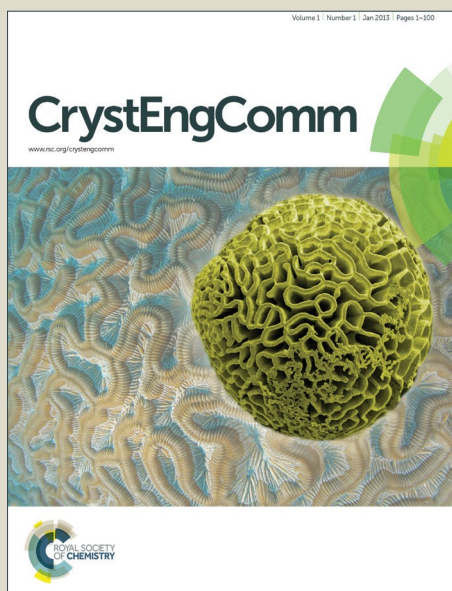


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PAPER

Large Scale Fabrication of Dumbbell-shaped Biomimetic SiC/SiO₂ Fibers

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Abstract. The mechanical property of dumbbell fibers-reinforced composite is expected to be improved via enhancing the interface adhesion between the matrix and the fibers from the viewpoint of biomimetics. In the present work, the mass production of dumbbell-shaped biomimetic SiC/SiO₂ fibers via a facile carbothermal reduction method using gangue and carbon black as raw material at 1500 °C in argon is reported. The phase and morphology of the obtained fibers were characterized using XRD, XPS, FTIR, FESEM and HRTEM techniques. It is found that the string of the synthesized biomimetic fibers is single-crystal 3C-SiC with diameter of 0.5–1 μm and the beads are amorphous SiO₂ with diameter of about 5 μm. The effect of holding time at 1300 °C on the morphology is further investigated. Based on these, the growth mechanism of dumbbell-shaped biomimetic SiC/SiO₂ fibers is proposed.

1. Introduction

The toughened ceramic-matrix composites (CMCs) exhibit high toughness in addition to the desirable properties of monolithic ceramics such as high strength, good hardness, wear resistance, excellent corrosion, thermal shock and oxidation resistance by promoting crack bridging [1, 2], crack deflection [3] and pull-out mechanisms [4, 5]. SiC fibers have demonstrated as good candidates as reinforcements in a variety of CMCs owing to their outstanding properties such as excellent oxidative stability, high strength, high thermal stability and good chemical inertness [6–12]. A variety of methods have been applied to prepare SiC fibers [13–16]. Among these, the carbothermal reduction process is considered to be a promising method due to efficiency and economy [17–20].

The intrinsic properties of CMCs are determined by their structure, especially the structure of the reinforced phase, i.e. size, shape, composition and crystallinity [21, 22]. Many techniques have recently been developed to shape nanoscale materials as tubes, wires, belts, disk, cubes, and branched structures [23–27]. Saito et al. [28] produced SiC whiskers from SiO(g)-CO(g) system. They investigated the effects of the partial pressure of SiO and CO and the deposition temperature on the morphology. From the mechanical

viewpoint, the advantage of the dumbbell-shaped or chain-like fibers is that the enlarged end allows load to be effectively transferred from the matrix to the reinforcing fibers instead of relying on an overly strong fiber/matrix interface [29, 30]. At the same time, SiO₂ bead around the core could act as excellent blockades to prevent SiC fibers withdrawing from the surrounding matrix [31]. Therefore the dumbbell-shaped fibers as reinforced phase in CMCs are expected. Hou et al. [32] and Wei et al. [33] produced SiC/SiO_x nanochain heterojunctions via pyrolysis of polymeric precursors and microwave method respectively. No further work is reported up to now.

In present research, the mass production of dumbbell-shaped biomimetic SiC/SiO₂ fibers via a facile carbothermal reduction method was reported. To our best knowledge, this route allows us to prepare dumbbell-shaped biomimetic SiC/SiO₂ fibers in gram yields which have not been reported up to now.

2. Experimental

2.1 Material preparation

Gangue (SiO₂ > 99%) and carbon black were used as silicon and carbon sources respectively. The mixture ball-mixed with the mole ratio of 1:3 was put in a ceramic boat and placed in the hot zone of the furnace equipped with carbon as the inner lining. Before heating, the furnace was vacuumed and introduced argon (99.9%; CO₂: 7.8 ppm; O₂: 9.3 ppm; H₂O: 12.5 ppm) at a constant gas flow rate of 0.4 L/min. The total gas pressure is kept at about 0.12 MPa. Then the samples were heated up to the desired temperature of 1500 °C with a heating rate of 3 °C/min and holding for 2 h. After that, the furnace was cooled to 1300 °C and kept for 0.5 h. Finally the furnace was cooled to ambient temperature, argon was stopped and large scale gray-white fibers were obtained mainly on the top of the furnace.

