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ARTICLE TYPE

**Tinene: A two-dimensional Dirac material with 72 meV band gap**Bo Cai<sup>1</sup>, Shengli Zhang<sup>1</sup>, Ziyu Hu<sup>2</sup>, Yonghong Hu<sup>1</sup>, Yousheng Zou<sup>1</sup>, Haibo Zeng<sup>1\*</sup><sup>1</sup>*Institute of Optoelectronics & Nanomaterials, College of Materials Science and Engineering, Nanjing University of Science and Technology, Nanjing 210094, China*<sup>2</sup>*Beijing Computational Science Research Center, Beijing 100084, People's Republic of China*

\*Corresponding Author: zeng.haibo@njust.edu.cn

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10 Dirac materials have attracted great interests for both  
fundamental research and electronic device (“devices” in  
original version) due to their unique band structures  
15 (“structure” in original version), but the usual near zero  
bandgap of graphene results in a poor (“low” in original  
version) on-off ratio in the corresponding transistors. Here,  
we report on tinene, monolayer gray tin, as a new two-  
dimensional material with both Dirac characteristic and  
20 remarkable 72 meV bandgap based on density function  
theory calculations (insert “calculations”). Compared with  
silicene and germanene, tinene has similar hexagonal  
honeycomb monolayer structure, but it is (“is of” in original  
version) obviously larger buckling height (~ 0.70 Å).  
25 Interestingly, such moderate buckling structure (insert  
“structure”) results in phonon dispersion without appreciable  
imaginary modes, indicating the strong dynamic stability of  
tinene. Significantly, a distinct transformation is (“was” in  
original version) discovered from the band structure that six  
30 Dirac cones would (“will” in original version) appear at high  
symmetry K points in the first Brillouin zone when gray tin is  
thinned from bulk to monolayer, but a bandgap as large as 72  
meV is still preserved. Considering the recent successful  
realizations of silicene and germanene with similar structure,  
35 the predicted stable tinene with Dirac characteristic and  
suitable bandgap is worth highly expecting for the “more  
than moore” materials and devices.

Graphene, the representative of two-dimensional (2D) materials,  
appeals to scientific community since it was successfully  
synthesized through mechanical methods due to its large surface,  
and unique properties from mechanical to electronic<sup>1-4</sup>. It has  
40 been revealed that graphene has six Dirac cones which are  
formed by the  $\pi$  and  $\pi^*$  bands at high symmetric K points; hence  
(delete “and”) graphene has (“have” in original version) excellent  
electrical properties and special carrier characteristics. Around  
the Dirac cones, linear dispersion indicates that effective  
45 electronic mass is zero and Fermi velocity is closed to speed of  
light. Zero effective electronic mass and high Fermi velocity  
determines graphene (Fermi velocities has been corrected to  
singular form and the word “has” after “graphene” has been  
deleted) excellent electronic transportation performance.  
50 However, the zero bandgap greatly limits on-off ratio in transistor  
and has no matched light wavelength. So graphene can hardly be  
used to transistors and optoelectronic devices<sup>5-7</sup>, for example,

field effect transistor (FET), bipolar junction transistor (BJT),  
photovoltaic cell, et al.

