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Temperature-induced molecular transport through polymer multilayers coated with pNIPAM microgels

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Abstract

Polyelectrolyte multilayers serve as effective reservoirs for bioactive molecules to be stored and released from the multilayers for cellular applications. However, a control over the release without significantly affecting the multilayers and biomolecules is still a challenge. On the other hand, externally stimulated release would make the multilayers promising for development of stimuli-sensitive planar carriers with release performance switched on demand. In this study soft composite films are designed by coating hyaluronic acid/poly-L-lysine (HA/PLL) multilayers with temperature responsive poly(N-isopropylacrylamide) (PNIPAM) microgels. Microgels are flattened and immersed into the multilayers to maximize the number of contacts with the surrounding polyelectrolytes (HA and PLL). The microgel coating serves as an efficient switchable barrier for the PLL transport into the multilayers. PLL diffusion into the film is significantly hindered at room temperature but is dramatically enhanced at 40°C above the volume phase transition temperature (VPTT) of PNIPAM at 32°C associated with microgel shrinkage. Scanning force microscopy micrographs show that the mechanism of volume phase transition on soft surfaces cannot be directly deduced from the processes taking place at solid substrates.

1. Introduction

The layer-by-layer polymer assembly^{1,2} is a very powerful and versatile tool for fabrication of polymer-based multilayer films with tailor-made properties such as chemical composition, permeability, hydration, mechanics, etc.³⁻⁵ By the consecutive deposition of polycations and polyanions, the multilayer films can be assembled reaching film thicknesses from a few nanometers to several micrometers and beyond. Nowadays these multilayer films are extensively used as reservoirs to host bioactive molecules aiming at biological applications.⁶⁻¹⁰ A variety of molecules can be embedded into the films; they include small drugs and dyes,¹¹⁻¹⁷ proteins and peptides,¹⁸⁻²¹ or nucleic acids.^{22,23} Extensive reviews on the reservoir properties of the multilayers can be found elsewhere.^{6,7,10,24}

Multilayers assembled from the biopolymers hyaluronic acid (HA) and poly-L-lysine (PLL) are one of the most studied systems. The HA/PLL multilayers are non-toxic for a variety of cell lines and have been employed to control cell adhesion via chemical or physical cues.^{11,25-29} The so-called exponential-like growth of the multilayers is caused by polymer diffusion in and out of the multilayers during the polymer assembly process.³⁰⁻³³ The exponential-like growth allows to produce micrometer thick films with high loading capacities for various biomolecules.^{10,30} The multilayers can release the biomolecules reaching the cells and thereby inducing cellular response such as adhesion, migration or differentiation.^{21,34,35}

However, to stimulate a cellular response, it is necessary to ensure delivery of the biomolecules from the film to the cells. Up to now, stimuli-responsive multilayers had been prepared by employing polymers sensitive to pH, ionic strength, and temperature variation.^{36,37} These stimuli, however, restrict the use of multilayers for biological experiments because even miserable environmental changes in pH and ionic strength can result in improper functions of cells or even cellular death. In addition, drastic changes in the film structure usually occur that may impair the biological activity of the loaded biomolecules. Thus, control of the release performance is required without simultaneously changing the internal structure of the film. The concept of the present paper is based on embedding a stimuli responsive layer as a barrier, which permeability can be switched without changing the structure of the surrounding multilayers.

