

ChemComm

Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this *Accepted Manuscript* with the edited and formatted *Advance Article* as soon as it is available.

You can find more information about *Accepted Manuscripts* in the [Information for Authors](#).

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard [Terms & Conditions](#) and the [Ethical guidelines](#) still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.

Base catalysed decomposition of anthracene endoperoxide

M. Klaper, P. Wessig and T. Linker*

Received 00th January 20xx,
Accepted 00th January 20xx

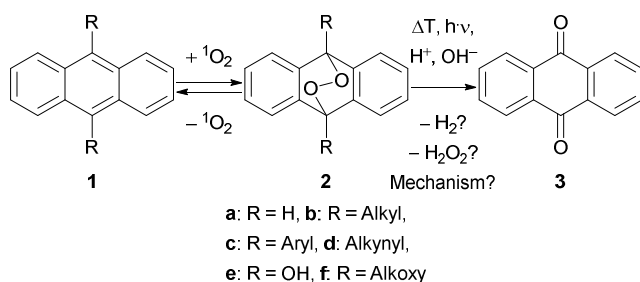
DOI: 10.1039/x0xx00000x

www.rsc.org/

Catalytic amounts of a weak base are sufficient to induce the decomposition of anthracene endoperoxides to anthraquinone. The mechanism has been elucidated by isolation of intermediates in combination with DFT calculations. The whole process is suitable for the convenient generation of hydrogen peroxide under very mild conditions.

Endoperoxides **2** (EPOs)¹ play an important role in organic chemistry,² mechanistic studies,³ material sciences,⁴ and drug synthesis⁵ as well as in medicine⁶ and under physiological conditions.⁷ While nature has developed particular enzymes like prostaglandin-endoperoxide synthase,⁸ they can easily be synthesised *via* photooxygenation of the corresponding diene-system **1** with singlet oxygen (¹O₂) in the laboratory.⁹ EPOs are of current interest since they are under discussion for the use in photodynamic therapy (PDT) of cancer.¹⁰ Although their synthesis is well understood, the decomposition mechanism is still a matter of debate and various pathways have been proposed (Scheme 1).

Thus, for R = Aryl (**2c**) the addition of ¹O₂ is completely reversible,¹¹ which we applied in material sciences⁴ and for molecular rotors,¹² before. If R = Alkynyl (**2d**), this cleavage



Scheme 1 Photooxygenation of acenes **1** and the formation of anthraquinone (**3**).

Department of Chemistry, University of Potsdam, Karl-Liebknecht-Str. 24-25, 14476 Golm, Germany.

E-Mail: linker@uni-potsdam.de

Electronic Supplementary Information (ESI) available: experimental procedures, compound characterization data, NMR spectra, absorption spectra, fluorescence spectra and theoretical calculations. See DOI: 10.1039/x0xx00000x

pathway is even faster.¹³ On the other hand, for R = OH (**2e**) the formation of anthraquinone (AQ, **3**) and hydrogen peroxide (H₂O₂) is obvious due to the hemiacetal structure. Intermediates like EPO **2e** are important in the industrial production of H₂O₂ by the anthraquinone process as well.¹⁴ Furthermore, alkoxy substituted EPOs **2f** are known to be unstable and hence applied to release caged (bio)molecules and as ¹O₂-sensitive linkers.¹⁵

However, the mechanism of the cleavage of alkyl- and unsubstituted EPOs **2b** and **2a** is much more complicated. Hence, many rearrangement products have been found under thermal conditions.¹⁶ Even more difficult is the explanation of AQ (**3**) formation at room temperature, which we often observe during workup and column chromatography. To clarify this interesting mechanism, Bendikov as well as González and Corral independently performed theoretical calculations from ground or excited states, respectively;¹⁷ a homolytic cleavage of the O-O bond was proposed with subsequent elimination of hydrogen gas (H₂). However, this O-O-cleavage should be only possible at higher temperatures or under irradiation, due to a barrier of approx. 23 kcal·mol⁻¹.^{17a} Furthermore, we never observed the formation of any gas under our conditions.

