

Analytical Methods

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3 1 **Study on the role of sugar fatty acids in explaining differences in the malt**
4 2 **composition of barley analysed using vibrational spectroscopy and**
5 3 **chemometrics**
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10 5 Cozzolino, D.*; Degner, S.; Eglinton, J.

11 6 School of Agriculture, Food and Wine, The University of Adelaide, Waite Campus, PMB 1
12 7 Glen Osmond, SA, 5064. Australia. *Corresponding author: d.cozzolino@adelaide.edu.au
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18 9 **Abstract**

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20 10 Extensive research has been conducted on the understanding starch structure and
21 11 composition in relation with grain composition and quality. However, less effort has been
22 12 dedicated to studying the main role of other non-structural carbohydrates and their
23 13 interactions with other molecules such as glycolipids and lipopolyscharides. The formation
24 14 of complexes between amylose and lipids has been also highlighted, indicating that these
25 15 complexes can modulate different biochemical, chemical and physical properties of the grain
26 16 of different cereals. Recent studies also suggested that other sugars (e.g. sucrose) can be
27 17 associated with lipids in the form of lipopolysaccharides, glycol-glycerolipids or sugar esters
28 18 having an important role on food properties (e.g. gelatinization of the starch, formation of
29 19 emulsions). The aim of this study was to investigate the presence of sugar fatty esters in
30 20 barley malt using mid infrared (MIR) spectroscopy. Results from this study indicated the
31 21 existence of correlations between the area in the MIR related with esters (1800–1600 cm⁻¹)
32 22 and malt extract, showing statistically significant ($p < 0.05$) correlations ($R^2 = 0.36$) in barley
33 23 varieties that yield high malt extract (>83%). The results of this study also indicated that
34 24 sugar fatty esters or esters might play a role in explaining difference in malt composition
35 25 between different barley varieties.
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3 27 **Key words:** barley, mid infrared, malt, sugar fatty esters
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7 29 **1. Introduction**
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10 30 Starch is known to be the main reserve carbohydrate in barley; however, other non-
11 31 structural carbohydrates are present in the grain matrix. In particular, monosaccharides such
12 32 as sucrose, fructose and other minor oligosaccharides are abundant, representing between 1 to
13 33 4% of the total dry weight (*dw*) of the grain.¹⁻² Extensive research has been conducted on the
14 34 understanding starch structure and composition in relation with grain composition and
15 35 quality. However, less effort has been dedicated to study other non-structural carbohydrates
16 36 and their interactions with other molecules such as glycolipids and lipopolysaccharides.³⁻⁴ In
17 37 recent years, reports on the formation of complexes between amylose and lipids highlighted
18 38 the importance of different grain components and their relationships in modulating different
19 39 biochemical, chemical and physical properties in different cereal grains, including barley.⁵⁻⁶
20 40 Recent studies also suggested that the amylose-lipid complex can be associated not only with
21 41 the direct effect of the lipids in the amylose complex, but also with their interrelations with
22 42 other molecules such as sucrose esters or lipopolysaccharides that can also penetrate or
23 43 interlink with the amylose-amylopectin structure.⁷⁻¹⁰ It has been reported that triglycerides
24 44 represent a major fraction of surface lipids of maize and wheat, suggesting that glycolipids
25 45 and phospholipids could correspond to amyloplast membrane remains.¹¹ However, the
26 46 location of such lipids at the surface of the starch granule is still unknown.¹¹ Glucosyl
27 47 hydroxyl groups of α [1-4] glucan chains are located on the outer surface of the helix
28 48 allowing the more hydrophobic inner core to form inclusion complexes with a diversity of
29 49 ligands.¹² Complexes between amylose and lipids, such as fatty acids, lyso-phospholipids
30 50 and mono-acylglycerides, have been reported to significantly modify the properties and
31 51 functionality of the starch.¹² In particular, the presence of lipids during hydrothermal
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3 52 treatments can decrease the swelling capacity of the starch granules, and complex formation
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5 53 has been shown in many studies to increase gelatinisation temperature, reduce gel rigidity,
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7 54 retard retrogradation and reduce the susceptibility to enzymatic hydrolysis.¹²
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11 55 It has been reported that sugar fatty esters are important biomolecules as they can
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13 56 carry not only sugars but also long chain fatty acids into the plant cell.¹³⁻¹⁸ As sucrose
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15 57 contains eight hydroxyl groups, compounds ranging from sucrose mono- up to octa-fatty acid
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17 58 esters can be produced.¹³⁻¹⁸ These compounds can be found to have various degrees of
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19 59 esterification resulting in a similar wide range of properties and including compounds such as
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21 60 glycolipids, glycerolipids, sterols, ceramides, and sphingolipids.¹³⁻¹⁸ However, the current
