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functionalized multi-walled carbon nanotubes in cells and solid tumors

imaging

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The intrinsic nonlinear photoluminescence (PL) property of chemically functionalized multi-walled nanotubes MWNTs (f-MWNTs) is reported in this study. f-MWNTs are imaged in fixed lung epithelial cancer cells (A549) and Kupffer cells *in vitro*, and in subcutaneously implanted solid tumors *in vivo*, for the first time, using multiphoton PL and fluorescence lifetime imaging (FLIM). Multiphoton imaging in the nearinfrared excitation region (~ 750-950 nm), employed in this study in a label-free manner, provides sensitivity and resolution optimal to track f-MWNTs within intra-cellular compartments and facilitates tumour imaging and sentinel lymph node tracking *in vivo*. Wider applications include employing this technique in live imaging of f-MWNTs in biological milieu to facilitate image-guided drug delivery.

Multiphoton

In biological samples, multiphoton fluorescence microscopy offers noticeable advantages over single-photon fluorescence imaging. The main benefits of this relatively recent technology include reduced phototoxicity outside the focal plane and the use of near-infrared (NIR) excitation wavelengths (700 nm to 1100 nm) to facilitate deep tissue imaging. For this reason, nonlinear photoluminescence (PL) of single-walled nanotubes (SWNTs) has been widely reported.<sup>[1]</sup> However, while chemically functionalized multi-walled nanotubes MWNTs (f-MWNTs) have been extensively studied as delivery tools both *in vitro*<sup>[2-4]</sup> and *in vivo*<sup>[5]</sup> due to their improved dispersibility in aqueous media, only few studies have investigated the nonlinear optical properties of multi-walled nanotubes (MWNTs)<sup>[6]</sup>. In this study, we are reporting the intrinsic nonlinear PL properties of *f*-MWNTs with the aim

of imaging them in biological environments. We found that *f*-MWNTs could be excited safely with a femtosecond laser in the 750 - 950 nm wavelength range with power densities below  $10^6$  W/cm<sup>2</sup>. The *f*-MWNTs were found to be highly photostable with a short excited state lifetime (< 100 ps). To demonstrate the imaging potential of these versatile nanocarriers, multiphoton PL lifetime imaging was carried out in lung epithelial cancer cells A549 and Kupffer cells *in vitro*, and in colon cancer solid tumors.

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*f*-MWNTs were synthesized using 1.3-dipolar cycloaddition<sup>[7]</sup> or by amidation reaction.<sup>[8]</sup> After the functionalization processes, stable aqueous dispersions of f-MWNTs were obtained (solubility: 1 mg/mL) without the need of surfactants. It is worth mentioning that MWNTs offer higher water-dispersibility compared to SWNTs as the latter require harsher conditions for functionalisation which may disrupt their electronic properties. Chemical structures of the final f-MWNT conjugates are shown in Figure 1A and Figure **S1A**. *f*-MWNT were characterized by thermogravimetric analysis, ninhydrin assay and transmission electron microscopy (Figures S1B, C and D). A standard home-built 2photon microscope (Figure 1B) was used for the PL measurements and imaging. f-MWNT in dimethylformamide (1 mg/mL) were drop-casted on a glass coverslip and left to dry under ambient conditions prior to laser excitation. A 140 fs ultrafast tunable Titanium/Sapphire laser was used to excite the f-MWNTs and their PL spectra were measured between 400 nm and 650 nm (Figure 1C, left). As the excitation power was increased stepwise from ~3.7 mW to ~6.7 mW, a progressive change in the PL lineshape was observed. By normalizing all spectra to the intensity at 568 nm, a reduction of the blue band and an increase of the red band are observed as the excitation power increases. A loglog plot of the total emission intensity against excitation power yields a straight line with slopes of 2.7 +/- 0.1 and 4.8 +/- 0.2 for excitation wavelengths of 750 and 950 nm respectively (Figure 1C, right), indicating the number of photons involved in the non-linear absorption process. A major advantage in using CNTs for imaging is the inherent stability of their PL over time. The bleaching rate of the f-MWNT was measured at 750 nm excitation wavelength for a total of 30 frames (256 x 256 pixels, 586 nm pixel size and 10 µs dwell time) and was found to be negligible for laser

