



**Development of Silica-containing Redox Nanoparticles for
Medical Applications**

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1 **Mini-review**

2 **Development of Silica-containing Redox Nanoparticles for**
3 **Medical Applications**

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1 **Abstract**

2 Silica-containing redox nanoparticles (siRNP) are nanocomposites consisting of silica
3 nanoparticles and amphiphilic block copolymers with nitroxide radicals as reactive
4 oxygen species (ROS) scavengers. Electrostatic interactions between the cationic segment
5 of polymer in the core and entrapped silica nanoparticles form a crosslinking structure
6 that provides siRNP stability *in vivo*, even under harsh conditions in the gastrointestinal
7 tract. Due to the adsorption character of silica nanoparticles in the nanocomposite, siRNP
8 can be applied not only for adsorbents of body wastes but also for drug carriers with high
9 loading capacity. The ROS-scavenging character of siRNP significantly improves their
10 performance for medical applications. Here, we describe the development of siRNP and
11 provide two examples of their medical applications as (1) novel nano-sized adsorbents for
12 peritoneal dialysis, and (2) orally administrable drug carriers for the treatment of
13 gastrointestinal inflammation.

14

15 **Introduction**

16 The excessive production of reactive oxygen species (ROS) is well known to contribute to an
17 aging¹ and numerous diseases such as stroke², myocardial infarction³, colitis⁴. ROS are
18 produced in the mitochondria under normal condition as a by-product of cellular respiration,
19 and are continually eliminated by endogenous antioxidant metabolites, including vitamin C,
20 vitamin E, and glutathione, as well as antioxidant enzymes such as superoxide dismutase,
21 catalase, and glutathione peroxidase. However, the excessive ROS generated under
22 pathological conditions can surpass the reducing capacity of these endogenous antioxidant
23 systems, resulting in oxidative damages of cellular components such as lipids, DNA, and
24 proteins⁵. In addition, excessive levels of ROS continuously aggravate inflammation, thereby
25 causing potentially life-threatening disorders. Numerous ROS-scavenging drugs, such as

1 edaravone (Radicut[®]), have been developed to prevent oxidative stress-induced damage⁶.
2 Unfortunately, these are usually low-molecular-weight (LMW) compounds that often fail to
3 show protective effects due to their low half-life and drug concentration in inflamed tissues.⁷
4 In addition, high doses of LMW ROS-scavenging drugs can induce adverse effects resulting
5 from disturbances in normal redox signaling in healthy cells.⁸ This is a serious issue; thus, the
6 applied dose of ROS-scavenging drugs is extremely limited to avoid these adverse effects.