Stimuli-responsive materials play an important role for non-biological and biological applications such as drug-delivery, sensing, diagnostics, tissue engineering, etc.³⁸⁻⁴³ Among others, thermo-responsive polymers are particularly attractive because they show a drastic change of physico-chemical characteristics as a result of phase transition upon heating.^{44,45} Poly(N-isopropylacrylamide) (PNIPAM) is one of the most popular temperature sensitive

polymer applied for synthesis of macrogels and microgels (MG).⁴⁶⁻⁴⁹ At the volume phase transition temperature (VPTT at 32-34 °C) PNIPAM chains undergo a reversible volume phase transition (VPT) due mainly to intramolecular hydrophobic interactions resulting in the release of bound water molecules and a pronounced volume reduction.⁵⁰⁻⁵⁴ The advantage of microgels vs. macrogels is that microgels react much faster to external stimuli (here: changes in temperature) than macrogels and they can be easier processed for film formation than macrogels. Temperature variations in the biocompatible range serve as a suitable stimulus because cells tolerate temperature shifts from 37 to 25 °C.⁴³

In the present work, soft HA/PLL multilayer films are coated with a layer of negatively charged microgels. The polycation PLL is mobile within the HA/PLL film with a diffusion coefficient of up to 1 $\mu\text{m}^2/\text{s}$ and HA is rather immobile.^{19, 55} Fast diffusion of PLL results in an excess of PLL at the surface of the HA/PLL film, leading to strong interaction of HA/PLL films with negatively charged molecules. This phenomenon has been demonstrated for different species such as DNA,^{23, 56} gold nanoparticles,^{57, 58} liposomes^{59, 60} as well as charged microparticles.^{61, 62} Macromolecules such as DNA are accumulated on the film surface forming large micrometer-sized aggregates with PLL.^{23, 56} Negatively charged silica microparticles are spontaneously embedded into the HA/PLL films to about a half of their diameter.⁶¹ The silica particles are non-deformable and their embedding into the film can be done just by immersing the film into the particle suspension. The interaction of soft microgels with soft substrates has not been studied so far. In this study the interaction between HA/PLL multilayers and soft PNIPAM microgels (MG) is addressed. AFM is used to analyze the structure of the composite HA/PLL-MG films. For the first time we highlight PNIPAM microgel layers as barriers with temperature switchable permeability. Molecular transport through the composite film is examined by employing fluorescently labeled PLL.

2. Experimental section

2.1. Synthesis of PNIPAM microgels

The microgels were synthesized via precipitation polymerisation without using any surfactants. N-isopropylacrylamide (NIPAM), N-N' methylenebisacrylamide (MBA), potassium persulfate (KPS) were obtained from Sigma-Aldrich. All chemicals were used without further purification. The purity of NIPAM was at least 97%. The microgel particles were synthesized according to the procedure proposed in⁵⁴. Both samples (**MG1** and **MG2**) were synthesized with 5 mol % N-N' MBA crosslinker in respect to the molar amount of

NIPAM (100 mM NIPAM and 5 mM MBA). Both reactions were initiated with 1 mM of potassium persulfate (KPS). **MG1** was prepared with a stirring rate of 500 rpm with an immediate cold-purge at the end of the reaction followed by stirring with 500 rpm overnight under nitrogen. **MG2** was prepared during a reaction kinetic experiment, meaning 2 mL sampling was performed at intervals throughout the reaction. The samples were slowly cooled down overnight under stirring with 1000 rpm. Both reactions were performed at a temperature of 80 °C. Variation in the sample morphology was likely a result of the reaction sampling, the method of terminating the reaction, and possibly also the stirring rate used.

2.2. Characterization of PNIPAM microgels. The hydrodynamic radius of the MG1 and MG2 was measured using dynamic light scattering (DLS). The intensity auto correlation functions were recorded at several scattering angles using an ALV/CGS-3 compact goniometer system equipped with an ALV/LSE-5004 correlator and a He-Ne laser ($\lambda=632.8$ nm, 35 mW). Data analysis was done using the inverse Laplace transformation algorithm (CONTIN). The zeta potential was measured with a Nano Zetasizer (Malvern).

