

# Analytical Methods

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## Identification, characterization and HPLC quantification for impurities of Apremilast

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## Abstract

A sensitive, selective and stability indicating LC-UV method was developed for the determination of process-related impurities of Apremilast. High performance liquid chromatography (HPLC) investigation of Apremilast bulk samples revealed the presence of six impurities (Imp-A, Imp-B, Imp-C, Imp-D, Imp-E and Imp-F). Additionally, based on the characterization data, Imp-F is a new compound proposed to

be N-{2'-[1-(3-Ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethyl]-1,3,1',3'-tetraoxo-1,3,2',3'-tetrahydro-1'H-[2,4']biisoindolyl-4-yl}-acetamide. The structures of Imp-A and Imp-B were speculated based on LC-MS, UV and the synthetic process. The structures of other four impurities were characterized and confirmed by IR, LC-MS and NMR techniques. The newly developed LC-UV method was validated by its satisfactory specificity, precision, accuracy and sensitivity. Quantitation limits for impurities were in the range of 0.795-1.498 ng. Correlation coefficient values of linearity were higher than 0.9985 for Apremilast and four impurities. The mean recoveries of four impurities were between 92.5% and 103.2%. Thus, the developed HPLC method was suitable for the separation and quantification of all discovered impurities in Apremilast at present. The possible mechanism for the formation of these impurities is also discussed.

**Keywords:** Apremilast/structural elucidation/process-related impurities/quantification

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**Abbreviations:** TNF, tumor necrosis factor; IL, interleukin; ACN, acetonitrile; ESI, electrospray ionization source; Imp-A, N-[1-(3-Ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethyl]-acetamide; Imp-B, N-(1-{1-[1-(3-Ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethylamino]-ethyl}-3-methyl-butyl)-acetamide; Imp-C, 3-(Acetylamino)-1,2-benzenedicarboxylic acid; Imp-D,

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3 2-[1-(3-Ethoxy-4-methoxyphenyl)-2-(methylsulfonyl)ethyl]-4-nitro-1H-isoindole-1,3(2H)-dione;  
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5 Imp-E,  
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7 4-Amino-2-[1-(3-ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethyl]-1H-isoindole-1,3(2H)-dio  
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9 ne; Imp-F,  
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11 N-{2'-[1-(3-Ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethyl]-1,3,1',3'-tetraoxo-1,3,2',3'-tetra  
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13 hydro-1'H-[2,4']biisoindolyl-4-yl}-acetamide  
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15 SMA, starting material A; SMB, starting material B; SMB-1,  
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17 (3-nitro-1,2-benzenedicarboxylic acid);Resolving agent, N-Acetyl-L-leucine;  
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19 intermidate-2', (4-amino-1,3-isobenzofurandione);R, resolution; *r*, regression; RRT,  
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21 relativeretention time; RSD, relative standard deviation;  
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## 25 1. introduction

26 Apremilast (CC-10004; Celgene Corporation, Summit, NJ, USA),  
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28 N-[2-[(1S)-1-(3-ethoxy-4-methoxyphenyl)-2-(methylsulfonyl)ethyl]-2,3-dihydro-1,3  
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30 -dioxo-1H-isoindol-4-yl]acetamide, is an oral small molecule inhibitor of  
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32 phosphodiesterase 4 (PDE4) [1] which has been shown to be effective and well  
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34 tolerated in clinical trials in psoriasis (phase III), psoriatic arthritis (phase III), and  
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36 Behçet's disease (phase II). Targeted inhibition of PDE4 results in partial inhibition of  
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38 proinflammatory mediator production, such as TNF- $\alpha$ , interferon- $\gamma$ , and IL-23, and  
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40 increases in anti-inflammatory mediator production, such as IL-10 [2], which in turn  
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42 results in reduced infiltration of immune cells and changes in resident cells of the  
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44 skin and joints [3].

45 In March 2014, the US Food and Drug Administration approved apremilast for  
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47 the treatment of adult patients with active psoriatic arthritis [4-5]. Several synthetic  
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49 methods for apremilast have been published [6-9]. Combined with the manufacturing  
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51 process of apremilast, we design a simple and feasible synthetic route to manufacture  
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53 apremilast as shown in Fig. 1. Based on our synthetic route, starting materials are easy  
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55 to obtain and this route is suitable for industrialized production. The potential  
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57 process-related impurities of apremilast were speculated based on the different aspects  
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59 of studies and analyzed by HPLC-UV-ESI-MS. The structure of Apremilast and  
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impurities were shown in Fig.2. The analytical method for the determination of Apremilast was based on HPLC method 20mmol/L phosphate buffer in water(pH=3.5) as mobile phase A and ethyl alcohol as mobile phase B by using a Untron Chiral ES-OVS column[10]. Chen, Xiaole *et. al.*[11] have reported a method entitled “Determination of Apremilast in Rat Plasma by UPLC-MS/MS and its Application to a Pharmacokinetic Study”. In this article, a ultra performance liquid chromatography-tandem mass spectrometry method for determination of Apremilast in rat plasma was developed and validated. However,extensive literature research reveals thatno reports concerning quantitative determination of impurities and characterization of potential impurities of apremilast have been revealed so far. Thus, there is a need for development of an effective analytical method to monitor the levels of impurities in apremilast during process development and it is essential and mandatory to identify and characterize any impurities in drug substances exceeding the accepting limit of 0.1% [12].

Objectives of the current study were: (1) to speculate the potential process-related impurities in the apremilast drugaccording to the synthetic routes; (2) to detect, identify and elucidate the impurities of Apremilast by spectral data(UV, NMR, MS and IR); (3) to optimize LC conditions and develop an effective HPLC method for the quantitative determination of the potential process-related impuritiesaccording to ICH guidelines[13].Through a series of research we solve the above problem. At the same time, we obtain a newly and effective HPLC method and elucidate the impurities including a new compound (Imp-F, N-{2'-[1-(3-Ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethyl]-1,3,1',3'-tetraoxo-1,3,2',3'-tetrahydro-1'H-[2,4']biisoindolyl-4-yl}-acetamide) .

