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Colloidal gold-based lateral flow immunoassay for direct determination of haemoglobin A1c in whole blood

Shu Hwang Ang,^a Choo Yee Yu,^b Geik Yong Ang,^b Yean Yean Chan,^b Yatimah binti Alias,^a and Sook Mei Khor*^a

^a Chemistry Department, Faculty of Science, University of Malaya, 50603 Kuala Lumpur, Malaysia. E-mail: naomikhor@um.edu.my; Fax: +603-79674195; [Tel:+603-79677022](tel:+603-79677022), Ext: 2520

^b Department of Medical Microbiology & Parasitology Laboratory, School of Medical Sciences, University Sains Malaysia, 16150 Kubang Kerian, Kelantan, Malaysia.

Abstract

We demonstrated an immunosensor that operates based on lateral flow principle designed to detect haemoglobin A1c (HbA1c), a biomarker for Type 2 Diabetes Mellitus in human blood samples. Two different clones of antibodies were used to form “sandwich” when HbA1c was present. Functionalization of colloidal gold with antibodies was carefully optimized to generate stable gold conjugates to amplify signal from formed “sandwich” on the immunoassay. The ideal blocking reagent to minimize background noises, the test lines format on the strip, the selectivity of the assay towards HbA1c against HbA0 and glycated species of HbA0, and the potential interference contributed by the colour of the blood sample were investigated. Captured HbA1c on the lateral flow immunosensor can be distinguished based on the numbers and intensity of the test lines shown, visual detection of the lines shown then indicated the distinctive groups with normal, under control, and elevated level of HbA1c. Also, a calibration curve that covered the detection range of 4% (20 mmol mol⁻¹) and 12% (108 mmol mol⁻¹) HbA1c was reported, indicating that the prototype can be used for future quantification utilizing a lateral flow reader. The resultant immunosensor was found to report results

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3 that were easier to be interpreted and relatively inexpensive compared to
4 electrochemical biosensors developed for the detection of HbA1c.
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9 **Keywords:** Haemoglobin A1c (HbA1c); lateral flow Type 2 diabetes mellitus;
10 point-of-care (POC); diabetes management.
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14 **1.0 Introduction**

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16 Haemoglobin A1c (HbA1c) has long been used as a biomarker for the diabetic
17 management. Haemoglobin in the red blood cells interacts with blood glucose to form
18 glycated haemoglobin (HbA1c), a stable Amadori adduct that serves as a reliable
19 indicator of individual glycemic status over 120 days.¹ Because of its usefulness as a
20 good gauge for diabetic care, HbA1c levels should be constantly monitored. In fact,
21 the methodology for HbA1c detection differs between laboratory and point-of-care
22 testing. Laboratory-based HbA1c detection methods such as cation-exchange HPLC,
23 affinity chromatography, and capillary electrophoresis,² involve bulky and expensive
24 instruments and require long turnaround time. In contrast, advances in technology that
25 resulted in fast, easy-to-use, point-of-care (POC) HbA1c analyzers, offer better
26 alternative for on-site facilitation to reduce patient inconvenience for better diabetic
27 management.
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46 To enhance the on-site facilitation for diabetes care, the attempt to develop POC
47 devices for HbA1c detection never ceases. In general, HbA1c biosensors can be
48 categorized into biosensors that direct detect HbA1c or fructosyl valine (FV, peptide
49 generated from HbA1c proteolysis)-based biosensors. With the advent of
50 nanotechnology, more HbA1c biosensors were designed and reported to possess
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3 outstanding analytical performance. For example, a FV biosensor (which uses enzyme
4 as the biorecognition element) utilizing zinc-oxide nanoparticles-polypyrrole film for
5 indirect detection of HbA1c was reported to have enhanced stability and sensitivity
6 than previously reported FV biosensors.³ On the other hand, biosensors that direct
7 detect HbA1c can be further categorized as amperometric, potentiometric,
8 piezoelectric biosensors, and biochips.⁴ In fact, with the discovery of more biomarkers
9 for different diseases, effort to increase the specificity and sensitivity to detect the
10 biomarkers has led immunoassays-based devices to become more clinically relevant.⁵
11 Hence, most of the fabricated biosensors reported that operate based on
12 electrochemical principle (owing to the ease of miniaturizing the devices at high
13 sensitivity) typically involve anti-HbA1c antibodies as the bio-recognition element.
14 For example, a potentiometric HbA1c immunosensor using mixed SAM (Self
15 Assembled Monolayer)-wrapped nanospheres was reported to possess good
16 consistency in clinical setting, at a miniaturized form.⁶ Other than electrochemical
17 immunosensor, SPR (surface plasmon resonance) biosensor⁷ and antibody
18 microarrays⁸ were reported to detect HbA1c as well. While maintaining high
19 sensitivity in HbA1c detection, the reported biosensors mostly involved expensive
20 installation cost for instrumentation, professionals to decipher the results obtained,
21 and a series of elaborated sampling procedure that could compromise the rapidity to
22 generate results. In fact, the antibodies-based microarrays reported involved long
23 incubation time of 2 hours,⁸ rendering the approach of detection not suitable for
24 routine diabetes management. For POC devices to be useful as diabetes care tool
25 where Type 2 diabetes is prevalent, especially in the resource-limited settings,
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3 relatively inexpensive technologies with the ease of sampling, testing, and interpreting
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5 procedure are needed.
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8 As simple as the urine pregnancy test strip, our lateral flow HbA1c
9 immunosensor is aimed to be user-friendly with relatively easy sampling, testing, and
10 subsequently interpreting procedure. Defined volume of diluted blood sample was
11 flowed through the lateral flow assay matrix where HbA1c was captured at the test
12 line, and the immunoreaction with anti-HbA1c antibodies, subsequently colloidal
13 gold-functionalized anti-haemoglobin antibodies will generate visible test line(s).
14 Before the application of the approach, the ideal blocking reagents, the test line
15 format, the selectivity of the assay and the potential interference from the colour of
16 the blood sample were studied. With the calibration curve established between the test
17 line intensity and HbA1c levels, the feasibility to perform measurement using the
18 lateral flow immunosensor was demonstrated.
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36 **2.0 Materials and Methods**