2.3. Preparation of (HA/PLL)₂₄ films. Soft HA/PLL multilayer films to be used as host of microgels have been prepared according to a well-established protocol described in our previous works.^{31, 63, 64} HA/PLL films were prepared on round 12-mm sized glass coverslips (Menzel, Germany). The coverslips were firstly cleaned in 2% Hellmanex solution followed by two times rinsing with 1 M HCl and subsequent two washing steps with water. Each cleaning step was done at 60 °C during 15 min incubation time. Cleaned slides were immersed in 1 mg mL⁻¹ polyethylenimine solution in 10 mM TRIS containing 15 mM NaCl with pH adjusted to 7.4 (further referred as TRIS buffer) for 10 min followed by three times washing with buffer (3 min washing time). Further, the coverslips were sequentially immersed in 0.5 mg mL⁻¹ of HA and 0.5 mg mL⁻¹ PLL solution in TRIS buffer for 10 min. After every step of PLL or HA deposition the coverslips were washed three times with TRIS buffer for 3 min each time. The deposition cycles were repeated until the desired number of bilayers has been prepared. In this study (HA/PLL)₂₄ films have been produced. The films were stored in TRIS buffer at 4°C. Before use the films have been “refreshed” by a sequence of PLL-HA-PLL deposition at conditions used for the film assembly. The “refreshment” allows the minimization of possible reorganization effects during film storage.

2.4. Coating of (HA/PLL)₂₄ films with microgels. (HA/PLL)₂₄ films were exposed to 1 ml of 2 mg mL⁻¹ microgel solution in TRIS buffer for 1h followed by extensive washing with the buffer.

2.5. AFM imaging of the coated multilayers. AFM measurements in buffer were performed using the JPK NanoWizard® II in intermittent contact mode. An electrochemical cell was used for measurements in buffer under temperature control. Mikromasch CSC37SPM cantilevers (C) were used for the measurements. The samples were stored at 4 °C before measurements were performed. AFM of both sample types were attempted at both 20 and 40 °C. AFM image correction and analysis was conducted using ImageJ software. Average values of microgel height and width have been obtained by analysis of AFM image profiles obtained from a line profile or 2D profile taken for at least 5 microgels, respectively (see Fig. 5 and S1).

2.6. PLL diffusion into (HA/PLL)₂₄ film. A mixture of PLL-FITC with PLL (1:150 molar ratio) with a final polymer concentration of 0.5 mg mL⁻¹ in TRIS buffer is added to the (HA/PLL)₂₄-MG film deposited onto cover glass. The fluorescence increase in the film has been measured using a fluorescent microscope (Cell-R, Olympus). To examine the morphology of microgel coated films confocal scanning fluorescent microscopy (CLSM) has been employed (Zeiss LSM 510 Meta, Zeiss, Germany). The microscopy is equipped with 63x (N.A. 1.4) oil-immersion objectives. Standard filter settings for excitation and emission of FITC were used for a laser source of 488 nm.

3. Results and discussion

3.1. Characterization of temperature-sensitive microgels

Fig. 1 presents the hydrodynamic radius of the microgels as a function of temperature. At room temperature the hydrodynamic radii of MG1 and MG2 were found to be about 220 nm, respectively. The microgels prepared by the procedure used in this study are negatively charged; the zeta-potential of MG2 was determined to be -10 mV and decreased to below -35 mV above VPTT (Fig. S2). The negative charge of the microgels is most probably induced by polymerization initiator potassium persulfate (KPS) used to prepare the microgels. A volume phase transition at about 32°C can be clearly identified by both size and zeta potential changes. The microgels shrink by almost a factor of two at temperatures above VPTT. This is a typical behavior of microgels prepared by this approach as found in previous work (see introduction). Shrunken microgels have higher negative zeta-potential values indicating a higher surface charge that is most probably caused by the reduction of surface area (Fig. 1b). In addition, it is assumed that charges are accumulating at the microgel surface during the volume phase transition.⁶⁵