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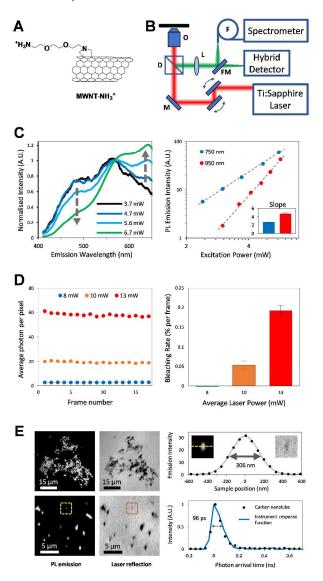
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Electronic Supplementary Information (ESI) available: [details of any supplementary information available should be included here]. See

powers up to 13 mW corresponding to a detector count rate of ~ 6 MHz (Figure 1D). Typical images of large (top) and small (bottom) aggregates of drop-casted *f*-MWNT are shown in Figure 1E where the smallest PL feature observed has a FWHM of 306 nm, limited by the resolution of the instrument. Similarly, the PL lifetime of the *f*-MWNT was measured to be 96 ps, which is the same as the temporal resolution of the instrument. It is worth noting that both the true size and PL lifetime of these nanocarriers are expected to be much smaller:  $0.5 - 2 \mu m$  in length, 20-30 nm diameter and 10 - 15 ps lifetime.



**Figure 1.** Optical properties of MWNT-NH<sub>3</sub><sup>+</sup> derivative. (A) Diagram showing the structure of the MWNT-NH<sub>3</sub><sup>+</sup> derivative investigated in this work. **(B)** Schematic representation of the multiphoton microscope used in this study. **(C)** Left panel: multiphoton photoluminescence spectra of drop-casted MWNT-NH<sub>3</sub><sup>+</sup> at 750 nm excitation using different laser powers. Photoluminescence signals were normalized to 568 nm emission intensities. Right panel: log-log plot of the photoluminescence intensity as a function of the laser power at both 750 nm and 950 nm excitation wavelengths. Slope

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values were above the value of 2 indicating multiphoton absorption. **(D)** Left panel: time lapses photobleaching studies of MWNT-NH<sub>3</sub><sup>+</sup> sample carried out at a constant laser power (8, 10 and 13 mW) for a total of 17 lapses (750 nm excitation wavelength). Right panel: corresponding bleaching rates showing the photostability of these nanostructures. **(E)** Left panel: PL (left) and back-scattered (right) images of MWNT-NH<sub>3</sub><sup>+</sup> of large (top) and small (bottom) aggregates (750 nm excitation wavelength). Right panel: PL line profile over a small aggregate (top) and lifetime transient of the MWNT-NH<sub>3</sub><sup>+</sup> overlaid with the instrument response curve of the microscope.

Carbon Nanotubes (CNTs) have been reported to be strong absorbers, efficiently converting IR light to heat, and were used due to this effect for thermal ablation of cancer cells.<sup>[9, 10]</sup> However, under intense excitation condition, CNTs can be irreversibly damaged. We recorded the Raman D-band and G-band of the f-MWNT (1350 cm-1 and 1590 cm-1 respectively) and observed their tubular structure using scanning electron microscopy (SEM) after irradiating them with 10 mW and 15 mW laser powers. The focused laser beam was scanned 3 times across  $160 \times 160 \ \mu m$  area (256 × 256 pixels) at a frame rate of ~ 1 Hz. While the lower excitation power, mimicking imaging conditions, did not alter the f-MWNT Raman spectrum and structure (Figure S2A and B, left), imaging at higher power significantly reduced both D and G bands while the SEM image showed some noticeable structural damages (Figure S2A and B, right). It is important to highlight the fact that no absolute laser damage threshold could be established and the *f*-MWNT absorption characteristic seems to depend on the aggregates' size. However, imaging with excitation power below 10 mW was reproducibly showing no damages and therefore, was considered to be safe. Moreover, by careful monitoring of the power applied, heat ablation followed by cell kill can be achieved, although this phenomenon was not explored in this study.