37 *2.1 Reagents and Materials*

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39 The capturing antibody (monoclonal anti-haemoglobin A1c IgG1 antibody), the
40 detecting antibody (monoclonal anti-haemoglobin IgG1 antibody), the purified
41 HbA1c, HbA0, and glycated HbA0 were purchased from Fitzgerald Industries
42 International (Acton, MA, USA); the secondary antibody (polyclonal goat-anti-mouse
43 IgG antibody) was obtained from Thermo Fisher Scientific Inc. (Waltham, MA,
44 USA); gold nanoparticles (40 nm) were purchased from Kestrel Biosciences Co., Ltd.
45 (Pathumthani, Thailand); bovine serum albumin was purchased from Amresco LLC
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3 (Solon, OH, USA); and 10% Western Blocking Reagent was obtained from Roche
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5 Diagnostics (Selangor, Malaysia). The calibrators and haemolysis reagent were
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7 purchased from Kamiya Biomedical Company (Seattle, WA, USA). All other
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9 chemicals were purchased from Sigma-Aldrich (Selangor, Malaysia). Phosphate
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11 buffer (containing Na_2HPO_4 and NaH_2PO_4) was prepared at pH 7.4 by using Milli-Q
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13 water with a resistivity of 18.2 $\text{M}\Omega$ cm, NaCl, K_2CO_3 , and HCl, were diluted using
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15 Milli-Q water; all other reagents were diluted with the prepared phosphate buffer. The
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17 pH was adjusted to pH 7.4 to correspond to the normal physiological pH range
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19 between 7.35 and 7.45 in human blood.⁹ Because of different matrices involved in
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21 optimization studies, distinctive reagents are needed to perform dilutions. For gold
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23 conjugates, blocking reagents and selectivity test which involved purified proteins
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25 (i.e. antibodies, bovine serum albumin, and purified haemoglobin species etc.) that are
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27 sensitive to pH changes, the pH-adjusted phosphate buffer that resembles
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29 physiological pH was used. On the other hand, to lyse the erythrocytes completely,
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31 interference studies and real sample analysis that involved whole blood required
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33 haemolysis reagent (distilled water with stabilizers). Also, in order to maintain the
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35 matrices similarity between whole blood and calibrators purchased, haemolysis
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37 reagent was used to perform dilution to generate calibration curve in the studies.
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39 Laminated nitrocellulose membrane card (ref. HF135MC100), cellulose fiber pads
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41 (ref. CFSP173000) and glass fiber pads (ref. GFPC083000) were purchased from
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43 Merck Millipore (Selangor, Malaysia). For the studies that involved blood testing,
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45 informed consent was obtained from patients recruited and the study protocol was
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47 implemented in accordance to the institutional medical ethics board committee.
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2.2 Apparatus

The absorbance of the gold conjugates before and after treatment with 10% NaCl was measured at a wavelength of 530 nm using an Infinite® M200 PRO microplate reader (Tecan Group Ltd., Männedorf, Switzerland). Signals on the immunosensor were measured with an ESEQuant lateral flow reader (Qiagen Lake Constance GmbH, Stockach, Germany). Prior to manual lining of capture reagents on strips using a pipette, lateral flow strips were cut using Matric 2360 programmable shear from Kinematic Automation (Twain Harte, USA).

2.3 Construction of Lateral Flow Strips

2.3.1 Conjugation of Gold Nanoparticles to Antibodies

Optimization of the gold nanoparticle-conjugated anti-haemoglobin-antibody was performed using a flocculation assay described by Thobhani *et al.*¹⁰ In this assay, the optimum pH and the minimal antibody concentration required to stabilize the gold conjugates were determined. First, the stability of gold conjugates was tested by varying the pH of gold nanoparticles in the range of pH 5.0 to 9.0 (adjusted using K₂CO₃), at a constant antibody concentration of 30 µg mL⁻¹. The absorbance of the gold conjugates before and after treatment with 10% NaCl was measured at a wavelength of 530 nm using an Infinite® M200 PRO microplate reader (Tecan Group Ltd., Männedorf, Switzerland). To determine the ideal concentration of antibody that solvates the gold nanoparticles, gold nanoparticles with adjusted pH were titrated with different antibody concentrations, and the stability of the gold conjugates was

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3 assessed as described above. Once the ideal concentration of antibody and pH were
4 determined, gold conjugates were produced in a large scale and diluted to OD 8 (to
5 generate visually interpretable lines without compromising the release time from the
6 conjugate pad) to be deposited onto the conjugate pad of the strips.
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12 13 14 15 *2.3.2 Optimization of Blocking Reagents*

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17 Blocking reagents are important to eliminate the non-specific binding of protein on
18 the NC membrane and to minimize the interference of the background noise from
19 nonspecific binding on the test strip. In search of the ideal blocking reagent for our
20 immunosensor, industrial polymers such as polyvinylpyrrolidone (PVP) and polyvinyl
21 alcohol (PVA), carrier proteins such as casein in the western blocking reagent (WBR),
22 and bovine serum albumin (BSA) were tested. Ten microliters of purified HbA1c (0.1
23 mg mL⁻¹) (Fitzgerald Industries Inc, Acton, MA, USA) was dispensed onto fully
24 assembled lateral flow test strips (positive test strips), and to determine the
25 background generated, a corresponding negative control strip (blocked with the same
26 blocking reagent) was tested with 10 µL of phosphate buffer substituted for the
27 purified HbA1c. The signals generated from positive test strips and corresponding
28 negative control strips (blocked with different blocking reagents) were then measured
29 using an ESEQuant lateral flow reader (Qiagen Lake Constance GmbH, Stockach,
30 Germany). The ratio of signal to background was calculated by dividing the
31 background (signal generated on negative control strips) using the signal generated on
32 positive test strips.
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2.3.3 Preparation of Lateral Flow Strips

The lateral flow strip consists of four overlaying components (the buffer application pad, the conjugate pad, the laminated nitrocellulose membrane, and the absorbent pad). It allows the test to be run by capillary flow along the strip. The nitrocellulose membrane contains three test lines, where the capturing antibody (monoclonal anti-HbA1c IgG1 antibody) was deposited (Fig. 1). A control line, which contains polyclonal goat-anti-mouse IgG antibody, was created as an internal control of the lateral flow strip. The capturing antibody lined on the strips was dried for at least 30 min in a desiccator before blocking was performed. With four components overlaying each other at 2 mm in length, the lateral flow strip at 4 mm in width was fully assembled after the strip was lined with the capture antibodies and blocked with optimized blocking reagent (please see section 2.3.2 for optimization of blocking reagents). A defined amount of diluted samples (calibrators or whole blood) of 10 μL was first dispensed directly onto the laminated nitrocellulose membrane. Following application of the washing buffer (50 mM phosphate buffer, pH 7.4, with 5% Tween-20), the gold conjugates on the conjugate pad were mobilized to react with antigen (HbA1c) and capture antibodies on the nitrocellulose membrane. The non-reacted gold conjugates and antigens (HbA1c) will then be mobilized to the absorbent pad.