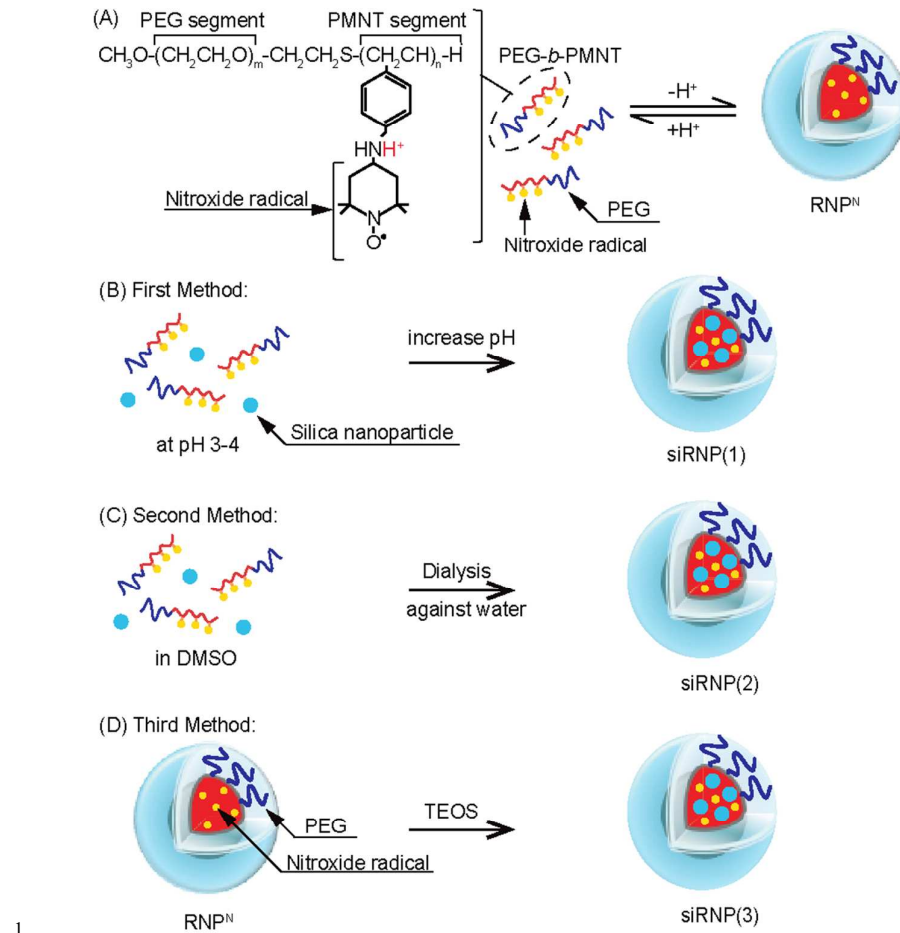
7 Over the past 20 years, drug-loaded polymeric micelles have been investigated for their
8 feasibility as drug delivery systems (DDS), as polymeric micelles with long-term blood
9 circulation specifically accumulate in tumor tissues after intravenous administration, termed
10 the enhanced permeability and retention (EPR) effect⁹. This allows for controlled drug
11 release at the tumor sites, thereby enhancing the therapeutic effects of anticancer drugs while
12 minimizing their severe adverse effects in normal tissues.¹⁰ Recently, we have proposed the
13 use of redox nanotherapeutics for oxidative stress injuries by employing polymers covalently
14 conjugated to ROS-scavenging drugs because high molecular weight nature of these
15 compounds makes them difficult to cross the cellular and mitochondrial membranes of
16 healthy cells.¹¹ To date, we have developed pH-sensitive and -insensitive redox nanoparticles
17 (RNP), referred to as RNP^N and RNP^O, respectively. RNP^N are prepared by the self-assembly
18 of poly(ethylene glycol)-*b*-poly[4-(2,2,6,6-tetramethylpiperidine-1-oxyl)aminomethylstyrene]
19 (PEG-*b*-PMNT), which disintegrate at acidic pH due to protonation of amino groups in the
20 PMNT segment (see Figure 1A). On the other hand, RNP^O are formed from poly(ethylene
21 glycol)-*b*-poly[4-(2,2,6,6-tetramethylpiperidine-1-oxyl)oxymethylstyrene] that provides
22 stability even under the harsh environment of gastrointestinal tract.¹² The ROS-scavenger
23 2,2,6,6-tetramethylpiperidine-1-oxyl (TEMPO), also known as redox-cycling nitroxide
24 radical¹³, is covalently conjugated to a side chain of the hydrophobic segment in both
25 amphiphilic block copolymers. Our therapeutic strategy using RNPs has thus far

1 demonstrated remarkable protective and therapeutic effects on renal and cerebral
2 ischemia-reperfusion injuries¹⁴, cerebral hemorrhage¹⁵, indomethacin-induced small intestinal
3 inflammation¹⁶, and dextran sodium sulfate (DSS)-induced colitis¹². Over the course of these
4 studies, we have confirmed no internalization of RNPs by healthy red blood cells¹⁷, platelet¹⁷
5 and colonic mucosa cells¹⁸. This selective scavenging of excessive extracellular ROS is one
6 of the significant characteristics of our redox nanotherapeutics. To further improve the
7 therapeutic effects, combination therapy of RNP with LMW drugs is one of the potential
8 strategies. Since the loading capacity and entrapping efficiency of LMW drugs by the
9 polymeric micelles is dependent on the matching of their physicochemical properties,
10 however, not all LMW drugs are always stable into the polymeric micelles. To solve this
11 issue, we have designed and developed silica-containing redox nanoparticles (siRNP) that
12 possess encapsulated silica nanoparticles in the hydrophobic core of RNP^N. Encapsulation of
13 the silica nanoparticle in the RNP^N provides siRNP the drug-loading capacity, adsorbent
14 properties, and stability in the acidic environment of RNP^N. So far, various functional silica
15 nanoparticles have been developed such as mesoporous silica nanoparticles with
16 stimuli-responsive release capacity of drug¹⁹, oligonucleotide^{19e, 20} and fluorescent dye²¹ and
17 attracted much attention for oral drug and gene delivery carriers because of their stability in
18 the gastrointestinal tract and loading capacity.²² However, oxidative stress and inflammatory
19 responses induced by silica nanoparticles have been reported both *in vitro* and *in vivo*.²³ By
20 encapsulation of silica nanoparticles in RNP^N, oxidative stress and inflammatory responses
21 induced by silica nanoparticles can be suppressed due to the scavenging ROS by TEMPO
22 moieties inside of siRNP. In addition, PEG shell layer can inhibit an aggregation of silica
23 nanoparticles *in vivo* due to its strong hydration ability,²⁴ conformational flexibility,²⁵ and
24 repulsive force of the PEG tethered chain.²⁶ Thus, fusion of these functional silica
25 nanoparticles and RNP compensates for their shortcoming for biomedical application.

