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 of medicinal herbs, Moutan Cortex as an example 5 Xiu-Yang Li^{1,3}[¶], Jin-Di Xu^{1,3}[¶], Jun Xu⁴, Ming Kong³, Shan-Shan Zhou¹, Qian Mao³, Eric Brand⁴, Hu-Biao Chen⁴ ⁹ ¹ Department of Pharmaceutical Analysis, Affiliated Hospital of Integrated Traditional 10 Chinese and Western M 11 People's Republic of Ch ² Department of Neurology, Affiliated Hospital of Integrated Traditional Chinese and 14 Western Medicine, Nan Republic of China ³ Department of Metabolomics, Jiangsu Province Academy of Traditional Chinese 18 Medicine, Nanjing, Peop 20 ⁴ School of Chinese Medicine, Hong Kong Baptist University, Hong Kong *Corresponding authors: 23 E-mail: songlinli $64@126$ 25 These authors contribute

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Abstract

 In the present study, a new strategy using UPLC-QTOF-MS based metabolomics coupled with diagnostic ion exploration for rapidly evaluating sulfur-fumigation caused holistic quality variation of medicinal herbs was proposed and validated by employing Moutan Cortex (MC), a commonly-used traditional Chinese medicinal herb, as an example. First, the UPLC-QTOF-MS data of MC and sulfur-fumigated MC (S-MC) were subjected to unsupervised segregation principal component analysis (PCA) and supervised orthogonal partial least squares discriminant analysis (OPLS-DA), three chemical markers in S-MC was rapidly found and structurally elucidated to be pinane monoterpene glucosides sulfonates; Then, after exploring the MS fragmentation pattern of these chemical markers, a common sulfur-containing ion *m/z* 259 was selected as the diagnostic ion, and additional seven pinane monoterpene glucosides sulfonates were detected and identified in S-MS with the diagnostic ion extraction; Finally, the holistic quality variation of MC was further dissected by dynamic determination of these ten characteristic components at different durations of sulfur-fumigation. All the results indicated that sulfur-fumigation can induce chemical transformation of pinane monoterpene glucosides in MC, and the duration of sulfur-fumigation was a decisive factor in the holistic quality variation of S-MC, and that the proposed strategy should be applicable for rapid evaluation on sulfur-fumigation caused holistic quality variation of other medicinal herbs.

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 Moutan Cortex (MC), the root bark of *Paeonia suffruticosa* Andr., is a common Chinese medicinal herb that is traditionally used for clearing heat, cooling the blood, promoting blood circulation, and eliminating stasis [\[1](#page-23-0)[,2\]](#page-23-1). In modern clinical practice, MC is also employed for the treatment of rheumatoid arthritis and type-2 diabetes [\[3](#page-23-2)[,4\]](#page-23-3). Accumulated modern research extensively demonstrates that MC possesses a wide range of pharmacological effects, including analgesic, anti-inflammatory, anti-platelet aggregation, anticancer, and cardiotonic activities [\[5-9\]](#page-23-4). Various types of constituents have been experimentally shown to be bioactive components of MC, in which pinane monoterpene glycosides are the most representatives [\[10-12\]](#page-23-5).

 In recent years, sulfur-fumigation has been widely employed in the post-harvest handling of many medicinal herbs; it serves as a low cost, high-efficiency approach to replace traditional processing methods such as sun-curing, and is used for sterilization, insect control, bleaching and to prevent corrosion [\[13](#page-23-6)[,14\]](#page-23-7). However, sulfur-fumigation has been shown to alter bioactive components in the treated herbs by inducing chemical transformations, and consequently affects holistic quality of medicinal herbs [\[15-17\]](#page-23-8). The desirability of sulfur-fumigation for processing medicinal herbs thus remains controversial [\[13,](#page-23-6)18]. Nonetheless, sulfur-fumigated herbal materials, such as Angelicae Sinensis Radix [\[19](#page-23-9)[,20\]](#page-23-10), Ginseng Radix [\[21](#page-23-11)[,22\]](#page-23-12), Paeoniae Radix [\[23](#page-23-13)[,24\]](#page-23-14) and Codonopsis Radix [\[25\]](#page-23-15), are still often found in herbal markets worldwide. To the best of our knowledge, no attention has been previously given to the effects of sulfur-fumigation on MC. Further research is necessary to

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 determine if and how sulfur-fumigation affects the holistic quality of MC, which should be significant for the safety and efficacy evaluation of sulfur-fumigated MC (S-MC).

