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COMMUNICATION

Unravelling the structure of the C_{60} and p -Bu^t-calix[8]arene complex

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The structure of the C_{60} and p -Bu^t-calix[8]arene complex has been reinvestigated, showing an unprecedented continuous layered tetragonal array of fullerenes encapsulated by calixarenes. Electron diffraction data revealed the tetragonal symmetry, with a stepped structure observed by AFM and SEM, and the thickness of the basal plane was measured by XRD, as 2 nm. The molecular simulated arrangement of fullerenes accounts for the ability to take up to ca 11% of fullerenes C_{70} in place of the smaller fullerene.

Supramolecular complexation of pristine fullerene C_{60} involving inherently weak intermolecular forces offers scope for developing functional fullerene-based materials with controlled structures and tailored properties.^{1,2} Macrocycles with electron-rich aromatic rings such as calix[n]arenes,³⁻⁸ cyclotrimeratrylenes^{9,10} and related molecules¹¹⁻¹³ can bind fullerenes, and have featured in gaining access to novel architectures. One of the earliest complexes of calixarenes with fullerenes is based on the readily available p -Bu^t-calix[8]arene (Figure 1a) which binds fullerene C_{60} in toluene, forming a discrete 1:1 complex with some selectivity over other fullerenes.^{14,15} Indeed this complexation is effective in separating and purifying fullerene C_{60} from carbon soot.

Within the C_{60}/p -Bu^t-calix[8]arene complex the phenolic hydroxyl groups of the calixarene are expected to retain at least part of the cyclic hydrogen bonded array on binding fullerene C_{60} , with some reorganisation of the aromatic groups in providing hydrophobic pockets with complementarity of curvature with that of the surface of C_{60} , in optimizing π - π interactions.^{5,16} The complex is only sparingly soluble in toluene, but spontaneously decomposes in the presence of chlorinated solvents. While it is crystalline, the micaceous thin sheets have defied collecting suitable X-ray diffraction data for a single crystal structure determination. Based on the data available for over two decades, which included solid state NMR, UV-visible and FTIR spectroscopic data, analytical data (1:1 ratio of the two components) and molecular simulations, we proposed a triangular array of three fullerenes, with each of the three calixarenes in the double cone conformation spanning two

fullerenes, positioned along the edges of the triangle.¹⁶ With advances in transmission electron microscopy (TEM) and associated electron diffraction, scanning electron microscopy (SEM), Raman spectroscopy and atomic force microscopy (AFM), advanced molecular simulation coupled with the availability of greater computer power, we have reinvestigated this complex in an attempt to gain further insight into the nature of interplay of the components, with the results from the integrated approach consistent with an unprecedented layered tetragonal array of fullerenes.

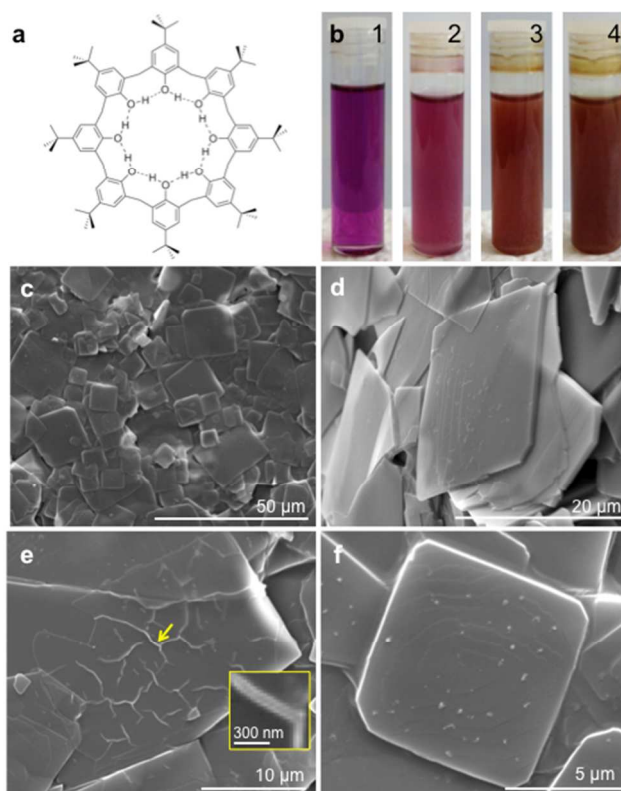


Figure 1. (a) Chemdraw structure of *p*-Bu^t-calix[8]arene. (b) The colour change in a toluene solution of C₆₀ induced by the addition of *p*-Bu^t-calix[8]arene. (1: before the addition of *p*-Bu^t-calix[8]arene; 2: mixing for 5 min; 3: mixing for 30 min; 4: mixing for 1 day) (c-f). SEM images of the C₆₀/*p*-Bu^t-calix[8]arene complex, showing square crystals ~5-30 μm in size.