2.3.4 Selectivity and Interference Test

In the selectivity test, glycated species of HbA0, HbA0 and HbA1c were diluted to 0.1 mg mL⁻¹ with phosphate buffer (0.01 M, pH 7.4) prior to the test. Then, 10 μL of HbA1c, HbA0, and glycated species of HbA0 were dispensed directly onto the NC

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3 membrane. Washing buffer (50 mM phosphate buffer, pH 7.4, with 5% Tween-20)
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5 were applied to mobilize the gold conjugates and antigens (glycated species of HbA0,
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7 HbA0, or HbA1c) to complete the assay.
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10 To assess the specificity of the signal generated on nitrocellulose (NC)
11 membrane, an interference test was conducted. A control strip with a conjugate pad
12 dried with 1 mg mL⁻¹ monoclonal anti-haemoglobin antibody (IgG1, without
13 conjugation to gold nanoparticles) was prepared. For comparison, the control strip and
14 test strip (with the conjugate pad containing gold- conjugated-monoclonal anti-
15 haemoglobin antibody) were tested with 10 µL of a 1:5 dilution of whole blood. After
16 direct dispensing of the diluted whole blood onto the strips, the strips were washed
17 with washing buffer.
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32 *2.3.5 Optimization on Test Lines and Dilution Factors*

33 The amount of test lines was optimized for ideal identification of different HbA1c
34 levels in blood samples. Strips were either lined with one, two, or three lines of
35 monoclonal anti-HbA1c antibody with one control line consisting of polyclonal goat-
36 anti-mouse antibody. Three concentrations of HbA1c were selected for testing. In the
37 preliminary study on test lines optimization, the 4.6%, 6.0%, and 7.6% calibrators
38 were diluted ten times (with the haemolysis reagent), and 5 µL of that dilution were
39 directly dispensed onto half dipsticks (without the buffer application pad). Then, half
40 dipsticks were immersed into 10 µL of gold conjugates, followed by 100 µL of
41 phosphate buffer to complete the test.
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Due to the viscosity of the whole blood samples, the dilution factor used was very critical not only to lyse the cellular content of whole blood to release HbA1c, but also to improve the fluidity of whole blood on the test strip. The haemolysis reagent containing blood stabilizers was used to perform manual dilutions on whole blood and calibrators. Calibrators (lysed packed human red blood cells) with assigned values (4.6%, 6.0%, 7.6%, 9.1%, 10.7 %, and 15.1%) of HbA1c were tested on different dilution factors to determine the quality of the calibration curve produced. Once the dilution factor was identified with calibrators, assessed by the quality of the calibration curves generated, the same dilution factor was used to determine the ideal dilution factor for whole blood testing on our immunosensor.

3.0 Results and Discussion

3.1 Design and Principle of Lateral Flow Immunosensor

This lateral flow immunosensor was designed to meet the demand for sustainable diabetes care in a resource-limited setting. The HbA1c biosensor was made of paper and, with large-scale production, the cost of the test strip can be within the range of \$0.10 to \$3.00 (depending on the antibody production cost),¹¹ which makes it relatively inexpensive. Our HbA1c lateral flow immunosensor consists of a sample pad, conjugate pad, nitrocellulose (NC) membrane, and absorbent pad. On the NC membrane, three test zones contain the capturing antibody for semi-quantitative, visually interpretable HbA1c detection, while a control zone with the secondary antibody (goat anti-mouse antibody) serves as an internal control for each test strip (Fig. 1). In our lateral flow HbA1c immunosensor, we chose to perform the detection in the sandwich format (Fig. 1), which is known to be a more selective and sensitive

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3 way to detect molecules with more than one epitope.¹² Haemoglobin consists of four
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5 subunits, and HbA1c is formed *via* glycation of the terminal valine residue in β
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7 subunit(s).¹³ Because HbA1c constitutes only a small fraction (approximately 5%) of
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9 the total haemoglobin¹⁴ (for a detailed description on the biological aspect of the
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11 haemoglobin pool, please refer to Ang *et al.*¹⁵), to amplify the test signal, we chose
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13 anti-haemoglobin antibody as the detecting antibody. To maintain the specificity and
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15 selectivity of our assay, anti-haemoglobin A1c (HbA1c) antibody was immobilized on
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17 the NC membrane as the capturing antibody (Fig. 1b). As the sample ran through the
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19 assay, the capturing antibody binds the glycated epitopes, exposing the non-glycated
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21 sites or non-specific glycation sites (non-terminal valine residues in β subunits or
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23 other residues in any haemoglobin subunits), which were then recognized by the gold
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25 nanoparticle (40 nm)-conjugated detecting antibody, thus generating a signal at the
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27 test zone(s).
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37 **Fig. 1**

38 39 40 41 *3.2 Haemoglobin A1c Immunosensor Evaluation*

42 43 *3.2.1 Selectivity and Interference Test*

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45 To evaluate the selectivity of the assay, diluted purified non-glycated haemoglobin
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47 (HbA0), glycated species of HbA0 (consists of glycation sites other than β -chain N-
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49 terminal valine) and haemoglobin A1c (HbA1c) were tested. Because the gold
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51 conjugated-anti-haemoglobin antibody binds specifically to haemoglobin, the
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53 selectivity test is thus necessary to make sure that the signals generated on the NC
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3 membrane are purely from glycated haemoglobin (HbA1c). Non-glycated
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5 haemoglobin and other glycated species can bind to gold conjugated-anti-
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7 haemoglobin antibody, hence posing the chance to generate false positive results on
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9 the strip. Other than that, because glycation can occur at other amino acids, therefore,
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11 the selectivity of anti-HbA1c antibody towards the glycation site at β -chain N-
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13 terminal valine is important to ensure the signal is specifically from HbA1c in
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15 samples. To ensure the selectivity in detection of HbA1c, purified HbA1c (human
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17 haemoglobin glycated at β subunit N-terminal valine), glycated species of HbA0
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19 (glycation sites other than the β -chain N-terminal valine) and non-glycated
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21 haemoglobin (HbA0) were tested on the haemoglobin A1c immunosensor that was
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23 developed. From Fig. 2A, strip 2 with glycated species of HbA0 and HbA0 (strip 3)
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25 showed no signal on the immunosensor, indicating that the developed immunosensor
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27 was very selective to HbA1c. Hence, the test lines that showed up in the
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29 immunosensor were representative of the presence of HbA1c (Fig. 2A).
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37 Because the whole blood samples are red in colour, there was a possibility that
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39 the signals generated on the test lines on the developed immunosensor were
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41 contributed partly by the redness of the whole blood sample. While the measurement
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43 of the reflectance on test lines can be performed by the ESQuant lateral flow reader,
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45 which is designed to be specific for detecting gold conjugates, the visual
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47 interpretation by household end-users can be cumbersome without the reader if blood
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49 interferes with the colour generated on the test lines. From our study, we noticed that
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51 the background colour of whole blood did not contribute to the colour generated on
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53 the test line (refer to Fig. 2B); thus, the colour generated on the test line was solely
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3 dependent on the bound gold conjugates. In short, it was confirmed that our
4 developed immunosensor is very specific to HbA1c and only bound gold conjugates
5 that formed “sandwiches” with HbA1c and the capturing antibody (monoclonal anti-
6 HbA1c antibody) will result in the visibility of the test lines.
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15 **Fig. 2**
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20 *3.3 Optimization Experimental Parameters*
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22 *3.3.1 Optimization of Gold Conjugates*
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24 We improved the detection sensitivity and specificity by optimizing the generation of
25 stable gold conjugates. To determine the ideal conditions to synthesize stable gold
26 conjugates, flocculation assay was performed as described in 2.3.1. In the flocculation
27 assay, electrolytes such as sodium chloride were used to mask the charges on the gold
28 nanoparticles, causing the disruption in attraction and repulsive forces, resulting in
29 gold nanoparticles collapsing and aggregating to show visual changes in colour.¹⁰ On
30 the other hand, the presence of the proteins inhibits the aggregation of gold
31 nanoparticles by absorbing to the surface of the gold nanoparticles.¹⁰ Hence, the
32 smaller the difference in absorbance before and after the treatment with 10% NaCl
33 (termed as absorbance decrease), the better is the conjugation of proteins onto the
34 surface of the gold nanoparticles and therefore the higher the stability of the gold
35 conjugates. By performing the flocculation assay, we found out that at pH 8.0, with a
36 concentration of 30 $\mu\text{g mL}^{-1}$ of anti-haemoglobin antibody, the gold conjugates
37 formed had the least absorbance difference (Fig. 3). Therefore, it indicated that gold
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3 conjugates were very stable under the specific conditions, which were utilized later to
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6 prepare gold conjugates in large scale for deposition onto conjugate pads to assemble
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8 a full haemoglobin A1c immunosensor.
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10 11 12 **Fig. 3**