1 In this mini-review, we describe the preparation of the siRNP and provide examples of
2 their use in medical applications, including as (1) adsorbents of waste products for peritoneal
3 dialysis; and (2) orally administered drug carriers for the treatment of gastrointestinal
4 inflammation.

6 **siRNP Preparation**

7 The siRNP can be synthesized by three different methodologies (see Figures 1B-D). The first
8 two methods use commercially available silica nanoparticles ranging in size from 10 to 15
9 nm that were purchased from Nissan Chemical Industries (Tokyo, Japan). In the first method,
10 siRNP(1) are prepared by the pH-sensitive self-assembly of PEG-*b*-PMNT where colloidal
11 silica (Snow-Tech®O, Nissan Chemical Industries) is added to an aqueous solution of
12 PEG-*b*-PMNT under acidic conditions, after which the pH is raised.²⁷ In the second method,
13 siRNP(2) are prepared by the dialysis of a dimethyl sulfoxide (DMSO) solution containing
14 PEG-*b*-PMNT and suspended silica nanoparticles (MEK-ST-40, Nissan Chemical Industries)
15 against water.²⁸ In these cases, the negatively charged silica nanoparticles are entrapped in
16 the positively charged RNP^N core. In the third method, siRNP(3) are prepared by a sol-gel
17 reaction of tetraethyl orthosilicate (TEOS) in the RNP^N core.²⁷⁻²⁸ During this process,
18 hydrophobic TEOS is solubilized in the polyamine core of the RNP^N due to the hydrophobic
19 interaction, then subsequently hydrolyzed by the secondary amino groups in the RNP^N core.
20 Unstable intermediates are then condensed to form silica nanoparticles. Annealing the
21 prepared siRNPs increases their stability.²⁸ The average diameters of these siRNPs are
22 approximately 40–45 nm as determined by dynamic light scattering measurements, which are
23 slightly larger than that of void RNP^N due to the entrapment of silica nanoparticles.



2 **Figure 1. Schematic illustration of RNP^N and siRNPs.** (A) Structure of PEG-*b*-PMNT and
 3 RNP^N. The PEG-*b*-PMNT forms RNP^N at neutral pH, while RNP^N disintegrate at acidic pH
 4 due to protonation of the amino groups in the PMNT segments. (B-D) Preparation schemes
 5 for (B) siRNP(1), (C) siRNP(2), and (D) siRNP(3).