In contrast, a very conclusive paper, which addresses the photooxygenation of anthracene as well as the consecutive formation of AQ (**3**), both steps are explained by a photo-induced electron transfer¹⁸ together with the formation of hydrogen peroxide (H₂O₂) as a side product. But since the formation of AQ (**3**) can also be observed in the dark and without any sensitizer, we presume that the degradation was caused by a change in the pH-value due to irradiation. However, the observation of hydrogen peroxide formation is very important and in accordance with the commercial anthraquinone process.¹⁴ Furthermore, Heyne *et al.* investigated the degradation of **2a** under photochemical conditions, leading to a) **1a** and ¹O₂ or b) rearrangement products after homolytic O-O cleavage.¹⁹

Our group is working on differently substituted anthracene EPOs for many years now^{3,4,20} and we observed their degradation frequently along with the formation of AQ (**3**).

COMMUNICATION

Since the theoretically proposed formation of hydrogen gas,¹⁷ the photo-induced electron transfer¹⁸ or photochemical degradations¹⁹ are strongly sensitive towards the applied conditions and we could strictly exclude electron transfer or irradiation below 400 nm (maximum absorption range of **2a**),¹⁹ we started a detailed mechanistic study towards the undesirable decomposition of EPO **2a** as model compound.

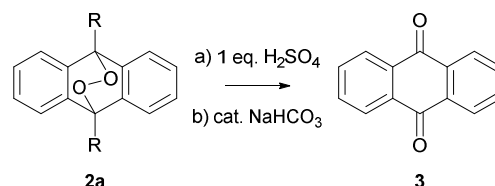
Herein, we present our results from various experiments under aerobic and anaerobic conditions in combination with DFT calculations. We provide a conclusive mechanistic picture, which explains the formation of AQ (**3**) from EPO **2a** under release of hydrogen peroxide. Thus, our results should not only be of general mechanistic interest, but could offer opportunities for new sources of reactive oxygen species (ROS) under very mild conditions; even physiological conditions.

The EPO **2a** (R = H)²¹ is accessible from the corresponding anthracene **1a** in quantitative yield at $-78\text{ }^{\circ}\text{C}$ and is stable for weeks under ambient light, atmosphere and temperature after careful isolation. Thus, an unintentional decomposition can be ruled out. At the beginning, we initiated the degradation by acids; here stoichiometric amounts of sulfuric acid were necessary to obtain full conversion to AQ (**3**) (Scheme 2) in Schlenk equipment (ESI).

On the other hand, bases like potassium hydroxide, sodium hydroxide, 1,8-diazabicycloundec-7-ene (DBU), triethyl amine (NEt₃), potassium carbonate, sodium carbonate and even catalytic amounts of sodium bicarbonate afforded AQ (**3**) quantitatively. The reaction speed increases dramatically after a short induction period ($\sim 30\text{ s}$) and the whole transformation to AQ (**3**) is completed within 5 minutes. Obviously, Schlenk equipment was not sufficient to completely exclude oxygen in this process. Therefore, we transferred all reagents and equipment into a glove box, so that no oxygen could interfere. Here, we investigated the reactions with bases only since they gave clean reactions under catalytic conditions and are hence more prone to be responsible for the often observed formation of AQ (**3**) during workup. Additionally, we more focus on the inorganic bases since amine bases are known to act as weak reducing agents.²²

In fact, when the reactions were repeated in the glove box in deuterated and carefully deoxygenated solvents, we observed a slow reaction of **2a** with catalytic amounts of the above mentioned water free bases in dichloromethane-*d*₂ (DCM-*d*₂) or chloroform-*d* (CDCl₃) within minutes, whereas the reaction in dimethyl sulfoxide (DMSO-*d*₆) was very fast with a strong change in colour to deep red within seconds. On the other hand, we dissolved EPO **2a** in pure DMSO-*d*₆ for a blank test and observed no reaction taking place even after days; the EPO **2a** did not decompose also after the addition of water.

