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Metastable sulfur dioxide linkage photo-isomers can be generated in a family of ruthenium tetraammine-based complexes in their single-crystal form; this imparts them with attractive prospects as holographic data storage media (dark state = 0; photo-isomer state = 1). Embedding these optically encoded single crystals into thin-film technology *via* a polymer host should present one possible option for their ultimate device application. Crystals of *trans*-[Ru(SO₂)(NH₃)₄(H₂O)][*p*-TolSO₃]₂ ([**Ru**]-**SO**₂) were incorporated into a polyvinyl alcohol (PVA) matrix, by *in-situ* synthesis of [**Ru**]-**SO**₂ from the reaction of *trans*-[Ru(SO₂)(NH₃)₄Cl]Cl and *p*-tolunesulfonic acid (*p*-TA) in the PVA matrix, and an associated *in-situ* precipitating crystallisation. Transmission electron microscopy and diffraction on the resulting drop-cast thin films identified these [**Ru**]-**SO**₂ precipitates and their crystallinity; low-temperature solid-state UV-vis absorption spectroscopy confirmed that the desired SO₂ photo-isomerisation in [**Ru**]-**SO**₂ was uncompromised by its inclusion in thin films. The size and distribution of the embedded crystals were optimized by spin coating thin films of various *in situ* crystallised precipitates of [**Ru**]-**SO**₂ that emanated from chemical reaction mixtures of *trans*-[Ru(SO₂)(NH₃)₄Cl]Cl / *p*-TA / PVA. Results demonstrate the viability of the inclusion of single crystals of [**Ru**]-**SO**₂ in thin films for optical device applications.

Introduction

Ruthenium of the complexes general formula [Ru(SO₂)(NH₃)₄X]Y exhibit SO₂ linkage photo-isomerisation in the solid state. As such, they hold promise as prospective candidates for holographic data storage applications.¹ A darkstate of SO₂ (state 0) subjected to light will experience changes in its bonding mode to ruthenium, converting to one or more SO₂ photo-isomers (light-state, state 1). These distinct SO₂ states are spatially encoded within a single-crystal and are coupled to a photo-chromic change. The molecular configuration of the SO₂ linkage photo-isomer, its stability and photo-conversion efficiency can be tuned by varying the sample temperature, the optical wavelength of the light source, the ligand X in trans-position to SO_2 , and/or the counterion Y.

This solid-state optical switching phenomenon is metastable below a temperature threshold, which is determined by the nature of X and Y. In this family of complexes, *trans*-[Ru(SO₂)(NH₃)₄(H₂O)][*p*-TolSO₃]₂ (**[Ru]-SO₂**)





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⁺ Electronic supplementary information (ESI) available; for details regarding the solid-state UV-vis absorption spectroscopy, see DOI: 10.1039/x0xx00000x

clean separation between the "0" and "1" states under near-ambient conditions is fortuitous.





Fig. 1 Chemical structures of the **[Ru]-SO**₂ photo-isomers and their conversion between the η^1 -SO₂ isomer (0 state; GS) and the η^2 -SO₂/ η^1 -OSO isomers (1 state; MS).

Given previous successful reports on the incorporation of photo-responsive organic or organometallic materials in thin films,^{15,16} and the increasing use of thin film technology for optical device media, it seemed pertinent to establish a means by which single crystals of **[Ru]-SO₂** could be embedded in a matrix and processed as thin films, while retaining their characteristic solid-state photo-isomeric features.

This paper begins by describing the in situ chemical synthesis and crystallisation of [Ru]-SO2 within a polymer medium. This is accomplished by a ligand exchange reaction between trans-[Ru(SO₂)(NH₃)₄Cl]Cl and H₂O, followed by counter-ion exchange with *p*-toluenesulfonic acid (*p*-TA), where all reactions take place within a polyvinyl alcohol (PVA) matrix. It will be shown that thin films containing single crystals of [Ru]-SO2 can be generated from this mixture via drop casting or spin coating. The presence of embedded single crystals of [Ru]-SO2 in the drop-cast thin films was confirmed by transmission electron microscopy (TEM); while their size and distribution was optimized via spin coating, using optical microscopy as a qualifier. In order to verify that the previously reported photo-isomerization of individual (mm-sized) single crystals of [Ru]-SO₂ is reproducible when embedded as microcrystals in thin films, low-temperature solid-state UV-vis absorption spectroscopy measurements conducted, the results of which corroborate such reproducibility. With this proof-ofprinciple in hand, we are able to offer a viable method to produce thin films that host photo-isomerisable [Ru]-SO2 in the form of good quality crystals, whose habit bears high levels of homogeneity in both size and shape. Such single-crystal attributes are important gualifiers for their ultimate application in optical device media.