The complex was prepared as a brown precipitate, following an adaption of the literature procedure,¹⁴ whereby *p*-Bu^t-calix[8]arene (176 mg) was added to a solution of C₆₀ (80 mg) dissolved in toluene (80 mL), with the colour of the solution changing gradually from magenta, to rose red (5 min), then brown (30 min), and ultimately dark brown (1 day), Figure 1b. SEM images, Figure 1c, established the precipitate present as square plates ~5-30 μm in cross section. An angular observation reveals the difference in thickness of the plates (Figure 1d), generally <1 μm, and a few extremely thin layers can be seen, Figure 1e, with the presence of wrinkles indicating an approximate thickness of the film, ~70 nm (inset). Figure 1f reveals a typical spiral pattern on the surface of a plate, which is directed by screw dislocations that create steps from solute molecules for the nucleation and growth of layered crystals. The attachment of C₆₀ and *p*-Bu^t-calix[8]arene molecules at the steps generates layers of terraces extending to the edge, forming layered square crystals.

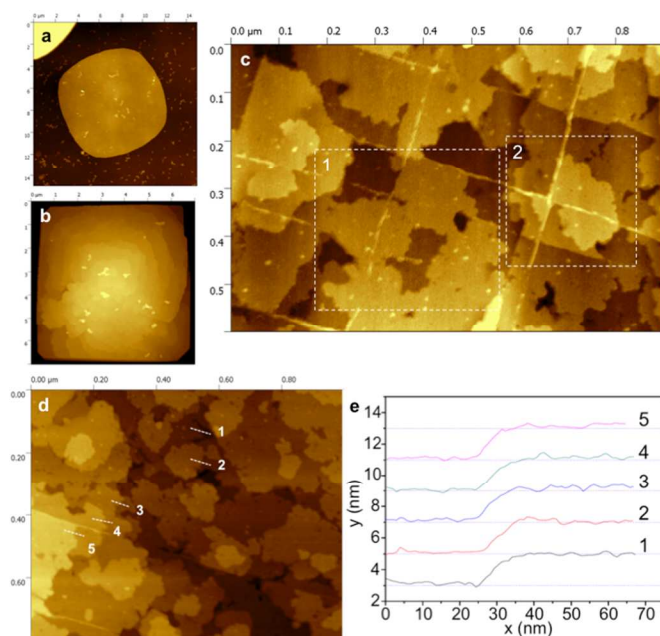


Figure 2. AFM images of (a) a plate formed after 5 min (15 μm × 15 μm), and (b) a square plate formed after 1 day (7 μm × 7 μm). (c) Magnified image on the surface of the plate in (a), revealing the presence of islands for a layer growth (0.6 μm × 0.9 μm). (d) Magnified image on the surface of the plate in (a) (1 μm × 1 μm). (e) Height profiles following the lines indicated in (d), establishing a thickness of ~2 nm for each layer.

In exploring the detailed growth mechanism of the complex, and in gaining further insight into its structure, AFM images were recorded on the precipitates that formed after five minutes and one day, Figure 2a and 2b, respectively. The crystals formed after 5 minutes are square with round edges whereas after one day they are well defined, as thicker square plates. This reflects the different stages of nucleation and growth, with a layered structure initially formed. A similar layered growth feature is observed using SEM, Figure 1f, as also evident using AFM, Figure 2b. As the early stage of nucleation and growth is critical for investigating the molecular events,

topographical studies on the surface of the crystal in Figure 2a were undertaken, Figure 2c and 2d, with the assumption that the nanoscale features at the surface may be ‘frozen’ upon a transfer to the substrate. The surface is relatively rough with many islands that are generated by the formation of 2D nuclei *via* a multinucleation multilayer growth, which occurs at high driving force conditions (high supersaturation). This is expected at the early stage of crystal growth where nucleation is more energetically favourable than the growth of steps to cover the whole surface. The spread and coalescence of these islands form layers, as in the indicated area (1) in Figure 2c. A grid of fibres implies preferential direction that is intrinsically controlled by the tetragonal molecular structure of this complex (see below for details). The centre of the grid is expected to be the 2D nucleus, which grows rapidly towards four vertical crossing directions, and the solute molecules further attach to the grid at the corners or along the grid lines where a lower nucleation energy is required, as indicated in area (2), Figure 2c. The height profiles for five ascending steps or islands on the surface in Figure 2d are shown in Figure 2e, establishing a consistent height of ~2 nm for each layer.

