalent circuit ⁴⁶ which consists of (i) a diode and (ii) a shunt resistor R_{shunt} in parallel, both in series with a second resistor R_s . Fitting was performed using dimensionless variables because it allows to determine the ideality factor N of the diode. When the dimensionless current density is plotted on a log scale, the gradient of the linear portion of the current voltage curve is 1/N. In dimensionless variables, the Shockley equivalent circuit equation is

$$I = I_0 \left[exp \left(\frac{V - I * R_S}{V_t N} - 1 \right) \right] + \frac{V - IR_S}{R_{shunt}}, \tag{1}$$

where I, I_0 , V, R_s , N and R_{shunt} are all dimensionless. I is the total current density flowing through the circuit, I_0 is the reverse saturation current density of the diode, and V is the voltage across the device. The details how these dimensionless quantities are related to their dimensional counterparts are given in the caption of Table 3. A fit of equation (1) to the experimental data of devices sensitized with COOH, PO(OH)₂, PO(OEt)OH and the unsensitized device allows to extract the shunt and series resistances, the reverse saturation current density and the ideality factor of the diode. The fitting results are given in Figure 7 and Table 3. The following trends are observed. First, all sensitized devices exhibit a decrease in R_{shunt} relative to the naked TiO₂. A decrease in the shunt resistance is usually attributed to an increase in the "leakage" of current across the p-n junction. 47,48 It is therefore likely that the introduction of the dyes creates a small number of Ohmic shunting pathways across the interface that increase parasitic leakage. This effect however only impacts the device performance close to short-circuit conditions, and, more importantly, it does not affect the performance of the devices near the maximum power point. Second, a marked increase in the ideality factor of the diode sensitized the phosphonic dyes was observed (in particular N > 2), indicating that these dyes introduce additional interfacial traps that can act as recombination centres. This supports our hypothesis that the phosphonic acid anchoring group induces higher number of oxygen vacancies at the TiO₂ surface (see Figure 6). Note that ideality factors larger than 2 are not well described by the Shockley-Read-Hall (SRH) theory, which describes recombination through defects or bimolecular recombination dynamics only. Schenk et al. and Kirkpatrick et al. have developed two different models for charge carrier recombination that take into account not only one midgap trap state (which is assumed in SRH), but multiple mid-gap trap states. 49,50 These models lead to ideality factors larger than 2, supporting our observation. Third, I_0 is appreciably increased in the phosphonic dye containing cells. Foster and co-workers have shown that the (pseudo-) band gap, (i.e. the energy difference between the HOMO of the donor and LUMO of the acceptor) determines I_0 . While a direct comparison between our experiments and these theoretical results is difficult, our observation (see Figure 6) that the different dyes each have a different effect on the (pseudo-) band gap at least partially explains the different I_0 values.

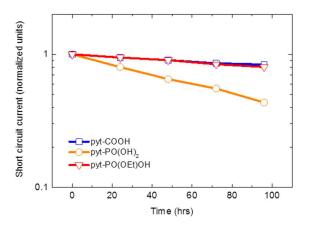


Figure 8. Short circuit current (J_{sc}) as function of time for sealed devices under continuous AM 1.5 simulated sunlight illumination of 100 mW cm⁻² equivalent solar irradiance in open circuit condition at 40°C.

To study the impact of carboxylic and phosphonic anchoring groups on long-term operation, devices were sealed in air using an epoxy resin and then exposed to continuous illumination for 100 hours (see Experimental Section for details). Note that this procedure is different from typical procedure to estimate the long-term operation of ss-DSSCs, which includes the storage of unsealed devices in an inert atmosphere and occasional measurements of the current-voltage curve in air. We have demonstrated that this method does not reproduce realistic solar cell working conditions and thus does not measure the long-term operating behaviour of ss-DSSCs. ^{15,40} In sealed devices, the PCE decreases significantly during the first 100 hours of light exposure mainly as result of a reduction in J_{sc} , while V_{oc} and FF remain stable. ¹⁵