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2.2 Phase and microstructure characterization

The phases were identified by X-ray diffraction (XRD) using Cu K α radiation (40KV, 20mA, $\lambda=1.5406 \text{ \AA}$) in the angular $10\text{--}80^\circ$ with the scan speed of $2^\circ/\text{min}$. Raman spectra were carried out on a ALMEGA confocal laser micro-Raman spectrometer using Arion laser excitation with a wavelength of 532 nm. Morphologies of the products were examined by a field emission scanning electron microscope (SEM) operated at 20 kV (FEI-SIRION). Transmission electron microscopy with high-resolution transmission electron microscopy (HRTEM) and selected area electron diffraction (SAED) were performed on a Tecnai G2 F30 S-TWIN. Fourier transformation infrared (FT-IR) spectra in transmission mode were recorded on a Nicolet-Nexus 470 infrared spectrophotometer with a spectral scanning range $2000\text{--}400 \text{ cm}^{-1}$. The chemical states of Si were determined by X-ray photoelectron spectroscopy (XPS) in a VG Multilab 2009 system with a monochromatic Mg K α source and a charge neutralizer.

3. Results and discussion

Figure 1a shows the XRD pattern of the obtained sample. As can be seen from the pattern, the major peaks at $2\theta = 35.8^\circ$, 41.4° , 60.0° , 71.8° and 75.6° can be indexed as the (111), (200), (220), (311) and (222) reflections of 3C-SiC. A broad amorphous peak at $15^\circ\text{--}30^\circ$ also appears which corresponds to the amorphous state SiO_2 . The lattice constant is calculated to be $4.361 \text{ \AA}$, which is close to the standard value of 3C-SiC ($a = 4.359 \text{ \AA}$) (JCPDS, no. 29-1129), indicating 3C-SiC is successfully synthesized. The samples were also investigated using Raman spectroscopy. The Raman spectrum shown in Fig. 1b confirms the fibers as SiC. The peak around 795 cm^{-1} is attributed to the transverse optical (TO) mode of 3C-SiC while the peak center at 970 cm^{-1} is the characteristic of the longitudinal optic (LO) phonon mode. This two Raman peaks are shifted by a few wavenumbers to lower frequencies than that of bulk material (TO mode at 796 cm^{-1} and LO mode at 972 cm^{-1}) [34], which may be caused by the structure defects in the fibers. Also, we can see another peak at 942 cm^{-1} which is attributed to SiO_2 . The Si 2p fine XPS spectrum of the SiC/SiO $_2$ is presented in Fig. 1c. In the spectrum of Si 2p, the peak centered at 100.5 eV corresponds to the Si-C bond in SiC [35] and the peak at 102.5 eV and 103.4 eV can be assigned to Si-O bond [36, 37].

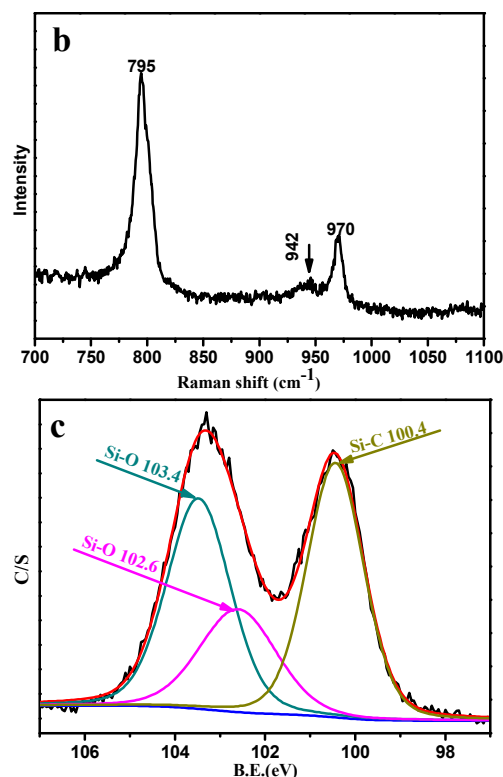
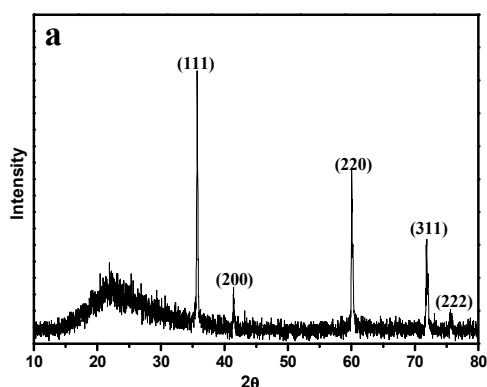


Fig.1 (a) XRD pattern, (b) Raman spectrum, (c) XPS spectrum of the synthesized fibers.