 Sulfur-fumigated medicinal herbs have been intensively evaluated for variations in their holistic quality. Nevertheless, unresolved questions remain regarding the methodologies employed in previous research. Frequently, quality evaluation is focused on assessing changes from sulfur-fumigation on the contents of several bioactive chemicals that are selected as markers [\[20,](#page-23-10)[26,](#page-23-16)[27\]](#page-24-0). However, it is well-known that medicinal herbs are characterized by many components, and their holistic attributes are derived from the actions or interactions of multiple components [\[28](#page-24-1)[,29\]](#page-24-2). Therefore, this approach using quantitative determination of several chemical markers might be unable to reveal the holistic quality variations in some medicinal herbs. Furthermore, in most cases the mechanisms of the chemical transformations induced by sulfur-fumigation remain unknown. In recent years, with the development of analytical technologies and advancements in mass spectrometry in particular, chemical profiling has been widely adopted to characterize holistic quality variations in medicinal herbs caused by sulfur fumigation and other processing methods [\[17](#page-23-17)[,21](#page-23-11)[,25](#page-23-15)[,30\]](#page-24-3). In these studies, the investigated herbs with and without sulfur-fumigation were analyzed and then intuitively and/or statistically compared using advanced liquid chromatography-mass spectrometry (LC-MS) tools. After that, both the original components and chemicals generated from the sulfur fumigation process were qualitatively identified one by one, and potential mechanisms of the

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 chemical transformations were individually considered. Although adequately comprehensive and thorough, the complete structural elucidation of whole chemicals is difficult and time-consuming, and therefore this method might be unsuitable for general and rapid analysis. Additionally, in these studies, characteristic chemical markers for the identification of sulfur-fumigated herbs are generally unavailable.

 Metabolomics was initially proposed as a powerful approach for comprehensively profiling endogenous metabolites at a cellular or organ level to characterize the response of a living system to pathophysiological stimuli or genetic modification [\[31](#page-24-4)[,32\]](#page-24-5). Currently, mass spectrometry-based metabolomics approaches are being successfully employed in many evaluations of the holistic quality of medicinal herbs [\[33](#page-24-6)[,34\]](#page-24-7). Multiple advantages of metabolomics have been experimentally demonstrated, e.g. robust, comprehensive and sensitive [\[35\]](#page-24-8). On the other hand, ultra-performance liquid chromatography coupled with time-of-flight mass spectrometry (UPLC-QTOF-MS) performs well in terms of providing abundant mass information with accurate mass measurement, and therefore is quite useful in the structural elucidation of unknown chemicals from medicinal herbs [\[36](#page-24-9)[,37\]](#page-24-10). In this study, by using UPLC-QTOF-MS based metabolomics coupled with characteristic ion exploration, a novel and practical strategy was proposed for the rapid evaluation of holistic quality variations caused by the sulfur-fumigation of medicinal herbs, with MC as an example. First, the effects of sulfur-fumigation on the holistic quality of MC were comprehensively evaluated, and potential chemical markers for the identification of S-MC were statistically discovered by metabolomics analysis. Next, **Analytical Methods Accepted Manuscript Analytical Methods Accepted Manuscript**

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 sulfur-fumigation induced chemical transformations in S-MC were rapidly elucidated by diagnostic ion exploration. Finally, the holistic quality variations of S-MC were further dissected by dynamic determination of the chemical transformations that occurred at different durations of sulfur-fumigation.

- **2. Materials and Methods**
- **2.1. Chemicals, reagents and materials**

 HPLC-grade acetonitrile was obtained from Merck Company (Darmstadt, Germany). Deionized water was purified using the Milli-Q system (Millipore, Bedford, MA, USA); formic acid was of MS grade and was obtained from ROE Company (Main.ST. Newark, USA). All other chemicals were of analytical grade and commercially available.