6

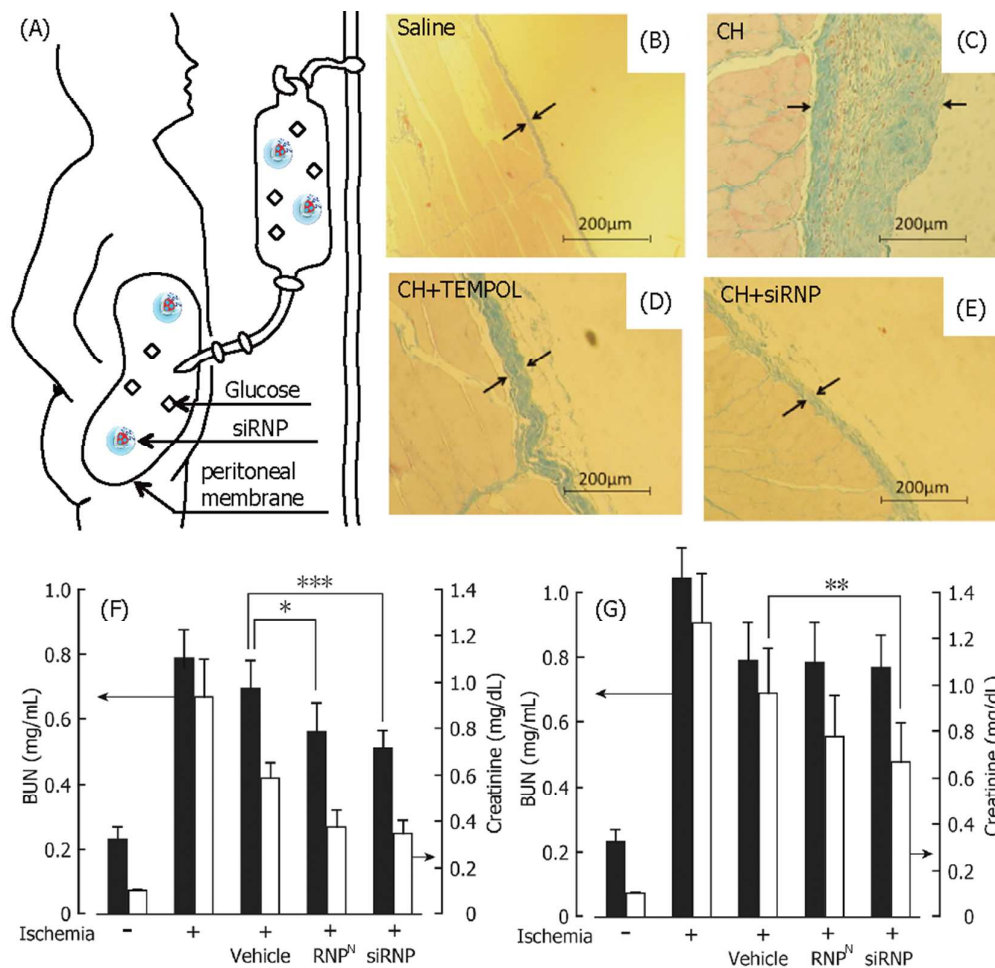
7 Nano-sized adsorbent with ROS-scavenging ability for peritoneal dialysis

8 The end-stage renal disease requires renal replacement therapy (RRT) such as hemodialysis
 9 (HD), peritoneal dialysis (PD), hemofiltration, or renal transplantation. Dialysis is the
 10 predominant RRT due to the short supply of donor kidneys. Worldwide, nearly 90% of these
 11 patients receive HD, while only 10% are treated with PD.²⁹ Although HD substitutes for a
 12 portion of renal function, several problems still remain, including (1) the continuous need for

1 hospital attendance; (2) the insufficient removal of medium molecular weight uremic toxins;
2 and (3) the significant removal of body fluid in a short time, which can lead to cardiac
3 overload and vascular damage.³⁰ These issues increase the risk for several serious diseases
4 such as stroke and myocardial infarction. In comparison to HD, PD provides patients with
5 better preservation of residual renal function, lower costs, and simple treatment protocols that
6 can be performed at home, work, or on trips.³¹ Thus, PD has great potential to provide a high
7 quality of life to patients who receive RRT; however, the predominant complications
8 associated with PD are ultrafiltration failure and the increased occurrence of encapsulating
9 peritoneal sclerosis (EPS), which can cause prolonged systemic chronic inflammation.³²

10 To solve these issues, we have investigated the effects of siRNP as a waste product
11 adsorbent with ROS-scavenging ability on peritoneal dialysis. Peritoneal dialysis with a high
12 concentration of glucose (1.5–4.25%) removes waste products from the blood using the
13 patient's own peritoneum as an osmotic membrane, in which glucose serves to enhance
14 ultrafiltration. However, high concentrations of glucose have been reported to cause oxidative
15 stress in peritoneal cells.³³ Although ROS-scavenging ability in the dialysate is required to
16 inhibit the inflammation of peritoneal membrane, LMW ROS-scavenging drugs in dialysate
17 are rapidly diffused from the abdominal cavity to the entire body through the peritoneal
18 membrane, resulting in low protective effects and increased adverse effects. In fact,
19 4-hydroxy-TEMPO (TEMPOL) is absorbed into the bloodstream, which decreases its
20 effective concentration in the peritoneal cavity and leads to adverse effects, such as a
21 dramatic reduction in blood pressure²⁷. Since the siRNP are approximately 40–45 nm in
22 diameter, they remain within the intraperitoneal area and continuously scavenge ROS (see
23 Figure 2A). The protective effects of intraperitoneally administered siRNP have been
24 investigated in rats with EPS induced by an intraperitoneal injection of chlorhexidine
25 gluconate (CH). CH induces inflammation in the peritoneal membrane, resulting in a thicker

1 submesothelial compact zone of the abdominal wall, compared to that of normal rats (see
 2 Figures 2B and 2C). The intraperitoneally administered TEMPOL attenuates this increase to
 3 some extent (see Figure 2D), whereas siRNP markedly inhibit increased thickness, indicating
 4 that siRNP suppress inflammation by scavenging ROS in abdominal cavity (see Figure 2E).
 5 Figures 2F and 2G show the levels of creatinine and blood urea nitrogen (BUN) in the blood
 6 of mice with renal failure induced by ischemic treatment of both kidneys. As shown in
 7 Figures 2F and 2G, both the creatinine and BUN levels are significantly increased in the renal
 8 failure model mice, which can be rescued by administration of our siRNPs.