Experimental section

Materials and methods

Starting materials. PVA (average M_w = 85,000-146,000) and p-TA (> 98% purity) were purchased from Sigma Aldrich and used without further purification. The precursor *trans*-[Ru(SO₂)(NH₃)₄Cl]Cl was synthesized according to literature procedures.¹⁷

Sample preparation of [Ru]-SO2 crystals embedded in PVA and drop-cast into thin films for materials characterization. An aqueous solution of [Ru]-SO2 was prepared by dissolving trans-[Ru(SO₂)(NH₃)₄Cl]Cl (4.4 mg) and p-TA (3.2 mg) in distilled water (300 µL). The resulting pink solution was mixed thoroughly with a transparent PVA gel (v:v = 3:2) at room temperature, obtained from dissolving PVA (40 mg) in water (200 μ L) at T = 90 °C, which is tantamount to a 0.0187 M concentration of [Ru]-SO₂ in this gel. For further characterisation of this material, the gel was drop cast into thin films. For the optical spectroscopy measurements, uniformly coloured thin films were manufactured by drop casting the gel on quartz substrates, followed by drying the films under nitrogen gas. The sample thickness across the sample substrate was 750-1600 nm. For the TEM measurements, thin films were drop cast onto a fine copper grid (400 mesh).

Materials characterization of the drop-cast [Ru]-SO₂ crystalembedded PVA thin films

TEM and electron diffraction. TEM images of [**Ru**]-**SO**₂ crystals within the PVA matrix were obtained from a Philips Tecnai 20 TEM, using an accelerating voltage of 80 kV. For the associated electron diffraction experiments, a camera length of 890 mm was employed, and the lattice spacings were calibrated by reference images of TICI.

Multi-temperature solid-state UV-vis absorption spectroscopy. A custom-built experimental setup was used to measure multi-temperature UV-vis absorption spectra of the crystal-containing thin films. The overarching setup design is shown in the ESI. Regarding the specifics, thin film samples were mounted onto the probe of an Oxford Instruments CF1200 Helium Optical Cryostat. The temperature of the cryostat was controlled and measured by an Oxford Intelligent ITC4 temperature controller via the partial integration differentiation (PID) LabView program. The temperature of the sample was fed into a Lakeshore 211 temperature monitor connected to a silicon diode placed close to the sample on the sample holder. A continuous wave Ar⁺ laser (Coherent Innova 300, 100 mW, λ = 488 nm) was used to photo-induce isomerisation of the SO₂ ligand from the ground state (η^{1} -SO₂) to the metastable states (η^2 -SO₂/ η^1 -OSO) over a temperature range (T = 20-100 K). These optical wavelength and temperature conditions refer to those found by photocrystallography to yield maximum photo-conversion levels,

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without detriment to sample quality owing to crystal lattice strain.^{2,3,12} In order to acquire solid-state UV-vis absorption spectra that capture the optical signatures of these SO₂ photo-isomers, samples were probed using a tungsten white light source (Light Support 150W power supply unit). The transmitted intensity was registered in wavelength increments of 0.545 nm *via* an Instaspec IV spectrometer.

Spin coating of [Ru]-SO₂ crystals embedded into PVA for optimizing crystal quality within thin films

In order to achieve homogeneous and high-quality crystals within the polymer host, thin films were spin coated from PVA gels containing **[Ru]-SO**₂, which were prepared under a different temperature regime relative to the drop-cast thin films. In addition, the molar ratio between *trans*-[Ru(SO₂)(NH₃)₄Cl]Cl and *p*-TA was increased from the previously used 1:4 (drop-cast samples) to 1:8; this stoichiometric alteration circumvented the formation of high concentrations of unreacted *trans*-[Ru(SO₂)(NH₃)₄Cl]Cl, which had been observed at 1:4 ratios.