In Figure 7, J_{sc} is plotted as a function of the time for the sealed devices employing pyt-COOH, pyt-PO(OH)₂ and pyt-PO(OEt)OH as sensitizers. Devices with the mono-protected phosphonic acid is significantly more stable compared to cells with the free phosphonic acid and is similar in performance to carboxylic dye containing devices. This is in agreement with Figure 5 showing that pyt-PO(OH)₂ in solution bleaches after a few hours, probably due to the strong acidic environment induced by the free phosphonic acid group. This dye was sufficiently stable in ss-DSSCs for a measurement of a few hours, but the devices discoloured during entire period of the ageing test.

CONCLUSIONS

We have synthesized of three new 4H-pyran-4-ylidene and thiazole derivatives (pyt) as metal-free organic dyes that were successfully employed in ss-DSSCs. The same pyt dye functionalized with carboxylic and phosphonic acid as TiO_2 anchoring groups performed similarly in ss-DSSCs, in contrast to reports on liquid electrolyte DSSCs, where dyes employing phosphonic anchoring groups, always exhibited relatively low efficiencies. In particular, the lower fill factor of devices sensitized with phosphonic acid containing dyes was compensated by a higher V_{oc} . A Mott-Schottky analysis and equivalent circuit models demonstrate that phosphonic group induces a significantly increase in built-in voltage at the TiO_2 -hole transporter interface, which is the cause for this high V_{oc} . pyt-PO(OH) $_2$ sensitized devices exhibited comparable lifetimes as devices employing the COOH-terminated dye. This work therefore demonstrates that PO(OH) $_2$ is a promising anchor group for metal-free organic dyes for use in ss-DSSCs. Our results also reiterate the fact that principles applying to design of highly efficient dyes for liquid electrolyte DSSCs are not always directly applicable to solid-state devices.

EXPERIMENTAL SECTION

Synthetic procedures

Aldehyde **4** was prepared through a two-step synthesis, as shown in Figure 7. The Horner reaction of (2,6-diphenyl-4*H*-pyran-4-yl)diphenylphosphine oxide **1** with the available aldehyde **2** yielded the intermediate **3**, which, by lithiation followed by reaction with anhydrous DMF afforded the desired aldehyde **4**. The organic dye *pyt*-PO(OEt)OH was obtained with very good yield (98%) by a Knoevenagel condensation of the aldehyde **4**, in presence of piperidine, with diethyl cianomethylphosphonate in acetonitrile. The complete deprotection of the phosphonate ester *pyt*-PO(OEt)OH was successfully achieved with trimethylsilane iodide in CH₂Cl₂. Dye *pyt*-COOH was prepared by a similar Knoevenagel condensation from aldehyde **4** and 2-cyanoacetic acid.

Figure 7. Synthetic procedure scheme for *pyt*-COOH, *pyt*-PO(OH)₂ and *pyt*-PO(OEt)OH.

<u>pyt-PO(OEt)OH</u>: Ethyl hydrogen 1-cyano-2-(2-((2,6-diphenyl-4*H*-pyran-4-ylidene)methyl)thiazol-5-yl)vinylphosphonate

Piperidine (307.6 μ L, 2.92 mmol) was added to a solution of aldehyde **4** (157.3 mg, 0.44 mmol) and diethyl cyanomethyl-phosphonate (87.2 μ l, 0.53 mmol) in 10 mL of anhydrous acetonitrile. The reaction mixture was heated to reflux for 24 hours under Ar. After removing the solvent the residue was purified by reversed C18 chromatography (CH₃CN/AcONH₄ 20 mM 1/1 as eluent) to give a red solid (210.0 mg, 98%)

Mp: 241-242 °C.

IR (KBr), cm^{-1} : 2197 (C \equiv N), 1652 (P \equiv O), 1541 (C \equiv C).