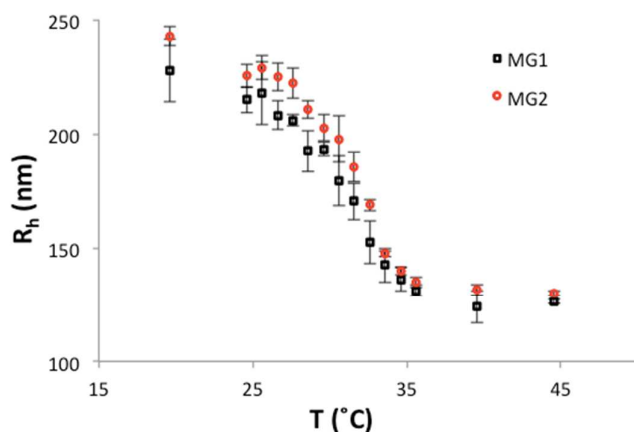


Fig. 1. Hydrodynamic radius of the microgels MG1 and MG2 as a function of temperature.

3.3. Molecular transport through MG-coated HA/PLL films

In the following both microgel samples MG1 and MG2 have further been studied as potential barrier material for molecular transport into/out of the film. For this purpose PLL has been chosen as a model molecule, which can diffuse fast into the film and strongly accumulates in the film. The fluorescence of PLL diffused into the film has been probed by conventional fluorescence microscopy where the film thickness is much thinner than the field of depth. Therefore, the recorded fluorescent signal corresponds to the total fluorescence coming from the film and the fluorescence of a bulk above the film.

Fig. 2a shows the time evolution of the fluorescence recorded from the film (coated with microgels or uncoated one) after its contact with PLL-FITC solution. The fluorescence is normalized by the signal obtained after addition of PLL-FITC (burst).

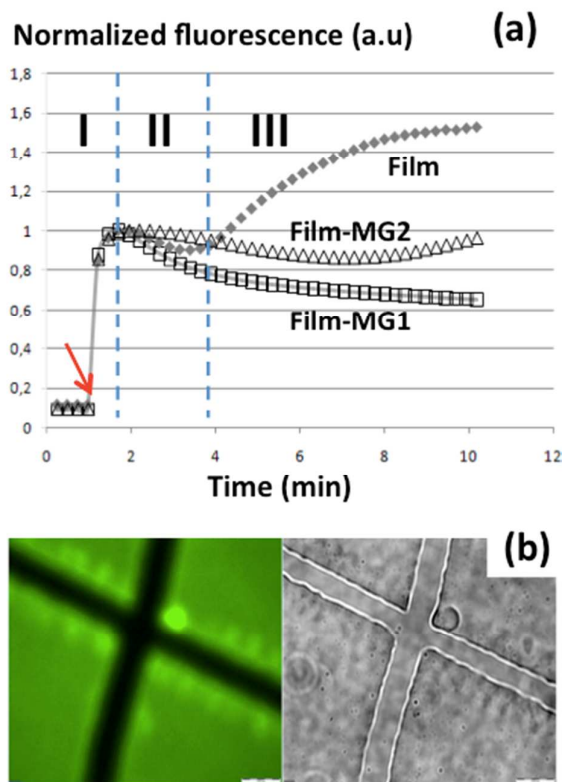


Fig. 2. Diffusion of PLL-FITC at 25 °C: (a) - Normalized fluorescence detected from $(\text{HA}/\text{PLL})_{24}$ films (uncoated or coated with MG1 and MG2) in contact with PLL-FITC. The processes associated with changes of the fluorescent signal are depicted: Replacement of buffer with PLL solution as indicated by the red arrow (I), desorption of excess of adsorbed PLL (II), and PLL diffusion into the film (III). (b) - CLSM (left) and transmission (right) images of a $(\text{HA}/\text{PLL})_{24}$ film loaded with PLL-FITC are shown. The scratch made by a needle results in mechanical removal of a part of the film and is used as a marker to identify the standard film position for fluorescence reading (Z-position is changed until a maximum fluorescence signal is detected) and also as a control representing uncoated surface. The scale bar for image (b) is 20 μm .