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23 61 state of knowledge of their exact biochemical, chemical and physiological roles and their
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25 62 effects on the bio-physical properties of grain is still incomplete.¹³⁻¹⁸ Sucrose serves as one
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27 63 of the main carbon source for the synthesis of membrane lipids, phospholipids, non-storage
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29 64 proteins and enzymes.¹³⁻¹⁸ In most cereals, the majority of the sucrose might be converted
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31 65 into starch, where the conversion into lipids accounts for less than 5% of total carbon pool in
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33 66 the plant.¹⁶ In foods, the presence of sugar fatty esters (e.g. sucrose esters, glycolipids) can
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35 67 also determine several rheological properties and in particular they have being used as an
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37 68 emulsifier in ice cream and bread.¹⁹
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43 69 Malt quality is an economically important character of barley and research in barley
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45 70 breeding, biochemistry and genetics has led to improvements in the understanding of some of
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47 71 the characteristics that determine the properties of the barley grain and corresponding malt.²⁰⁻
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49 72 ²⁴ Grain biochemical components other than starch such as proteins, non-structural, structural
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51 73 polysaccharides and lipids, influence or modulate the quality of the grain and consequently its
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53 74 malting properties.^{4, 20-24} As stated by other authors, malt quality evaluation is approaching a
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55 75 new age beyond the basic quality analyses currently in use.^{20, 24} New technologies or
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57 76 methods that measure new aspects of malt quality not considered or understood in the past
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3 77 will allow a better understanding of the main drivers of composition, to better facilitate
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5 78 product development and to improve efficiencies in the brewing process as well as to develop
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7 79 or improve barley varieties.^{20, 24} Therefore, research into new components of the grain is
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10 80 required in order to expand our knowledge of the biochemistry of the grain. Although
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12 81 research in barley biochemistry and chemistry barley breeding has led to considerable
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14 82 improvements in the understanding of starch structure and its implications in explaining malt
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16 83 composition, the potential of obtaining an increase in malt extract appears to be limited not
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18 84 only to the starch content but also to the presence of other sugars and oligosaccharides.²⁰⁻²⁴
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20 85 Malt extract is a measure of the total water soluble materials derived from the barley malt
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22 86 available for brewing.²² Recently, the presence of functional markers associated with malt
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24 87 extract in the same region associated with glycerol-phospholipids was reported.²⁵ These
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26 88 authors stated that this glycerol-phospholipid (glycerol-betaine) (localized in the short arm of
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28 89 the chromosome 7H of barley) is also in a region adjacent to the sucrose synthase locus
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30 90 correlated with malt extract.²⁵ This finding highlights the importance of the interactions
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32 91 between sugars, lipids and malt extract.
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37 92 Complex biological systems such as grain and malt are very hard to analyse in
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39 93 isolation. Therefore the combination of fingerprint methods such as mid infrared (MIR)
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41 94 spectroscopy with multivariate data analysis has been reported as a powerful analytical tool
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43 95 for the qualitative and quantitative analysis of several biological and food matrices.²⁶⁻²⁹
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46 96 The aim of this study was to investigate the presence of sugar fatty esters in barley
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48 97 malt using mid infrared (MIR) spectroscopy as a high throughput method. Furthermore,
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50 98 absorption values at specific frequencies in the MIR region associated with the presence of
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52 99 sucrose esters were used to develop correlations with malt quality properties such as hot
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54 100 water extract (HWE).
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101 2. Materials and methods