The morphology of the synthesized fibers was characterized using SEM. It can be seen that high density fibers were synthesized with the length ranging from several to tens of microns (Fig. 2a), and the insert is a photo of the large scale product. At high magnification, the representative dumbbell-shaped fiber is presented (Fig. 2b), which is distinctively different from the conventional fibers. The beads on each individual string have a uniform size and were separated evenly. The chemical compositions of the fibers string (A in Fig. 2b) and bead (B in Fig. 2b) were analyzed using EDS under SEM as shown in Fig. 2c and Fig. 2d respectively. The string consists of Si, C and O elements (Fig. 2c) while the beads are composed of Si and O elements (Fig. 2d), revealing that the beads should be SiO_2 and the string be SiC as well as SiO_2 . From the element mapping of the sample shown in Figs. 2(e-g), element O predominately distributes at the beads (Fig. 2e) while Si element exists uniformly in all area (Fig. 2f). Considering C element is too light, the C mapping has little reference value (Fig. 2g). Fig. 2h is an optical microscope image of the typical dumbbell-shaped biomimetic SiC/SiO $_2$ fiber. The photo shows a central wire decorated with regularly spaced beads along their length. In order to further verify the string-bead-shape structure and the composition of the beads, the fibers were etched in hydrogen fluoride (HF) solution (20%) for 10 and 20 min as shown in Fig. 2i, Fig. 2j respectively considering SiC cannot be etched in hydrogen fluoride (HF) while SiO_2 can be easily etched away. When etched for 10 min, the beads were destroyed and loose (Fig. 2i). While the beads disappeared completely and only string was left behind when etched for 20 min (Fig. 2j). EDS analysis of the fibers etched for 20 min in the Fig. 2k