## 2. Experimental

### 2.1. Materials and reagents

Apremilast and its standards of Imp-C, Imp-D, Imp-E, Imp-F were obtained in our laboratory. Starting material A (SMA; 1-(3-Ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethylamine), and Starting

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3 material B (SMB; 3-Amino-1, 2-benzenedicarboxylic acid) were purchased from  
4 Enantiotech Corporation Ltd (Guangdong, China). Imp-C,  
5 3-(Acetylamino)-1,2-benzenedicarboxylic acid; Imp-D,  
6 2-[1-(3-Ethoxy-4-methoxyphenyl)-2-(methylsulfonyl)ethyl]-4-nitro-1H-isoindole-1,3(  
7 2H)-dione; Imp-E,  
8 4-Amino-2-[1-(3-ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethyl]-1H-isoindole-  
9 1,3(2H)-dione; Imp-F,  
10 N-{2'-[1-(3-Ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethyl]-1,3,1',3'-tetraoxo-1,  
11 3,2',3'-tetrahydro-1'H-[2,4']biiisoindolyl-4-yl}-acetamide. The purity of all substances  
12 was >98%. HPLC grade acetonitrile (ACN) was purchased from Merck (Darmstadt,  
13 Germany). Anhydroustrifluoroacetic acid (TFA) was purchased from Thermo  
14 Scientific (Rockford, IL, USA). Water was produced through a Milli-Q pure water  
15 system (Millipore, USA). Other chemicals were of analytical grade.

## 2.2. Preparation of the impurities (Imp-C, Imp-D, Imp-E, Imp-F)

31 Imp-A and Imp-B were detected by LC-MS and speculate their structure combining  
32 with the synthetic route of Apremilast in Fig 4.

33 Imp-C and Imp-D are obtained by our designed synthetic route, the detail synthetic  
34 method as follow:

35 **3-Acetylamino-phthalic acid (Imp-C)** A mixture of SMB(5g, 0.024mol) and  
36 25ml 1mol/L NaOH was stirred in ethanol water (25ml) at 30°C for 0.5h. Then 20ml  
37 1mol/L HCl was added, a large amount of solid precipitated out from the solution.  
38 The solid was dried in vacuum drying oven at 30°C to obtain Imp-C 3.12g, yield  
39 62.4%

40 **2-[1-(3-Ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethyl]-4-nitro-isoindole-1,3-**  
41 **-dione(Imp-D)** intermediate-1(4.5g, 0.01mol) and 3 –  
42 nitrophthalicanhydride(2.03g, 0.01mol) acid were stirred in the acetic acid  
43 solution(45ml) at 120°C for 2h. When the temperature of solution was at 50°C, the  
44 solution was concentrated by vacuum rotary evaporation at 60°C. Then, the residuum  
45 was extracted by ethyl acetate and dried by sodium sulphate anhydrous about 2h.  
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Filter liquor was concentrated by vacuum rotary evaporation at 40°C to obtain crude product of Imp-D 4.56g, yield 96%

Imp-E and Imp-F are obtained by column chromatography and preparative liquid chromatography (Pre-HPLC) from the stock solution of Apremilast.

**(4-Amino-2-[1-(3-ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethyl]-isoindole-1,3-dione)** **Imp-E** and

**(N-{2'-[1-(3-Ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethyl]-1,3,1',3'-tetraoxo-1,3,2',3'-tetrahydro-1'H-[2,4']biisoindolyl-4-yl}-acetamide)Imp-F** The

stock solution of Apremilast was concentrated by vacuum rotary evaporation at 40°C. Then acetone (270mL) and ethyl alcohol(540mL) was added into the residue and was stirred for 3-5h at 30-50°C. The solution was disposed by suction filtration and eluted by ethyl alcohol (500mL). Filter liquor was concentrated by vacuum rotary evaporation at 40°C to obtain yellow solid 17.6g. A mixture of the yellow solid, acetone(53mL) and ethyl alcohol(106mL) was stirred for 3h at 35°C. The solution was disposed by suction filtration and eluted by ethyl alcohol (100mL). Filter liquor was concentrated by vacuum rotary evaporation at 40°C to obtain yellow solid 3.4g faint yellow solid. The solid was separated silica gel column chromatography to obtain Imp-E (500mg, purity: 97.6%) and Imp-F (200mg, purity: 88%). Then the Imp-F was further separated and purified by Pre-HPLC under 30°C and was freeze-dried to obtain pure white solid (150mg, purity:99.8%)

### 2.3.High performance liquid chromatography (HPLC) and Pre-HPLC

Chromatographic studies were performed on Agilent 1100 HPLC system (Agilent Technologies, USA). The chromatograms were recorded and analyzed employing Agilent Chemstation chromatographic workstation. The HPLC was performed on a Wondersil C<sub>18</sub>(250mm×4.6mm, 5µm). The column was held at 30 °C. The mobile phase A was 0.03% TFA in the water, while the mobile phase B was ACN (0.03% TFA). The gradient program was as follow: Time (min)/A:B (v/v); T<sub>0</sub> 95/5, T<sub>40</sub> 30/70, T<sub>45</sub> 30/70, T<sub>50</sub> 95/5, T<sub>60</sub> 95/5. The elution flow rate was 1.0mL/min, and the detection wavelength was set at 230nm. The Apremilast samples were prepared in

diluents (water and acetonitrile, 40:60, v:v ) at 300 $\mu$ g/mL concentration and 20 $\mu$ L of sample solution was injected into HPLC system.