 The reference compound paeoflorin sulfonate was purchased from Shanghai U-sea Biotech Co., Ltd. (Shanghai, China). The purity was higher than 98.0% as determined by HPLC analysis.

 Fresh *Paeonia suffruticosa* samples were collected from the herbal garden of Nanjing University of Chinese Medicine. Fifteen batches of commercial MC were purchased from different pharmacies in China (Table 1). All samples were authenticated by Prof. S.L. Li to be the root bark of *P. suffruticosa* based on the monograph of MC documented in Chinese Pharmacopoeia (2010 version).

Table 1 Detection results of 15 batches of commercial MC samples

+: The three chemical markers (described in *Section 3.2*) were detectable;

−: The three chemical markers (described in *Section 3.2*) were undetectable

2.2. Sample preparation

 The fresh MC samples were cut into slices with thickness of about 0.2 cm, and then separated into 5 portions. For preparing S-MC samples, a cylinder installation covered with plastic film was made to simulate the sulfur-fumigation conditions used by herbal farmers or wholesalers [\[23\]](#page-23-13). The installation was separated into upper and lower layers with a copper screen. MC slices moistened with water (1:1, w/v) were put onto the upper layer, while sulfur was put into a steel vessel and ignited, then moved into the lower layer. Four S-MC samples were accordingly prepared with fumigation durations of 2, 8, 16, and 26 h, respectively. After fumigation, the samples were dried at 50 °C for 2h. The MC sample was directly dried without sulfur **Analytical Methods Accepted Manuscript Analytical Methods Accepted Manuscript** fumigation. All the samples were prepared in triplicate, packed in vacuum, and stored 150 at 4 \degree C before use.

 The prepared S-MC and MC samples were pulverized (40 mesh), accurately weighed (0.1 g) and then ultrasonic-extracted with 5 mL methanol (power 400 W, frequency 45 kHz) for 1 h. After that, the extracts were centrifuged at 9600 g for 10 min. The obtained supernatant was diluted to a proper concentration and filtered 155 through a 0.22 μ m filter for further analysis.

2.3. Liquid chromatography

 UPLC was performed with a Waters ACQUITY UPLC system (Waters Corp., MA, USA), equipped with a binary solvent delivery system, auto-sampler, and a PDA detector. The separation was achieved on a Waters ACQUITY HSS T3 column (100 160 mm \times 2.1 mm, 1.8 µm). The mobile phase consisted of (A) methanol containing 0.1 % (v/v) formic acid and (B) 0.1 % (v/v) aqueous formic acid. The UPLC elution condition was optimized as follows: 5 % A (0–1 min), 5–17 % A (1–4 min), 17–30 % A (4–9 min), 30–70 % A (9–16 min) and 70-100 % A (16–17 min), and the flow rate was 0.3 mL/min. The temperatures of the column and auto-sampler were maintained 165 at 35 \degree and 15 \degree C, respectively. The injection volume of the standard and sample was 2.0 µL.

2.4. Mass Spectrometry

 Mass spectrometry was performed on a Waters Synapt G2-S QTOF (Micro mass MS Technologies, Manchester, UK) equipped with electrospray ionization source operating at full scan mode. Data were monitored in negative ion mode. ESI

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171 conditions were as follows: nebulization gas 6 bars at a temperature of 450 °C. capillary voltage 2500 V, cone voltage 30 V, source temperature 100 °C, desolvation temperature 400 °C, cone gas flow 50 L/h, and desolvation gas flow 800 L/h. The QTOF acquisition rate was 0.2 s and the inter-scan delay was 0.02 s. During acquisition, alternating MS scans are collected at low (6 V) and high collision energy (30-60 V), providing precursor and fragment ions information, respectively. The mass spectrometer and UPLC system were controlled by MassLynx 4.1 software.