13 14 15 16 17 *3.3.2 Optimization of Blocking Reagents*

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20 To eliminate high background, different types of blocking reagents were tested in the
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22 assay to select the optimal blocking buffer for the test strips. An ideal blocking buffer
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24 should be able to reduce the background noise while providing concentration-
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26 dependent detection of the analyte, i.e., HbA1c. In our case, 1% of the western
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28 blocking reagent (WBR), which contains casein, was shown to efficiently block
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30 unwanted non-specific signal. Little to no background was observed on the negative
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32 control strips (strips tested with phosphate buffer in place of purified HbA1c) blocked
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34 with 1% WBR. On the other hand, the unblocked strips or blocked strips with other
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36 blocking reagents (polyvinyl pyrrolidone (PVP) and polyvinyl alcohol (PVA), bovine
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38 serum albumin (BSA), and a mixed blocking reagent that consisted of 1% BSA,
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40 0.02% polyvinyl pyrrolidone (PVP), 0.05% casein, 0.002% Tween-20 in 1X Tris-
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42 buffered saline (pH 7.4)) yielded high signal in negative control strips, indicating that
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44 the signal observed from the positive test strips (strips tested with purified HbA1c)
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46 did not necessarily originate from the binding of the HbA1c (Fig. 4). In most lateral
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48 flow studies, remaining protein-binding sites on the NC membrane can be blocked
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50 efficiently by BSA (bovine serum albumin);^{16,17,18} however, our test strips showed
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3 significant interference from the background noise (non-specific binding) when the
4 strips were blocked with BSA. In other words, BSA and other blocking reagents did
5 not sufficiently block the protein-binding sites on the strips. On the other hand, 1%
6 WBR-blocked strips which had little to no signal on negative control strips, reflected
7 that 1% WBR is the best blocking reagent for our test strips, similar to the observation
8 by Ang *et al.*¹⁹ Therefore, we can then be confident that the signal generated from the
9 immunosensor blocked with was specifically originating from the HbA1c
10 “sandwiched” between the gold conjugated-anti-haemoglobin antibody and anti-
11 HbA1c antibody.
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27 **Fig. 4**

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32 *3.3.3 Optimization of Test Lines*

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34 To achieve visual interpretation of HbA1c levels based on the number and the
35 intensity of the test lines shown, the test zone on the NC membrane was expanded to
36 contain three lines of the immobilized capturing (HbA1c-specific) antibody for the
37 sandwich reaction. As the first test line was saturated, the remaining HbA1c moved to
38 the next line, where it is again sandwiched between the capturing and detecting
39 antibodies; thus, the concentration of HbA1c in a sample can be assessed by the
40 number and colour intensity of the lines in the test zone(s). Optimization of test lines
41 involved testing on different formats to determine which format would allow for a
42 more user-friendly interpretation, without the requirement of a lateral flow reader for
43 measurement. Fig. 5A to 5C show different test lines formats across different
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3 concentrations of HbA1c. While the interpretation of intensity can be very subjective,
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5 we found that the number of lines better aided the visual interpretation. It is important
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7 to note that one- (Fig. 5A) and two-line formats (Fig. 5B) showed a gradient of
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9 intensity across an increasing concentration of HbA1c; however, it was subjective to
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11 tell which strip has the darker or more intense test line(s) with either one- or two-line
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13 format. On the other hand, the three-line format allowed a clear interpretation of
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15 which strip tested with higher levels of HbA1c. The least concentrated HbA1c (4.6%)
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17 had not only a less intense first test line, but the 2nd and 3rd test lines were almost
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19 invisible, leaving a good intensity gap between the next higher level (6.0%) of HbA1c
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21 tested for the ease of distinguishing (Fig. 5C). To distinguish between the 6.0% and
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23 7.6% HbA1c in the three-line format, the third line was the determining line. Because
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25 all three lines showed up, we relied on the intensity of the 3rd line to judge which
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27 strip had a higher level of HbA1c tested. The third line clearly showed up with a
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29 higher intensity in the strip with a higher level of HbA1c. In short, we decided to use
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31 the three-line format for better visual interpretation in the household setting.
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41 **Fig. 5**