Imp-F was obtained from the method of the preparative liquid chromatography. The purity of Imp-F was 88% after purifying by column chromatography. A preparative liquid chromatography method was used to improve the purity of Imp-F to 99%. GX - 281 high flux automatic preparative chromatography system (Gilson, Inc USA) equipped with a Gilson 332 pump (prep-scale HPLC), Gilson 156 detector (UV/Vis) with high pressure flow cell, Gilson 231XL sample injector and Gilson 402 dilutor-dispenser with 25ml syringe and 25ml transfer tubing was used in the study. Trilution LC software was used to control the Imp-F fraction collection and data collection. The Pre-HPLC was performed on a Gemini 5 $\mu$  C<sub>18</sub> 110A (250mm $\times$ 10.00mm, 5 $\mu$ m). The column was held at 30  $^{\circ}$ C. The mobile phase A was water and the mobile phase B was ACN. The gradient program was as follow: Time (min)/A:B (v/v); T<sub>0</sub> 40/60, T<sub>20</sub> 60/40, T<sub>30</sub> 60/40, T<sub>40</sub> 40/60. The elution flow rate was 4.0 mL/min, and the detection wavelength was set at 230nm. The Apremilast samples were prepared in diluents (water and acetonitrile, 40:60, v:v ) at 200mg/mL concentration and 100 $\mu$ L of sample solution each time was injected into Pre-HPLC system.

#### **2.4. Liquid chromatography-tandem mass spectrometry method (LS-MS)**

LC-MS was performed on an API4000 mass spectrometer (AB Sciex, USA) equipped with an electrospray ionization (ESI) source interface coupled to an Agilent 1100-LC system (Agilent Technologies, USA). Mobile phase A (0.05% acetic acid in the water) and phase B (acetonitrile) were as mobile phases. The LC gradient program was the same as HPLC chromatographic conditions. The flow rate was maintained at 1 mL/min and was split at the column outlet to allow 0.2 mL of eluent to flow into the mass spectrometer. The MS was in positive-ion electrospray mode, and the operating parameters were as follows: Ion spray voltage, 5000 V; declustering potential, 70 V; entrance potential, 10 V; turbo ion spray temperature, 500  $^{\circ}$ C; interface heater, on; and mass range, 100–800 Da. Nitrogen was used as both curtain and auxiliary gas. The system was operated using Analyst Software

workstation, version 1.5.2 (AB Sciex, USA).

## 2.5. NMR spectroscopy

One-dimensional ( $^1\text{H}$  NMR,  $^{13}\text{C}$  NMR) and 2D (distortionless enhancement by polarization transfer (DEPT),  $^1\text{H}$ - $^1\text{H}$  correlation spectroscopy (COSY), heteronuclear multiple bond correlation (HMBC), and heteronuclear singular quantum correlation (HSQC)) NMR spectra were recorded on a Bruker AVANCE 500MHz NMR system (Fallanden, Switzerland). The samples were dissolved in DMSO- $d_6$ , and tetramethylsilane was added as an internal standard at 25 °C.

## 2.6. FT-IR spectroscopy

The IR spectra were recorded from KBr pellets using Thermo Scientific Nicolet iS5 FT-IR spectroscopy. Data were collected between 4000  $\text{cm}^{-1}$  and 400  $\text{cm}^{-1}$ , with a resolution of 4.0  $\text{cm}^{-1}$ . A total of 16 scans were obtained and processed using OMNIC software version 6.0.

## 3. Results and discussion

### 3.1 HPLC method development and optimization

Characterization and controlling stereoisomer impurity is especially important for Apremilast as a chiral drug. Impurities Imp-A, Imp-B, Imp-D and Imp-E are generated in the process from the intermediate-1 to Apremilast. The intermediate-1, whose configuration is determinate, is obtained from the stereospecific synthesis with resolving agent. Based on the formation mechanism, impurities (Imp-A, Imp-B, Imp-D and Imp-E) originated from intermediate-1, which means the configurations of impurities can be probably controlled. Moreover, Romualdo Benigni *et al.* [14] have reported the structural alerts for the in vivo micronucleus assay in rodents. There are no structural alerts in the impurities Imp-A, Imp-B, Imp-D and Imp-E. The analysis of chiral impurity has been not taken into consideration for the low probability of formation and toxicity.

The first step was to determine the appropriate wavelength, which was usually a compromise for different compounds with different absorption maximum. The proper wavelength was targeted after measuring all spectra and testing the detector response

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3 of analytes at 230nm, because of the sufficient selectivity and sensitivity for all the  
4 related substances in the study.  
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7 A HPLC method, which described the analysis of Apremilast, using 80% mobile  
8 phase A (20mmol/L phosphate buffer in water and adjusting pH to 3.5) was shown in  
9 literature [10]. However, a chiral column not a regular reverse phase column was used  
10 and this method was only used to detected the content of Apremilast. This method  
11 can't get good separation of the impurities in Apremilast. Thus, to obtain an adequate  
12 separation of Apremilast and its impurities, the types of columns, the proportions of  
13 mobile phase, flow rate and column temperature were optimized. In our study,  
14 preliminary column screening studies were conducted using Wondersil C18  
15 (250mm×4.6mm, 5 $\mu$ m), Diamonsil C18 and Inertsil ODS-3 C18. Combined with the  
16 chromatographic behavior of the three chromatographic columns, Wondersil C18  
17 column showed the best analysis result. However, there are some difficulties for the  
18 HPLC optimization, one is how to enhancing the retention time of Imp-C, and the  
19 other is improving the resolution of Imp-E/Apremilast.  
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32 To improve the resolution and peak symmetry, TFA was added into the mobile  
33 phase. Because Apremilast is a tertiary amine, a tailing could be produced when high  
34 injection amount is injected to HPLC system. TFA is an ion pair reagent and can avoid  
35 this kind tailing. According to the chemical structure of Imp-C, Imp-C owned two  
36 carboxyl groups with a pKa of 2.54. The high proportion of water and slight TFA were  
37 used to increase the retention of high polar substances. An impurity-spiked solution  
38 was successively injected to HPLC system to investigate the HPLC conditions for  
39 each trial. ACN and 0.01% TFA were initially chosen to optimize the HPLC  
40 conditions. The results showed that the retention time of Imp-C was Increased to  
41 7.87min, but the resolution (R) of Apremilast and Imp-E was low ( $R_s < 1.5$ ).  
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50 To further modify the performance, ACN and TFA mixtures, with the acid  
51 concentration adjusted over the range 0.01%-0.05%, was taken as mobile phase in a  
52 different gradient mode. The trifluoroacetic acid value of the mobile phase has a  
53 significant effect on capacity and tailing factor of all the compounds and the optimum  
54 symmetry of all the analytes was achieved with acid concentration of 0.03%. The  
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3 resolution was improved( $R_s > 1.5$ ) using the suitable TFA concentration and gradient  
4 analysis. In this trial, all process related impurities can be observed with separation.  
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7 Finally, the optimum separation was attained using Wondersil C<sub>18</sub>  
8 column(250×4.6 mm, 5 $\mu$ m) , the detection wavelength was 230nm and the flow rate  
9 was 1.0mL/min in a gradient elution mode described in Section 2.2.  
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### 12 **3.2 HPLC method validation**