PVA (50 mg) was initially dissolved in water (450 μ L) at T \approx 80 °C. Much care was taken to dissolve the PVA entirely, before trans-[Ru(SO₂)(NH₃)₄Cl]Cl was added, as the former was found to dissolve only very reluctantly in the presence of the latter. After the PVA was completely dissolved, the temperature was decreased to T = 55 °C and trans-[Ru(SO₂)(NH₃)₄Cl]Cl (5 mg, 0.021 mmol) was added. At this temperature, the complex dissolved readily in the PVA gel, and no visible deterioration was observed on the timescale of the experiment. After stirring the solution for 2 min, a solution of p-TA (30 mg, 0.174 mmol) in water (50 µL) was added. In order to prevent spontaneous crystallization and to ensure an equal distribution of all components, the solution was kept at this temperature and stirred for another 2 min. As it is feasible to assume that the temperature gradient of the solution has a profound effect on the size and distribution of the obtained crystals, one reaction mixture was spin-coated immediately after the addition of p-TA, whereas a second was maintained at T = 55 °C for 2 min following the addition of *p*-TA, before being allowed to slowly cool to room temperature (3 min) by removing the heat source, prior to spin coating the film. From each solution, a small aliquot (50 µL) was spin coated (2500 rpm, 1 min) onto a glass substrate. As reference samples, aliquots of pure PVA, as well as of PVA gels containing similar concentrations of trans-[Ru(SO₂)(NH₃)₄Cl]Cl or p-TA were spin coated on glass substrates. The quality of the resulting thin films was investigated using an Olympus BX51 optical microscope (5 x magnification).

Results and Discussion

Characterization of [Ru]-SO₂ crystal-embedded PVA thin films

To prove unambiguously that single crystals of [Ru]-SO₂ were embedded in the PVA-based thin films, drop-cast film samples were examined by TEM, and a corresponding electron micrograph is shown in Figure 2. The associated electron diffraction pattern (Figure 2, inset) confirms its crystallinity, and the nature of this pattern, derived from a crystal oriented approximately along the crystallographic b,c plane, shows that its lattice spacings are consistent with the unit cell of **[Ru]**-**SO₂**.²

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Testing the retention of SO_2 photo-isomerisation from bulk crystals to [Ru]-SO₂ microcrystals embedded in PVA thin films

Previous photo-crystallography studies have demonstrated solid-state photo-isomerisation in bulk (mm-sized) single crystals of **[Ru]-SO₂**.^{2,3,9} An implementation of this desirable optical attribute in thin-film device technology is, however, only feasible if the photo-isomerisation of **[Ru]-SO₂** is retained within the polymer matrix. It was therefore necessary to test if the PVA-embedded microcrystals of **[Ru]-SO₂** were susceptible to light-induced photo-isomerisation in the same fashion as seen in the bulk crystals. A detailed account of the photo-isomerisation of bulk crystals of **[Ru]-SO₂** has been described previously,²⁻¹⁰ and therefore, the following section will be concerned with the specifics of the incorporation of crystalline **[Ru]-SO₂** in the PVA matrix.



Fig. 2 Transmission electron micrograph and corresponding electron diffraction pattern (inset) of PVA-embedded **[Ru]-SO₂** microcrystals.

Thin-film samples were subjected to pump-probe UV-vis measurements at temperatures, whose range ensured the presence of each metastable SO₂ photo-isomer (for the detailed experimental set-up, see ESI). UV-vis absorption spectroscopy measurements were focused on the η^1 -OSO isomer, as it exhibits, in contrast to η^2 -SO₂ isomer, an observable optical absorption signature. As a diagnostic tool, the η^1 -OSO isomer still is suited for confirming the presence of the η^2 -SO₂ isomer, since the η^2 -SO₂ isomer coexists with the η^1 -OSO isomer where present, and the thermally induced decay of the latter at T ~ 100 K increases the proportion of the former.

Initially, solid-state UV-vis absorption signatures were obtained for $[{Ru}]\text{-}SO_2$ in PVA in its original non-light exposed $\eta^1\text{-}SO_2$ (S-bound) GS, as well as under light-irradiation conditions (λ = 488 nm) at T = 15 K and 96 K. The reference GS

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signatures at room temperature (T = 296 K), T = 92 K, and T = 17 K were almost identical, except for a monotonic change in the overall signal-to-noise ratio with decreasing temperature. This reflects increasingly inhibited transitions between molecular conformations, and probably a slight modulation in sample scattering characteristics caused by temperature-dependent morphological changes of the PVA matrix.