42 43 44 45 46 *3.3.4 Generation of Calibration Curves*

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48 To measure HbA1c levels in blood samples, the relationship between the signal
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50 intensity and HbA1c levels was investigated using commercial calibrators. To
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52 generate a calibration curve for quantitative analysis, six points of HbA1c
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54 concentrations that covered 4.6% to 15.1% HbA1c were used. Optimization at
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3 different dilutions was performed to determine the best calibration curve to perform
4 the quantitative analysis. The calibrators purchased (lysed human erythrocytes) with
5 designated HbA1c values (4.6%, 6.0%, 7.6%, 9.1%, 10.7 %, and 15.1%).
6
7 Optimization of the calibration curves was performed based on different dilution
8 factors using the haemolysis reagent (water with stabilizers). Another study by Chen
9 *et al.* also reported on using water to perform dilution on whole blood sample prior to
10 testing.⁸ The calibration curves at different dilutions demonstrated distinct patterns,
11 indicating that dilution factors can directly affect the immunosensor performance in
12 terms of sensitivity in our assay.
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25 Figure 6A to 6C showed the overall detection range of the calibration curves
26 under different dilution factors, while the insets of Fig 6A to 6C depicted the linear
27 dynamic range useful for future quantitative HbA1c level measurement. Note that for
28 undiluted calibrators, the calibration curve generated had low coefficient of
29 determination, R^2 of 0.61 (inset of Fig. 6A), indicating that the linear relationship
30 between the HbA1c levels and the signal on the assay was weak. Also, the standard
31 deviations were consistently large throughout the HbA1c levels tested (Fig. 6A).
32 Because of the accuracy in quantification HbA1c level by using a lateral flow assay
33 can often be affected by the sample composition (matrix effect),²⁰ we presumed the
34 high viscosity of the undiluted calibrators had contributed to the high degree of
35 variability for each test across the HbA1c levels (Fig. 6A). Hence, we concluded that
36 the assay could be saturated with undiluted calibrators and therefore optimization on
37 the dilution factors was necessary to create a calibration curve. By diluting the
38 calibrators at 1:2 (inset of Fig. 6B) and 1:5 (inset of Fig. 6C) dilution factors, we
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3 found that the resultant calibration curves yielded reasonably good fit within the range
4 of 4.0% (20 mmol mol⁻¹) to 12.0% (108 mmol mol⁻¹) HbA1c. To compare, with both
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8 1:2 and 1:5 diluted calibration curves at the same range for linear detection (4.0% to
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10 12.0%), the 1:2 diluted calibration curve assumed a higher R² at 0.95, while the 1:5
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12 diluted curve showed a R² of 0.78. However, with the consideration of a better assay
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14 time (1:2 diluted calibrators took 45 min to eliminate background for analysis, 1:5
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16 diluted calibrators required as low as 20 min to be ready for measurement) for better
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18 sensitivity observed across the HbA1c levels (assessed by the higher slope of the
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20 linear graph and the visual interpretation shown in inset of Fig. 6D), we decided that
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22 the 1:5 diluted calibration curve was the best calibration curve to perform future
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27 quantitative analysis.

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29 The results showed that the calibration curve at 1:5 dilutions assumed a
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31 reasonably good fit with highest sensitivity to establish a linear relationship between
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33 the signal and HbA1c levels within the range of 4% (20 mmol mol⁻¹) to 12% (108
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35 mmol mol⁻¹) of HbA1c. The linear HbA1c concentration range between 4% and 12%
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37 HbA1c contained the critical treatment goal values of 6.5%-7% HbA1c and therefore
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39 meets the demands of efficient diabetes control. However, there was a limitation for a
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41 semi-quantitative analysis via visual interpretation based on the number of test lines
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43 and its intensity beyond 9.1% HbA1c. With all the three test lines appeared and the
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45 degree of the intensity was quite similar after 9.1% HbA1c (Fig. 6D), it could be
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47 difficult for the end user to distinguish the higher level of HbA1c beyond this point of
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60 HbA1c level. Nonetheless, by using the lateral flow reader to perform a quantitative

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3 measurement, the signal intensity of the test lines was found to be increasing in a
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5 HbA1c-dependent way beyond the 9.1% of HbA1c level.
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10 **Fig. 6**

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15 *3.4 Lateral Flow Immunosensor for Real Sample Analysis (HbA1c in whole blood)*

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17 While the calibration curve is very useful to assess HbA1c levels in whole blood, it is
18 also very important that the blood to be readily flow through the strips without
19 extensive and prolonged pre-treatment. Our studies focused on measuring HbA1c
20 levels in whole blood, which can often be too viscous to readily flow through the
21 lateral flow test strips. To resolve this problem, manual dilution is required. In our
22 experiment, the dilution of whole blood samples obtained from the University of
23 Malaya Medical Center (UMMC) was performed with a haemolysis reagent (distilled
24 water with blood stabilizers). The diluted whole blood (10 μ L) was dispensed directly
25 onto the NC membrane, which was washed with phosphate buffer containing Tween-
26 20. At a 1:2 dilution, whole blood remains viscous to flow through the assay *via*
27 capillary reaction. On the other hand, whole blood at a 1:10 dilution flowed readily
28 across the assay; however, the test lines generated were too weak for visual
29 interpretation. Our results indicated that whole blood samples yield a HbA1c level-
30 dependent signal at a dilution factor of 1:5 (Fig. 7A). A 1:5 dilution was the ~~most~~
31 ideal dilution factor for whole blood to flow readily across the assay with low
32 background while generating visually interpretable signal within a reasonable assay
33 time of approximately 25 min.
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Once the ideal dilution factor was determined to be at 1:5, we performed a preliminary test on the whole blood samples that have different HbA1c values (5.5%, 6.5%, and 9.9% HbA1c). In Fig. 7B, strip 1 tested with 5.5% HbA1c had only two test lines, a clear indication that it had the lowest HbA1c values tested. To distinguish which had higher HbA1c values tested, strip 3 (tested with 9.9% HbA1c) was shown to have higher intensity across all three test lines compared to strip 2 (tested with 6.5% HbA1c), thus reflecting that the HbA1c levels tested were highest among all of the strips. Hence, for diabetic patients with inadequately controlled blood glucose, i.e., for those with HbA1c levels $>6.5\%$ ²¹ (or $>7\%$ in the United States²²), the immunosensor will show intense dark red colour in all of the test zones, suggesting that immediate medical attention is needed. With distinctive patterns observed on the strip tested with groups of blood samples with different HbA1c levels, our immunosensor showed promising performance as a useful point-of-care device that caters to the need of user-friendly and inexpensive technologies for better diabetic care in resource-limited settings.

Fig. 7

4.0 Conclusions

In this work, we have manufactured a lateral flow HbA1c immunosensor that is simple, economical, and portable. The haemoglobin A1c lateral flow immunosensor has been optimized so that the gold conjugates were stabilized at pH 8.0, $30 \mu\text{g mL}^{-1}$, and the ideal blocking reagent for the immunosensor was found to be 1% western

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3 blocking reagent (containing casein). In addition, the immunosensor was found to
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5 generate a specific signal from HbA1c, where blood colour did not interfere with the
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7 colour shown on the test lines and control line. To quantify the whole blood samples,
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9 the calibration curve at 1:5 dilution was found to be the ideal curve for the
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11 quantitative analysis.
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15 With simple dilution from finger-pick blood, our prototype can be used with a
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17 standard images set (a similar concept to the pH paper colour scale) for certain ranges
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19 of HbA1c levels (normal range <6.0% HbA1c, under control range 6.5% to 7.0%
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21 HbA1c, elevated and needing medical attention range >7.0% HbA1c) where the
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23 patient can compare the colour intensity and interpret the result visually. With the
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25 construction of the calibration curve, future quantitative analysis can be conducted
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27 alongside with a lateral flow reader. We anticipated that our design developed here
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29 can be integrated with inexpensive detection technologies like smartphone-based
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31 imaging²³ to enable point-of-care rapid evaluation for numerical results on HbA1c
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33 levels, therefore to better facilitate on-site diabetic care in resource-limited settings.
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41 **5.0 Acknowledgment**