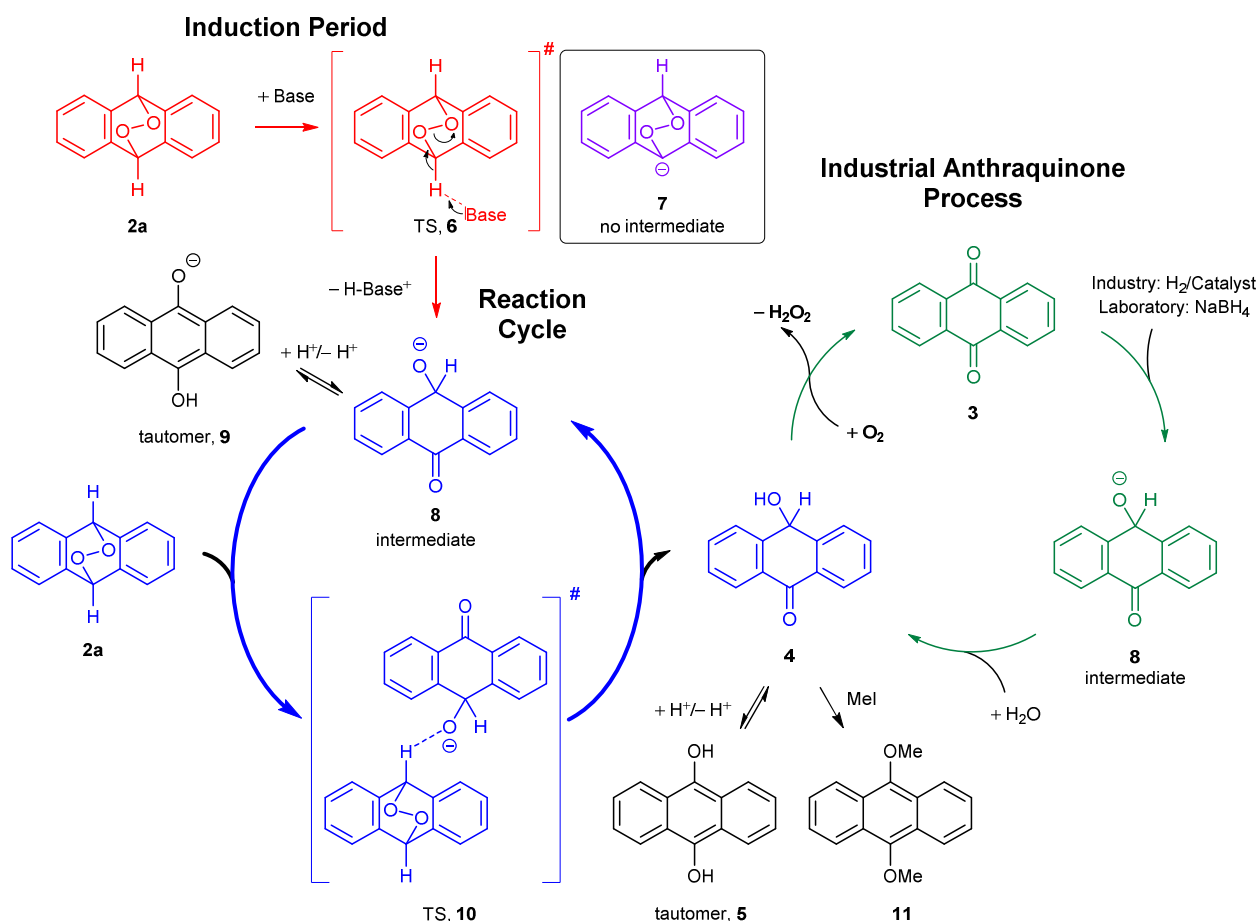
In the NMR spectrum, both tautomers **4** and **5** of the first reaction cycle were detected in different compositions, depending on the solvent (Scheme 3). Both forms have been proposed in literature before to be cleavage products of **2a**, but satisfying analytical data were never provided starting from the EPO **2a**.^{18,23} Thus, we analysed this labile intermediate properly for the first time. We conclude this is due to the extreme oxidation-sensitivity of the tautomer **5**.



Scheme 2 Acidic and basic decomposition of EPO **2a**.