Significant accumulation of PLL in the film is evident from Fig. 2b due to much higher fluorescence from the film compared to the scratch area (where the film was removed). Three time segments can be distinguished in the fluorescence profiles in Fig. 2a. Interpretations of processes taking place in the segments are given in Fig. 3. Time segment I corresponds to a significant increase of the fluorescence due to replacement of buffer solution above the film by PLL-FITC solution. This process is rather fast and lasts less than 1 min. Then, the fluorescence signal drops down. This corresponds to the time segment II and can be explained by desorption of an excess of PLL-FITC molecules due to reorganization of the polymer structure at the film surface. Desorption of excess polymers has been previously reported using quartz crystal microbalance allowing high temporal resolution measurements.⁶⁶ The

desorption process can last a few minutes. On the MG-coated films not any increase of fluorescence (MG1) or only slight increase after 7 min (MG2) have been detected. In case of the uncoated film, the fluorescence signal went up after approximately 3 min of incubation followed by a gradual slowing down with time. We attribute this to the polymer diffusion into the film (time segment III) as illustrated in Fig. 3. The increase of the fluorescence signal due to diffusion is significant because the film accumulates PLL.

Fig. 2a shows no fluorescence increase of the film with microgels adsorbed at the film surface, We explain this result by a barrier function of the microgel layer, which prevents PLL transport into the film (time segment III).

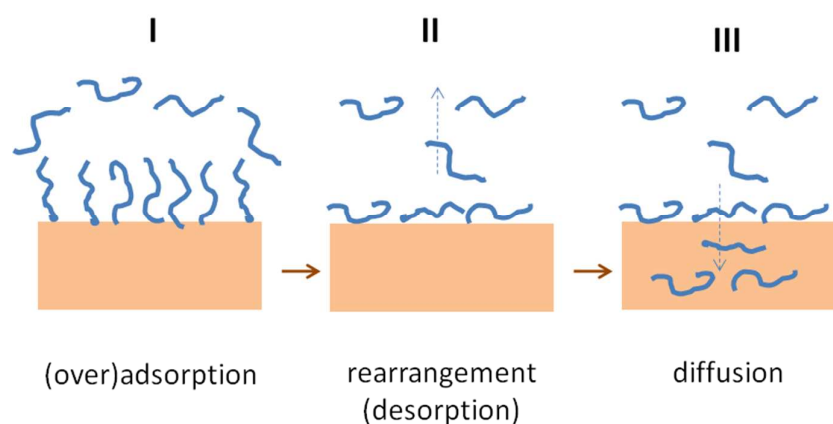


Fig. 3. Schematic presentation of polymer interaction with the film. The time segments I, II, and II represent polymer adsorption, desorption of an excess of polymer, and polymer diffusion into the film, respectively.

In order to investigate how the barrier characteristic of the microgel coating is changed at increased temperature, the PLL diffusion into the multilayers was studied at 40 °C (temperature above VPTT). Fig. 4 presents the evolution of fluorescence recorded from the coated and uncoated multilayers in the presence of PLL-FITC at 40 °C. In contrast what happens at 25 °C, now, the adsorption of microgels do not induce significant change of the PLL transport into the multilayers. Interestingly, the shape of the fluorescence profile differs from that measured at room temperature (Fig. 2a). The time segment II, where we assume desorption of excess amount of adsorbed PLL (Fig. 3), is not observed at 40 °C (Fig. 4). This may be explained by significant enhancement of PLL diffusion into the film (time segment III in Fig. 3). Thus, the time segment II cannot be detected, since the signal due to PLL diffusion into the multilayers increases very fast from the very beginning.