 All MS data were acquired using the LockSpray to ensure mass accuracy and reproducibility. The molecular masses of the precursor ion and of product ions were accurately determined with leucine enkephalin (*m/z* 554.2615) in negative mode at the 181 concentration of 200 pg/ μ L and the infusion flow rate was 5 μ L/min. Centroided data were acquired for each sample from 80 to 1500 Da and dynamic range enhancement was applied in the MS experiment to ensure accurate mass measurement over a wide dynamic range.

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2.5. Multivariate Statistical Analysis

 MassLynx 4.1 software (Waters, Manchester, UK) was used to take the peak detection and alignment process for the acquired data. The method parameters were set as follows: retention time range of 2-17 min, mass range of 80-1500 Da, with a mass tolerance of 0.05 Da, the noise elimination level was set to 6.00, and the retention time tolerance was set to 0.2 min. No specific mass or adduct was excluded. Isotopic peaks were excluded in the analysis.

For data analysis, the intensity of each ion was normalized with respect to the total

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 ion count to generate a data matrix that consisted of the retention time, *m/z* value, and the normalized peak area. The multivariate data matrix was analyzed by EZinfo software 2.8 (Waters Corp., Milford, USA) and MetaboAnalyst 3.0. All the variables were mean-centered and paretoscaled prior to unsupervised segregation principal component analysis (PCA) and supervised orthogonal partial least squares discriminant analysis (OPLS-DA).

3. Results and Discussion

3.1. Evaluation of holistic quality variations in S-MC

 First, positive and negative ion modes for mass data acquiring were compared, and the negative modes was finally selected due to its superior sensitivity (Supplementary Fig. S1). To evaluate the holistic quality variations in MC caused by sulfur-fumigation, 205 the obtained UPLC-MS data $(m/z, t_R$ and ion intensity) from MC and S-MC samples at different durations of sulfur-fumigation (2 h, 8 h, 16 h and 26 h) were obtained by 207 Pareto scaling and mean-centering, and were then subjected to PCA analysis. R^2X and Q^2 (cum) (EZinfo software 2.8) were used for evaluating the PCA model, and their acquired values were 0.743 and 0.703, respectively, indicating a good modeling quality of PCA. Two-component PCA model cumulatively accounted for 78.1% of variation (MetaboAnalyst 3.0). The PCA results were displayed as score plots to easily visualize the degree of gathering or dispersion among varied groups of samples by reducing the dimensionality of the complex data. As clearly seen in Fig. 1, the PCA score plots of the five groups of samples (MC and S-MC after 2, 8, 16, and 26 hours

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 of sulfur fumigation) were accordingly divided into five clusters and were well-separated with each other (all the samples in these groups fell well inside the 95% confidence interval). The diagram intuitively revealed that the holistic quality of MC was significantly changed by sulfur fumigation. Furthermore, interestingly, along with the increase in the duration of sulfur-fumigation, the clusters moved dynamically and were gradually away from the MC one. This tendency demonstrated that the duration of sulfur-fumigation should be a decisive factor in changes of the holistic quality of S-MC: within certain limits, the longer the duration of sulfur-fumigation, the more changes in holistic quality can be observed. The PCA loading plots (Fig. 1B) demonstrated the MS ions relative to the components that contribute to the difference among the five groups of samples.

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Fig.1 PCA score plot (A) and PCA loading plot (B) of Non-fumigated MC (S0) and

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229 scaling with mean centering in negative ion mode; I (t_R 8.70 min, m/z 505.1564), II (t^R 8.71 min, *m/z* 459.1505), Ⅲ (t^R 8.70 min, *m/z* 293.0875), Ⅳ (t^R 8.70 min, *m/z* 231 495.1266), V (t_R 8.28 min, m/z 495.1267), VI (t_R 9.25 min, m/z 525.1612), VII (t_R 232 7.05 min, m/z 495.1504), **WI** (t_R 10.61 min, m/z 469.0509), **IX** (t_R 6.68 min, m/z 183.0298), Ⅹ (t^R 12.40 min, *m/z* 647.1430), Ⅺ (t^R 4.45 min, *m/z* 559.1131), Ⅻ (t^R 6.01 min, *m/z* 543.1178).