55 On the other hand, the discovery of graphene overthrow our  
misunderstanding that 2D crystal cannot exist stably in real three  
dimensional world<sup>1, 8</sup>. Nowadays, the synthetic methods of 2D  
materials have been well established (“mature” in original  
version), including mechanical cleavage<sup>1</sup>, liquid phase and gas  
60 phase exfoliation<sup>9</sup>, crystal growth extension<sup>10</sup>, and oxidation-  
reduction methods<sup>11</sup>. These methods have even been applied to  
realize many other 2D materials. Recently, silicene, which is  
previously predicted as 2D Dirac material, has been successfully  
grown on Ag substrates<sup>12-15</sup>. As another 2D Dirac material,  
65 germanene is also (“also was also” in original version) a kind of  
stable 2D material in theory through phonon dispersion<sup>12, 16</sup>.  
Lately, germanene has been successfully fabricated on the  
substrate Pt (111)<sup>17</sup>. Having a small band gap is the most  
important difference of silicene and germanene from graphene,  
70 which has been expected to remedy the zero-bandgap weakness  
of graphene. However, these two new kinds of 2D Dirac  
materials are still very hard to fabricate currently, and they are  
still hardly used to manufacture devices although great efforts  
have been paid to tune the bandgap through many ways,  
75 including external electric field<sup>18-20</sup>, biaxial strain<sup>21</sup>, compounds  
metal<sup>22, 23</sup>, surface adsorption<sup>24</sup>, oxidation of silicene<sup>25</sup>, substrate  
effect<sup>26</sup>, being hydrogenated<sup>27</sup>, new phase structure<sup>28</sup>. Therefore,  
finding stable 2D Dirac materials with moderate bandgap is still  
in a great challenge, but high expected for the “more than moore”  
80 materials and devices.

In this paper, we report on tinene, monolayer gray tin, as a new  
2D material to be of moderate buckling degree, high dynamic  
stability, Dirac electronic characteristic, as well as remarkable 72  
meV bandgap. It is found that tinene has similar hexagonal  
85 honeycomb monolayer structure to silicene and germanene, but it  
is (delete “of”) obviously large (“larger” in original version)  
buckling height (~ 0.70 Å), which endows its high dynamic  
stability, shown by the phonon dispersion without appreciable  
imaginary modes. Most importantly, a distinct transformation is  
90 discovered from the band structure that six Dirac cones appear at  
high symmetry K points in the first Brillouin zone when gray tin  
thins from bulk to monoatomic sheet in diamond structure, but a  
bandgap as large as 72 meV is still preserved. Such co-existence  
of Dirac characteristic and suitable bandgap makes tinene worth  
95 highly expecting for the “more than moore” materials and devices.

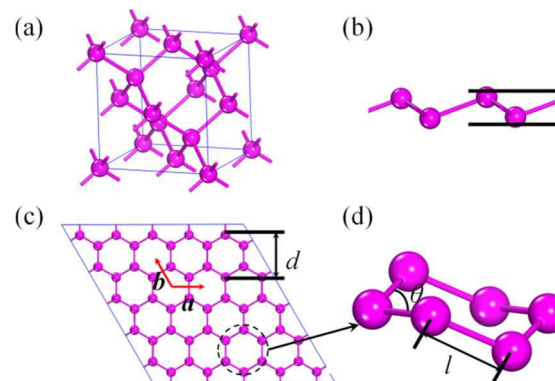
## Computational details.

All the density functional calculations are performed by using the CASTEP code with a norm-conserving pseudo-potential, under periodic boundary. Geometry optimization and band structure calculations are under the generalized gradient approximation (GGA) of the Perdew, Burke, and Ernzerhof (PBE) form and the Perdew-Wang (PW91) form, the Local Density Approximation (LDA) of the Ceperly–Alder–Perdew–Zunger (CA-PZ). The kinetic energy cutoff of the plane wave is set to 500 eV on a grid of  $30 \times 30 \times 1$  k-points for the tinene. The geometry of the configuration is optimized by using Broyden-Fletcher-Goldfarb-Shanno (BFGS) minimizer. The self-consistent convergence accuracy is set at  $5 \times 10^{-6}$  eV per atom, the convergence criterion for the force between atoms is 0.01 eV per Å, and the maximum displacement is  $5.0 \times 10^{-4}$  Å. A sufficiently large 20 Å vacuum region is used to separate the two-dimensional structures to rule out any interaction among the neighboring layers along c-axis. Considering that standard GGA functional and LDA functional always underestimate the band gap values, we also employ a more accurate hybrid functional proposed by HSE06 in the electronic structure calculations. The kinetic energy cutoff is set to 500 eV on a grid of  $5 \times 5 \times 1$  k-points. Other parameters are exactly same. Raman spectrum, phonon, and electron energy loss spectroscopy calculation are only performed by using the generalized-gradient-approximation (GGA) of the Perdew, Burke, and Ernzerhof (PBE) form.