f-MWNT were incubated with lung epithelial cells (A549) or Kupffer cells, primary phagocytic cells of the liver, for period ranging from 4 hour to 24 hours, at 37ºC. Cells were fixed and stained with Alexa Fluor® 488 phalloidin (actin filament stain) and DAPI (nuclear stain), then imaged with multiphoton PL microscopy. f-MWNT, actin and nuclei were observed in the red (595-665 nm), green (500-524 nm) and blue (430-490 nm) channels, respectively (Figure 2). f-MWNTs could be imaged in both A549 and KC cells and signals were stronger at 24 hours compared to 4 hours incubation. f-MWNTs were localized inside the cells in a time-dependent and temperature-dependent manner, which agrees with our earlier studies using other techniques such as flow cytometry, confocal laser microscopy (using fluorescently-labeled f-MWNTs)<sup>[3, 11]</sup> and transmission electron microscopy.<sup>[3]</sup> As expected, a higher uptake was seen in KC compared to A549 cells due to the phagocytic nature of the former cell type. A549 cells were incubated with f-MWNTs at 4°C for 4 hours (for uptake inhibition) and no signals could be observed (Figure S3). The same results were obtained for f-MWNT functionalized by amidation (Figure S4).

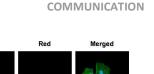
The CNT aggregate size in cells has been considered by measuring the photoluminescence line profile of the CNT

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clusters that have been internalized within the cells (Figure S5). KC showed CNT clusters (Figure S5A) with a full width at half maximum (FWHM) value of 1.09  $\mu$ m. Larger aggregates were observed in the peri-nuclear region. On the other hand, A549 cells showed CNT clusters with a FWHM of 0.76  $\mu$ m (Figure S5B). The differences in cluster sizes could be due to differences in internalization pattern of the different cell types, i.e., ability of phagocytosis

The main disadvantage of using CNTs to image biological samples is their relatively broad PL emission spectra, and as such, bleeding of the PL signal into the other fluorescence channels can become detrimental. However, using lifetime microscopy, the fast PL decay of CNTs can be efficiently separated from the generally long lifetime of common fluorophores. Using a conventional bi-exponential fit model, the contribution of short (< 0.2 ns) and long (> 0.5 ns) lifetime components ( $A_1$  and  $A_2$  respectively) can be retrieved with remarkable ease. Figures 2B shows that control KCs (no f-MWNT) display no short lifetime components in both blue and green channels, leading to an average lifetime of 1.8  $\pm$ 0.1 ns for DAPI and  $1.1 \pm 0.2$  ns for Alexa Fluor 488 phalloidin. KC incubated with MWNT-NH<sub>3</sub><sup>+</sup> shows a strong short lifetime contribution in all channels while only the blue and green channels shows a long lifetime contribution (DAPI and Alexa Fluor 488). It is worth noting that the f-MWNT do not produce a measurable PL signal using single-photon excitation (CCD images).



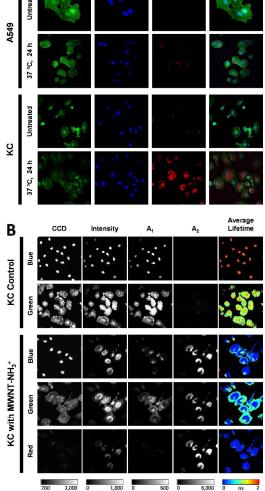
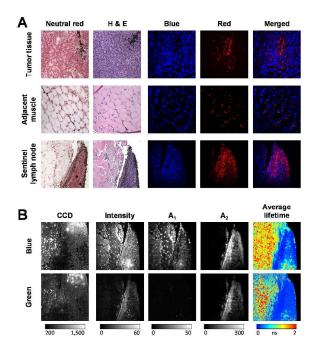