13 The proposed method was validated in accordance with the current ICH  
14 guidelines. Linearity, limit of detection (LOD), limit of quantification (LOQ),  
15 precision, accuracy, and calibration factor were investigated and summarized in  
16 Tables 1 and 2.  
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#### 19 *3.2.1 System suitability and specificity*

20 The system suitability of the method was carried out by improving of the number  
21 of theoretical plates, symmetry factor, and resolution. The system suitability solution  
22 was prepared by the four impurities spiked with Apremilast (0.3 $\mu$ g/mL ;0.1%  
23 of sample concentration 0.3mg/mL). An efficient resolution ( $> 1.7$ ), the United States  
24 Pharmacopoeia (USP) were greater than 18894 and good symmetry factor were  
25 obtained with the chromatography method shown in Table 1. The peak purity test of  
26 these samples was carried on PDA detector revealing that there is no merging to any  
27 known peak with any unknown peak. HPLC chromatogram of Apremilast bulk  
28 samples spiked with six impurities is shown in Fig.3  
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#### 43 *3.2.2 Sensitivity and linearity*

44 Sensitivity was investigated by the LOD and LOQ for Apremilast and its four  
45 impurities at S/N 3:1 and 10:1, respectively, by injecting a series of solution diluted  
46 with known concentration. The linearity of the impurities in Apremilast was obtained  
47 from a series concentration ranging from 0.03 $\mu$ g/ml -0.63 $\mu$ g/ml. The peak area of  
48 analyte versus concentration was analyzed by the least squares linear regression, and  
49 the correlation coefficient of regression (r) was  $> 0.9985$ , indicating the excellent  
50 linearity of the method.  
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#### 58 *3.2.3 Precision and accuracy*

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The precision of the method, including repeatability (inter-day precision) and intermediate precision (intra-day precision) was performed with six individual system suitability solutions, and the evaluation was estimated by different experimenter on a different day using different instruments. The RSD of Apremilast and its impurities was within 1.95, indicating the good precision of the method. The accuracy of the method was assessed with standard addition and recovery experiments in triplicate at three concentration levels, 80%, 100%, 120% of the analyte concentration. The mean recovery of four impurities was between 97.60% and 100.24% (Table 2)

#### 3.2.4 Robustness and solution stability

Robustness of the method was studied by changing the column temperature( $30\pm 5^{\circ}\text{C}$ ), the TFA content of mobile phase(0.01%, 0.03%, 0.05%), the ratio of organic phase( $5\%\pm 2\%$ ), the flow rate( $1.0\pm 0.2\text{mL}/\text{min}$ ) and column-to-column variation. The robustness was tested with a 0.3mg/mL Apremilast spiked with all the impurities solution(0.1% of Apremilast concentration). The results revealed that the resolution between any of two analytes was  $> 1.77$ . The stability of Apremilast and its mixed impurities solution was monitored at room temperature for 12h, and the RSD values of the peak area of all the analytes were found to be within 1.95.

#### 3.2.5 Correction factors

For quantitative study of the impurities in bulk drug, the main methods of the determination are impurity reference substance, the addition (or not) of the correction factor of principal components. So, it is essential to calculate the correction factors for all impurities, when using area normalization to quantify the impurities. The correction factor experiments were carried out on two different chromatographic instruments with three different chromatographic columns by injecting solutions with impurities at six concentration levels (LOQ to 200% limit of the impurities). The correction factor was calculated from the ratio of the slope of principal components and impurities by linear regression. The results indicate that the correction factors of all impurities are within 1.29-2.82, and these values are used in the quantitative analysis of the impurities in Apremilast.

### 3.3 Detection of impurities

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Apremilast was obtained by synthetic route as described in Fig.1. The water and acetonitrile mixture(40:60) was used as the diluents in the preparation of Apremilast bulk samples solution. The concentration of bulk samples solution was 0.3mg/mL. HPLC analysis of Apremilast (Fig.3) revealed the presence of six impurities in several batches. Theretention time (RT) and relative retention time (RRT) of all six impurities were RT=7.87, RRT=0.25; RT=16.94, RRT=0.54;RT=22.13, RRT=0.71;RT=23.71, RRT=0.76;RT=30.06, RRT=0.98; RT=34.12, RRT=1.10 and marked as Imp-C, Imp-A, Imp-B, Imp-D, Imp-E, Imp-F.

Moreover, A LC-ESI/MS method was described in section2.4 to identify these impurities. The molecular weights respectively were 223.05, 315.11, 428.23, 448.09, 418.12, 605.15, which were in correspondence with Imp-C, Imp-A, Imp-B, Imp-D, Imp-E, Imp-F.( Electronic Supplementary Information Table S1)

### 3.4 Structure elucidation of Apremilast and its related impurities

Apremilast and its impurities (Imp-C, Imp-D, Imp-E, Imp-F) were obtained in our laboratory and further confirmed by IR, LC-MS,<sup>1</sup>H-NMR, <sup>13</sup>C-NMR, DEPT, H-HCOSY, etc. Imp-A and Imp-B were elucidated by LC-MS and combined with synthetic process. The numbered carbon atoms were shown in Fig.2.