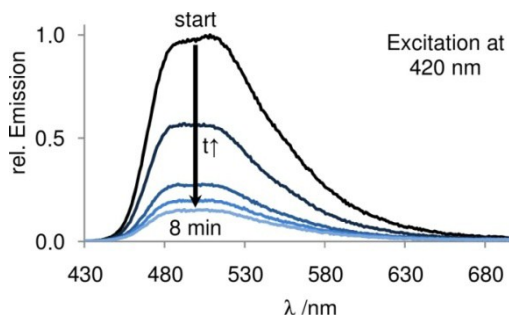
We could prove this assumption by simply opening the NMR tubes; the red colour vanished very fast and AQ (**3**) was observed afterwards. Additionally, we could rule out 9,10-dihydro-9,10-dihydroxy anthracene as a decomposition product, proposed in an earlier photo cleavage study,²⁴ by comparison with original material synthesised from **2a** and thiourea (ESI).²⁵

Interestingly, *p*-hydroxy anthrone (**4**) was also postulated as an intermediate in the anthraquinone process, but has never been isolated.¹⁴ Consecutively, we repeated the reduction of AQ (**3**) with sodium borohydride (Scheme 3, green). While no reaction was observed in DCM-*d*₂ or CDCl₃, we found the same intermediates as in the case of the basolysis of the EPO **2a** in DMSO-*d*₆. Furthermore, in all cases an addition of trifluoroacetic acid-*d*₁, hydrochloric acid (HCl in D₂O or HCl in H₂O)²⁶, or methyl iodide (MeI) led quantitatively to green fluorescent **5** or 9,10-dimethoxy anthracene (**11**), respectively. Since catalytic amounts of base are sufficient for full conversion of **2a**, we propose an autocatalytic mechanism taking place (Scheme 3), which starts similar to a Kornblum-DeLaMare rearrangement.²⁷ During the induction period (Scheme 3, red), a catalytic amount of base attacks at **2a** abstracting one proton to form the anion **8** (Scheme 3, blue) over the transition state **6** (TS, red). Compound **7** (purple) is not the intermediate, since it is no stationary point on the potential energy surface (PES). Thus, the reaction runs in a S_N2 manner directly through to the heterolytically opened anion **8**, which is indeed an intermediate on the PES. The driving force of this reaction is the formation of a C-O double bond and a stable benzophenone structure at the expense of a weak O-O bond (for details see ESI). Beyond this, the benzyl alcoholate exists in equilibrium with the tautomeric form **9**, which explains the deep red colour. During the intermolecular diffusion controlled reaction cycle, the intermediate **8** attacks another endoperoxide starting material **2a** to form **10** which was found to be a second transition state on the PES by quantum chemical calculations. Then the TS **10** breaks down into *p*-hydroxy anthrone (**4**) and another anion **8**. The *p*-hydroxy anthrone (**4**) is now in a solvent dependent equilibrium with 9,10-dihydroxy anthracene (**5**),^{23,h} comparable to the anthrone-anthranol-equilibrium.²⁸ The energy difference of **4** and its constitution isomer **2a** amounts to approximately -77 kcal/mol (ESI), which should be the driving force of this very fast reaction. We were able to record the absorption, excitation and fluorescence spectra of the tautomer **5** (ESI), which are consistent with literature data.²⁹ Both of these molecules are extremely oxidation-sensitive and form AQ (**3**) and hydrogen peroxide in the presence of oxygen. After opening the sealed fluorescence

Scheme 3 Mechanism for the base catalysed decomposition of endoperoxide **2a**.

cuvette, we observed a very fast disappearance of the fluorescence signal with a half-life time of 2.4 min (Fig. 1). By performing the reduction of AQ (**3**) with NaBH₄ in the glove box, we could demonstrate that **4** has to be the intermediate in the industrial anthraquinone process as well (Scheme 3, green). The arising H₂O₂ from both reaction types (basolysis and reduction) was detected *via* several chemical and biomimetic methods (ESI).³⁰ We did not rely on the detection with potassium iodide, exclusively, since this reaction is not selective and EPOs show the same result.³¹

