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# ARTICLE

# Intense NIR emissions at 0.8 $\mu$ m, 1.47 $\mu$ m, and 1.53 $\mu$ m from colloidal LiYbF<sub>4</sub>:Ln<sup>3+</sup> (Ln=Tm<sup>3+</sup> and Er<sup>3+</sup>) nanocrystals

Shyam Sarkar, <sup>a</sup> Venkata N. K. B, Adusumalli, <sup>a</sup> Venkataramanan Mahalingam<sup>\*a</sup> and John A Capobianco<sup>\*b</sup>

Received ooth January 2012, Accepted ooth January 2012

Cite this: DOI: 10.1039/x0xx00000x

DOI: 10.1039/x0xx00000x

www.rsc.org/

We report on the synthesis of diamond shaped  $Ln^{3+}$ -doped LiYbF<sub>4</sub> (Ln=Tm and Er) nanocrystals with flat edges *via* the thermal decomposition method. Strong near-infrared emissions at 0.8 µm, 1.47 µm and 1.53 µm are observed from colloidal dispersions of Tm<sup>3+</sup>-doped and Er<sup>3+</sup>-doped LiYbF<sub>4</sub> nanocrystals, respectively under 0.98 µm diode laser excitation. The NIR emission intensities for Tm<sup>3+</sup>-doped and Er<sup>3+</sup>-doped LiYbF<sub>4</sub> nanocrystals are comparable with those of the sodium counterpart NaYbF<sub>4</sub> suggesting that LiYbF<sub>4</sub> is also an excellent host matrix for lanthanide ions to obtain strong NIR emissions in colloidal solutions of LiYbF<sub>4</sub> (Tm<sup>3+</sup> or Er<sup>3+</sup>)nanocrystals.

#### 1. Introduction

Upconverting nanomaterials which are able to convert lower energy radiation (near-infrared) to higher energy radiation (ultraviolet (UV) or visible) by absorbing one or more low energy photons are getting much attention recently owing to their potential use in a wide range of applications from optoelectronic devices to bioimaging.1-15 The upconversion (UC) process is largely exhibited by lanthanide  $(Ln^{3+})$  ions and generally occurs by three different mechanisms; energy transfer upconversion (ETU), excited state absorption (ESA) and photon avalanche (PA).<sup>16-18</sup> Among these mechanisms, ETU is predominantly observed in colloidal nanocrystals particularly in Yb<sup>3+</sup> ions co-doped materials. Due to higher absorption coefficient of the Yb<sup>3+</sup> ion compared to other Ln<sup>3+</sup> ions, it is used as a sensitizer. Moreover, excitation wavelength of the laser diode (0.98  $\mu$ m) may be used to excite the Yb<sup>3+</sup> ions.<sup>19,20</sup> Among the available matrices for Ln3+ ions, fluorides are considered as ideal hosts due to their low phonon energy which reduces the nonradiative relaxations.21-29

There are several reports on upconverting  $Ln^{3+}$ -doped fluoride nanoparticles. One of the major interests of UC nanomaterials is in bioimaging since excitation at 0.98 µm allows deeper penetration of light in tissues, reduces auto-fluorescence and increases image contrast.<sup>30-32</sup> Particularly interesting are materials where the emission peaks are also in the NIR region. A number of reports can be found on NIR to NIR upconversion emission. For example, Prasad's group have shown NIR to NIR upconversion emission from ultrasmall Yb<sup>3+</sup>/Tm<sup>3+</sup>-doped NaYF<sub>4</sub> nanocrystals. By increasing the Yb<sup>3+</sup> ions concentration from 20 to 100% a great enhancement (43