#### 3.4.1 Structural elucidation of Apremilast

The negative ion ESI-MS spectrum of Apremilast exhibited a base peak of [M-H]<sup>-</sup> ion at m/z 459.1 and M+Na at m/z 483.1. The IR spectrum displayed characteristic absorptions at 3363.3, 2837, 1764, 3002 and 1338 cm<sup>-1</sup> which was indicative of acylamino N-H stretching, methylene C-H, isoindole C=O, benzene ring and sulphone -SO<sub>2</sub>-. The structure was further supported by <sup>1</sup>H-NMR, <sup>13</sup>C-NMR and DEPT spectrum.

The imino proton (H<sub>21</sub>) was deshielded to δ9.69ppm. Six aromatic protons (H<sub>3</sub>, H<sub>4</sub>, H<sub>6</sub>, H<sub>17</sub>, H<sub>18</sub>, H<sub>19</sub>)were deshielded to δ8.45-6.92ppm. The tertiary carbon proton (H<sub>10</sub>) was deshielded to δ5.80-5.77ppm. The four methylene protons (H<sub>8</sub>, H<sub>11</sub>) were deshielded to δ4.38-4.00ppm. The twelve methyl protons (H<sub>1</sub>, H<sub>9</sub>, H<sub>12</sub>, H<sub>23</sub>) were deshielded to δ3.73-1.30ppm. <sup>13</sup>C-NMR account for twenty-two carbon atoms. Nine carbon signals disappearing in DEPT-135 spectrum were considered as nine

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quaternary carbon atoms. DEPT-135 spectrum revealed eleven carbon ( $\text{CH}_3$  or  $\text{CH}_2$ ) signals and displays two negative carbon ( $\text{CH}_2$ ) signals which were  $\text{C}_8$  and  $\text{C}_{11}$ . Seven carbon signals appearing in DEPT-90 spectrum were considered as seven tertiary carbons and four carbon signals also appear in DEPT-135 spectrum which were considered as four primary carbons. The detailed information of  $^1\text{H-NMR}$ ,  $^{13}\text{C-NMR}$  and DEPT can be seen in Electronic Supplementary Information Table S2 and Table S3.

#### 3.4.2 Structural analysis of Imp-A and Imp-B

The impurity A and impurity B have been speculated as N-[1-(3-Ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethyl]-acetamide and N-(1-{1-[1-(3-Ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethylamino]-ethyl}-3-methyl-butyl)-acetamide, respectively.

Based on LC-MS, Imp-A has quasi-molecular ions  $[\text{M}+\text{H}]^+$  at 316.2 and  $[\text{M}-\text{H}]^-$  at 314.4. The maximum UV absorption wavelength ( $\lambda_{\text{max}}$ ) of Imp-A is 221.4 nm which is the conjugated double bond  $\pi \rightarrow \pi^*$  transition of benzene ring.

The ion LC-MS spectrum of Imp-B exhibited base peaks of  $[\text{M}+\text{H}]^+$  at 429.0 and  $[\text{M}-\text{H}]^-$  at 427.3. The Imp-B has a strong absorption in the UV absorption wavelength of 222.0 nm of the conjugated double bond of benzene ring and a weak absorption of 348.20 nm of the  $n \rightarrow \pi^*$  transition of amide.

#### 3.4.3 Structural elucidation of Imp-C

The impurity has been identified as 3-(Acetylamino)-1, 2-benzenedicarboxylic acid.

The negative ion ESI-MS spectrum of Imp-C exhibited a base peak of  $[\text{M}-\text{H}]^-$  ion at  $m/z$  222 which accords with Imp-C's molecular mass. The IR spectrum displayed characteristic absorptions at 3256.4, 2895.9, 2634.1 and 1474.3 which was indicative of secondary amino group -NH-, methyl C-H, carboxylic acid C=O and benzene ring. The  $^1\text{H-NMR}$  spectrum showed peak integral ratio (from low to high field) for 2:1:1:1:1:3, the total number of protons is 9, which accord with the molecular structure of Imp-C. The  $^{13}\text{C-NMR}$  spectrum displayed ten carbon signals. The details information of  $^1\text{H-NMR}$  and  $^{13}\text{C-NMR}$  can be seen in Electronic Supplementary Information Table S2 and Table S3.

#### 3.4.4 Structural elucidation of Imp-D

This impurity has been identified as 2-[1-(3-Ethoxy-4-methoxyphenyl)-2-(methylsulfonyl)ethyl]-4-nitro-1H-isoindole-1,3(2H)-dione, based upon the following observations:

The ESI-MS showed a quasi-molecular ion  $[M+Na]^+$  at  $m/z$  471.1 (calcd. For  $[C_{20}H_{20}N_2O_8S+Na]^+$ :471.1), which was consistent with the molecular formula of  $C_{20}H_{20}N_2O_8S$ . The IR spectrum analysis of Imp-D revealed the characteristic absorptions at 2984.7, 2934.3, 1718.7, 1539.4, 1337.9, 1265.5 and 3092.7 which was indicative of methyl C-H, ethyl C-H, carboxylic acid C=O, nitro  $-NO_2$ , sulphone  $-SO_2-$ , ether  $-C-O-C-$  and benzene ring. The  $^1H$ -NMR spectrum displayed peak integral ratio (from low to high field) for 1:1:1:1:1:1:2:3:3:3:3, the total number of protons is 20, which accord with the molecular structure of Imp-D. The structure of Imp-D was closely related to this of Apremilast, except for acylamino turning to the nitro. The  $^{13}C$ -NMR spectrum showed twenty carbon signals corresponding to twenty carbon atoms. The detailed information of  $^1H$ -NMR,  $^{13}C$ -NMR and DEPT can be seen in Electronic Supplementary Information Table S2 and Table S3.

#### 3.4.5 Structural elucidation of Imp-E

This impurity has been identified as 4-Amino-2-[1-(3-ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethyl]-1H-isoindole-1,3(2H)-dione.