Subsequently, a series of light-irradiated (λ = 488 nm) UV-vis absorption spectra, collected as a function of increasing temperature, established that the η^1 -OSO photo-isomer, which exhibits a broad optical absorption peak around λ = 650 nm, was no longer metastable at T > 30 K. As the η^1 -OSO photo-isomer converts into the η^2 -SO₂ photo-isomer over the temperature range T = 13-100 K,^{2,3,9} the immediate solid-state environment of the η^1 -OSO photo-isomer should contain more cationic neighbours that feature the η^2 -SO₂ photo-isomer as the temperature rises.

Such a solid-state environmental effect, which is somewhat analogous to the solvatochromism commonly seen in solutionbased UV-vis absorption spectra, is consistent with the data obtained. The variably distributed fractions of the lightinduced **[Ru]-SO₂** isomers throughout the crystal should moreover represent a range of solid-state environments, which is reflected in the broad optical absorption signature of the subject data. While the temperature was gradually raised, the kinetic decay of the η^1 -OSO isomer into the η^2 -SO species was monitored by collecting a time-evolution sequence of the UV-vis absorption spectra (Fig. 3).



Fig. 3. Photo-isomerisation-induced change of the UV-vis absorption spectrum of PVA-embedded **[Ru]-SO₂**, shown as a time-dependent sequence under a concomitant monotonic increase of temperature (T = 20-121 K; t = 88-123 min after irradiation at λ = 488 nm).[‡]

Following these temperature scans, a final reference UV-vis absorption spectrum was acquired, immediately after having exposed the sample to light irradiation (λ = 488 nm) for t = 30 min at T = 70 K. This spectrum reproduced the strong optical

absorption signature of the η^1 -OSO isomer, capturing it at a temperature between the penultimate and ultimate temperature-scan points, where the signature of the η^1 -OSO photoisomer persisted. This result supports the aforementionedspectral interpretation of the decaying η^1 -OSO photoisomer and the possible origins of the hypsochromic shift: any UV/vis absorption spectrum acquired prior to a substantial decay of the η^1 -OSO photoisomer will not be subject to any blue-shifting; indeed, it is not and its profile is in fact very similar to that of the initial spectrum collected at T = 41 K.

Overall, the consistency of these findings, with respect to previous photo-crystallography results on the bulk crystals, confirm the notion that the SO_2 photo-isomer attributes of **[Ru]-SO₂** crystals are retained when embedded into PVA-based thin films. Accordingly, these materials may be manufactured in thin films for optical data storage applications, without compromise in optical function.

Nevertheless, in order to meet practical device requirements for thin-film technology, such as material reproducibility, another issue concerning the processing of these thin films needs attention: the homogeneity of the embedded crystals. Indeed, as Figure 2 portrays, the size and shape uniformity and overall quality of crystals afforded by drop-casting thin-film processing methods is highly variable. A significant improvement in thin-film processing was therefore sought. To this end, spin-coating options were explored as an alternative to drop-casting.

Improving the homogeneity of [Ru]-SO₂ crystals embedded in a PVA matrix via spin coating

A broad range of spin-coating conditions for the crystallization and distribution of crystalline [**Ru**]-**SO**₂ in the PVA matrix was examined: spin-coating duration, speed; relative reagent concentrations, reagent mixing methods. In general, molar ratios of 1:8 between *trans*-[Ru(SO₂)(NH₃)₄Cl]Cl and *p*-TA yielded uniformly shaped crystals of [**Ru**]-**SO**₂. At lower concentrations of *p*-TA, crystallization was hampered. The most homogeneous distributions of [**Ru**]-**SO**₂ in the PVA matrix was observed when *trans*-[Ru(SO₂)(NH₃)₄Cl]Cl and PVA were thoroughly mixed, before *p*-TA was added.