times) of NIR to NIR UC emission was observed.33 The same group have also shown NIR to NIR downconversion photoluminescence from core/shell NaGdF<sub>4</sub>:Nd<sup>3+</sup>/NaGdF<sub>4</sub> nanocrystals.<sup>34</sup> In another study, they have shown NIR upconversion emission from core/shell NaYbF<sub>4</sub>/CaF<sub>2</sub> nanocrystals which was used in high contrast deep tissue bioimaging.<sup>35</sup> However, the majority of the above mentioned studies concentrate on the 0.8 µm emission from Tm<sup>3+</sup> or Nd<sup>3+</sup> ions. NIR emissions particularly near 1.5 µm (1.53 µm and 1.47 µm) are interesting for telecommunication applications. These emissions have been reported in solid state materials however, only few reports are available on colloidal systems. This is probably due to the efficient quenching of these emissions by nonradiative relaxations. For example, 1.47 µm Stokes emission of Tm<sup>3+</sup> ions has been reported for LaF<sub>3</sub>:Tm<sup>3+</sup>/LaF<sub>3</sub> core-shell nanoparticles.  $^{36}$  We have reported similar  ${\sim}1.46~\mu m$ emission from Yb<sup>3+</sup>/Tm<sup>3+</sup> co-doped LiYF<sub>4</sub> nanocrystals under 0.98 µm laser excitation.<sup>37</sup> However, to produce dispersible nanocrystals exhibiting strong NIR emissions at wavelengths above 0.8 µm remains a challenge. The advantage of colloidal nanocrystals would be the uniform coating over any surfaces and facile integration into thin film devices.

In this article, we report the synthesis of colloidal  $Ln^{3+}$ doped LiYbF<sub>4</sub> nanocrystals (Ln=Tm<sup>3+</sup> and Er<sup>3+</sup>) stabilized by oleate ligands and produced *via* the thermal decomposition method (see experimental section for details). NIR (0.98 µm) to NIR (0.8 µm) emission *via* upconversion process was achieved by doping Tm<sup>3+</sup> ions in the host matrix, LiYbF<sub>4</sub>. Moreover, Tm<sup>3+</sup>-doped and Er<sup>3+</sup>-doped LiYbF<sub>4</sub> nanocrystals show strong 1.46 µm and 1.53 µm Stokes emissions *via* upconversion respectively, which are important wavelengths for application in telecommunication.

#### 2. Experimental

#### 2.1 Materials and methods

All the chemicals used in this work, thulium oxide  $(Tm_2O_3, 99.99\%)$ , erbium oxide  $(Er_2O_3, 99.99\%)$ , ytterbium oxide  $(Yb_2O_3, 99.99\%)$ , trifluoroacetic acid  $(CF_3COOH, 99\%)$ , oleic acid (90%), 1-octadecene (90%) and lithium trifluoroacetate  $(CF_3COOLi, 99.99\%)$ , sodium trifluoroacetate  $(CF_3COONa, 99.99\%)$ , absolute ethanol were purchased from Sigma Aldrich. All the materials were used without further purification.

#### 2.2 Synthesis of nanocrystals

 $Ln^{3+}$ -doped LiYbF<sub>4</sub> nanocrystals were prepared via the thermal decomposition method using oleic acid as capping agent and 1octadecene as high boiling point solvent. Briefly, lanthanide trifluoroacetates were first prepared by refluxing stoichiometric amounts of corresponding lanthanide oxides with 1:1 trifluoroacetic acid and water at 85°C and then evaporated at 65°C to dryness. CF<sub>3</sub>COOLi, oleic acid (20 mL) and 1octadecene (20 mL) were added to dried precursors and the resulting mixture was heated to 120°C under vacuum. After 15 minutes, the temperature of the mixture was raised to 310°C under an argon flow for 1 h. The mixture was cooled to room temperature and the nanocrystals were precipitated with absolute ethanol. The nanocrystals were separated by centrifugation and further purified by dispersing them in hexane followed by precipitation again with absolute ethanol. A 1 wt% colloidal dispersion was prepared by dispersing approximately 100 mg of the nanocrystals in 10 mL of toluene.

#### 2.3. Characterization techniques

#### *Powder X-ray diffraction (PXRD):*

Analysis of the phase of the as prepared  $Ln^{3+}$ -doped LiYbF<sub>4</sub> (Ln=Tm or Er) nanocrystals was carried out by powder X-ray diffraction (XRD) measurements, using a Rigaku-smartlab diffractometer with Cu K $\alpha$  operating at 200 kV and 45mA at a scanning rate of 1° min<sup>-1</sup> in the 2 $\theta$  range from 15° to 90°. The samples were spread evenly on a quartz slide.