102 Barley grain (*Hordeum vulgare L*) and corresponding malt samples were obtained
103 from commercial varieties and experimental lines sourced from The University of Adelaide
104 Barley Breeding Program (Waite Campus, South Australia). Commercial barley varieties
105 analysed in this study included Commander, Navigator, Admiral, Flagship, Schooner and
106 Gairdner, collected at two consecutive harvests (2012 and 2013) and nine localities of South
107 Australia.²⁹ Malt samples were analysed sequentially using the methodology published
108 elsewhere.²⁹ For the purpose of this study, samples were taken at 5 min after hot water
109 extraction (65°C ±5) and centrifuged (2500 g).²⁹ After centrifugation a white creamy layer
110 (emulsion) appeared (see Figure 1). This creamy layer has the characteristics and consistency
111 of an emulsion as reported by other authors.³⁰⁻³¹

112 Samples (grain, malt and white creamy layer) were analysed in a platinum diamond
113 attenuated total reflectance (ATR) single reflection cell, mounted in a Bruker Alpha
114 instrument (Bruker Optics GmbH, Ettlingen, Germany). Scanning protocols and set up were
115 given in a previous report.²⁷⁻²⁸ Air was used as reference background spectra and the ATR
116 diamond surface was cleaned with ethanol (95% v/v) before each sample was analysed. The
117 absorption region between 2500 and 2000 cm⁻¹ due to carbon dioxide and the ATR diamond
118 cell, was discarded prior to the calculation.²⁷⁻²⁸ Before univariate and multivariate analysis,
119 the ATR-MIR spectral data were pre-processed using the standard normal variate (SNV)
120 transformation in order to correct for multiplicative interferences and variations in baseline
121 shifts, followed by the second derivative Savitzky-Golay (2nd polynomial order and 40
122 smoothing data points).³² The Unscrambler software version X (CAMO ASA, Norway) was
123 used to carry out both the pre-processing and multivariate data analysis. The area in the MIR
124 range and corresponding ratios related with esters and total carbohydrates were calculated

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3 125 using the infrared absorbance at specific wavenumbers between 1800 to 1600 cm^{-1} and
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5 126 between 1600 and 1000 cm^{-1} for esters and total carbohydrates, respectively. These regions
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7 127 were defined based on published studies by other authors.³⁵⁻⁴⁰ The area and ratios were
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9 128 analysed statistically (Student *t*-test) using GenStat (14th Ed., VSN International, UK, 2011)
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11 129 ($p < 0.05$).
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131 3. Results and discussion

132 Figure 1 shows the white creamy layer in different barley malt samples after 5
133 minutes of hot water extraction. It can be observed that different barley malt varieties
134 showed a separation in three distinctive phases. An upper liquid phase (the wort was not
135 analysed in this study), the middle emulsified phase or creamy layer, and the bottom phase
136 (having a silky and grist consistency). Similar findings were reported by other authors where
137 artificial or synthetic emulsions of sucrose fatty acids were prepared and extracted using hot
138 water.^{30-31, 33}

139 It has been reported that for the physical characterisation of sugar lipids and
140 glycolipids MIR spectroscopy is a suitable methodology and in particular to study the
141 properties of molecules that form polymeric aggregates.³⁴ Therefore, in order to investigate
142 the composition of the creamy fraction in each of the barley malt samples, the layer phase
143 was analysed. Figure 2 shows the second derivative of the MIR spectrum of the creamy layer
144 for each of the malt samples analysed. The second derivative showed absorptions at 3369
145 cm^{-1} (O-H, alcohols), at 2923 cm^{-1} (C-H, aldehydes) (not shown), 1646 cm^{-1} C-O (carbonyl
146 group, aldehydes), and as 1259 cm^{-1} C-O (alcohols).³⁵⁻⁴⁰ Intense absorptions were also
147 observed around 1030, 1070, 1160 and 1630 cm^{-1} related to water, sugars and compounds
148 containing nitrogen (**Panel A**).³⁵⁻⁴⁰ Absorptions related to the CH-OH and alkyl frequencies