¹**H NMR** (400 MHz, dmso-d⁶): $^{7M}(ppm)$ 8.40 (s, 1H), 8.28 (s, 1H), 7.97-7.87 (m, 4H), 7.83 (d, J = 15.7 Hz, 1H), 7.65-7.47 (m, 6H), 7.09 (s, 1H), 6.29 (s, 1H), 3.75-3.65 (m, 2H), 1.11 (t, J = 7.0 Hz, 3H).

¹³C NMR (100 MHz, dmso-d⁶): $^{7M}(ppm)$ 169.6, 154.9, 153.2, 151.4, 141.7 ($J_{C-P} = 7.0 \text{ Hz}$), 135.8, 131.9, 131.6, 130.5, 130.1, 129.8, 129.2, 129.0, 125.0, 124.7, 119.1 ($J_{C-P} = 8.8 \text{ Hz}$), 107.9, 104.1, 104.1, 91.2 ($J_{C-P} = 133.7 \text{ Hz}$), 59.6, 16.7.

HRMS (ESI⁺): m/z Calcd for $[C_{26}H_{22}N_2O_4PS]^+$: 489.1032, found: 489.1011; m/z Calcd for $[C_{26}H_{21}N_2NaO_4PS]^+$: 511.0852, found: 511.0826.

pvt-PO(OH)₂: 1-cyano-2-(2-((2,6-diphenyl-4*H*-pyran-4-ylidene)methyl)thiazol-5-yl)vinylphosphonic acid

Trimethylsilane iodide (185.6 μ l, 1.26 mmol) was added (dropwise) to a solution of *pyt*-PO(OEt)OH (100 mg, 0.20 mmol) in 20 ml of CH₂Cl₂. After refluxing for 2 h, the mixture was cooled to r.t. and 6 ml of CH₃OH were added. After stirring for 30 minutes, the solvent was removed and the residue washed with CH₂Cl₂ and dried, to give a dark red solid (72.4 mg, 78%)

Mp: 223-226 °C.

IR (KBr): *cm*⁻¹ 2197 (C≡N), 1646 (P=O), 1540 (C=C).

¹**H NMR** (400 MHz, dmso-d⁶): $^{7M}(ppm)$ 8.49 (s, 1H), 8.44 (d, J = 1.1 Hz, 1H), 8.07 (d, J = 18.2 Hz, 1H), 7.99-7.87 (m, 2H), 7.64-7.51 (m, 6H), 7.13 (d, J = 1.2 Hz, 1H), 6.35 (s, 1H).

¹³C NMR (100 MHz, dmso-d⁶): $^{7M}(ppm)$ 171.6, 155.5, 154.3, 153.9, 144.6 ($J_{C-P} = 6.6$ Hz), 137.3, 131.8, 131.5, 130.7, 130.4, 129.3, 129.1, 128.5 ($J_{C-P} = 18.2$ Hz), 125.2, 124.9, 117.4 ($J_{C-P} = 10.1$ Hz), 108.1, 104.4, 104.0, 100.6 ($J_{C-P} = 187.0$ Hz).

HRMS (ESI⁺): m/z Calcd for $[C_{24}H_{18}N_2O_4PS]^+$: 461.0719, found: 461.0705.

pvt-COOH: 2-cyano-3-(2-((2,6-diphenyl-4H-pyran-4-ylidene)methyl)thiazol-5-yl)acrylic acid

Piperidine (222 μl; 2.22 mmol) was added to a solution of aldehyde **4** (120 mg; 0.33 mmol) and 2-cyanoacetic acid (44.5 mg; 0.52 mmol) in 10 ml chloroform. The reaction mixture was heated to reflux for 3 days and 19 hours under Ar. After removing the solvent the residue was purified by reversed C18 chromatography (CH₃CN/AcONH₄ 20 mM 1/1 as eluent) to give a dark red solid (75.5 mg, 53%)

Mp: 263-265 °C.