The negative ion ESI-MS spectrum of Imp-E exhibited a base peak of  $[M-H]^-$  ion at  $m/z$  417.1 and  $M+Na$  at  $m/z$  441.1. The IR spectrum displayed characteristic absorptions at 3473.3, 1405.9, 2958.0, 2925.4, 1753.9, 1694.8, 1592.7 and 1351.6 which was indicative of primary amine N-H, aromatic primary amine C-N, methyl C-H, ethyl C-H, isoindole ring C=O, phenyl isoindole ring Ar-CO-, benzene ring C=C and sulphone  $-SO_2-$ . The  $^1H$ -NMR spectrum showed peak integral ratio (from low to high field) for 1:1:4:2:1:1:3:3:3:3, the total number of protons is 22, which accord with the molecular structure of Imp-E. Imp-E loses one acetyl group compared to that of Apremilast. In  $^1H$ -NMR, the lost methyl group were deshielded to  $\delta$ 2.19. The

<sup>13</sup>C-NMR spectrum displayed twenty carbon signals corresponding to twenty carbon atoms. The detailed information of <sup>1</sup>H-NMR, <sup>13</sup>C-NMR and DEPT can be seen in Electronic Supplementary Information Table S2 and Table S3.

#### 3.4.6 Structural elucidation of Imp-F

This impurity has been identified as N-{2'-[1-(3-Ethoxy-4-methoxy-phenyl)-2-methanesulfonyl-ethyl]-1,3,1',3'-tetraoxo-1,3,2',3'-tetrahydro-1'H-[2,4']biisoindolyl-4-yl}-acetamide, its structure is identified based upon the following observations:

The negative ion ESI-MS spectrum of Imp-F exhibited a base peak of [M-H]<sup>-</sup> ion at m/z 604.2 and M+Na at m/z 628.0. The IR spectrum showed characteristic absorptions at 3551.2, 3413.7, 2978.0, 2935.6, 1776.8, 1706.8, 1524.1 and 1334.9 which was indicative of secondary amine N-H, acylamino N-H, methyl C-H, ethyl C-H, isoindole ring C=O, phenyl isoindole ring Ar-CO-, benzene ring and sulphone -SO<sub>2</sub>-. In <sup>1</sup>H-NMR, The imino proton (H<sub>21</sub>) was deshielded to δ9.77-9.75ppm. Nine aromatic protons (H<sub>3</sub>, H<sub>4</sub>, H<sub>6</sub>, H<sub>17</sub>, H<sub>18</sub>, H<sub>19</sub>, H<sub>24</sub>, H<sub>25</sub>, H<sub>26</sub>) were deshielded to δ8.55-6.93ppm. The tertiary carbon proton (H<sub>10</sub>) was deshielded to δ5.72-5.70ppm. The four methylene protons (H<sub>8</sub>, H<sub>11</sub>) were deshielded to δ4.30-3.97ppm. The twelve methyl protons (H<sub>1</sub>, H<sub>9</sub>, H<sub>12</sub>, H<sub>31</sub>) were deshielded to δ3.73-1.27ppm. The <sup>13</sup>C-NMR spectrum displayed thirty-seven carbon signals including two peaks overlap and nine peak splitting corresponding to thirty carbon atoms. Twelve carbon signals disappearing in DEPT-135 spectrum were considered as fourteen quaternary carbon atoms (There were two groups of symmetric carbons: C<sub>13</sub>, C<sub>14</sub> and C<sub>21</sub>, C<sub>22</sub>). DEPT-135 spectrum revealed two negative carbon (CH<sub>2</sub>) signals which were C<sub>8</sub> and C<sub>11</sub>. Eleven carbon signals appearing in DEPT-90 spectrum were considered as eleven tertiary carbons. The remaining four carbon signals appearing in <sup>13</sup>C-NMR were considered as four carbon atoms. The detailed information of <sup>1</sup>H-NMR, <sup>13</sup>C-NMR and DEPT can be seen in Electronic Supplementary Information Table S2 and Table S3.

Based on a variety of database retrieval information having been reported so far, we haven't found Imp-F related information, so the compound is a new compound.

### 3.5 Possible formation mechanism of impurities

According to the manufacturing process of Apremilast and combined with some references, an impurity formation process was shown in Fig 4. In route 1, the Imp-A might be the result of intermediate-1 reacting with acetic anhydride which was residual in the synthesis process of intermediate-2. In this reaction, the amino of intermediate-1 combining with acetic anhydride was an acylation reaction. In route 2, the amino of intermediate-1 and the carboxyl of resolving agent reacted to form Imp-B under the catalysis of acetic anhydride. In route 3, the anhydride in intermediate-2 might be hydrolyzed to form Imp-C. In route 4, SMB may contain SMB-1 as an impurity, the SMB-1 and the amino of intermediate-1 under the catalysis of acetic anhydride might react to form Imp-D. In route 5, SMB and acetic anhydride reacted to form intermediate-2', intermediate-1 and intermediate-2' might react to form Imp-E. Then the amino of Imp-E and the anhydride in intermediate-2 might react to form Imp-F.

### 4. Conclusion

In this study, we have speculated six process related impurities and illustrated their possible mechanism of formation. The six impurities in the bulk sample were firstly reported as impurities in the synthetic process of Apremilast. The Imp-F was easily broken down in the solution above 30°C which was a new compound obtained by column chromatography and preparative liquid chromatography (Pre-HPLC). The structures of Imp-A and Imp-B were speculated based on LC-MS, UV and the synthetic process. The structures of the other four impurities were characterized and confirmed via UV, IR, LC-MS and NMR. At the same time, we developed a new HPLC and validated according to ICH guidelines with specificity, precision, accuracy and sensitivity. There was no report developing an effective HPLC method to quantify all impurities in Apremilast. The newly developed HPLC can be applied to the separation and quantification the process related impurities in Apremilast samples.