With this mechanism we can reasonably explain the formation of AQ (**3**) during the decomposition of EPO **2a**. Even more, only a catalytic amount of the very weak base NaHCO₃ is sufficient for this reaction, which is frequently used during workup and could illuminate the often observed unintentional formation of AQ (**3**). Furthermore, we verified the assumed intermediates **4** and **5**, which are in accordance with comparable literature data.^{23,29} We were able to substantiate these results with the help of quantum chemical calculations. We found that the anion **7** lies too high on the PES to be the intermediate; instead we could show that the directly opened form **8**, which exists in equilibrium with the tautomeric form **9**, is the true intermediate for this step while **6** is the transition state for the first reaction cycle.

Fig. 1 Fluorescence spectra of 9,10-dihydroxy anthracene (**3**) before (start) and after exposure to oxygen with chronological progress.

The overall reaction proceeds diffusion controlled and autocatalysed *via* the second transition state **10**.

In conclusion, we could clarify the mechanism of the undesirable anthracene EPO decomposition under standard laboratory or work-up conditions, which proceeds even in the presence of catalytic amounts of sodium bicarbonate. Additionally, this type of EPO could be a very mild and convenient source of ROS, since it is long time storable at room and slightly elevated temperature. Furthermore, the decomposition and release of hydrogen peroxide can be triggered under extreme mild basic -possibly even physiological- conditions. In addition, this protocol can

synergistically benefit from the formation of anthraquinone (**3**) as single byproduct, which is a structural motive in potent anti-cancer agents.³² Thus, EPOs of the type **2a** should gain special interest in the field of cancer treatment by both, the release of reactive oxygen species and the formation of AQ (**3**).

We thank the University of Potsdam for generous financial support, Dr. Heidenreich and Dr. Starke for assistance in analyses and Dr. Fudickar for helpful discussions.