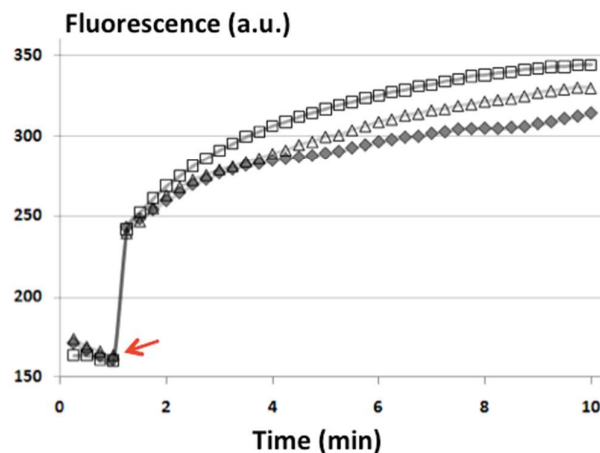


Fig. 4. Fluorescence detected from the uncoated (HA/PLL)₂₄ film (filled diamonds) and films coated with MG1 (open squares) and MG2 (open triangles) after contact with PLL-FITC at 40 °C. The red arrow shows the moment when PLL-FITC was added.

Fig. 4 demonstrates the main finding of this study, namely that the microgels adsorbed at the multilayer surface serve as a temperature-sensitive barrier. The barrier characteristics switch very much from negligible PLL transport below VPTT up to pronounced transport above the VPTT. Such “ON-OFF” behavior should be caused by significant changes in the structure of the microgels. Another explanation for the stronger diffusion into the HA/PLL film might be that the microgels are (partially) desorbed after their volume phase transition. This is, however, not the case as shown in the next section.

3.3. Volume phase transition of PNIPAM microgels adsorbed on HA/PLL multilayer films

Fig. 5 and Fig. S1 present AFM images of the surface of the microgel-coated HA/PLL films. The images have been recorded at 20 and 40 °C to probe the structure of the composite films below and above the VPTT of the microgels, respectively. White dots represent the top of the adsorbed microgels (Fig. 5). The most prominent result is that the microgels do not desorb from the HA/PLL film above the volume phase transition temperature. That means that the increased transport of PLL-FITC into the film above the VPTT in Fig. 4 cannot be explained by desorption of microgels but by a change in volume of the microgels. Therefore, the microgel structure was analysed more in detail in the AFM micrographs. The height and width of the MG1 and MG2 have been obtained from profile analysis as shown in Fig. 5b and are presented in Table 1.

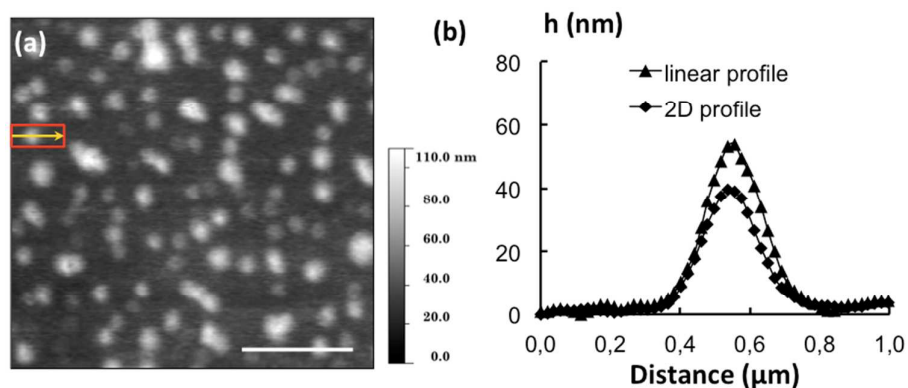


Fig. 5. AFM images of $(\text{HA}/\text{PLL})_{24}$ films coated with MG2 (a) as measured at 20 °C. (b) - linear and 2D profiles taken along the yellow line and red rectangle in the image (a) to obtain microgel height and width, respectively.

Table 1. Height and width of the microgels MG1 and MG2 adsorbed on the $(\text{HA}/\text{PLL})_{24}$ multilayer film as measured by AFM at different temperatures (20 and 40 °C).