## Results

### Tinene structures.

Tin, following silicon and germanium in the fourth group of periodic table of elements, has two stable allotropes of white and gray tin under normal atmospheric pressure. 13.2°C is the critical temperature for the transformation from gray tin (below 13.2°C) to white tin (up 13.2°C). Similar to silicon and germanium, bulk gray tin also has a typical diamond structure with space group of  $Fd\bar{3}m$  (No. 227) as shown in Fig. 1(a). After full relaxation, the optimal structure of tinene is presented in Fig. 1(b-d). From the side view (Fig. 1(b)), tinene has an obviously buckled structure with longitudinal displacement of 0.73 Å, which is larger than those of silicone ( $\Delta=0.45$  Å) and germanene ( $\Delta=0.68$  Å). From the top view (Fig. 1(c)), tinene is very similar to the hexagonal honeycomb planar lattice of silicene. Each unit cell of tinene contains two atoms (space group  $P\bar{3}m1$ , No.164). The buckled structure of tinene is consisted of many interlinked ruffled six-membered rings with the diagonal line length of 5.16 Å. In the fully relaxed tinene, each tin atom is connected to three nearest neighbour atoms with bond length of 2.68 Å and bond angle of 112.9° (Fig. 1(d)). The detailed structural parameters of tinene for different methods are given in Table 1 with comparisons of graphene, silicene and germanene.



**Fig. 1** (a) diamond structure of gray tin. (b) side view, low buckling structure for tinene, germanene and silicene are like this. (c) top view, vector  $a$  and  $b$  are basis vector of primitive cells,  $d$  is the width of hexagonal ring. (d) bond length of Sn-Sn ( $l$ ) and bond angle of Sn-Sn-Sn ( $\theta$ ) are given. For graphene, the buckling height  $\Delta$  is zero.

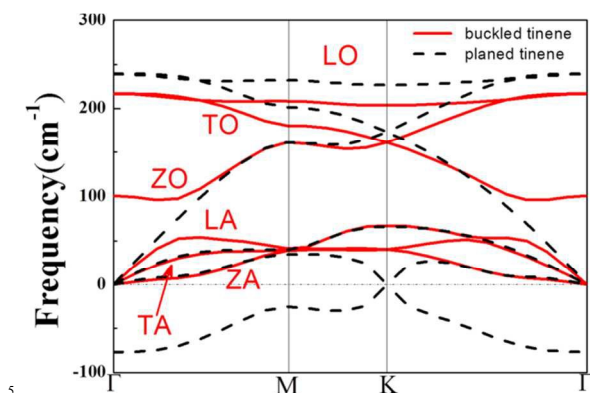
	$a(\text{Å})$	$\Delta(\text{Å})$	$d(\text{Å})$	$\theta$ ( $^\circ$ )	$l(\text{Å})$
Tinene (LDA)	4.52	0.70	5.21	113.5	2.70
Tinene (PW91)	4.52	0.69	5.22	113.7	2.70
Tinene (PBE)	4.47	0.73	5.16	112.9	2.68
Tinene(HSE06)	4.48	0.70	5.17	113.5	2.68
Germanene	3.97	0.64	4.58	113.0	2.38 <sup>29</sup>
	4.02	0.68	4.64	112.4	2.42
Silicene	3.83	0.44	4.41	116.4	2.25 <sup>29</sup>
	3.86	0.45	4.45	116.1	2.27
Graphene	2.46	0	2.84	120.0	1.42 <sup>29</sup>
	2.47	0	2.85	120.0	1.43

**Table 1** Structural parameters of tinene, germanene, silicene and graphene, for their most energetically stable atomic configurations.