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3 149 for sugars mainly associated with glucose and fructose, were found between 1000 and 1200
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5 150 cm^{-1} , where absorptions associated with sucrose were found around the region between 1130
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7 151 and 1025 cm^{-1} (specific peaks at 926, 1005, 1048, 1124 cm^{-1}).³⁵⁻⁴⁰ Sucrose is characterized
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10 152 with an absorption at frequencies around 1005 cm^{-1} due to the presence of the glycosidic
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12 153 links.⁴¹⁻⁴² It has been reported by other authors that the MIR range between 1150 and 1024
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14 154 cm^{-1} might be also associated with the presence of glycolipids, and around 1740 and 1469
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16 155 cm^{-1} with phosphodiester (Panel A).³⁵⁻⁴⁰ In addition, it has been reported that in the MIR
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18 156 region frequencies around 1740 cm^{-1} might be characteristic of sucrose esters (Panel A) as
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21 157 reported by other authors.³⁵⁻⁴⁰ Other regions that were reported to be associated with the
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23 158 presence of ester linkages showed characteristic functional groups around 3420–3500 cm^{-1}
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25 159 (O–H stretch of free hydroxyl in sucrose), 1740–1750 cm^{-1} (ester C–O), 1056, 1107 cm^{-1}
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27 160 (C–O stretch of C–O–C), 995 cm^{-1} (glycosidic bond stretch of sucrose), and 2847–2860,
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29 161 2904–2945, 1460–1470 cm^{-1} (C–H stretch in CH₃ and/or CH₂).³⁵⁻⁴⁰ In this study,
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31 162 frequencies at 1747 and 995 cm^{-1} were associated with the white creamy layer and have been
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33 163 reported to be associated with sucrose esters.³⁵⁻⁴⁰ The reduction in intensity of the hydroxyl
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35 164 band and the formation of strong bands corresponding to ester carbonyl and C–H stretch in
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37 165 CH₃ and/or CH₂ (between 1700–1750 cm^{-1}) has been reported by other authors to be
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39 166 associated with sucrose esters that exhibit a maximum carbonyl band intensity (Panel B).⁴⁰⁻⁴²
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44 167 Figure 3 shows the carbohydrate to esters ratio calculated in the different malt
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46 168 samples analysed. Statistically significant ($p < 0.05$) differences in the area of the MIR and
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48 169 the corresponding ratios between the malt samples analysed were observed. In barley
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50 170 varieties that tend to yield high malt extract (>83%) a high content of esters (measured as the
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52 171 esters area) in relation to the carbohydrates (starch content) was observed. It is important to
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54 172 note that the current available laboratory methods used to measure malt extract determine the
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56 173 specific gravity of the wort, relating the strengths of sucrose solutions with their specific
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3 174 gravities, assuming that the dissolved changes in the extract solids measured as specific
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5 175 gravity are to the same extent related to sucrose.²⁹ However, the specific density of the wort
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7 176 might not be exclusively related with sugars in order to explain the observed differences in
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9 177 malt between barley.²⁹
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12 In order to further establish that sucrose fatty esters are present in the malt samples
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14 178 and they might be associated in explaining malting quality characteristics, correlations
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16 179 between HWE and the area in the MIR range related with esters (1800-1600 cm⁻¹) were
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18 180 reported (see Figure 4). Statistically significant ($p < 0.05$) correlation between the MIR area
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20 181 and hot water extract (HWE) ($R^2 = 0.36$) was observed in the malt barley varieties having
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22 182 high malt extract (>83%). The coefficient of determination obtained indicated that almost
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24 183 40% of the variation in HWE can be explained by the MIR region associated with esters
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26 184 groups.
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31 186 The ratio between carbohydrates to esters was calculated in the malt samples sourced
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33 187 from different harvests, localities and crosses (Figures 5 and 6). Figure 5 shows the
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35 188 calculated ratio for two malt varieties sourced from two localities and three consecutive
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37 189 harvests. The trend in the relationship between carbohydrates to esters was consistent in each
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39 190 of the varieties independent of the year of harvest. Figure 6 shows the results of the ratio
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41 191 between carbohydrates to esters in a validation set using a cross between the variety Canada
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43 192 with Navigator and Admiral (see Figure 5). The most important outcome from the validation
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45 193 was that lines or crosses having either Navigator or Admiral as one of the parents showed
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47 194 similar trends for the ratios of starch to esters.
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52 195 The results of this study indicated that sucrose esters or esters might play a role in
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54 196 explaining differences in malt properties in different barley varieties. These results also
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56 197 indicated that the MIR spectrum of the barley endosperm contains the fingerprint of the main
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5 199 However, more studies need to be carried out in order to extend the use of this approach to
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11 12 13 202 **4. Conclusion**

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16 203 The results of this study indicated that sucrose esters or esters play a role in
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18 204 explaining differences in malt properties in different barley varieties. These results also
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20 205 indicated that the MIR spectrum provides with the fingerprint of the main chemical,
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22 206 biochemical or biophysical characteristics related to an individual genotype and this is not
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24 207 related exclusively with starch content (amylose or amylopectin). However, more studies
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26 208 need to be carried out in order to extend the use of this approach to other varieties or breeding
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28 209 lines.
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33 34 35 211 **Acknowledgments**