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Highlight:

1. obtain a new compound Imp-F and elucidate the structures by spectral data (NMR, MS, and IR)
2. speculate the potential process-related impurities in the apremilast drug which was the first report impurities, according to the synthetic routes and identify and elucidate the structures of these impurities by spectral data (NMR, MS, and IR)
3. to optimize LC conditions and develop an effective HPLC method for the quantitative determination of the potential process-related of Apremilast according to ICH guidelines

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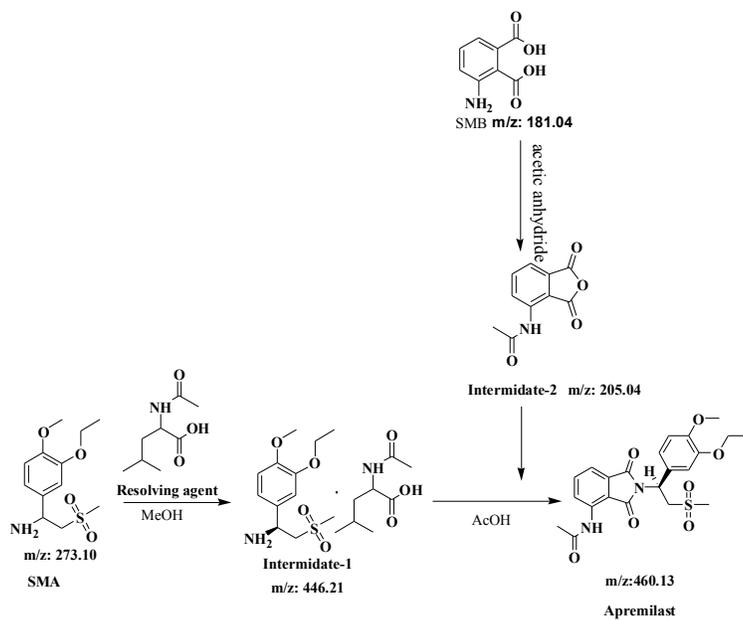


Fig 1 The synthesis route of Apremilast

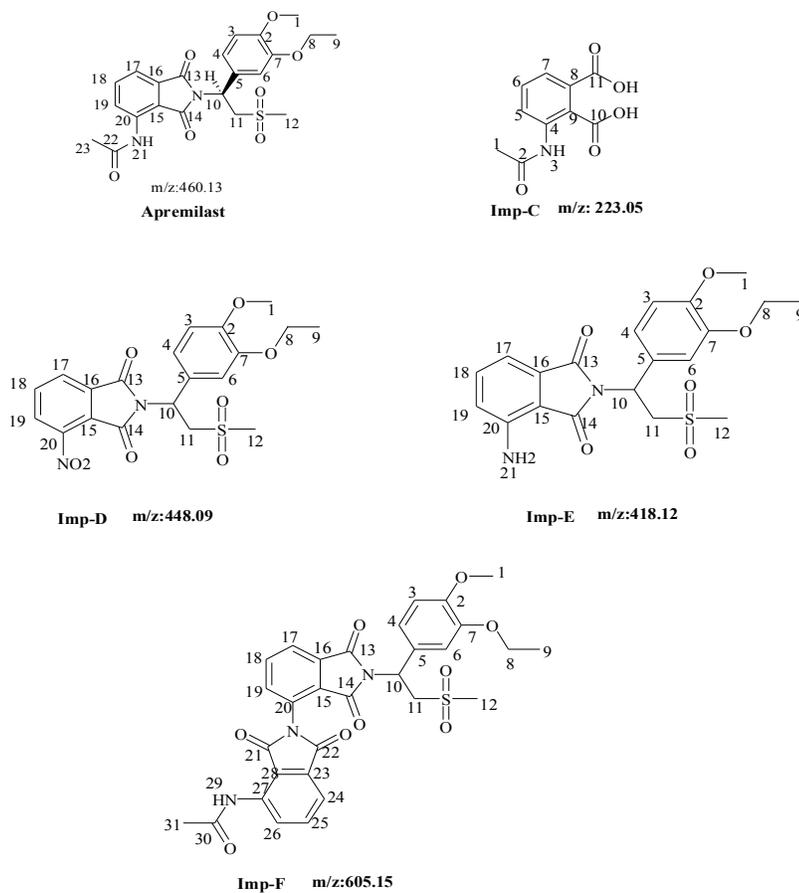


Fig 2 Structure of Apremilast and impurities with numbering assigned for NMR characterization