### Phonon dispersion and stability.

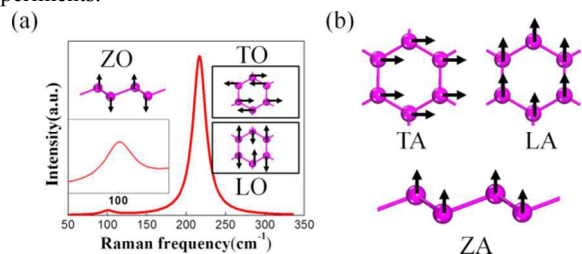
For a newly proposed 2D material, its stability is crucial for the future experimental realization. Here, the dynamical stability of tinene is analyzed through phonon dispersion. The calculated phonon dispersion is presented in Fig. 2. Very excitingly, there is no any imaginary frequency in calculated phonon dispersion. Actually, similar results have been previously reported for silicene and germanene to verify their stabilities<sup>12</sup>. Therefore, such consequence reveals that tinene is also dynamically stable. Hence the 2D structure (“such 2D structure” in original version) is possible to be realized in future experiments, for example through epitaxial growth on Pt (111), Ag (111) or Ir (111) substrates. To further verify the crucial contribution of moderate buckling to the outstanding stability, a completely planar monoatomic sheet tinene ( $\Delta=0$ ) is constrainedly defined, and the corresponding phonon dispersion is also given in Fig. 2. As expected, obvious imaginary frequency appears in the phonon

dispersion of such monolayer tinene, indicating the impossible existence of the completely planar tinene and the important function of moderate buckling on the stable existence of tinene.



**Fig. 2** The phonon dispersion of two different structures of tinene, low buckled (LB) structure (red line) are stable and planed structure (black dashed) are unstable.

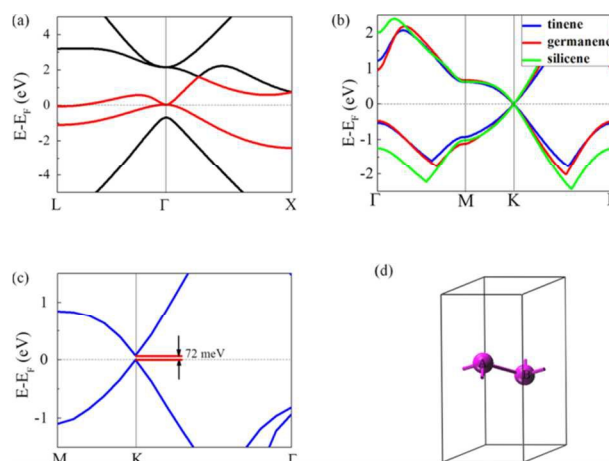
Raman spectra reflect vibrational modes of atoms. It is a measure for characteristic materials in experiments and related to phonon dispersion in simulation. Raman spectra are given by Fig. 3. It can be seen that the vibrational frequencies of tinene are relatively lower than these of (insert “these of”) silicene and germanene<sup>30, 31</sup>. Such as, the doubly degenerate  $E_g$  mode of tinene is found to locate at  $217\text{ cm}^{-1}$  ( $\omega_{\Gamma}$ ) while silicene and germanene is  $562$  and  $303\text{ cm}^{-1}$ , respectively. This is also well confirmed by the following Raman spectra as shown in Fig. 3(a). In first principle theory, the location of Raman spectrum peaks is corresponding to the value of phonon dispersion at high symmetric point  $\Gamma$ . Optic vibrational mode is relative movement of each atom in unit cells, while centre of unit cells are static (Fig. 3(a)). On the contrary, acoustic vibrational mode is vibrational of unit cells, while all atoms in unit cells stay relative movements (Fig. 3(b)). It is found that an intense peak at about  $217\text{ cm}^{-1}$  and another lower one at about  $100\text{ cm}^{-1}$  are exhibited in the calculated Raman spectra. From the analysis of the vibrational mode, we can understand that the peak at about  $217\text{ cm}^{-1}$  is attributed to the in-plane transverse optic branch (TO) and the in-plane longitudinal optic branch (LO) vibrational modes, and the peak at about  $100\text{ cm}^{-1}$  is attributed to the out-of-plane transverse optic branch (ZO) mode. Actually, the TO Raman mode of tinene could be considered as the counterpart of the G peak in graphene at  $1600\text{ cm}^{-1}$ <sup>30</sup>. Why vibrational frequencies of tinene are lower than graphene, silicene and germanene? As we know, heavy atoms vibrate more difficult than light atoms. Tin atom is heavier than carbon, silicon and germanium atom. This can explain this phenomenon well. Hence it can be used as a fingerprint to confirm the formation of monolayer tinene in the future experiments.