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46 216 The authors declare no conflict of interest.
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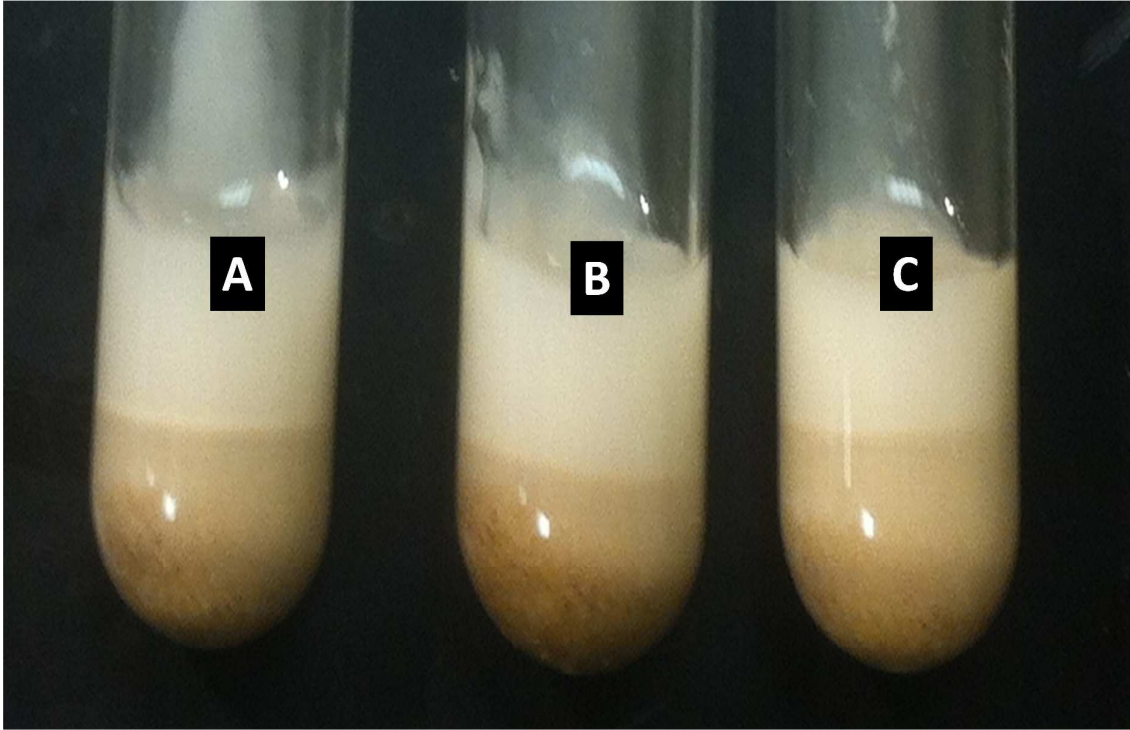
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33 A: Admiral, CHA; B: Navigator, CHA; C: Navigator, RAC
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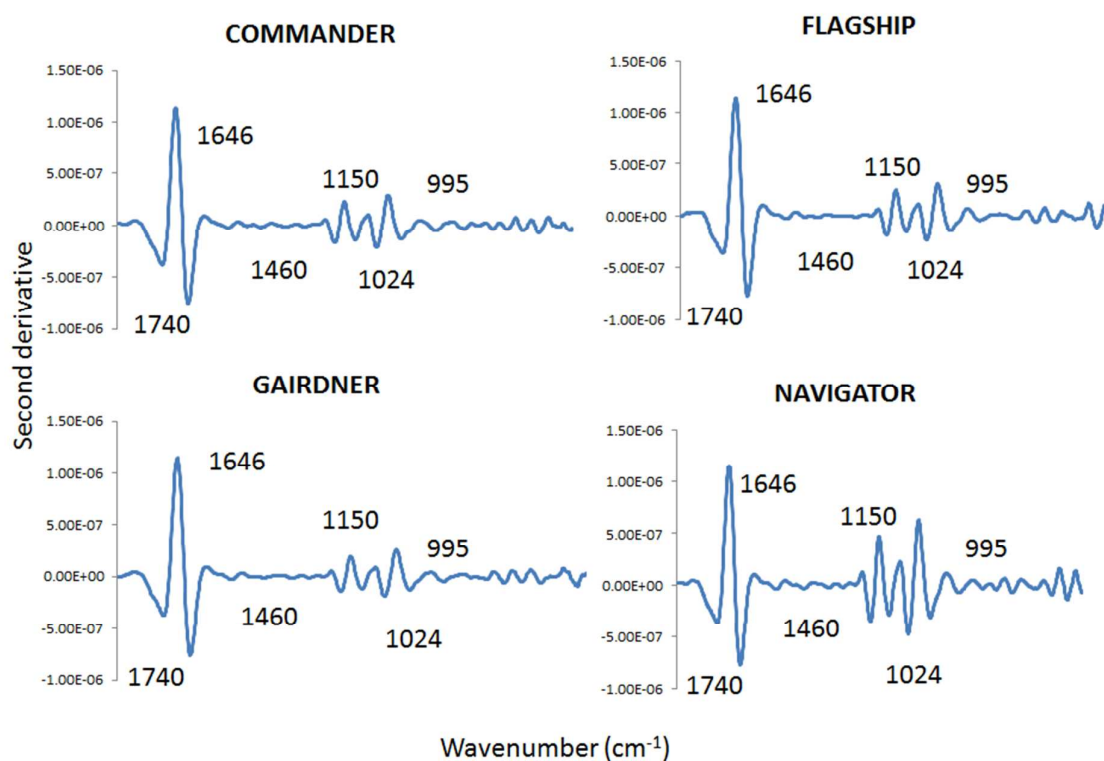
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3 283 Figure 2. Second derivative of the mid infrared spectra of creamy layer in each of the barley
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5 284 samples analysed. **Panel A:** fingerprint range; **Panel B:** Carbonyl esters region (1700-1760
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7 285 cm^{-1}).