#### Transmission electron microscopy (TEM):

The morphology of the nanocrystals were characterized by transmission electron microscopy (TEM), using a FEI (Czech Republic), FP5018/40 TECHNAI G<sup>2</sup> SPIRIT BioTWIN transmission electron microscope operated at 120 keV. Prior to analysis, a 10 mg sample was dispersed in 10 ml of toluene to make a 0.1 wt% solution. A drop of the toluene dispersion was evaporated on a carbon coated 300 mesh copper grid.

#### Scanning electron microscopy (SEM):

Scanning electron microscopy (SEM) images were taken using a ZEISS (SUPRA) instrument. Prior to loading of the samples into the chamber, they were coated with a thin film of gold-palladium in order to avoid charging effects.

#### Fourier transform infrared (FTIR) spectroscopy:

The FTIR spectra were recorded using a Perkin Elmer Spectrum RX1 spectrophotometer with the KBr disk technique in the range of 400-4000 cm<sup>-1</sup>. The FTIR spectra of the samples was recorded using 10 mg of the samples mixed with 200 mg of KBr to produce the pellets.

Luminescence studies:

The upconversion emission (UC) spectra were obtained by exciting a 1 wt (%) solution (nanocrystals dispersed in toluene) using a 0.98  $\mu$ m diode laser (RGB Lase LLC), which was coupled with a fibre with a core diameter of 100  $\mu$ m,. The output signal was measured with a Jobin Yvon Fluoromax-4 spectrometer. The 1.47  $\mu$ m emissions were collected using a Jobin Yvon Fluorolog system under 0.98  $\mu$ m CW diode laser excitation and the signal was detected using a NIR PMT module detector (HAMAMATSU, H10330A series).

#### 3. Results and discussion

Powder X-ray diffraction (XRD) pattern of the 1 mol(%)  $\text{Tm}^{3+}$ doped LiYbF<sub>4</sub> nanocrystals and the standard pattern for LiYbF<sub>4</sub> crystals are shown in Fig. 1. The XRD pattern of LiYbF<sub>4</sub> nanocrystals is in agreement with the standard pattern of tetragonal LiYbF<sub>4</sub> crystals (ICSD PDF Card No.-01-071-1211) suggesting the formation of pure tetragonal phase nanocrystals. Similarly, the XRD patterns of 2 mol(%) Er<sup>3+</sup>-doped LiYbF<sub>4</sub> nanocrystals matches with that of standard pattern of LiYbF<sub>4</sub> crystals (see Fig. S1, ESI†).



**Fig. 1** XRD patterns of (a) 1 mol(%)  $\text{Tm}^{3+}$ -doped LiYbF<sub>4</sub> nanocrystals and (b) standard bulk LiYbF<sub>4</sub> (ICSD PDF Card No. 01-071-1211).

The LiYbF<sub>4</sub> nanocrystals crystallize in tetragonal phase with  $I4_1/a$  space group. The lattice constants of tetragonal LiYbF<sub>4</sub> nanocrystals were found to be a=b=5.1005Å, c=10.5564Å. These values match well with the standard values a=b=5.1335Å, c=10.5880Å for bulk LiYbF<sub>4</sub>. The slight increase in the 'a' and 'b' values could be due to the larger ionic radii of the dopant ions, Tm<sup>3+</sup> (r=0.994Å) compared to the Yb<sup>3+</sup> ions (r=0.985Å). The structure of the tetragonal unit cell of LiYbF<sub>4</sub> is shown in Fig. 2, which is drawn using the Visualization for Electronic and Structural Analysis (VESTA)

program using the lattice parameters obtained from the XRD diffraction measurements and the atomic coordinates from the literature.<sup>38</sup> Coordination of  $Yb^{3+}$  ions with F<sup>-</sup> ions are also shown in the unit cell structure. The  $Yb^{3+}$  ions are surrounded by eight fluoride ions that form the edges of a slightly distorted dodecahedron.<sup>39,40</sup>



Fig. 2 Schematic representation of the tetragonal unit cell structure of LiYbF<sub>4</sub> nanocrystals. The coordination of Yb<sup>3+</sup> ions is also shown.

