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Comment on "Relation between metastable zone width and induction time of butyl paraben in ethanol" by H. Yang,

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#### Abstract

In a recent paper, Yang<sup>1</sup> proposed a complicated summation equation to relate the induction time and the metastable zone width (MSZW) in cooling crystallization based on the classical nucleation theory. In the Yang's model, the nucleation is assumed to occur when the accumulated nucleation potential approaches the critical nucleation potential in both the induction time and MSZW experiments. However, the definition of the accumulated nucleation potential and critical nucleation potential is ambiguous. In this comment, the MSZW limit is defined the same as in the induction time as the time needed for the number density of accumulated crystals to reach a fixed (but unknown) value,  $f_{N}$ . An integral equation is developed to predict MSZW from the induction time results based on the classical nucleation theory.

Keywords: crystallization; nucleation; induction time;

metastable zone width

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In a recent paper, Yang<sup>1</sup> proposed a model to relate the induction time and the metastable zone width (MSZW) in cooling crystallization based on the classical nucleation theory. It is assumed in both the induction time and MSZW experiments that the accumulation of the nucleation potential continuously approaches critical nucleation potential before the nucleation occurs. A complicated summation equation is subsequently developed to extrapolate MSZW from the interfacial energy and the pre-exponential factor obtained from the induction time data in homogeneous primary nucleation. It is found that the experimental MSZWs of butyl paraben in ethanol are fairly close to those extrapolated from the induction time results. I would like to bring to attention that the concept of the nucleation potential in this model is ambiguous although the model is satisfactory to predict MSZW from the induction time results. A new approach is developed in this work to relate the induction time and the MSZW based on the classical nucleation theory.

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Based on the classical nucleation theory,  $^{2,3}$  the

nucleation rate is expressed as

$$J = A_J \exp\left[-\frac{16\pi v_m^2 \gamma^3}{3k_B^3 T^3 (\ln S)^2}\right]$$
(1)

As the induction time,  $t_i$ , is inversely proportional to the nucleation rate in a solution with volume of V, one obtains<sup>1,4,5</sup>

$$\ln(\frac{1}{t_i}) = \ln(JV) = \ln(A_J V) - \frac{16\pi v_m^2 \gamma^3}{3k_B^3 T^3 (\ln S)^2}$$
(2)

Experimental induction time results can be evaluated by plotting  $\ln(\frac{1}{t_i})$  versus  $\frac{1}{(\ln S)^2}$  for determination of  $\gamma$  from the slope and  $A_iV$  from the intercept, respectively.

In the model proposed by Yang, the accumulated nucleation potential at temperature T and under supersaturation S in a polythermal experiment, during each short time period (a step of time),  $\Delta t$ , is estimated as

$$\Delta N = \frac{\Delta t}{t_m} N = \frac{A_J V \Delta t}{\exp[\frac{N}{3k_B^3 T^3 (\ln S)^2}]} N$$
(3)

where the critical nucleation potential is defined as  $N = 16\pi v_m^2 r^3$ . For a solubility equation with the form of  $\ln x = A_s T^{-1} + B_s + C_s T$ ,<sup>6</sup> the supersaturation at T during the cooling process can be calculated as

$$\ln S = \ln x - \ln x^* = A_s (T_0^{-1} - T^{-1}) + C_s (T_0 - T)$$
(4)

where  $T_0$  is the initial saturation temperature at t=0 .

Yang derived the accumulated nucleation potential from time  $t = \Delta t$ , to  $t = m \Delta t$ , as

$$\sum_{n=1}^{m} \Delta N = \sum_{n=1}^{m} \frac{N A_J V \Delta t}{\exp[\frac{N}{3k_B^3 T^3 (\ln S)^2}]}$$
(5)

where *n* and *m* are positive integers. Note that  $T = T_0 - z_c n\Delta t$ for the linear cooling rate of  $z_c$ . The accumulated nucleation potential increases with time, and at the minimum value of *m* allowing  $\sum_{n=1}^{m} \Delta N$  to be equal to or

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greater than N, nucleation occurs at  $t = m\Delta t$ . Based on  $A_J V$ and N obtained from the induction time results, Eq.(5) is satisfactory to predict MSZW for butyl paraben in ethanol.

Yang's model is derived based on the assumption that the accumulated nucleation potential increases with time in both the induction time and MSZW experiments. The nucleation is assumed to occur when the accumulated nucleation potential approaches the critical nucleation potential in Eq.(5). However, the definition of the accumulated nucleation potential and critical nucleation potential is ambiguous. A new approach is developed in the following to predict MSZW from the induction time results based on the classical nucleation theory.

In some induction time studies, the nucleation event is assumed to correspond to a point at which the total number density of accumulated crystals has reached a fixed (but

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unknown) value, 
$$f_N$$
.<sup>7-9</sup> Thus, at the induction time  $t_i$ , one obtains

$$f_N = J t_i \tag{6}$$

where  $f_N$  depends on the measurement device and on the substance. Note that Eq.(6) is consistent with  $t_i \propto J^{-1}$ reported in the literature.<sup>2,3</sup> Substituting Eq.(1) into Eq.(6) yields

$$\ln(\frac{1}{t_i}) = \ln(\frac{A_J}{f_N}) - \frac{16\pi v_m^2 \gamma^3}{3k_B^3 T^3 (\ln S)^2}$$
(7)

Experimental induction time results can be evaluated by plotting  $\ln(\frac{1}{t_i})$  versus  $\frac{1}{(\ln S)^2}$  for determination of  $\gamma$  from the slope and  $\frac{A_J}{f_N}$  from the intercept, respectively. If  $f_N$  is known,  $A_J$  can be determined.