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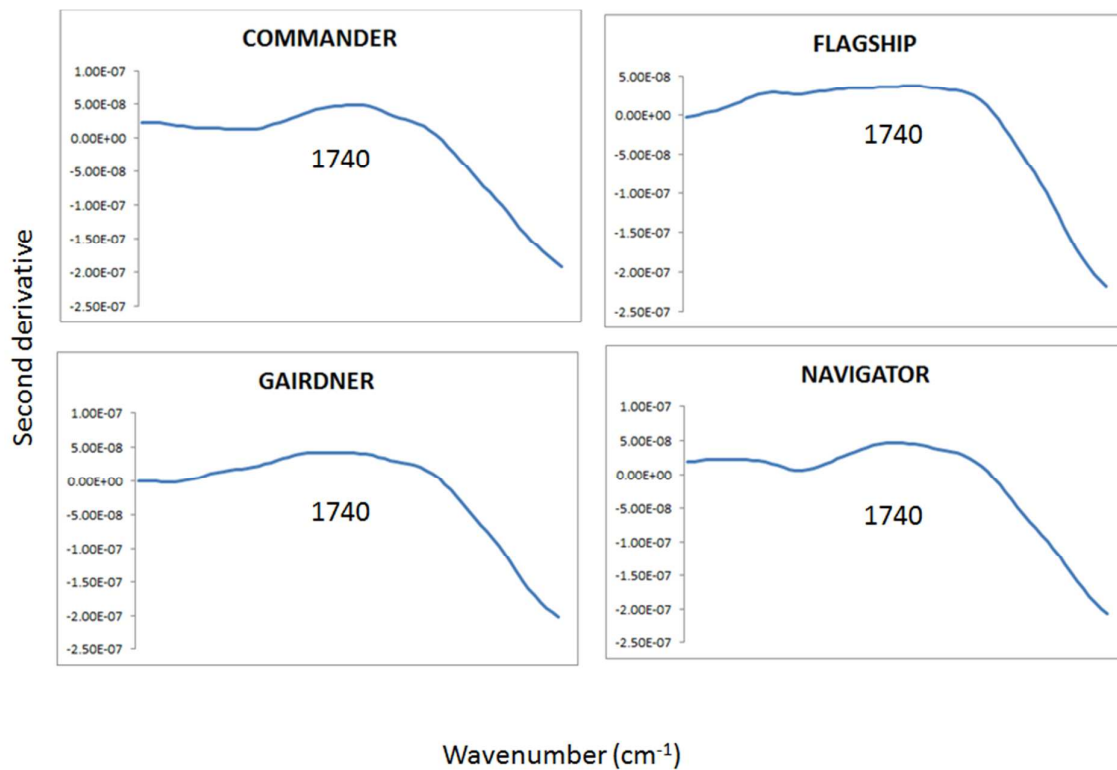
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13 287 **Panel A:** fingerprint range (700-1800 cm^{-1}).