Notes and references

- (a) W. Fudickar and T. Linker, *PATAI'S Chemistry of Functional Groups*, John Wiley & Sons Ltd, Chichester, UK, 2014. (b) W. A. Pryor, *Organic Free Radicals*, American Chemical Society, Washington D. C., USA, 1978.
- (a) B. K. Ohta and C. S. Foote, *J. Am. Chem. Soc.*, 2002, **124**, 12064–12065. (b) W. Adam, S. G. Bosio, B. Fröhling, D. Leusser and D. Stalke, *J. Am. Chem. Soc.*, 2002, **124**, 8316–8320.
- (a) M. Klaper and T. Linker, *Chem. Eur. J.*, 2015, **21**, 8569–8577. (b) M. Klaper and T. Linker, *Angew. Chem., Int. Ed.*, 2013, **52**, 11896–11899.
- (a) W. Fudickar and T. Linker, *Langmuir*, 2010, **26**, 4421–4428. (b) W. Fudickar and T. Linker, *Langmuir*, 2009, **25**, 9797–9803.
- (a) H.-J. Chen and Y. Wu, *Org. Lett.*, 2015, **17**, 592–595. (b) D. Miranda, R. Capela, I. S. Albuquerque, P. Meireles, I. Paiva, F. Nogueira, R. Amewu, J. Gut, P. J. Rosenthal, R. Oliveira, M. M. Mota, R. Moreira, F. Marti, M. Prudêncio, P. M. O'Neill and F. Lopes, *ACS Med. Chem. Lett.*, 2014, **5**, 108–112.
- (a) S. M. N. Efange, R. Brun, S. Wittlin, J. D. Connolly, T. R. Hoye, T. McAkam, F. L. Makolo, J. A. Mbah, D. P. Nelson, K. D. Nyongbela and C. K. Wirmum, *J. Nat. Prod.*, 2009, **72**, 280–283. (b) K. K. Chin, C. C. Trevithick-Sutton, J. McCallum, S. Jockusch, N. J. Turro, J. C. Scaiano, C. S. Foote and M. A. Garcia-Garibay, *J. Am. Chem. Soc.*, 2008, **130**, 6912–6913.
- (a) C. L. Bos, D. J. Richel, T. Ritsema, M. P. Peppelenbosch and H. H. Versteeg, *Int. J. Biochem. & Cell Biol.*, 2004, **36**, 1187–1205. (b) L. J. Marnett, S. W. Rowlinson, D. C. Goodwin, A. S. Kalgutkar and C. A. Lanzo, *J. Biol. Chem.*, 1999, **174**, 22903–22906.
- R. G. Salomon, *Acc. Chem. Res.*, 1985, **18**, 294–301.
- (a) A. A. Frimer, *Singlet Oxygen Vol. I. Physical-Chemical Aspects*, CRC Press, Boca Raton, 1985. (b) G. Greer and M. Zamadar, *Handbook of synthetic photochemistry. Singlet Oxygen as a Reagent in Organic Synthesis*, Wiley-VCH, Weinheim, 2010. (c) P. R. Ogilby, *Chem. Soc. Rev.*, 2010, **39**, 3181–3209.
- (a) C. Pierlot, S. Hajjam, C. Barthélémy and J.-M. Aubry, *J. Photochem. Photobiol. B*, 1996, **36**, 23–29. (b) G. R. Martinez, J.-L. Ravanat, M. H. G. Medeiros, J. Cadet and P. Di Mascio, *J. Am. Chem. Soc.*, 2000, **122**, 10212–10213. (c) D. E. Dolmans, D. Fukumura and R. K. Jain, *Nat. Rev. Cancer*, 2003, **3**, 380–387. (d) W. Freyer, D. Leupold, *J. Photochem. Photobiol., B*, 1995, **30**, 77–78.
- J.-M. Aubry, C. Pierlot, J. Rigaudy and R. Schmidt, *Acc. Chem. Res.*, 2003, **36**, 668–675.
- (a) D. Zehm, W. Fudickar and T. Linker, *Angew. Chem., Int. Ed.*, 2007, **46**, 7689–7692. (b) T. Linker, W. Fudickar, A. Kelling and U. Schilde, *Z. Kristallogr. NCS*, 2013, **228**, 241–242.
- (a) W. Fudickar and T. Linker, *J. Am. Chem. Soc.*, 2012, **134**, 15071–15082. (b) W. Fudickar and T. Linker, *Chem. Eur. J.*, 2011, **17**, 13661–13664.
- (a) J. M. Campos-Martin, G. Blanco-Brieva and J. L. G. Fierro, *Angew. Chem., Int. Ed.*, 2006, **45**, 6962–6984. (b) A. Seidel, *Kirk-Othmer encyclopedia of chemical technology*, Wiley-Interscience, Hoboken USA, 2005. (c) T. Nishimi, T. Kamachi, K. Kato, T. Kato and K. Yoshizawa, *Eur. J. Org. Chem.*, 2011, **2011**, 4113–4120.