9
 10 **Figure 2.** (A) Schematic illustration of peritoneal dialysis using siRNP. (B-E) Histological
 11 assessments by performing Masson's trichrome staining of peritoneum treated with (B) saline
 12 (2 mL), (C) chlorhexidine gluconate (CH) (2 mL at 0.1%), (D) CH + TEMPOL (CH: 2 mL at

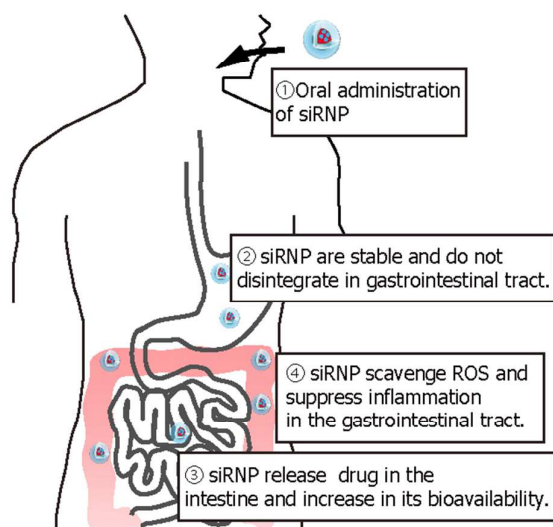
1 0.1%; and TEMPOL: 3 mL of 6.66 mg/mL solution) and (E) CH + silica-containing redox
2 nanoparticles (siRNP(1), SiO₂ = 5 wt%) (CH: 2 mL at 0.1%; siRNP(1): 3 mL of 20 mg/mL
3 solution; nitroxide radical concentration is 6.66 mg/mL). The arrows indicate the thickness of
4 the peritoneum. Thicknesses of peritoneal membrane in the rats treated with saline, CH, CH +
5 TEMPOL, and CH + siRNP are 29 ± 13, 269 ± 48, 88 ± 34 and 42 ± 18 μm, respectively.
6 Scale bars = 200 μm. (F-G) The effect of silica-containing redox nanoparticles (siRNP(3)) as
7 an additive of peritoneal dialysis-dialysate against renal failure model mice. Vehicle: 2.4 mL
8 of 4.25 wt%/vol% glucose solution; RNP^N: 2.4 mL of 4.25 wt%/vol% glucose solution
9 containing RNP^N (100 mg/mL) and siRNP(3) (SiO₂ = 3.77 wt%): 2.4 mL of 4.25 wt%/vol%
10 glucose solution containing siRNP(3) (2.4 mL of 100 mg/mL) for (F) 6 h and (G) 9 h. *p <
11 0.05; **p < 0.01; ***p < 0.005. Reproduced from Ref. [17] with permission from The Royal
12 Society of Chemistry.

13

14 **Orally administrable drug carrier with ROS-scavenging ability**

15 The number of patients with intractable inflammatory bowel diseases (IBD), such as Crohn's
16 disease and ulcerative colitis, has increased steadily over the years and now exceeds several
17 millions worldwide.³⁴ We recently reported on the remarkable therapeutic effects of
18 pH-insensitive RNP^O for the treatment of indomethacin-induced small intestinal
19 inflammation¹⁶ and DSS-induced colitis¹². Since pH-insensitive RNP^O can subsist in acidic
20 conditions, such as those seen in the gastrointestinal tract, intact RNP^O are delivered to the
21 small intestine and colon. Here, they accumulate in the mucosa and inflamed areas and
22 proceed to scavenge ROS in the inflamed tissues. Interestingly, RNP^O accumulates markedly
23 in the colon when compared to polystyrene latex particles of the same size (40 nm). Notably,
24 commercially available polystyrene tends to agglomerate under harsh gastrointestinal
25 conditions, whereas the higher colloidal stability of RNP^O results from the surface layer of