**Fig. 3** (a) Raman spectra and the peaks corresponding optic branch vibrational mode of tinene. (b) acoustic branch vibrational mode of tinene which not corresponding peaks in Raman spectrum.

### Electronic Properties.

Dirac material has interesting physics performance, such as its charge carriers can be regarded as massless Dirac fermions and it has spectacular physics phenomena quantum Hall effects. One way to distinguish Dirac materials is band structure. Band structures of bulk gray tin and monolayer tinene are shown in Fig. 4. Band structures of bulk gray tin along the highly symmetric L- $\Gamma$ -X path in Brillouin zone are given by Fig. 4(a). Conduction band minimum (CBM) and valence band maximum (VBM) have no discontinuous point and tangent to each other at  $\Gamma$  point. This means that bulk gray tin exhibits the typical semimetal behaviour, not a Dirac material. While VBM and CBM of tinene, germanene and silicene along the highly symmetric  $\Gamma$ -M-K- $\Gamma$  directions in Brillouin zone take a linear distribution around Fermi level  $E_F$  at high symmetric point  $q=K$  with GGA-PBE methods, given by Fig. 4(b). The linear dispersion indicates that massive electron quasiparticles are called massless Dirac fermions, which can be seen as electrons that lost their rest mass  $m_0$ . Another feature of Dirac fermions is that these quasiparticles described by Dirac equation with an effective speed of light. According to linear spectrum  $E=\hbar v_F k$ ,  $k$  is quasiparticle momentum, Fermi velocity  $v_F$  of graphene is  $0.99 \times 10^6\text{ m/s}$  and for tinene is  $0.72 \times 10^6\text{ m/s}$  in our work. Besides lower Fermi velocity compared to graphene, having a small gap of  $72\text{ meV}$  with HSE06 methods is the most clearly differentiates from graphene.



**Fig. 4** (a) band structures of bulk gray tin, red lines are CBM and VBM. (b) CBM and VBM of silicene (green line), germanene (red line) and tinene (blue line) with GGA-PBE method. (c) Dirac cones with  $72\text{ meV}$  for tinene with HSE06 method. (d) two sublattices A and B in one unit cell.

As we know, Dirac points in a hexagonal graphene are due to the presence of two sublattices. In tinene, each tin atom connects three other tin atoms through  $\sigma$  bond, and with the  $\sigma$  bond length increased, the strength of  $\sigma$  bond decreased. In graphene, the  $\sigma$  bond is strong enough to keep all carbon atoms in a plane, while in tinene the  $\sigma$  bond is not stronger enough. Thus, graphene is consisted of two equivalent sublattices, but each tin atom on a honeycomb lattice with one sublattice slightly shifted out of the plane of the other sublattice (as shown in Fig.4(d)). In this case, a different tight-binding (TB) model needs to be derived. Tinene is closely related to the structure of a monoatomic sheet of diamond gray tin (1 1 1) and this should allow we to derive a TB Hamiltonian closely related to bulk gray tin Hamiltonian that