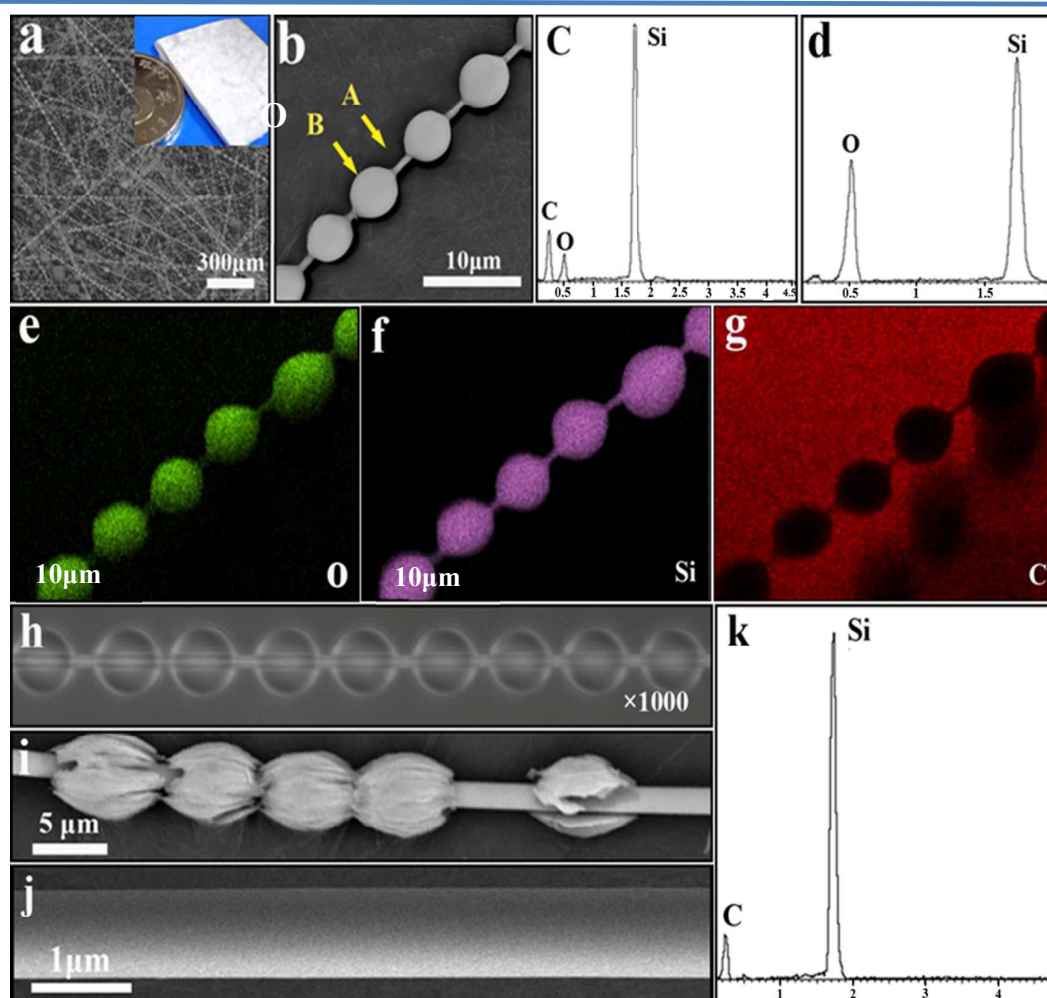


Fig. 2. (a) low-magnification SEM image of the product, the insert is a photo shows the large scale product, (b) high magnification SEM images of a single fiber, (c, d) EDS spectrums of the string (A in fig. 2b) and bead (B in fig. 2b), (e-g) the mapping of O, Si, C in the fiber respectively, (h) photo of a typical dumbbell-shaped biomimetic fiber magnified 1000 times, (i) and (j) SEM images of the fiber etched by HF solution for 10 min and 20 min respectively, (k) EDS spectrum of the fibers etched for 20min.

indicates only the phase of SiC was left. From above results, the fabricated products possess a typical bead-string structure and the bead is the amorphous SiO₂.

Fig. 3a is a typical TEM image of the obtained structures under low magnification. The closer observations of the rod (Fig. 3b) reveal that the products are actually structured with a dark SiC core and a light SiO₂ shell. The SiC core has identical diameters of about 500 nm while the bead was 5 μm in diameter. Fig. 3c is a typical HRTEM image recorded from the marked area in Fig. 3b. The d-space of the two sets of fringes are measured to be 0.25 nm, which corresponds to the (111) plane of 3C-SiC. The inset in Fig. 3c is the typical selected area electron diffraction (SAED) pattern corresponding to the string, indicating the core of the fiber is single crystal 3C-SiC. The HRTEM analysis provides further insight into the structure of the bead-string-shape. Both the SAED patterns and the HRTEM image suggest that the SiC fibers grow along the [111] direction.

To investigate the possible formation process of the dumbbell shape SiC fibers, the products obtained under different soaking time at 1300°C were checked. The experimental process is all same except that the time kept at 1300°C became 10, 20 min respectively. Fig. 4 shows the corresponding SEM images. When the soaking time is 10 min, some of the string-bead structures occurred with small SiO₂ attached SiC string as indicated with an arrow in Fig. 4a. When the time increased to 20 min, the bead is gradually formed with an ellipsoidal shape (Fig. 4b). It is worth noting that the beads are changed from the ellipsoidal shapes to spheroidal ones when the time increased to 30 min (Fig. 4c). Fig. 4d is the low magnification image of the products reacted for 30 min. For the most part, the observed beads possess almost perfectly spherical shapes and smooth surfaces due to minimize the free energy [31]. From above results, the bead is formed in the cooling stage and the bead shapes can be tunable by the soaking time. This is in agreement with Ref. [33]

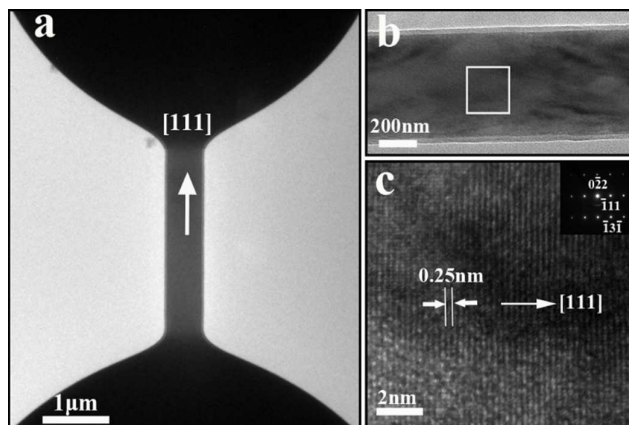


Fig. 3. (a) Typical TEM image of the dumbbell-shaped biomimetic SiC/SiO₂ fibers, (b) closer observation of the string, (c) HRTEM image of the selected area in (b) and the insert is the corresponding SAED pattern,