IR (KBr): cm^{-1} 2213 (C \equiv N), 1649 (C \equiv O), 1545 (C \equiv C).

¹**H NMR** (400 MHz, dmso-d⁶): 7M (*ppm*) 8.57 (s, 1H), 8.49 (s, 1H), 8.45 (d, J = 1.4 Hz, 1H), 7.95-7.89 (m, 4H), 7.61-7.52 (m, 6H), 7.15 (d, J = 1.4 Hz, 1H), 6.37 (s, 1H).

¹³C NMR (100 MHz, dmso-d⁶): ^{7M} (ppm) 173.3, 163.4, 156.5, 155.9, 154.4, 144.3, 138.3, 131.6, 131.4, 130.5, 130.2, 129.0, 128.9, 127.0, 125.1, 124.8, 116.4, 108.2, 104.5, 104.1, 97.6.

HRMS (ESI⁺): m/z Calcd for $[C_{25}H_{17}N_2O_3S]^+$: 425.0954, found: 425.0954. Calcd for $[C_{25}H_{16}N_2O_3SNa]^+$: 447.0774, found: 447.0776.

Methods.

Chemical characterization. Melting point (Mp) was recorded using a Gallenkamp apparatus. Fourier transform infrared spectrum (IR) was recorded in KBr pellets using a Perkin-Elmer 1600. ¹H and ¹³C NMR spectra were recorded on a Bruker AV400 spectrometer (400 MHz for ¹H and 100 MHz for ¹³C). The deuterated solvents are indicated; chemical shifts (δ) are given in ppm, using the solvent residual signal as an internal standard (¹H, ¹³C). Electrospray (ESI) mass spectra were recorded on a Bruker microTOF-Q spectrometer, accurate mass measurements (HRMS) were achieved using sodium formiate as external reference.

Electrochemical characterization. The electrochemical properties of the dyes were studied by using differential pulse voltammetry (DPV) with a step potential of 5 mV, square wave amplitude of 25 mV, and a scan rate of 50 mV/s. Measurements were performed under argon, in anhydrous DMF (10⁻³M solution) using 0.1 M tetrabutylammonium hexafluorophosphate (TBAPF₆) as the supporting electrolyte,

a glassy carbon working electrode (3 mm diameter), a Pt counter electrode and a Ag/AgCl reference electrode. All measurements were made at room temperature using a μ AUTOLAB Type III potentiostat, driven by the electrochemical software NOVA v10.1.

Optical characterization. Solution UV-Visible absorption spectra were recorded using a UNICAM UV4 UV/Vis/NIR spectrophotometer. All samples were measured in a 1 cm cell at room temperature with anhydrous DMF as solvent. Concentration of 10⁻⁵ M was used for solution UV-Visible. In order to assure that the dyes were completely protonated, we added several drops of HCl (1N) to the DMF solution.

Computational details. Theoretical calculations were performed using Gaussian 09⁵¹ and the default parameters provided by this software package. Solvent effects were estimated using a Conductor-like Polarizable Continuum Model (CPCM).^{52,53} Equilibrium geometries in solution were obtained using the M06-2x hybrid meta-GGA exchange-correlation functional⁵⁴ and the medium size 6-31G* basis set⁵⁵ both for ground and first excited states. Excitation energies were calculated by time-dependent single point calculations using the M06-2x/6-311+G(2d,p) model chemistry. Vertical excitation energies were calculated at the optimized ground state geometry, while adiabatic excitation energies (E₀₋₀) were calculated by subtracting the ground state energy from the excited state energy both calculated at their optimized geometry. Molecular Orbital contour plots were obtained using the Avogadro software ⁵⁶ at 0.04 isosurface value.