Morphological analyses of the as synthesized  $\text{Tm}^{3+}$ -doped LiYbF<sub>4</sub> nanocrystals have been performed using high resolution transmission electron microscopy (HRTEM) and SEM. The HRTEM image of the  $\text{Tm}^{3+}$ -doped LiYbF<sub>4</sub> nanocrystals are shown in Fig. 3 and in Fig. S2. It is important to note that the shape of the 1 mol(%)  $\text{Tm}^{3+}$ -doped LiYbF<sub>4</sub> nanocrystals show slightly flattened edges of the perfect diamond with an average aspect ratio (length/breadth) of 1.4 as shown in the histogram (Fig. 3B). We emphasize that in TEM analysis we observed few nanocrystals with larger size (~80 nm) with similar morphology. However, the number of larger particles is very low. The observed shape is slightly different from that reported for LiYF<sub>4</sub> nanocrystals by Mahalingam *et al.*<sup>37</sup>



**Fig 3** (A) TEM image of  $Tm^{3+}$ -doped LiYbF<sub>4</sub> nanocrystals, and (B) particle size (aspect ratio) distribution.

We postulate that this could be due to the difference in the ionic radii of the  $Ln^{3+}$  ions. In the case of  $Yb^{3+}/Tm^{3+}$ -doped LiYF<sub>4</sub> nanocrystals, the ionic radius of the  $Y^{3+}$  ion is 1.019 Å, which is larger than the ionic radii of the dopant ions  $Tm^{3+}$ ( r=0.994 Å) and  $Yb^{3+}$  (r=0.985 Å). Whereas, in the case of LiYbF<sub>4</sub> the ionic size of  $Yb^{3+}$  is smaller than the  $Tm^{3+}$  (r=0.994 Å) or  $Er^{3+}$  (r=1.004 Å) ions. This causes a slight expansion of the lattice that is reflected in the lattice parameters (*vide supra*) and such expansion may result in a slight distortion at the tip of the diamond, as they possess higher surface energy. The observed,

slightly flattened diamond shape morphology of the nanocrystals is further supported by scanning electron microscopy (SEM) measurement. The SEM image of the 1 mol(%)  $Tm^{3+}$ -doped LiYbF<sub>4</sub> nanocrystals is shown in Fig. S3 (see ESI†).

The oleate capping of the surface of the nanocrystals is confirmed by Fourier Transform Infra-red (FTIR) measurements. The FTIR spectra of the oleate capped Tm<sup>3+</sup>doped LiYbF<sub>4</sub> nanocrystals and pure oleic acid are shown in Fig. S4 (see ESI<sup>†</sup>). It is clear from the spectra that the peak at 1715 cm<sup>-1</sup> observed in free oleic acid shifts to the lower wavenumber (1545 cm<sup>-1</sup>) for the oleate capped nanocrystals indicating that -COO groups of oleic acid are bound to the surface of the nanocrystals.<sup>41</sup> The long aliphatic chain of oleic acid renders the nanocrystals dispersible in hydrophobic solvents like hexane, toluene, etc.