Sample name	MG height (h), nm	MG width (w), nm
MG1 (20°C)	24±5	222±42
MG2 (20°C)	47±9	314±26
MG1 (40°C)	15±6	310±51

Firstly, the height is much smaller than the width, indicating a flattening of the microgels at the surface. This is a common behavior for all types of adsorbed PNIPAM microgels. On hard surfaces like silicon, glass or gold wafers a reduction in volume by a factor of about 5-8 is observed.^{46, 67} This compression leads to a reduction in correlation length around the volume phase transition.⁶⁸ In contrast to hard surfaces, the detected width of the microgel is reduced after adsorption (diameter of microgels in solution is about 440 nm). This is a hint that the microgel particles partially sink into the PEM, and that only the upper cap is imaged with the AFM tip. Increasing the temperature above the VPT the detected width of MG1 increases (table 1). This is in contrast to hard interfaces where a reduction in volume occurs, mainly caused by a vertical shrinking. The lateral dimension is often very similar to the diameter of the microgel in aqueous dispersion, but it depends on the interaction between microgel and hard surface. If there is any change of the lateral dimension at the VPT, it is always a shrinking but the degree of shrinking strongly depends on the interaction between the microgel and the substrate (stick or slip).^{46, 67} An increase in width when passing the VPT to higher temperatures has never been observed on hard substrates.

Obviously there is a mismatch between the detected increase in width at the VPT (table 1) and the increase in mass transport, which indicates a lateral shrinking of the microgels. To explain the substantial enhancement of PLL transport above VPTT (Fig. 2 and Fig. 4) one can assume an increase in area devoid of microgels (area of voids). The PLL transport enhancement above the VPTT indicates a pronounced shrinking in lateral direction. We considered the case of compactly packed spherical microgels and calculated an increase of surface available between the packed microgels when crossing VPTT if they would behave as in solution (Fig. S3). Most probably this behavior does not take place in our system due to an interaction between microgels and the film. Spherical shape also does not correspond to the measured dimensions of the immobilized microgels. However, this simple model gives an upper limit for the maximum increase in the microgel-free area in the barrier microgel layer. Nevertheless, similar behavior is expected if the immobilized microgels are deformed (non-spherical). The calculation showed that in an ideal packing case the surface available between the microgels when heated above VPTT is enlarged by almost an order of magnitude (Fig. S3). This can explain the dramatic enhancement of PLL transport into the film at the temperature over VPTT.

As already mentioned above there are strong hints that the microgels partially sink into the HA/PLL film, and that only an upper cap of the microgel is detectable with the AFM tip. Due to soft nature of the HA/PLL films, the microgels might be spontaneously immersed into the film. The increase in detected width above VPTT might be due to an increase in elastic modulus of the microgel from about 100 kPa to about 800 kPa,^{46, 69} which makes the detection of microgels easier with the AFM. The value of the Young's modulus of the surrounding HA/PLL film is in the range of tens of kPa.^{64, 70} The film structure is not changed upon heating to 45 °C as has been proven from measurements of water content in the HA/PLL films upon heating.⁶⁰ Thus, changes of the film permeability are related only to the change of microgels structure.

The strong immersion into the PEM can be explained by the microgel structure. The microgels are assumed to have an inhomogeneous structure. Due to faster reaction kinetics of the cross-linker with respect to the NIPAM monomer, the microgel consists of a denser core surrounded by a more fluffy shell of dangling PNIPAM chains.⁷¹ Fig. 6a schematically shows the structure of microgel adsorbed in the film. The dangling PNIPAM chains and the HA and PLL chains can strongly interdigitate with each other. Probably hydrogen bonds can be formed between the amide group of PNIPAM and the amide and carboxyl group of HA and

the amine groups of PLL. Electrostatic attraction can also occur due to the negatively charged initiator of the PNIPAM microgel and the polycation PLL.