As the MSZW and the induction time are both the reflection of nucleation, the MSZW limit is also defined the same as in the induction time as the time needed for the number density of accumulated crystals to reach  $f_N$ .<sup>7-10</sup> Thus, at the MSZW limit  $t_m$ , one obtains<sup>8,9</sup>

$$f_N = \int_0^{t_m} J \, dt \tag{8}$$

As the solution is cooled at a constant rate  $z_{\rm c}=-dT/dt$  ,

 $dt = -dT/z_c$ . Substituting Eq.(1) into Eq.(8) leads to

$$1 = \frac{-1}{z_c} \int_{T_0}^{T_m} \frac{A_J}{f_N} \exp\left[-\frac{16\pi v_m^2 \gamma^3}{3k_B^3 T^3 (\ln S)^2}\right] dT$$
(9)

where  $T_m$  is the maximum supercooling temperature at  $t_m$  and  $\ln S$  is given in Eq.(4). Thus, MSZW is defined as  $\Delta T_m = T_0 - T_m$ . As  $\frac{A_J}{f_N}$  and  $\gamma$  are obtained from the induction time results, Eq.(9) provides a simple method to predict MSZW from the induction time results based on the classical nucleation theory.

Table 1 lists results of the experimental and predicted MSZW for butyl paraben in ethanol. Eq.(9) is integrated to determine  $T_m$  at  $T_0 = 313.14K$  for each  $z_c$  by Fortran using an

IMSL routine, DQDAGS (double precision). The obtained  $\Delta T_{m}$ in this work agrees very well with that obtained from Eq. (5) by Yang. In calculation of Eq.(9),  $\frac{A_J}{f_{..}} = 1.376 * 10^{-2} s^{-1}$  and  $\gamma = 1.15 \ mJ/m^2$  are taken from the induction time results based on Eq.(7), as compared to  $A_1V = 1.376 * 10^{-2} s^{-1}$  and  $\gamma = 1.15 \ mJ/m^2$  adopted in calculation of Eq.(5).<sup>5</sup> The unique feature of the present model is that the MSZW limit is defined the same as in the induction time as the time needed for the number density of accumulated crystals to reach a fixed (but unknown) value,  $f_{\rm N}$ . Although  $f_{\rm N}$  remains unknown, an integral equation is developed based on the classical nucleation theory to predict MSZW using the obtained values of  $\frac{A_J}{f_{\gamma}}$  and  $\gamma$  from the induction time study.

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#### Notation

 $A_{I}$  = kinetic parameter in the nucleation rate  $(m^{-3}s^{-1})$  $A_s, B_s, C_s$  = constant in solubility equation (-)  $f_{\rm N}$  = minimum detectable number density of accumulated crystals for a certain detector  $(m^{-3})$ J = nucleation rate  $(m^{-3}s^{-1})$  $k_{R}$  = Boltzmann constant (=1.38\*10<sup>-23</sup> J K<sup>-1</sup>)  $M_{\rm w}$  = molar mass (kg mol<sup>-1</sup>) m = integer number parameter in accumulation equation (-) N = Critical nucleation potential  $(kJ^3)$  $N_{4}$  = Avogadro number (= 6.02\*10<sup>23</sup> mol<sup>-1</sup>) n = integer number variable (-) S = supersaturation ratio (-) T = temperature (K)  $T_0$  = saturated temperature (K) t = time (s) $t_i$  = induction time (s)  $t_m$  = nucleation time in MSZW experiment (s) V = solution volume  $(m^3)$ 

x = actual solute molar fraction solubility (-)  $x^*$  = equilibrium solute molar fraction solubility (-)  $z_c$  = linear cooling rate (K s<sup>-1</sup>)  $\Delta N$  = nucleation potential accumulated during  $\Delta t$  (kJ<sup>3</sup>)  $\Delta t$  = time step, short time period (s)

# Greek letters

 $\gamma$  = interfacial energy (J  $m^{-2}$ )

 $\rho_{c}$  = crystal density (kg m<sup>-3</sup>)

$$v_m$$
 = volume of the solute molecule,  $v_m = \frac{M_w}{\rho_c N_A} (m^3)$ 

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(the solubility equation:  $\ln x = -1430.23T^{-1} + 1.3469 + 0.00826T$ ,

T in K;  $v_m = 2.57 * 10^{-28} m^3$ )<sup>6</sup>

$z_{c}(K/hr)$	$z_{c}(K/s)$	experimental	$\Delta T_m(K)$	$\Delta T_m(K)$
		$\Delta T_m(K)$	by Eq.(5) <sup>1</sup>	by Eq.(9)
4.0	0.00111	3.44	4.39	4.39
6.0	0.00167	3.52	4.68	4.69
12.0	0.00333	3.97	5.30	5.31
15.0	0.00417	4.07	5.55	5.56
20.0	0.00556	4.40	5.90	5.91