3.2. Exploration of chemical markers for S-MC identification

 In order to explore potential chemical markers for the identification of S-MC, OPLS-DA was performed between MC and S-MC by S-plot analysis. Here the examples selected for illustration were the MC sample compared with the S-MC sample after 26 hours of sulfur fumigation. The observations fell within the Hotelling 240 T2 (0.95) ellipse, where the model fit parameters were 0.999 of R^2Y (cum) and 0.998 241 of Q^2Y (cum) (EZinfo software 2.8), indicating that the OPLS-DA model established in this study owned well fitness and predictability. In the S-plot, each point 243 represented an ion t_R-m/z pair and the points at the two ends of "S" that most contributed to the observed separation were selected as the potential chemical markers 245 for the two groups, respectively. As shown in Fig. 2, \mathbf{a} (t_R 6.01 min, m/z 543.1178), **b** 246 (t_R 4.45 min, m/z 559.1131), **c** (t_R 8.71 min, m/z 459.1505), **d** (t_R 8.70 min, m/z 505.1564), **e** (t^R 12.40 min, *m/z* 647.1433), **f** (t^R 8.28 min, *m/z* 505.1560), **g** (t^R 8.70 248 min, m/z 293.0875), **h** (t_R 8.6488 min, m/z 373.1136) were the first eight ions from S-MC that successively contributed most to the S-MC differentiation from MC. Among them, ions **c**, **d**, **f**, **g** and **h** were detectable in both S-MC and MC, but ions **a**,

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selected as chemical markers for the differentiation of S-MC from MC.

254 **Fig. 2** S-plots of OPLS-DA between MC and S-MC (26 h). Ion **a** (t_R 6.01 min, m/z 255 543.1178) belongs to paeoflorin sulfonate; Ion **b** (t_R 4.45 min, m/z 559.1131,) belongs 256 to oxypaeoflorin sulfonate; Ion **c** (t_R 8.71 min, m/z 459.1505), ion **d** (t_R 8.70 min, m/z 505.1560) and ion **g** (t^R 8.70 min, *m/z* 293.0875) belong to Paeonolide; Ion **e** (t^R 12.40 258 min, m/z 647.1433) belongs to benzoylpaeoflorin sulfonate; Ion **f** (t_R 8.28 min, m/z 259 505.1560) belongs to Apiopaeonoside; Ion **h** (t_R 8.6488 min, m/z 373.1136) was not identified.

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 To investigate commercially available MC herbal materials, fifteen batches of MC samples were randomly collected from different pharmacies, and screened using the newly-discovered chemical markers. Unexpectedly, the three chemical markers could be detected in thirteen of the fifteen batches (Table 1), which indicates that sulfur fumigation is widely employed for commercial MC processing.

3.3. Elucidation of sulfur-fumigation induced chemical transformations in MC

 To further study the mechanisms of holistic quality variation in sulfur-fumigated MC, the sulfur fumigation-induced chemical transformations in MC were elucidated.

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Fig. 3 High energy (30-60V) CID mass spectra of chemical markers in S-MC samples

(S26 group) in negative mass mode: (A) Paeoniflorin sulfonate; (B) Oxypaeoniflorin

sulfonate.

298 **Fig. 4** Chemical transformation by sulfur-fumigation (\Rightarrow) and mass fragmentation 299 pathways (\rightarrow) proposed for (A) paeoniflorin sulfonate and (B) oxypaeoniflorin sulfonate.

 Previous studies have demonstrated that paeoniflorin-like pinane monoterpene glycosides are widely present in MC as the main bioactive components. They normally possess a same "cage-like" pinane skeleton with different substituent groups, typically glucosyl and phenyl-containing groups [\[40](#page-24-13)[,41\]](#page-24-14). Therefore it can be easily deduced that the sulfur fumigation-induced chemical transformation of paeoniflorin might also occur in other pinane monoterpene glycosides. In addition, the structure of paeoniflorin sulfonate suggests that the sulfur-fumigation induced reaction on this kind of chemical should happen at the hydroxyl group on the pinane skeleton rather than other substituents. And the mass fragmentation pathway of paeoniflorin sulfonate presented here shows that after successive loss of the substituents, the newly-generated sulfur-containing pinane skeleton was still stable and could not be

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334 quasi-molecular ion at m/z 559.1131 [M-H]⁻ (Fig. 3 and 4).