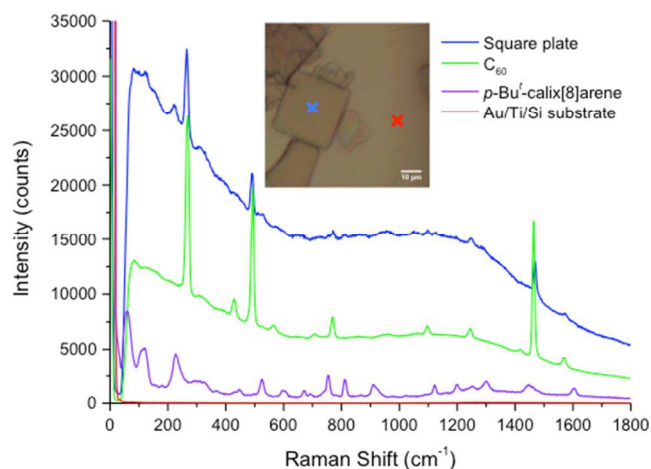


Figure 3. Raman spectra for the square plate (blue line), Au/Ti/Si substrate (red line), and pristine C₆₀ (green line) and *p*-Bu^t-calix[8]arene (magenta line), with the inset optical image showing the positions of the signals for the square plate and substrate.

Raman spectra of the complex were acquired using a laser excitation wavelength of 785 nm and 50x objective. The precipitates were deposited onto a gold substrate, and an optical image of the square crystals is shown in Figure 3. Raman spectra were selectively obtained on the crystal (blue cross), as well as on the gold substrate as the background (red cross). The corresponding Raman line for the complex shows typical prominent peaks for pristine C₆₀, with the active fivefold degenerate *H_g* and non-degenerate *A_g* modes observed.¹⁷ Compared with the spectrum for pristine C₆₀, there is strong fluorescence background arising from the presence of C₆₀ in the complex. *p*-Bu^t-calix[8]arene also has a well-defined Raman spectrum, which accounts for the appearance of a few low-frequency modes at 75.5 cm⁻¹, 134.4 cm⁻¹, and 166.2 cm⁻¹, as well as the peaks appearing at 236.7 cm⁻¹ and 536.9 cm⁻¹ in the spectrum for the complex.

X-ray powder diffraction (XRD) data for the complex was recorded, Figure 4, along with that for pristine C₆₀ and *p*-Bu^t-calix[8]arene powders. A direct comparison reveals that the structure of the complex is entirely different from both of the C₆₀ and *p*-Bu^t-calix[8]arene. The diffraction for pristine C₆₀ shows a typical fcc

crystal structure with eight feature reflections, as indexed. The XRD data indicates the basal plane d-spacing, ~ 1.95 nm, which is consistent with the thickness of the stepped structure in the AFM studies. Transmission electron microscopy (TEM) also showed the presence of square prismatic crystals, Figure 5, although they were sensitive to electron beam with cracks appearing during the characterisation and the disappearance of electron diffraction pattern after a few seconds exposure. A careful and rapid operation managed to preserve a selected area electron diffraction pattern, Figure 5b, which revealed a unique pattern consistent with a tetragonal structure. This pattern suggests an x-y dimension of ~ 2.8 nm which is an important consideration in developing a model using molecular simulations (see below).

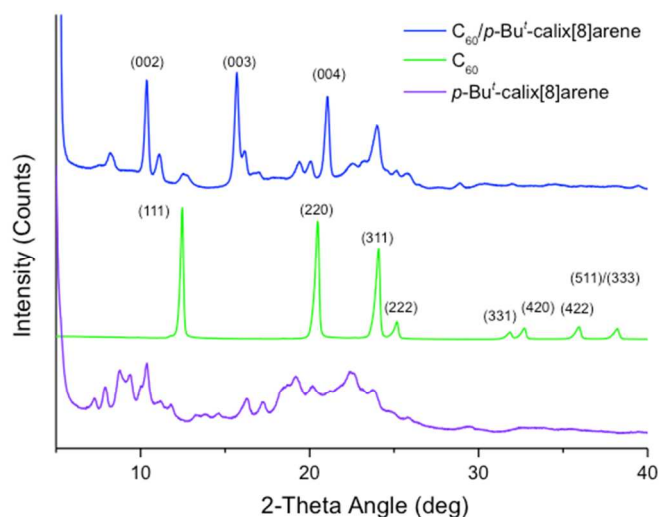


Figure 4. The X-ray powder diffraction of the $C_{60}/p\text{-Bu}'\text{-calix[8]arene}$ complex.