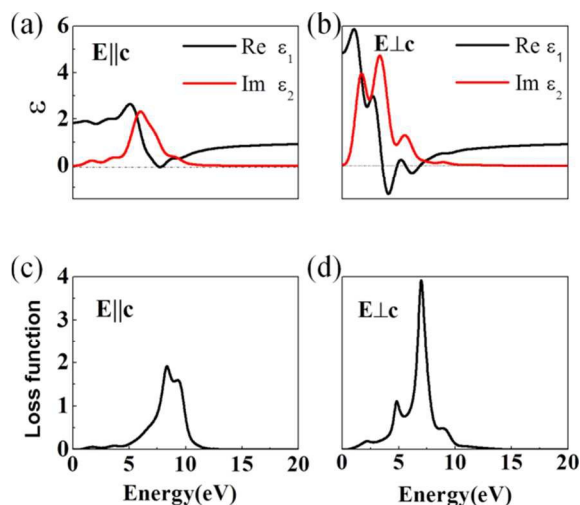


reduces to the planed structure. As a consequence, the  $\pi$  and  $\sigma$  electrons are automatic coupling and Dirac points features are preserved for LB structure. On the other hand, coupling of Dirac fermions to an Einstein phonon modeled with a Holstein Hamiltonian can lead to modify the gap.<sup>32</sup> This may be the origin of the small gap of tinene.

### Optical properties.

To provide a characterization of tinene we provide the optical properties of tinene. Electron energy loss spectroscopy is a powerful technique to probe the dielectric function of material and it is used to distinguish few-layer coating silicene and germanene<sup>33, 34</sup>. It's not difficult to prove that numbers of electrons without occurring inelastic scattering exponential decay along with the sample thickness.

Here, we get the dielectric function of tinene (Fig. 5(a) and (b)). And we provide a way to recognized tinene through energy loss function (Fig. 5). We give two directions EELS (Fig. 5(c) for out-of-plane-polarization and Fig. 5(d) for in-plane polarization). The out-of-plane-polarization spectra ( $E||c$ ) have only  $\pi+\sigma$  plasmon peaks (about 6.99 eV). While the in-plane-polarization spectra ( $E\perp c$ ) have two sections, including  $\pi$  plasmon peaks (below 5 eV) and  $\pi+\sigma$  plasmon peaks (above 5 eV). The  $\pi$  plasmon feature arises due to the collective  $\pi-\pi^*$  transitions, while the  $\pi^*+\sigma$  plasmon results from the  $\pi-\sigma^*$  and  $\sigma-\sigma$  excitations.



**Fig. 5** (a) real and imaginary part of dielectric function of tinene for out-of-plane polarization ( $E||c$ ). (b) real and imaginary part of dielectric function of tinene for in-plane polarization ( $E\perp c$ ). (c) electron energy loss function of tinene for out-of-plane polarization ( $E||c$ ). (d) electron energy loss function of tinene for in-plane polarization ( $E\perp c$ ).

### Conclusions

In summary, we predict a new two-dimensional Dirac material tinene by first principle DFT calculations. Tinene has a buckling two-dimensional structure similar to silicene and germanene, but buckling height is larger than silicene and germanene. The bond characteristics of tinene are closer to the  $sp^3$  hybridization than  $sp^2$  hybridization, although each tin atom has only three covalent bonds. This structure is stable through phonon dispersion study. Tinene (delete "monolayer") has three vibrational modes (LO, TO and ZO) at high symmetric  $\Gamma$  point. It has a Dirac point which is found at high symmetric point K in first Brillouin zone, similar to graphene. It shows that electronic mass is zero and Fermi

velocity is nearly light speed. The EELS shows that out-of-plane polarization spectrum ( $E||c$ ) only has the peak of  $\pi+\sigma$  plasmon peak at about 6.99 eV and in-plane polarization spectrum ( $E\perp c$ ) has two sections including  $\pi$  plasmon peaks (below 5 eV) and  $\pi+\sigma$  plasmon peaks (above 5 eV). Our study of tinene may provide a new material that has possibility to be applied in microelectronic devices.

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### Author contributions

B. C., S. L. Z.; Z. Y. H. performed the calculations; Y. H. H., Y. S. Z. and H. B. Z. prepared the manuscript. All authors reviewed the manuscript.

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