The upconversion (UC) emission spectrum of the 1wt% toluene dispersion of the LiYbF<sub>4</sub>:Tm<sup>3+</sup>(1%) nanocrystals under 0.98 µm CW diode laser excitation is shown in Fig. 4. A strong emission peak around 0.8  $\mu$ m corresponding to the  ${}^{3}H_{4} \rightarrow {}^{3}H_{6}$  transition is clearly visible in the UC emission spectrum. Along with the 0.8 μm peak three peaks at 0.478 μm, 0.65 μm and 0.7 μm are observed whose emission intensities are relatively weak in comparison to the 0.8 µm peak emission intensity. The corresponding peak assignments are shown in the Fig. 4. This observation is different from that of the Yb<sup>3+</sup>/Tm<sup>3+</sup>-doped LiYF<sub>4</sub> nanocrystals.<sup>10</sup> Generally, in Yb<sup>3+</sup>/Tm<sup>3+</sup> co-doped systems such as, LiYF<sub>4</sub>:Yb<sup>3+</sup>/Tm<sup>3+</sup> and NaYF<sub>4</sub>:Yb<sup>3+</sup>/Tm<sup>3+</sup> the blue emission is quite intense and nearly the same as the NIR emission. A comparison of the UC emission spectra (shown in Fig. 5) of  $Yb^{3+}(20\%)/Tm^{3+}(1\%)$ -doped LiYF<sub>4</sub> and  $Tm^{3+}(1\%)$ doped LiYbF4 nanocrystals prepared and measured under identical conditions show a high NIR to blue emission intensity ratio for the Tm<sup>3+</sup>-doped LiYbF<sub>4</sub> nanocrystals.



**Fig. 4** The UC emission spectrum of 1 mol(%)  $\text{Tm}^{3+}$ -doped LiYbF<sub>4</sub> nanocrystals under 0.98 µm excitation. Inset shows logarithmic plot of UC emission intensity versus laser power for the 0.8 µm peak.

To understand the reason for the observed differences and to ascertain the number of photons involved in the UC process we performed power dependent UC emission studies. The UC emission intensity(I) is proportional to the laser power (P), raised to the n where n is the number of incident photons

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**Fig. 5** The upconversion emission spectra of LiYbF<sub>4</sub>:Yb<sup>3+</sup>(20%)/Tm<sup>3+</sup>(1%) (red trace) and LiYbF<sub>4</sub>:Tm<sup>3+</sup>(1%) (black trace) nanocrystal under 0.98  $\mu$ m excitation with a laser power density 70W/Cm<sup>2</sup>.

It is quite likely that the selective quenching of the blue emission or visible emissions might be due to back transfer of the energy to the Yb<sup>3+</sup> ions. However, if back energy transfer alone is occurring then it should affect the 800 nm emission more compared to other emissions as the <sup>3</sup>H<sub>4</sub> level is closer in energy. So we believe there is additional cross relaxations (CR) occurring along with the back energy transfer. This alone cannot explain the observed results. We propose the following two CR mechanisms occurring in the materials studied; [<sup>1</sup>G<sub>4</sub>, <sup>3</sup>H<sub>6</sub>  $\rightarrow$ <sup>3</sup>F<sub>2</sub>, <sup>3</sup>F<sub>4</sub>] and [<sup>1</sup>G<sub>4</sub>, <sup>3</sup>H<sub>6</sub>  $\rightarrow$ <sup>3</sup>H<sub>4</sub>, <sup>3</sup>H<sub>5</sub>]. These CR processes lead to the population of the <sup>3</sup>F<sub>2</sub>, 4, and <sup>3</sup>H<sub>4,5</sub> levels. Thus the emission intensities of the transition (700 nm and 800 nm) originating from these levels are not affected to a large extent whereas the transitions originating from <sup>1</sup>G<sub>4</sub> level are affected.



Fig. 6 Schematic energy level diagram with predicted energy transfer mechanism between  $Yb^{3+}$  and  $Tm^{3+}$  ions in  $LiYbF_4$  nanocrystals. The two cross relaxations processes are denoted as CR1 and CR2.

Similar CR processes have been reported for  $Tm^{3+}$ -doped tellurite glasses.<sup>42</sup> A schematic of the possible energy transfer mechanism between Yb<sup>3+</sup> and Tm<sup>3+</sup> ions along with CR processes are shown in Fig. 6.