The most suitable crystallization strategy is best illustrated via a comparison of several distinct crystallization conditions presented in Figure 4. An optical micrograph (5 x magnification) of the starting material, trans-[Ru(SO₂)(NH₃)₄Cl]Cl embedded in PVA, is provided for reference (Figure 4, left). These needle-shaped crystals vary substantially from those of [Ru]-SO2 illustrated via the optical micrographs shown alongside (Figure 4, middle and right), thus corroborating the success of the concerted in situ reaction and crystallization of the desired product.

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Fig. 4 Optical micrographs of spin coated films in PVA at 5 x magnification. Left: starting material, *trans*-[Ru(SO₂)(NH₃)₄Cl]Cl embedded in PVA; Middle: **[Ru]-SO₂** obtained from reacting PVA / *trans*-[Ru(SO₂)(NH₃)₄Cl]Cl / *p*-TA, where the Ru complex and *p*-TA were mixed prior to addition of the PVA and spin coated directly after addition of the acid; Right: **[Ru]-SO₂** produced *via* reaction of PVA / *trans*-[Ru(SO₂)(NH₃)₄Cl]Cl / *p*-TA, where the Ru complex and P-TA, where the Ru complex and PVA were mixed prior to adding the *p*-TA, annealing (1. T = 55 °C, 2 min; 2. cooling, 3 min), and spin coating.[§]

The two different sets of PVA-embedded [Ru]-SO2 crystals shown in Figure 4 (middle, right) were both obtained using a mixture of PVA / trans-[Ru(SO₂)(NH₃)₄Cl]Cl / p-TA reagents, one of which was spin coated immediately following addition of p-TA (Figure 4, middle), while the other was spin coated from a sample that was heated to and maintained at T = 55 °C for 2 min after the addition of *p*-TA, followed by a cooling period of 3 min (Figure 4, right). The second crystallization method is clearly superior to that obtained without a cooling cycle (Figure 4, middle), affording regularly shaped, highquality crystals of a parallelepiped habit, whereby sizes range from 5-50 μ m in length and 1 – 10 μ m in width. This technique should therefore represent an appropriate approach to produce thin-film crystals with high levels of homogeneity in size and shape, suitable for their ultimate use in optical device media.

Conclusions

A fabrication method that produces thin films of rutheniumsulfur dioxide linkage photo-isomers for optical data storage applications has been demonstrated. Single crystals of trans- $[Ru(SO_2)(NH_3)_4(H_2O)][p-TolSO_3]_2$ (**[Ru]-SO_2**) were embedded into a PVA matrix via in-situ synthesis and crystallisation within a polymer gel and subsequent thin-film processing. The resulting thin films were characterized by TEM and associated diffraction. Low-temperature solid-state UV-vis absorption spectroscopy confirmed that the optically addressable property attributes of the dark-state SO₂ isomer (state 0) and its SO₂ photo-isomers (state 1), previously observed in their bulk form (single crystals), are retained in these thin films. While the thermally more stable photoisomer (η^2-SO_2) is of primary interest for device applications, the UV-vis spectroscopy measurements pinpoint the η^2 -SO₂ photoisomer indirectly using optical spectroscopy signatures for the thermally less stable species (η^1 -OSO) in the thin films: evidence for $\eta^1\text{-}OSO$ directly implies that $\eta^2\text{-}SO_2$ has been generated, as η^1 -OSO converts into η^2 -SO₂ at T ~ 100 K. Two

processing methods for thin films, namely drop casting and spin coating, were explored. High-quality single crystals, displaying high levels of homogeneity in crystal size and habit, were obtained by spin coating reaction mixtures of PVA, *trans*-[Ru(SO₂)(NH₃)₄Cl]Cl, and *p*-TA, where the precipitation of the crystallizing product **[Ru]-SO₂** was judiciously controlled. The results provide an option for this family of ruthenium-sulfur dioxide linkage photo-isomers to find applications in thin-film technology, with their ultimate goal to serve in optical data storage devices.

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Notes and references

 \ddagger Prior to irradiation, a spectrum of the original GS of the sample, was acquired at T = 24 K and subtracted from all spectra. In order to correct for background variations, all spectra were normalised at λ = 400 nm.

§ The two-dimensional nature of these images provide an optical illusion in crystal size variation, as some crystals lie on their sides; in these cases, their comparative lengths, relative to the face-up crystals, indicate their regularity in crystal size.

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