1 PEG that suppresses their aggregation considerably. Therefore, PEGylated RNP^O show a
2 higher accumulation and longer retention in the colonic mucosa, as compared to
3 commercially available polystyrene latex particles. These results demonstrate that orally
4 administered drug-loaded nanoparticles with PEG shells have the potential to delivery LMW
5 drugs to diseased areas of the lower gastrointestinal tract. Although polymeric micelles have
6 a hydrophobic core, it is not always easy to encapsulate LMW drugs in the core of polymeric
7 micelles. In contrast, siRNP have high drug loading capacity due to the silica nanoparticles in
8 the core. We have investigated the drug loading capacity of our siRNP using the model drug
9 rebamipide, which is used for mucosal protection and the treatment of gastroduodenal ulcers
10 and gastritis. Rebamipide is a poorly water-soluble drug, but possesses a carboxylic acid
11 group. Both RNP^N and RNP^O can encapsulate rebamipide to some extent, with loading
12 percentages of 4% and 2% for the RNP^N and RNP^O, respectively. In contrast, siRNP have a
13 higher rebamipide loading capacity of 16%. In addition, rebamipide-loaded siRNP control the
14 release of rebamipide in response to pH, where its release accelerates with increasing
15 alkalinity. This is likely due to repulsion between the anionic silica surface and the anionic
16 rebamipide under neutral to alkaline conditions. By virtue of these characteristics, following
17 oral administration of rebamipide-loaded siRNP to mice, the siRNP are delivered to the
18 intestinal area, where rebamipide is released in response to an increase in pH, resulting in
19 increase in the concentration of rebamipide in both the blood and small intestine. In addition,
20 the therapeutic effects of rebamipide-loaded siRNP on DSS-induced colitis can be enhanced
21 without causing any additional inflammation. Since siRNP possess PEG shells, orally
22 administered rebamipide-loaded siRNP may also accumulate in mucosal and inflamed areas,
23 contributing to the bioavailability of rebamipide and its therapeutic effect on colitis.



1

2 **Figure 3. Schematic illustration of orally administrable drug-loaded siRNP**

3

4 **Summary and Outlook**

5 Newly developed silica-containing redox nanoparticles (siRNP) are expected to be a
 6 novel functional nanomaterial for high-performance peritoneal dialysis, since siRNP
 7 overcome the issue of the dialysis efficiency and prevent peritoneal inflammation—the
 8 two major problems of the peritoneal dialysis system. In addition, the siRNP have great
 9 potential to dramatically enhance therapeutic effect of conventional LMW drugs by
 10 improving their oral bioavailability. Based on the examples presented in this mini-review,
 11 siRNP are promising as new tool that may be applied as an adsorbent for toxins and bile
 12 acids, as well as an oral anticancer treatment. In previous study, we have reported that
 13 intravenous administered RNP^N inhibits their adverse effect of anticancer drug efficiently
 14 due to the scavenging ROS and improve the anticancer effect in vivo due to suppression
 15 of the activation of transcription factor, NF- κ B in the cancer cells.³⁵ Considering this
 16 character, orally administered siRNP is not merely deliver anticancer drug but also
 17 prevent anticancer drugs-induced damage in the gastrointestinal tract due to scavenging

1 ROS.

2 In addition, nitroxide radicals are used as not only ROS scavengers but also
3 electron spin resonance and magnetic resonance imaging agents³⁶ due to paramagnetic
4 properties. Although other ROS-scavengers can be applicable instead of nitroxide
5 radicals, covalently conjugated nitroxide radicals provide siRNP various attractive
6 applications including *in vivo* imaging. Thus, siRNP are emerging and promising
7 biomaterials with potential functions in various medical applications.

8

9 **Acknowledgements**

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1 **Biography & photograph**

2

3 **Toru Yoshitomi**

4 Toru Yoshitomi received a B.S. in Faculty of Industrial Science and
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13

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15 Yukio Nagasaki received a B.S. and Ph.D. degrees in Engineering
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19 In 2004, he moved Graduate School of Pure and Applied
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21 Adjunct Professor, Master's School of Medical Sciences,
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23 of Tsukuba, Principal Investigator, International Center for
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25 Institute for Materials Science (NIMS). He is focusing on
26 biomaterials science, especially biointerface, drug delivery system and nanomedicine.



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A table of contents entry

- Colour graphic: maximum size 8 cm x 4 cm



- Text: one sentence, of maximum 20 words, highlighting the novelty of the work

Silica-containing redox nanoparticles act as adsorbents for peritoneal dialysis and orally administrable drug carriers for the treatment of gastrointestinal inflammation

(20 words)