To understand the influence of  $\text{Tm}^{3+}$  ions concentration on the UC emission intensity of the LiYbF<sub>4</sub> nanocrystals and to determine the optimal concentration of  $\text{Tm}^{3+}$  ions in LiYbF<sub>4</sub> matrix, a series of samples with 0.5, 0.75, 1.0 and 1.5 mol%  $\text{Tm}^{3+}$  ions were prepared. As shown in Fig. 7 the intensity of the NIR emission (0.8 µm) is highest for 1.0%  $\text{Tm}^{3+}$ -doped LiYbF<sub>4</sub> nanocrystals. A plot of the integrated area of UC emission spectra as a function of  $\text{Tm}^{3+}$  ions concentration are shown in the inset of Fig. 7. It is also evident from the spectra that the change in UC emission intensity with concentration of  $\text{Tm}^{3+}$  ions is not the same for the emission peaks at 0.478 µm, 0.65 µm and 0.8 µm.



Fig. 7 The upconversion emission spectra of  $Tm^{3+}$ -doped LiYbF<sub>4</sub> nanocrystal as a function of  $Tm^{3+}$  concentration under 0.98 µm diode laser with a laser power 70W/cm<sup>2</sup>. Inset shows the UC emission intensity as a function of  $Tm^{3+}$  ions concentration.

We have extended the study to  $Er^{3+}$ -doped LiYbF<sub>4</sub> nanocrystals. The UC emission spectrum from a colloidal dispersion of  $2 \mod(\%) \text{ Er}^{3+}$ doped LiYbF<sub>4</sub> nanocrystals is shown in Fig. 8. The UC emission spectrum shows two peaks at 0.52 and 0.54 µm, which are assigned to the  ${}^{2}H_{11/2} \rightarrow {}^{4}I_{15/2}$  and  ${}^{4}S_{3/2} \rightarrow {}^{4}I_{15/2}$  transitions, respectively. It is interesting to note that both transitions show approximately equal intensity, though the energy difference between the two levels is only 760 cm<sup>-1</sup> and can easily be matched with few phonon vibrations. We assume that the same intensity of these two peaks may be due to thermal equilibration of the population leading to equal distribution. However, the green emission peaks are less intense compared to red peak at 0.65 µm, which corresponds to  ${}^{4}F_{9/2} \rightarrow {}^{4}I_{15/2}$  transitions. To ascertain that this is not due to weak green UC emission from LiYbF<sub>4</sub>: $Er^{3+}(2\%)$  nanocrystals we have compared UC emission spectra of both Yb<sup>3+</sup>(20%)/Er<sup>3+</sup>(2%)-doped LiYF<sub>4</sub> and  $Er^{3+}(2\%)$ -doped LiYbF<sub>4</sub> nanocrystals under identical condition (Fig. S5, see ESI<sup>†</sup>). From the spectra it is clear that UC emission intensity of the green peak is lower than the red emission in LiYbF<sub>4</sub> compared to that of  $Y^{3+}$  counterpart.

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**Wavelength (nm) Fig. 8** Upconversion emission spectrum of 2 mol(%) Er<sup>3+</sup>-doped LiYbF<sub>4</sub> nanocrystals upon 0.98 µm laser excitation.