42
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Figures

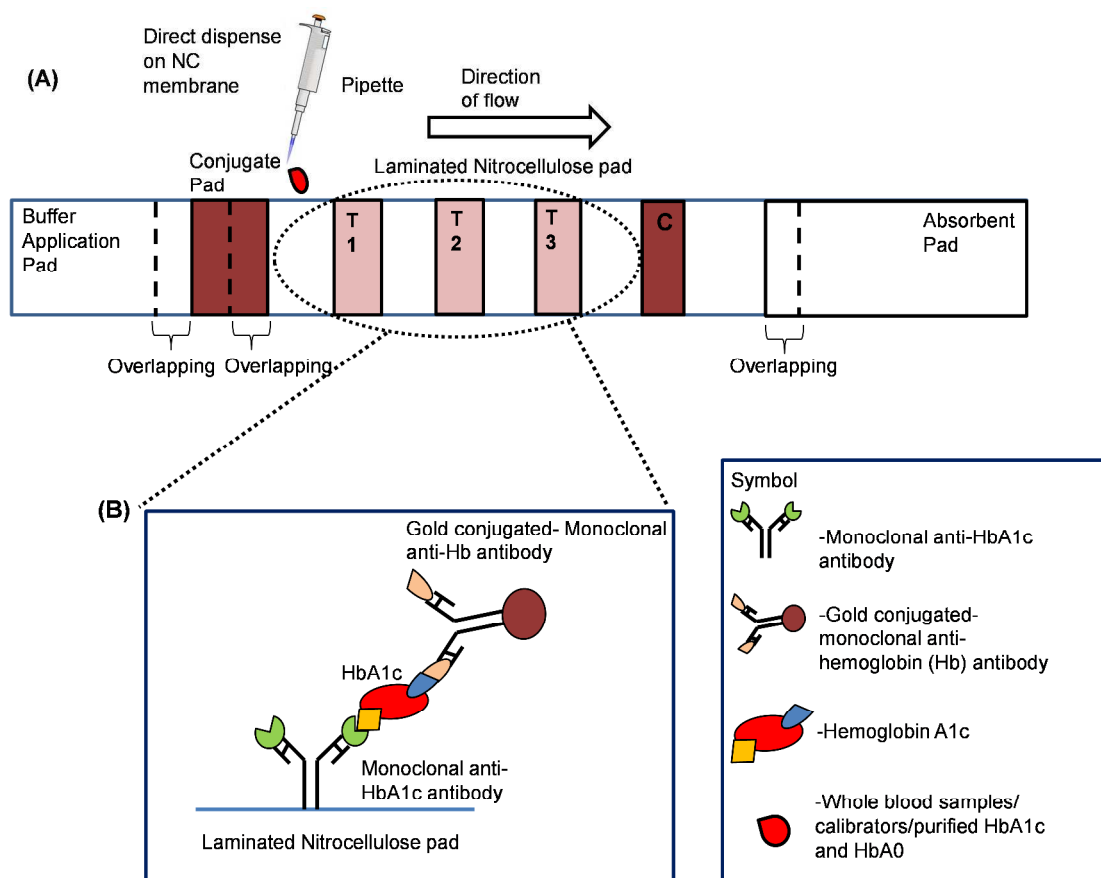


Fig. 1 Schematic of the fabrication of HbA1c lateral flow immunosensor. (A) Top view of HbA1c immunosensor. (B) The sandwich format formed on nitrocellulose membrane in fabrication of Hemoglobin A1c immunosensor.

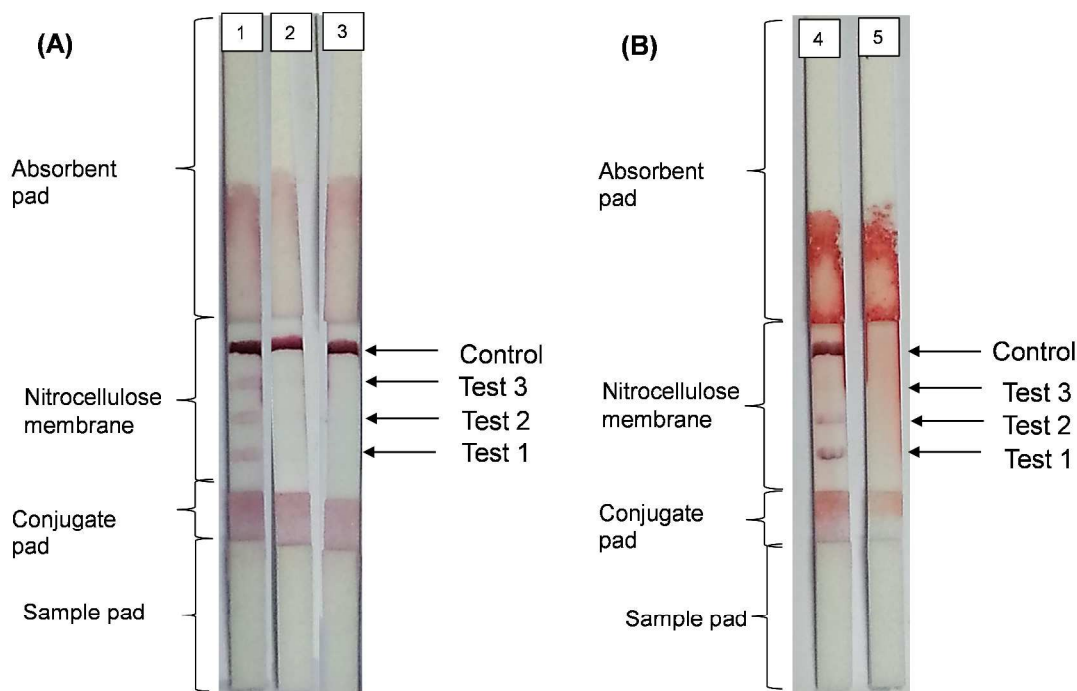


Fig. 2 (A) Selectivity Test. Purified HbA1c (strip 1), purified glycated species of HbA0 (strip 2) and purified HbA0 (strip 3) were tested on the developed hemoglobin A1c immunosensor. No cross-reactivity observed from the glycated species of HbA0 (strip 2) and non-glycated hemoglobin (HbA0) (strip 3), indicating that the immunosensor developed was very specific to HbA1c. (B) Interference test. Strip 4 is the developed hemoglobin A1c immunosensor while strip 5 was the control strip with anti-hemoglobin antibody without conjugation to gold nanoparticles, Fig. 2B shows that signal generated from the assay was only from bound gold conjugated-anti-hemoglobin antibody, the whole blood did not contribute to the redness observed neither on the test lines, nor the control line.