 Fig. 5 Extraction ion (*m/z* 259) chromatogram (A) and base peak ion chromatogram (B) of the S-MC (S26) and base peak ion chromatogram (C) of the MC (S0).

 1. Oxypaeoniflorin sulfonate, 2. Mudanpioside E sulfonate, 3. Paeoniflorin sulfonate, 4. Mudanpioside D sulfonate, 5. Galloyloxypaeoniflorin sulfonate, 6. Galloyl paeoniflorin sulfonate, 7. Mudanpioside H sulfonate, 8. Benzoyloxypaeoniflorin sulfonate, 9. Mudanpioside C sulfonate, 10. Benzoylpaeoniflorin sulfonate

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 In the base peak ion (BPI) chromatogram of S-MC, seven main peaks were newly generated compared with that of MC (Fig. 5). Interestingly, all these peaks were exactly the pinane monoterpene glucoside sulfonates detected in the extraction ion chromatogram (*m/z* 259) since they shared the same retention time and mass spectrometry information. The other three chamicals were not found in the BPI chromatogram of S-MC, possibly due to the insufficient sensitivity and selectivity of total ion analysis compared with extraction ion analysis. This fact indicated that pinane monoterpene glucoside sulfonates could be the main forms of sulfur-fumigation induced chemical transformations in S-MC, and should be largely responsible for the holistic quality variations in S-MC.

3.4. Dynamic determination of transformed chemicals in MC during sulfur-fumigation

 We have verified that pinane monoterpene glucosides are the main bioactive chemicals in MC that are transformed by sulfur-fumigation. Therefore, to more illustrate the effects of the duration of sulfur fumigation on the holistic quality of MC,

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> the ten newly-generated sulfonate derivatives together with their corresponding prototypical pinane monoterpene glucosides were simultaneously and dynamically determined by extraction ion analysis at five time points (0, 2, 8, 16, 26 h) during the 26 h sulfur-fumigation. The results are provided in Fig. 7. The whole experimental procedure including sulfur-fumigation, ultrasonic extraction and LC-MS analysis was performed in triplicate, and the determined results were repeatable (RSD<7.69%). It is obvious that the prototypical pinane monoterpene glucosides decreased inordinately during this period. Meanwhile, their sulfonate derivatives increased accordingly. The results further confirmed our previous conclusion that the duration of sulfur fumigation plays an important role in the holistic quality variation of S-MC, and within the 26 h process of sulfur fumigation, the holistic quality of S-MC increasingly changed over time.

 Fig. 7 Relative quantification of the ten pinane monoterpene glucoside sulfonates and its corresponding prototypical chemicals within 26 h sulfur-fumigation. All data with

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three replicates were reported as mean \pm standard deviation.

4. Conclusions

 In this study, a novel strategy using an UPLC-QTOF-MS based metabolomics approach coupled with diagnostic ion exploration was employed for the rapid evaluation of holistic quality variations in MC due to sulfur fumigation. The experimental results suggested that sulfur-fumigation could significantly affect the holistic quality of MC by chemically transforming pinane monoterpene glucosides, the main bioactive components of MC, to their corresponding sulfonate derivatives. Among them, three pinane monoterpene glucoside sulfonates, namely paeoniflorin sulfonate, oxypaeoniflorin sulfonate and benzoylpaeoniflorin sulfonate, were statistically selected as chemical markers for the differentiation of S-MC from MC. Sulfur-containing ion *m/z* 259 could be used as the diagnostic ion to screen pinane monoterpene glucoside sulfonates in S-MC. The proposed approach was quiet efficient to reveal sulfur-fumigation effect on the drug chemical profile in an untargeted manner. Hopefully it will also be useful for evaluating other sulfur-fumigated medicinal herbs.

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Graphical abstract:

Textual abstract:

 A novel strategy using UPLC-QTOF-MS based metabolomics coupled with diagnostic ion exploration for rapidly evaluating sulfur-fumigation caused holistic quality variation of medicinal herbs is proposed.