<sup>43</sup> It is already established that, at high levels of doping of donor Yb<sup>3+</sup> ions, some of those Yb<sup>3+</sup> ions act as quenchers which induce a quenching effect via  $Er^{3+} \rightarrow Yb^{3+}$  back transfer.<sup>43,44</sup> This is evident in the UC of the  $Er^{3+}(2\%)$ -doped LiYbF<sub>4</sub> nanocrystals where the overall UC emission intensity is lower than that of  $Yb^{3+}(20\%)/Er^{3+}(2\%)$ -doped LiYF<sub>4</sub> nanocrystals (see Fig. S5, ESI†). Furthermore, the lifetime of  $Er^{3+}$  ions in the  $Er^{3+}(2\%)$ -doped LiYbF<sub>4</sub> nanocrystals is lower than that of the Yb<sup>3+</sup>(20%)/Er<sup>3+</sup>(2%)-doped LiYF<sub>4</sub> nanocrystals which also suggest the back transfer. The corresponding decay curves were measured under 0.488 µm direct excitation of Er<sup>3+</sup> ions (see Fig. S6, ESI<sup>†</sup>). However, if back energy transfer alone occurs then it should be more probable from red emitting state  $({}^{4}F_{9/2})$  as this level is closer in energy with respect to excited Yb<sup>3+</sup> level ( ${}^{2}F_{5/2}$ ) in comparison to the green emitting levels  $({}^{2}H_{11/2}$  and  ${}^{4}S_{3/2}$ ). Moreover, the intensity of the red emission is not affected to any great extent. As back energy transfer is quite probable, there must be additional feedback system(s) operating in the material preferentially populating the red emitting level. Thus, we proposed the cross-relaxation mechanism occurring between  $Yb^{3+}$  and  $Er^{3+}$  ions, which is quite possible since there is a higher concentration of Yb<sup>3+</sup> ions in the matrix. The possible energy transfer mechanisms between Yb<sup>3+</sup> and Er<sup>3+</sup> ions are shown in Fig. S7 (see ESI<sup>+</sup>). In this mechanism, the Yb<sup>3+</sup> ions act as sensitizers and Er<sup>3+</sup> ions as activator in the upconversion process. Under 0.98  $\mu$ m laser excitation electrons from the ground state,  ${}^{2}F_{7/2}$ , of Yb<sup>3+</sup> are promoted to the excited state,  ${}^{2}F_{5/2}$ , followed by the transfer of energy from the  ${}^{2}F_{5/2}$  level of Yb<sup>3+</sup> to the  ${}^{4}I_{11/2}$  level of Er<sup>3+</sup>. Through a second energy transfer from the  ${}^{2}F_{5/2}$  level of Yb<sup>3+</sup> to the  ${}^{4}I_{11/2}$  level of  $Er^{3+}$  the electrons are promoted to the  ${}^{4}F_{7/2}$  level ( $Er^{3+}$ ). The electrons in the excited state decay non-radiatively to  ${}^{2}H_{11/2}$ ,  ${}^{4}S_{3/2}$ and  ${}^{4}F_{9/2}$  energy levels and then radiatively decay to the ground state (<sup>4</sup>I<sub>15/2</sub>) of Er<sup>3+</sup> ions giving rise to green and red emissions, respectively. Along with these processes the suggested cross relaxations and the back energy transfer occur to selectively depolulate the green emitting levels (vide supra)

The optical study of  $Ln^{3+}$ -doped LiYbF<sub>4</sub> (Ln=Tm<sup>3+</sup> or Er<sup>3+</sup>) nanocrystals was extended to the telecommunication window (1.46-1.54 µm). Interestingly, under 0.98 µm laser excitation a Stokes emission peak around 1.47 µm is observed from the 1 wt(%) colloidal Tm<sup>3+</sup>-doped LiYbF<sub>4</sub> nanocrystals. The 1.47 µm emission is

assigned to  ${}^{3}H_{4} \rightarrow {}^{3}F_{4}$  transitions, which is a very important wavelength as it falls in the S-band (1.46-1.54 µm) region of optical telecommunication. Similarly, an intense near-infrared luminescence peak close to 1.53  $\mu$ m (corresponding to  ${}^{4}I_{13/2} \rightarrow {}^{4}I_{15/2}$  transition) is observed from the 2 mol (%)  $Er^{3+}$ -doped LiYbF<sub>4</sub> nanocrystals. The NIR emission spectra of Tm3+-doped and Er3+-doped LiYbF4 nanocrystals are shown in Fig. 9A and 9B, respectively. It is reasonable to assume that the 1.47  $\mu$ m emission from Tm<sup>3+</sup> ions occurs via a two photon process as the emitting level (<sup>3</sup>H<sub>4</sub>) is higher in energy compared to <sup>2</sup>F<sub>5/2</sub> level of Yb<sup>3+</sup> ions.<sup>37</sup> Whereas, the NIR emission observed at the 1.53  $\mu$ m for Er<sup>3+</sup> ions due to {}^{4}I\_{13/2} \rightarrow {}^{4}I\_{15/2} transition may occur via a single photon process. The logarithmic plots of intensity vs. laser power for Tm<sup>3+</sup>-doped (1.47 µm) and  $Er^{3+}$ -doped (1.53 µm) LiYbF<sub>4</sub> nanocrystals are shown in Fig. S8 and Fig. S9, respectively, (see ESI<sup>+</sup>). Linear fitting of these two curves led to the slope values 1.37 and 0.98 respectively for 1.47  $\mu$ m (Tm<sup>3+</sup>) and 1.53  $\mu$ m (Er<sup>3+</sup>) peaks.