Solar cell fabrication. The ss-DSSCs were prepared with a procedure similar to what we previously reported.³² All solvents used for device fabrication were reagent grade and anhydrous. FTO substrates (15 Ω/sq, Pilkington) were etched with zinc powder and HCl (2 M aqueous solution) to give the desired electrode patterning. The substrates were cleaned with Hellmanex (2% by volume in water), de-ionized water, acetone, and ethanol. The residual traces of organics were removed by a 10 minute oxygen plasma cleaning step. The FTO sheets were subsequently coated with a compact layer of TiO₂ (70 nm) by aerosol spray pyrolysis deposition at 270 °C, using oxygen as the carrier gas. Films of 1.5 μm thick mesoporous TiO₂ were then deposited by screen-printing a commercial paste (Dyesol 18NR-T) diluted in terpineol to obtain the desired thickness. The TiO₂ films were slowly heated to 500 °C and allowed to sinter for 30 min in air. Once cooled, the samples were immersed into a 15 mM TiCl₄ aqueous solution for 45 min at 70 °C and then heated to 500 °C for another sintering step of 45 min. After cooling to 70 °C, the substrates were immersed in about 0.1 mM solution of dye in 1:1 mixture of acetonitrile and *tert*-butyl alcohol for 3 hours. After the dyed films were rinsed in abundant acetonitrile, then the hole conductor

matrix was applied by spin-coating at 1000 rpm for 45 s in air. The solutions for spin coating consisted of 80 mM of 2,2'-7,7'-tetrakis(N,N-di-p-methoxyphenylamine)-9,9'-spirobifluorene (spiro-OMeTAD), 15 mM of lithium bis(trifluoromethylsulfonyl)imide salt (Li-TFSI) and 70 mM of 4-*tert*-butylpyridine (tBP) in anhydrous chlorobenzene. After drying overnight, 150 nm of silver back contacts were applied by thermal evaporation in high vacuum.

Solar cells performance.⁵⁷ For measuring the device merit parameters, simulated AM 1.5 sunlight was generated with a class AAB ABET solar simulator calibrated to give simulated AM 1.5, 100 mWcm⁻² irradiance, using an NREL-calibrated KG5 filtered silicon reference cell, with less than 1% mismatch factor; the current-voltage curves were recorded with a sourcemeter (Keithley 2400, USA). The solar cells were masked with a metal aperture defining the active area (0.065 cm²) of the solar cells. All devices were stored in air and in dark for 24 hours prior to testing.

Photovoltaic action spectra were measured (2400 Series SourceMeter, Keithley Instruments) with chopped monochromatic light incident which were biased with white light-emitting diodes (LED) at an equivalent solar irradiance of 10 mW cm⁻². The monochromatic light intensity for the incident photon-to-electron conversion efficiency (EQE) was calibrated with a UV-enhanced silicon photodiode. The solar cells were masked with a metal aperture to define the active area which was typically 0.065 cm² and measured in a light-tight sample holder to minimize any edge effects.

Capacitance-voltage measurements were taken using FRA-equipped PGSTAT-302N from Autolab, 20 mV AC sinusoidal signal with the frequency ranging from 1 MHz to 0.1 Hz for each voltage bias (forward bias ranging from 0 V to 1.2 V). TiO₂ films were prepared with the same procedure we used to prepare the solar cells. The TiO₂ surface was modified with benzoic and phenylphosphonic acids following the same procedure used for dye sensitization.

Long-term stability was performed by sealing the devices in air with epoxy resin and leaving them at open circuit condition under AM 1.5, 100 mW cm⁻² simulated sun light at 40°C, filtering the UV light. For measuring the device performance current-voltage curves under simulated full sun light were collected as described in the previous paragraphs.

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SUPPORTING INFORMATION

Computational details; NMR spectra; absorption and emission spectra in ¹BuOH:CH₃CN (1:1); electrochemical characterisation; relative dye concentration on the TiO₂ surface; dye solution calibration curves; device performance parameters collected over 6 months of experiments.

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