An annealing effect and a stronger interdigitation between the microgel and the surrounding HA/PLL film might occur at the temperature above VPPT. It is assumed that the immersed microgels keep the flattened shape and do not relax to spherical shape due to extra energy necessary to restructure the film. The film thickness (about $2 \mu\text{m}^{14}$) is larger than the size of the microgels and even fully immersed microgels should not approach the solid support.

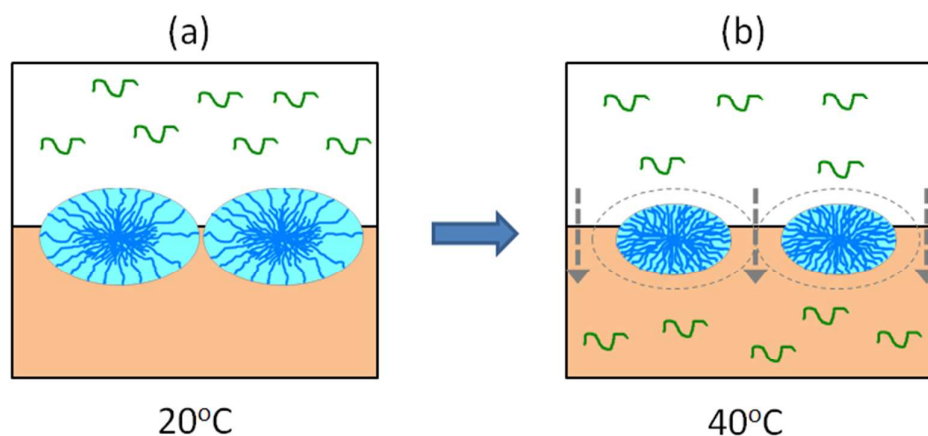


Fig. 6. Schematics (side view) of HA/PLL multilayers coated with PNIPAM microgels in the presence of PLL solution (green) at 20 °C (a) and 40 °C (b). Temperature increase above the phase transition results in the collapse of the immobilized microgels followed by an increase of the free surface area between the microgels. This facilitates the PLL diffusion into the multilayers (indicated by dashed arrows).

Fig. 6 represents schematics, which can explain temperature induced changes of the film-immobilized microgels, namely the measured widening of the microgels above VPPT. Microgel shrinkage during the heating from 20 to 40 °C undoubtedly takes place in the film, otherwise diffusion of PLL into the microgel coated and uncoated films could not be similar (Fig. 4). At 20 °C the dangling PNIPAM chains strongly interact with the surrounding polyelectrolytes (HA and PLL) in the film and the AFM can measure the size of just the denser (stiffer) core of the microgel. It results in a smaller detected size of the immobilized microgels compared that of microgels in solution. The largest part of the microgel is immersed into the HA/PLL film and the measured microgel height is very low (Table 1). Above the VPPT the microgels shrink and allow PLL transport into the film (Fig. 6b). Now the dangling chains are retracted towards the shrinking gel core and contribute to the stiffer cap, which is detected by AFM.

In our future work we plan to further study morphology of the composite films by using cantilevers with different spring constants. We would also like to consider reversibility of the temperature-mediated permeabilization and kinetics of microgel immersing into the film. This future study may shed light to the film structure.

4. Conclusions

This study shows that PNIPAM microgels can form an effective temperature sensitive barrier for molecular transport when adsorbed to HA/PLL multilayers. The negatively charged microgels are spontaneously adsorbed on the multilayer surfaces with being flattened and immersed with their largest part. Full immersion into the multilayers and relaxing to spherical shape would be unfavourable because of the necessary rearrangement of the internal structure of the multilayer. Dramatic enhancement of PLL transport at temperature above the VPTT of PNIPAM is due to the shrinking of the microgel particles at these temperatures. The composite soft films assembled from multilayers and PNIPAM microgels may serve as attractive model of composite structures for soft matter studies. This strategy offers new possibilities for the development of a novel generation of temperature-responsive materials for controlled drug release and the separation of compounds.

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