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3 290 **Panel B:** Carbonyl esters region (1700-1760 cm^{-1})
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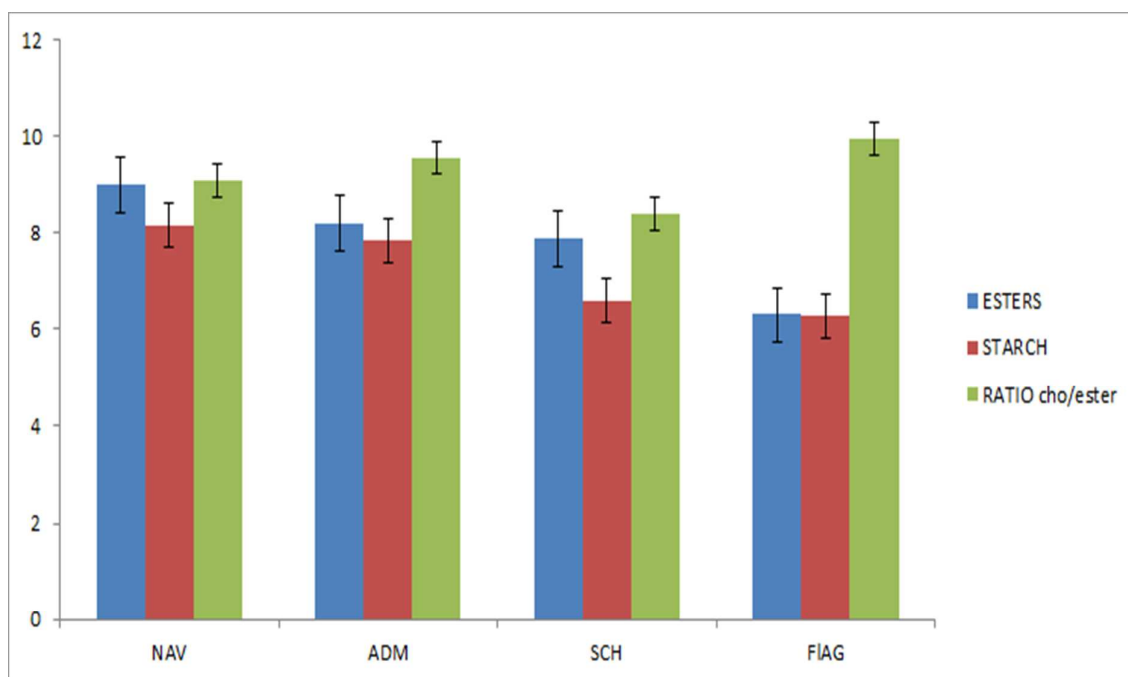


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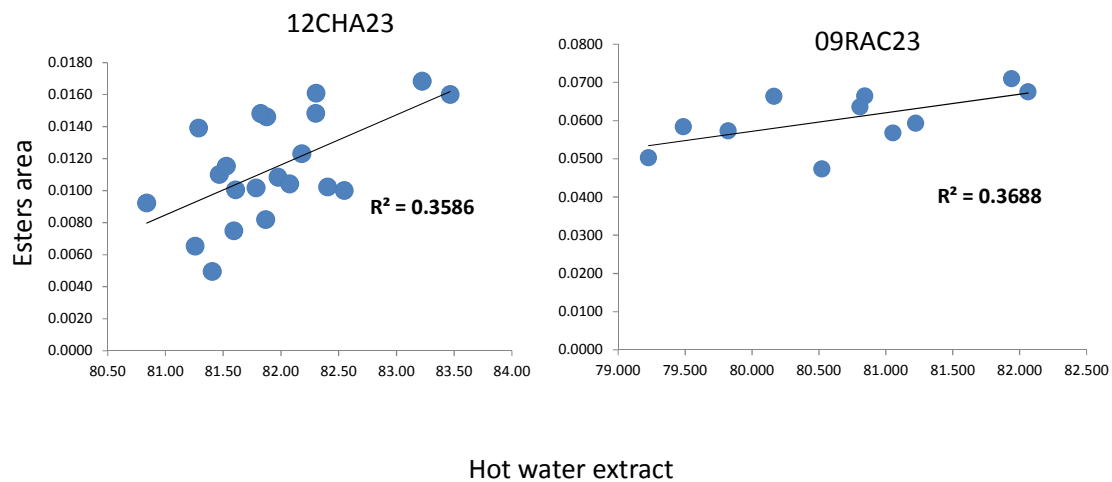
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3 293 Figure 3. Area from the MIR corresponding to carbohydrates, esters and ratio between
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5 294 carbohydrates and esters in four barley malt varieties. NAV: Navigator, ADM: Admiral;
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7 295 SCH: Schooner, FLAG: Flagship.
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299 Figure 4. Linear correlations between hot water extract and the area in the mid infrared
300 associated with esters.