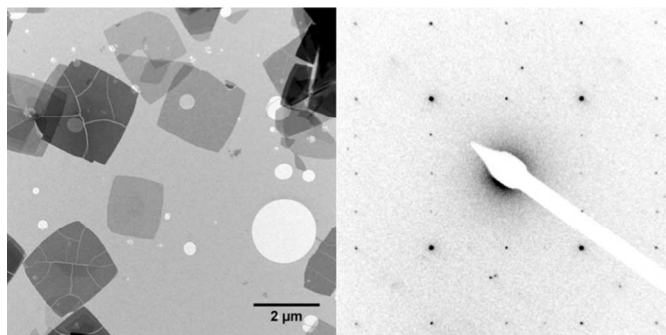


Figure 5. TEM image of the as-prepared $C_{60}/p\text{-Bu}'\text{-calix[8]arene}$ crystals, and a selected area electron diffraction pattern from a crystal, showing a unique pattern for tetragonal symmetry.

Molecular simulations were carried out to develop a model for the structure of the complex between fullerene C_{60} and $p\text{-Bu}'\text{-calix[8]arene}$ which incorporates tetragonal symmetry, the spacing in the basal plane, and earlier data, with the results shown in Figure 6. The minimised model has a pair of C_{60} molecules at the van der Waals limit (~ 1 nm), shrouded by two $p\text{-Bu}'\text{-calix[8]arene}$ in a double cone conformation, above and below the basal plane, Figure 6a and 6b. These calixarenes effectively block any association with other fullerenes at right angles to the vector between the pair of fullerenes, but with the terminal surface area of these fullerenes available for interaction with other fullerenes. Contact of each fullerene in this pair of fullerenes with two other pairs of fullerenes

builds up a tight tetragonal packing of pairs of fullerenes, as a unit cell indicated in the dashed square in Figure 6c. This arrangement of fullerenes is without compromising on maximising the contact surface area of each calixarene with a pair of fullerenes. The model is consistent with the established 1:1 ratio of the two components, and that two $p\text{-tert-butylphenol}$ groups for each calixarene are distinct from the other six in lying astride two C_{60} molecules. The thickness of each layer, comprised of a layer of C_{60} molecules capped either side by a layer of calixarenes, is ~ 2 nm (Figure 6d), which is in accord with the above results from the AFM and XRD. The model is also consistent with the electron diffraction pattern, with the x-y dimension of the unit cell in this model ~ 2.8 nm. Thus while the calixarenes make a number of fullerene contacts, as is common in such host-guest complexes with fullerenes, the expected hexagonal close packed array of fullerenes in a single sheet is disrupted. Indeed the arrangement of the fullerenes in the basal plane effectively creates 'holes' which are occupied by geometrically opposed substituted phenyl rings within each calixarene, with each hole occupied by four such rings from four different calixarenes, in a tetragonal array. This more open arrangement of fullerenes relative to hexagonal close packing in 2D sheets is also consistent with the fullerenes being less confined rotationally, which is in turn consistent with the solid state NMR results, where free rotation of the fullerene in the complex occurs at room temperature. Such cavities may offer spatial flexibility in the structure for the replacement of some of the C_{60} molecules by C_{70} (up to 11% established experimentally).¹⁴ If the principle axis of C_{70} is in the plane of the fullerenes, then there would be no perturbation of the thickness of the composite layers.