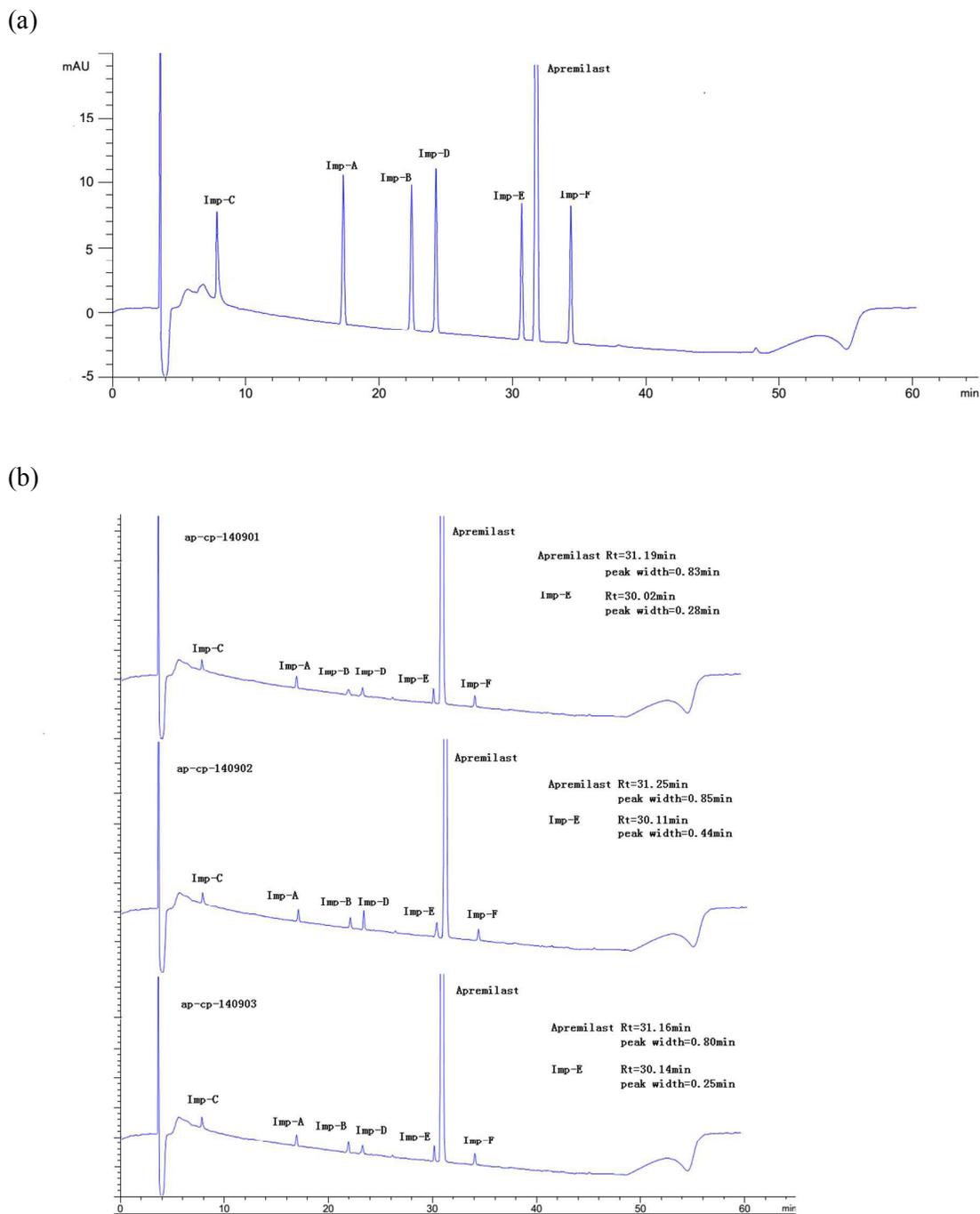


Fig 3 The HPLC chromatograms of Apremilast spiked with its impurities (a) and the HPLC analysis of three batches of bulk Apremilast (b)

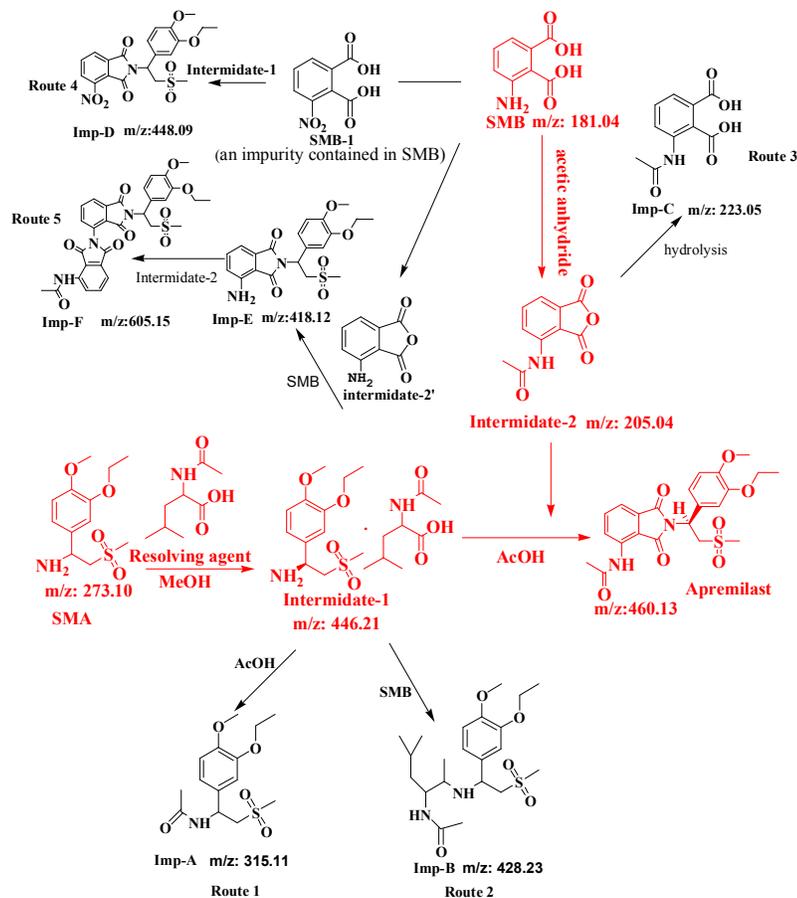


Fig 4 Five routes of producing impurities of Apremilast and their relationship

Table 1  
Summary of method validation

Compound	System suitability					Linearity			Sensitivity		Precision	
	RTT	NTP	TF	Rs	Range (ug/mL)	r	Slope	Intercept	LOD(ng)	LOQ(ng)	Inter-day %RSD(n=6)	Intra-day %RSD(n=12)
Apremilast	1.00	222206	1.02	1.77	0.03-0.63	0.9993	107709	3212.1	0.426	0.798	0.90	1.52
Imp-C	0.25	18894	0.81	-	0.06-0.62	0.9985	76805	-1374.4	0.499	1.498	1.51	1.28
Imp-D	0.76	272974	1.03	7.37	0.03-0.66	0.9993	79724	601.77	0.428	0.803	0.86	1.73
Imp-E	0.98	225859	1.04	79.2	0.03-0.57	0.9991	73594	621	0.392	0.735	0.80	1.65
Imp-F	1.10	423692	1.05	4.97	0.03-0.65	0.9993	83388	299.93	0.424	0.795	1.27	1.54

Table 2  
The summary of accuracy and calibration factor

Compound	Accuracy				Calibration Factor			
	80% MR	100% MR	120% MR	RSD (n=9)	Slope	Intercept	MCF	RSD (n=6)
Aremilast	-	-	-	-	-	-	-	-
Imp-C	96.11	99.02	97.7	2.06	38997	265.6	2.75	0.0125
Imp-D	97.1	97.9	99.5	1.42	78517	124.7	1.37	0.0178
Imp-E	97.6	99.6	99.5	2.44	74528	652.6	1.44	0.0149
Imp-F	98.9	100.4	101.3	1.97	81207	-311.73	1.32	0.0177