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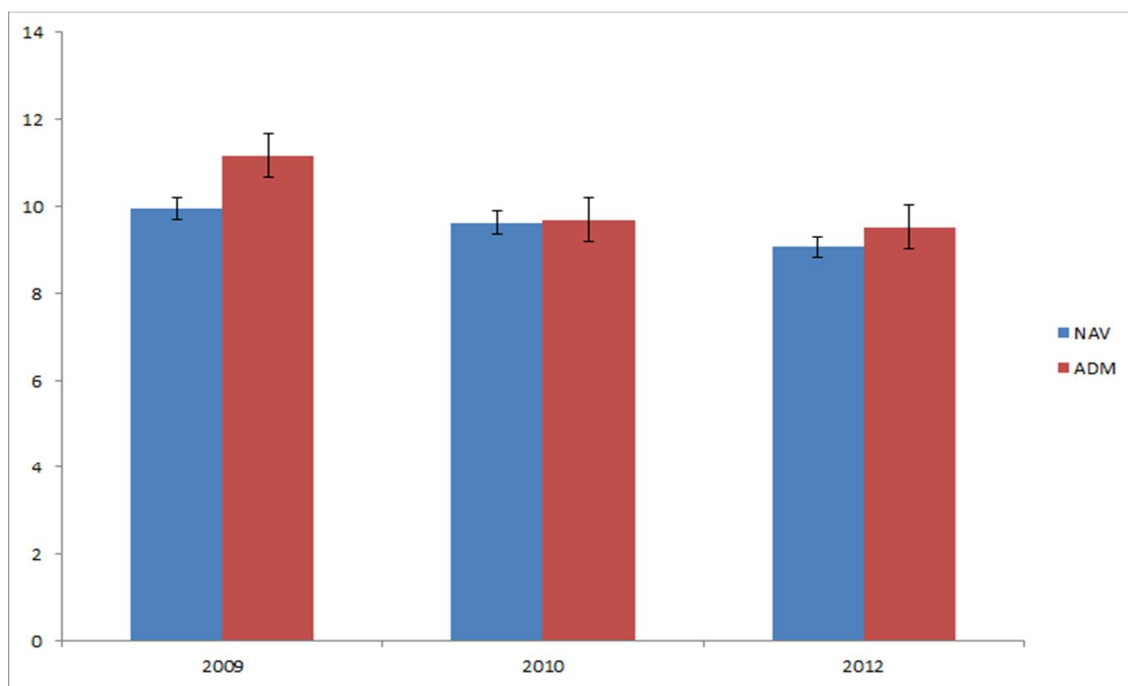
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3 305 Figure 5. Mid infrared ratio between carbohydrates and esters in two barley varieties analysed
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5 306 sourced from three consecutive harvests.
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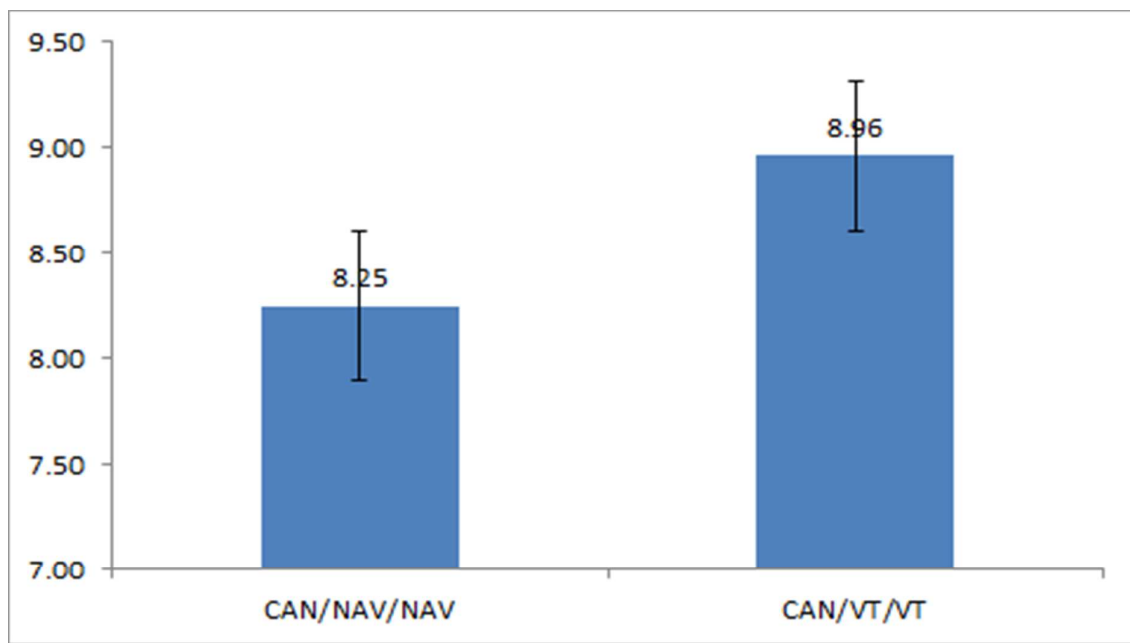
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310 Figure 6. Carbohydrate and ester ratio in a cross derived from Admiral and Navigator with
311 Canada.

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