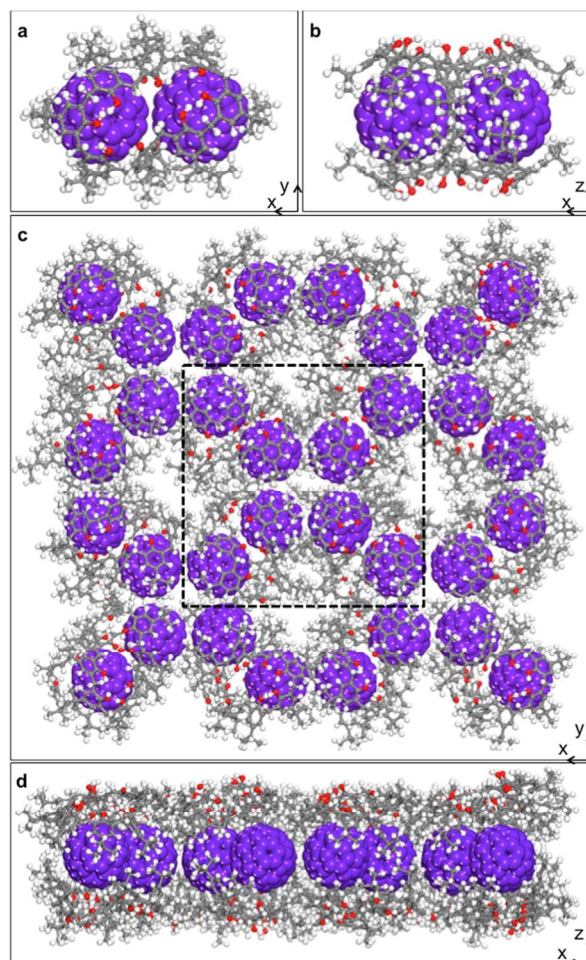


Figure 6. Molecular simulations of the structure of the $C_{60}/p\text{-Bu}^t\text{-calix[8]arene}$ complex (see text for details).

We have established a unique tetragonal structure of the complex between C_{60} and $p\text{-Bu}^t\text{-calix[8]arene}$ using a repertoire of contemporary characterization techniques (SEM, TEM, AFM, XRD and Raman spectroscopy), coupled with advanced molecular simulations. Importantly the electron diffraction data revealing tetragonal symmetry, which along with the stepped structure (AFM) and the thickness of the basal plane (XRD), provides crucial data in unravelling the nature of the structure. In addition, this less restricted arrangement of fullerenes accounts for the ability to take up to ca 11% of fullerenes C_{70} in place of fullerene C_{60} while still retaining the same structure.¹⁴ The earlier proposed structure based on a triangular array of fullerenes was modelled for including a C_{70} molecule with two C_{60} molecules, but this significantly distorts the structure.

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Notes and references

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Methods Summary: Pristine fullerene C_{60} (99685-96-8, 99+%, BuckyUSA) and toluene (1.08327.4000, 99.9%, Merck Millipore) were used as purchased. $p\text{-Bu}^t\text{-calix[8]arene}$ was synthesised following the established protocol in the previous report.¹⁴ SEM imaging was performed on the FEI Quanta 450 High Resolution Field Emission SEM, with a voltage of 10 kV, and working distance of 10 mm. For TEM, toluene solutions with precipitates were dropped cast onto 200 mesh holey carbon copper grids (#2450-AB, SPI Supplies), and dried in air. FEI Tecnai G2 Spirit TEM equipped with a FEG LaB6 emitter operating at a voltage of 120 kV was used for acquiring the TEM and SAED data. The imaging was done via an in-column Olympus-SIS Veleta CCD camera. Image J software was used for processing all the TEM images. AFM images were acquired in air using a Bruker Dimension FastScan AFM with Nanoscope V controller, operating in PeakForce Tapping mode. The solutions were drop-cast onto freshly cleaved mica substrates and dried in air prior to AFM analysis. Bruker ScanAsyst Air probes with a nominal tip radius of 2 nm and nominal spring constant of 0.4 N/m were used. Imaging parameters including set-point, scan rate (1-2 Hz) and feedback gains were adjusted to optimize image quality and minimize imaging force. The AFM scanner was calibrated in the x, y and z directions using silicon calibration grids (Bruker model numbers PG: 1 μm pitch, 110 nm depth and VGRP: 10 μm pitch, 180 nm depth). Images

were analysed using the Gwyddion free SPM software (version 2.38). Raman spectra were recorded using a Horiba Xplora Raman system with 785 nm excitation wavelength. The substrate was prepared by sputter coating 20 nm Ti and then 100 nm Au layers onto a silicon substrate to completely block the silicon background signal. XRD spectra were acquired using a Bruker D4 Endeavour 66 sample powder X-ray diffractometer (Co source). The Discover Module in Materials studio V5.5.3 was used for the energy minimisations of the complex. A tetragonal geometry of calixarene and C_{60} was generated using the visualiser module of Materials Studio. The Steepest descent algorithm was used for minimisation with a convergence tolerance of 0.001 kcal/mol for energy and 0.5 kcal/mol/Å for force with 500,000 iterations. The cell parameters were unfixed allowing optimisation to dictate the size of the system. The Drieding forcefield was used to incorporate hydrogen bonding on the lower rim of the calixarenes.

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