Figure 2. Multiphoton photoluminescence and lifetime imaging of A549 and Kupffer cells (KC) incubated with MWNT-NH<sub>3</sub><sup>+</sup> at 20 µg/ml, at 37°C for 24 hours (A) Multiphoton photoluminescence imaging (20x/0.75NA) of A549 cells and KC incubated with MWNT-NH<sub>3</sub><sup>+</sup> and stained with Alexa Fluor® 488 phalloidin (actin filament stain) and DAPI (nuclear stain). Imaging was carried out with 950 nm excitation for MWNT- NH3<sup>+</sup> and with 750 nm excitation for both Alexa Fluor® 488 phalloidin and DAPI. Emission filters of 595-665 nm, 500-524 nm or 430-490 nm were used for CNTs (red), Alexa Fluor® 488 phalloidin (green) or DAPI (blue), respectively. (B) Single-photon intensity and multi-photon intensity and lifetime images of KC incubated with MWNT-NH3<sup>+</sup>. From left to right: single-photon intensity (CCD), multiphoton intensity, intensity contribution from long lifetimes (fluorophores), intensity contribution from short lifetime (MWNT-NH<sub>3</sub><sup>+</sup>), average fluorescence lifetime. MWNT-NH<sub>3</sub><sup>+</sup> does not emit with single-photon excitation. Using multiphoton excitation, its short lifetime allows temporal unmixing from conventional fluorophores. All images field of views are 160  $\mu m.$ 

Imaging *f*-MWNT in complex tissue environment is more challenging so *f*-MWNT was injected intra-tumorally (50  $\mu$ g / 50  $\mu$ l) in a subcutaneous CT-26 colon cancer solid tumor, implanted at the lower flanks of Balb/c mice. Tumors (600 mm<sup>3</sup>) were excised, fixed and processed for histology. Neutral

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red and H&E staining of 10 % neutral buffered formalin fixed tumors showed three distinctive regions: tumor mass, an adjacent muscle tissue and a sentinel lymph node (Figure 3, panels from top to bottom). Tumor sections from the same region were de-waxed and sectioned to ~ 30  $\mu$ m thick slices. The nuclei were stained with DAPI, and the samples were imaged as described for in vitro samples. Images of the DAPIstained nuclei (blue channel), MWNT-NH<sub>3</sub><sup>+</sup> (red channel) and the merged channels are shown in Figure 3 (right). MWNT- $NH_3^+$  cannot only be seen in the tumor mass but also draining into an adjacent sentinel lymph node. This is important from biomedical point of view as finding theranostic multiphoton imaging probes is of utmost importance. f-MWNT were also imaged in intact zebrafish (Figure S6). Similarly, lifetime microscopy was performed on the sentinel lymph node sections and both short and long lifetime contributions were calculated (Figure 3B). While similar short lifetime contributions from the *f*-MWNT were observed in both blue and green channels, only the fluorescence from DAPI in the blue channel was observed in the long lifetime component.



**Figure 3.** Multiphoton photoluminescence imaging of MWNT-NH<sub>3</sub><sup>+</sup> in tumors. (A) Multiphoton luminescence imaging of tumors. CT-26 tumor-bearing mice were injected intra-tumorally with 50  $\mu$ g/50  $\mu$ L of MWNT-NH<sub>3</sub><sup>+</sup>. Tumors were excised, fixed, sectioned and stained with DAPI (for photoluminescence imaging), or processed for histological examination by Neutral Red and H&E staining. Three major histological regions were identified: the tumor mass, adjacent muscle and a sentinel lymph node. In Neutral red and H&E stained sections, MWNT-NH<sub>3</sub><sup>+</sup> appeared as black clusters, which colocalized with the red signals in the red photoluminescent channel. (B) Lifetime imaging of the sentinel lymph node. From left to right: single-photon intensity (CCD), multiphoton intensity, intensity contribution from long lifetime (MWNT-NH<sub>3</sub><sup>+</sup>), average fluorescence lifetime. All images field of views are 160  $\mu$ m.

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