- (a) A. Meyer and A. Mokhir, *Angew. Chem., Int. Ed.*, 2014, **53**, 12840–12843. (b) D. Arian, L. Kovbasyuk and A. Mokhir, *J. Am. Chem. Soc.*, 2011, **133**, 3972–3980.
- (a) J. Rigaudy, A. Defoin and J. Baranne-Lafont, *Angew. Chem., Int. Ed.*, 1979, **18**, 413–415. (b) J. Rigaudy, *Bull. Chim. Fr.*, 1976, 493–500. (c) A. Defoin, *Bull. Chim. Fr.*, 1984, 145–155.
- (a) A. R. Reddy and M. Bendikov, *Chem. Commun.*, 2006, 1179–1181. (b) L. Martínez-Fernández, J. González-Vázquez, L. González and I. Corral, *J. Chem. Theory Comput.*, 2015, **11**, 406–414. (c) D. Mollenhauer, I. Corral and L. González, *J. Phys. Chem. Lett.*, 2010, **1**, 1036–1040.
- H. Kotani, K. Ohkubo and S. Fukuzumi, *J. Am. Chem. Soc.*, 2004, **126**, 15999–16006.
- (a) A. Lauer, A. L. Dobryakov, S. A. Kovalenko, H. Fidder and K. Heyne, *Phys. Chem. Phys.*, 2011, **13**, 8723–8732. (b) I. Corral, L. González, A. Lauer, W. Freyer, H. Fidder, K. Heyne, *Chem. Phys. Lett.*, 2008, **452**, 67–71.
- (a) W. Fudickar and T. Linker, *Aust. J. Chem.*, 2013, **67**, 321–327. (b) W. Fudickar and T. Linker, *Chem. Commun.*, 2008, 1771–1773.
- C. J. Brown and M. Ehrenberg, *Acta Cryst.*, 1984, **40**, 1059–1060.
- (a) I. P. Beletskaya and A. V. Cheprakov, *Chem. Rev.*, 2000, **100**, 3009–3066. (b) J. Sha, J. Peng, H. Liu, J. Chen, B. Dong, A. Tian and Z. Su, *Eur. J. Inorg. Chem.*, 2007, 1268–1274.
- (a) S. G. Semenov and M. V. Makarova, *Russ. J. Gen. Chem.*, 2014, **84**, 843–847. (b) T. Kamachi, T. Ogata, E. Mori, K. Iura, N. Okuda, M. Nagata and K. Yoshizawa, *J. Phys. Chem. C*, 2015, **119**, 8748–8754. (c) H. Fidder, A. Lauer, W. Freyer, B. Koeppel and K. Heyne, *J. Phys. Chem. A*, 2009, **113**, 6289–6296. (d) A. E. Gekhman, A. P. Makarov, V. M. Nekipelov, E. P. Talzi, O. Polotnyuk, K. I. Zamaraev and I. I. Moiseev, *Bull. Acad. Sci. USSR, Div. Chem. Sci. (Engl. Transl.)*, 1985, **34**, 1545. (e) C. E. Diaz-Urbe, M. C. Daza, E. A. Páez-Mozo, O. F. Martínez, C. L. Guedes and E. Di Mauro, *J. Photochem. Photobiol. A*, 2013, **259**, 47–52. (f) Y. Matsubara, A. Kimura, Y. Yamaguchi and Z.-I. Yoshida, *Org. Lett.*, 2008, **10**, 5541–5544.
- M. E. Sigman, S. Zingg, R. M. Pagni and J. H. Burns, *Tetrahedron Lett.*, 1991, **32**, 5737–5740.
- A. C. Spivey, C. G. Mañas and I. Mann, *Chem. Commun.*, 2005, 4426–4428.
- K. H. Meyer, *Justus Liebigs Ann. Chem.*, 1911, **379**, 37–78.
- (a) N. Kornblum and H. E. DeLaMare, *J. Am. Chem. Soc.*, 1951, **73**, 880–881. (b) Z. Wang, *Comprehensive Organic Name Reactions and Reagents*, John Wiley & Sons Inc, Hoboken NJ, USA, 2010.
- Y. Ogata, Y. Kosugi and K. Nate, *Tetrahedron*, 1971, **27**, 2705–2711.
- (a) T. C. Werner, J. Chang and D. M. Hercules, *J. Am. Chem. Soc.*, **1970**, **92**, 763–768. (b) A. C. Lane, *Anal. Chem.*, 1973, **45**, 1911–1914.
- (a) Y. Jiang, W. Wang, X. Li, X. Wang, J. Zhou and X. Mu, *Appl. Mater. Interfaces*, 2013, **5**, 1913–1916. (b) G. Su, Y. Wu and M. Guo, *AJAC*, 2011, **2**, 879–884. (c) M. Kumar, N. Kumar, V. Bhalla, P. R. Sharma and Y. Qurishi, *Chem. Commun.*, 2012, 4719–4721.
- A. Cimato, G. Facorro, F. Aquirre, A. Hager, T. De Paoli, J. Ihl, H. A. Farach and C. P. Poole Jr., *Spectrochim. Acta, Part A*, 1998, **54**, 2001–2008.
- Q. Huang, G. Lu, H.-M. Shen, M. C. Chung and C. N. Ong, *Med. Res. Rev.*, 2007, **27**, 609–630.