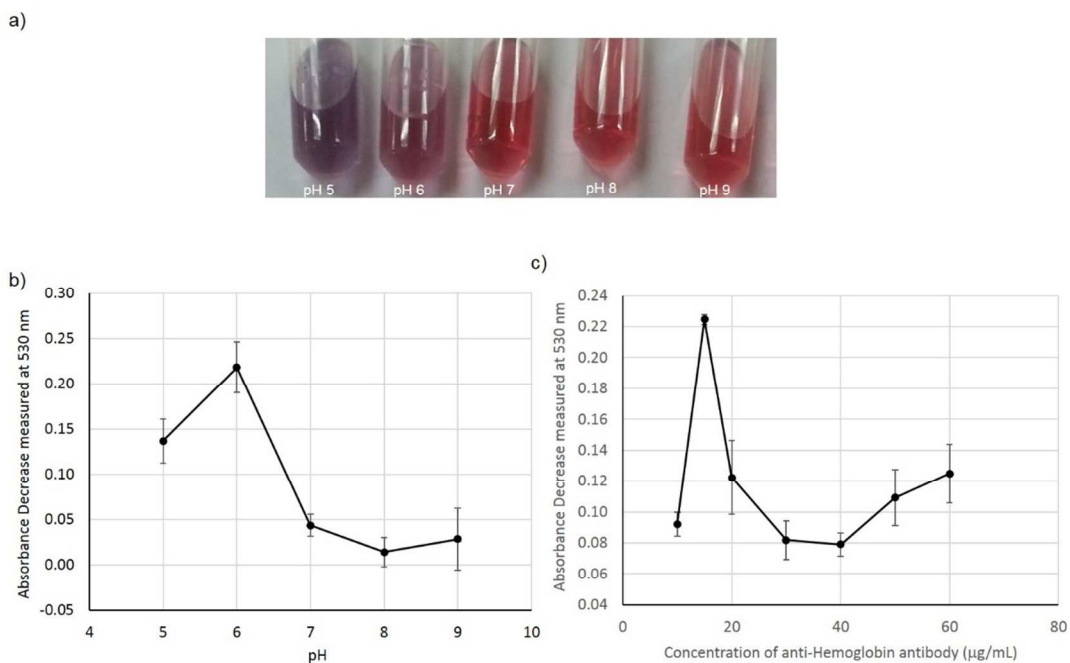


Fig. 3 (A) The variation of the colors across a range of pH, after the treatment of 10% NaCl. The gold conjugates formed at pH 5 and pH 6 were unstable, indicated by the purplish blue color. (B) Absorbance decrease versus pH (measured at 530 nm). The optimum pH to solvate and stabilize gold conjugates was identified at pH 8.0. (C) Absorbance decrease versus detecting antibody concentrations (measured at 530 nm). $30.0 \mu\text{g mL}^{-1}$ of anti-hemoglobin antibody was determined to be the least concentration of antibody needed to form stable gold conjugates at pH 8.0. Error bars display the standard deviation of triplicates for each data point.

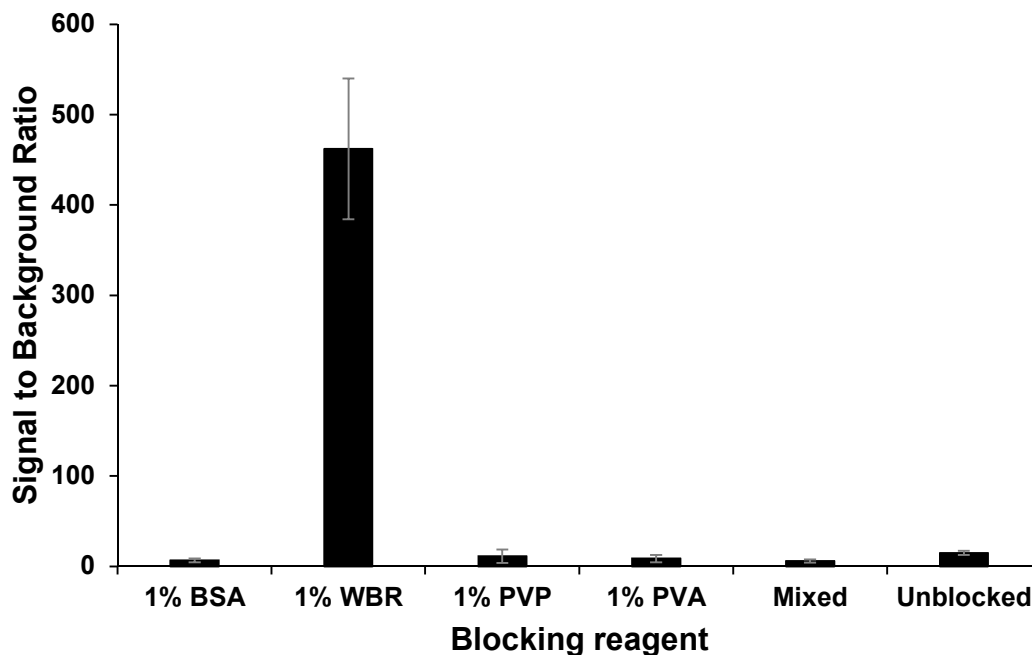


Fig. 4 Signal to background ratio vs. blocking reagents. All the blocking reagents were prepared in dilution using 0.01M phosphate buffer, pH 7.4. Mixed blocking buffer was prepared by 1% BSA, 0.02% polyvinylpyrrolidone (PVP), 0.05% Casein, 0.002% Tween-20 in 1XTri-buffered Saline (pH 7.4). All the other blocking buffers, except 1% WBR (Western Blocking Reagent), resulted in visually detectable signal on strips. 1% WBR was thus selected as the best blocking buffer for our fabricated HbA1c immunosensor. Error bars represent standard deviation triplicates associated to each data point.

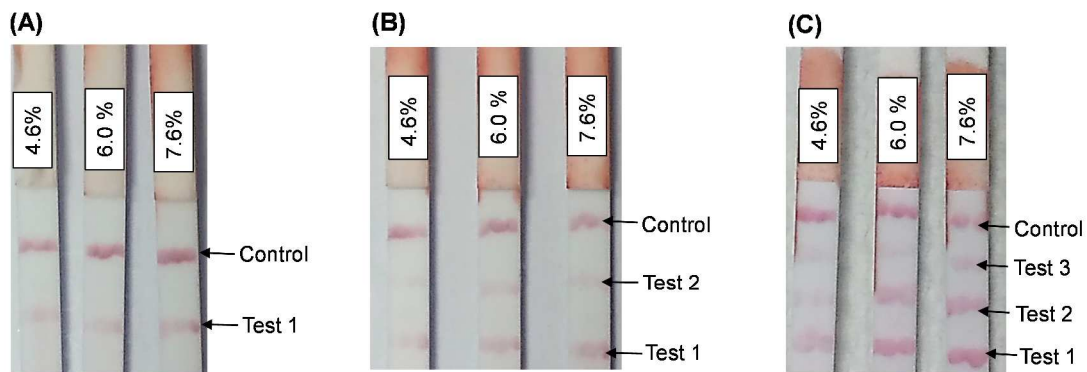


Fig. 5 (A) Strips with 1-test line format tested with increasing concentration of HbA1c. (B) Strips with 2-test lines format tested with increasing concentration of HbA1c. (C) Strips with 3-test line format tested with increasing concentration of HbA1c.