**Fig. 9** The NIR emission spectra of (A) 1 mol(%)  $\text{Tm}^{3+}$ -doped and (B) 2 mol(%)  $\text{Er}^{3+}$ -doped LiYbF<sub>4</sub> nanocrystals upon 0.98 µm laser excitation.

The  $Ln^{3+}$ -doped NaYbF<sub>4</sub> (Ln=Tm and Er) nanocrystals are prepared under identical condition to understand how strong are the NIR emissions from  $Ln^{3+}$ -doped LiYbF<sub>4</sub> nanocrystals compared to the host NaYbF<sub>4</sub>. The comparison of NIR emission spectra of  $Ln^{3+}$ -doped NaYbF<sub>4</sub> and LiYbF<sub>4</sub> nanocrystals are shown in Fig. 10. The UC spectra shown in Fig. 10 (A, B) indicate that the NIR emission intensities for Tm<sup>3+</sup>-doped and Er<sup>3+</sup>-doped LiYbF<sub>4</sub> nanocrystals are almost comparable with that of NaYbF<sub>4</sub> counterpart. The above result reveals that LiYbF<sub>4</sub> is an excellent host matrix for lanthanide ions to obtain strong NIR emissions in colloidal nanocrystals.



**Fig. 10** Comparison of the NIR emission spectra of (A) NaYbF<sub>4</sub>:Tm<sup>3+</sup> vs. LiYbF<sub>4</sub>:Tm<sup>3+</sup> and (B) NaYbF<sub>4</sub>:Er<sup>3+</sup> vs. LiYbF<sub>4</sub>:Er<sup>3+</sup> obtained via 0.98  $\mu$ m laser excitation.

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#### 4. Conclusions

In summary, we have synthesized  $Ln^{3+}$ -doped LiYbF<sub>4</sub> ( $Ln=Tm^{3+}$  and  $Er^{3+}$ ) nanocrystals *via* the thermal decomposition method. The nanocrystals are diamond shaped and slightly flattened at the edges. Strong NIR (0.8 µm) emission compared to visible emissions was observed from the colloidal dispersion of the 1 mol(%) Tm<sup>3+</sup>-doped LiYbF<sub>4</sub> nanocrystals under excitation at 0.98 µm diode laser. We have proposed a cross relaxation mechanism for the selective quenching of the visible emission over the NIR emission. Moreover, Stokes emissions *via* upconversion process around 1.47 µm and 1.53 µm were observed respectively from Tm<sup>3+</sup>-doped and  $Er^{3+}$ -doped LiYbF<sub>4</sub> nanocrystals which can find applications in telecommunication in addition to their use in bioimaging applications.

#### Acknowledgements

VM thanks Council of Scientific and Industrial Research (CSIR), India and IISER-Kolkata for financial support. SS thanks to UGC, India for his fellowship. JAC is a Concordia University Research Chair in Nanoscience and is grateful to Concordia University and NSERC for financial support of his research.

#### Notes and references

<sup>a</sup> Department of Chemical Sciences, Indian Institute of Science Education and Research (IISER), Kolkata, Mohanpur, West Bengal 741252, India. Fax: 91-33-25873020; Tel: +91(0)9007603474

E-mail: mvenkataramanan@yahoo.com

<sup>b</sup> Department of Chemistry and Biochemistry and Centre for NanoScience Research, Concordia University, Montreal, Quebec, H4B 1R6, Canada E-mail: john.capobianco@concordia.ca

\*Electronic Supplementary Information (ESI) available: [PXRD pattern, SEM image, FTIR spectra, UC emission spectra, lifetime decay curves and UC energy transfer mechanism]. See DOI: 10.1039/b000000x/

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