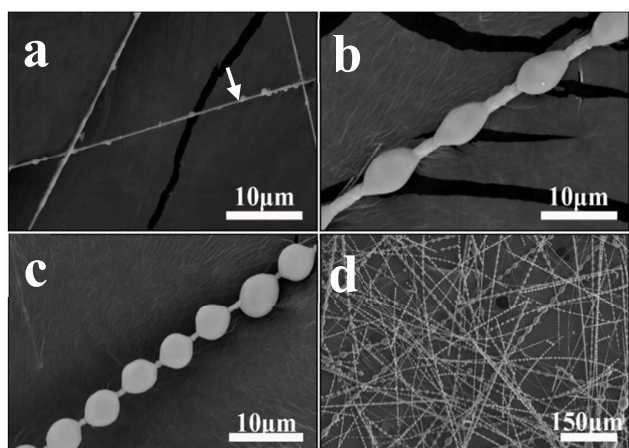
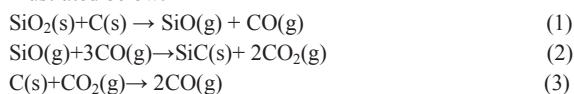


Fig. 4. The SEM images of fibers holding different time at 1300 °C. (a) 10 min, (b) 20 min, (c) and (d) 30 min

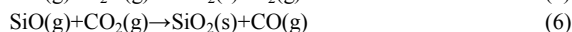
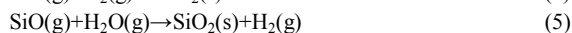
In view of the growth mechanism of dumbbell-shaped biomimetic SiC/SiO₂ fibers, there are generally two kinds of growth mechanisms proposed for the formation of heterogenous nanostructures. One is the formation of inner 1D core structures and then the epitaxial growth of secondary branches [38-40]. The other is the self-assembly of nanobuilding blocks, such as platelets, into hierarchical structures [41]. In our experiment, the SiC/SiO₂ fibers are typical bead-string structure as shown in Fig. 2h. It should belong to a two-step epitaxial process, i.e. vapor-solid (VS) mechanism followed by modulation process. The process is schematically shown in Fig. 5.

(1) the growth of SiC fibers (I). It could be performed by VS mechanism, which has been reported in detail in our recent work [42]. Three main reactions involved during the synthesis process are illustrated below:



In our experiment, SiO and CO vapours were produced at 1500 °C through Eqs. (1-3) and SiC fibers grow along the [111] direction on the graphite substrates through VS mechanism by Eq. (2).

(2) Modulation step. When the temperature decreased to 1300 °C, the saturated vapour pressures of SiO decreases and this contributed to the formation of SiO₂ by following equations.



CO₂, O₂ and H₂O originated from the argon (CO₂: 7.8 ppm; O₂: 9.3 ppm; and H₂O: 12.5 ppm). With time prolonging, more and more SiO transformed into SiO₂ to form SiO₂ layer on the surface of SiC fibers. Because of the Rayleigh instability and the poor wettability between SiC and SiO₂, SiO₂ is separated into spheres and forms the first batch of SiO₂ beads (III in Fig. 5) [31, 43]. It deserves to be mentioned that there is enough SiO₂ is formed in this experiment due to the above reaction. Therefore dumbbell-shaped biomimetic SiC/SiO₂ fibers are formed.

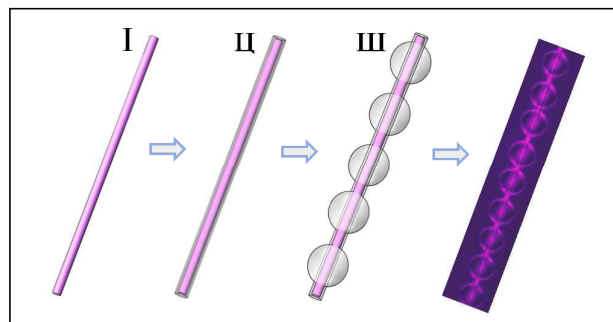


Fig. 5. schematic illustration of the dumbbell-shaped biomimetic SiC/SiO₂ fiber.

Conclusions

A facile strategy for the mass production of dumbbell-shaped biomimetic SiC/SiO₂ fibers via carbothermal reduction method is reported. SiC/SiO₂ fibers are composed of single-crystalline 3C-SiC string cores growing along the [111] direction and amorphous periodic SiO₂ bead shells. Two-step growth mechanism, i.e. VS following by modulation is applied to explain the growth process of dumbbell-shaped biomimetic SiC/SiO₂ fibers. The size of amorphous periodic SiO₂ beads can be tailored by controlling the holding time at 1300 °C. Due to the fascinating structures, SiC/SiO₂ fibers can be a promising candidate as reinforcement in CMCs.

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