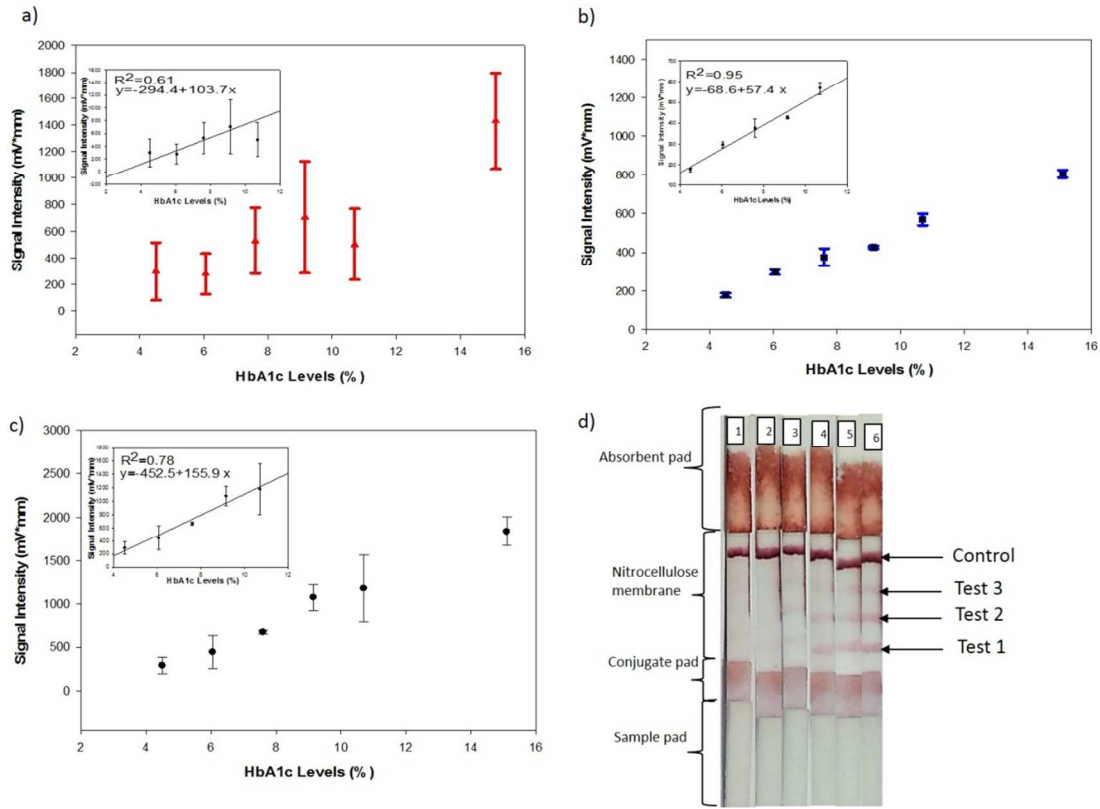


Fig. 6 (A) Signal intensity of test lines measured versus concentrations of HbA1c, without dilution. (B) Signal intensity of test lines measured versus concentration of HbA1c, at 1:2 dilutions. (C) Signal intensity of test lines measured versus concentration of HbA1c, at 1:5 dilutions. Error bar represents the standard deviation for triplicates of each data point. (D) Visual representation of strips tested with calibrator diluted at 1:5 dilution factors. 1 to 6 indicated the increasing HbA1c levels (1: 4.6%; 2: 6.0%; 3: 7.6%; 4: 9.1%; 5: 10.7 %, and 6: 15.1%)

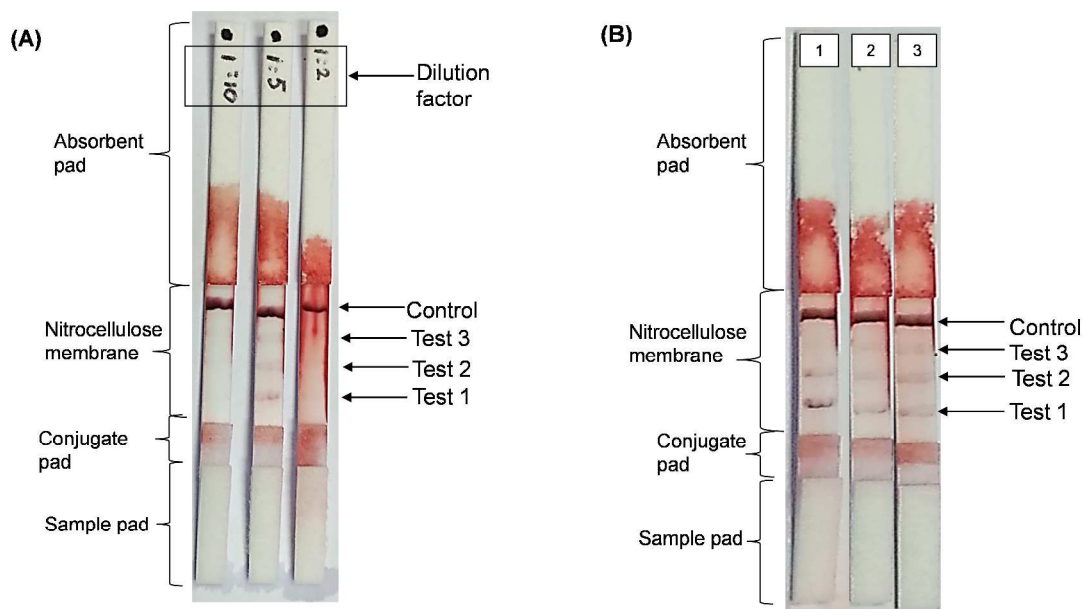


Fig. 7 (A) Dilution factors 1 to 2, 1 to 5, and 1 to 10 were tested to determine the ideal dilution factor for the whole blood. Note: picture shown after 20 min of washing. (B) The Hemoglobin A1c immunosensor tested with whole blood at 1 to 5 dilution factor, with three different concentrations (strip 1: 5% HbA1c; strip 2: 6.5% HbA1c; strip 3: 9.9% HbA1c).