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A comparative study of APLI and APCI in IMS at atmospheric pressure to reveal and explain peak broadening effects by the use of APLI.

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ABSTRACT: The details of the ionization mechanism in atmospheric pressure are still not completely known. In order to obtain further insight into the occurring processes in atmospheric pressure laser ionization (APLI) a comparative study of atmospheric pressure chemical ionization (APCI) and APLI is presented in this paper. This study is carried out using similar experimental condition at atmospheric pressure employing a commercial ion mobility spectrometer (IMS). Two different peak broadening mechanisms can then be assigned, one related to a range of different species generated and detected, and furthermore for the first time a power broadening effect on the signals can be identified.

1. INTRODUCTION

APCI sources in atmospheric pressure ion mobility spectrometry (IMS) are common and widely used, e.g. in the detection of hazardous substances such as chemical warfare agents or explosives [1]. The ionization process is typically based on the proton transfer from protonated water clusters of different sizes (size depends on temperature and humidity level) to the analyte, consequently resulting in a protonated species [2]. Thus, the analyzed components need to have at least a higher proton affinity (PA) than the before-mentioned water clusters. At very low humidity levels, however, electron abstraction rather than protonation is observed to be the dominant ionization process [2].

For non-polar analytes it is possible to use an atmospheric pressure photo ionization (APPI) source in combination with an IMS [3, 4]. Typically, Krypton is used as a discharge gas to produce photons in the VUV range (10 eV or 124 nm respectively 10,6 eV or 117 nm). Ionization occurs via the production of a radical cation. The detected species are therefore this cation or, depending on the used solvent, proto-

1 nated species. A comparative study of APPI and APCI without using an IMS and direct detection with
2 an Orbitrap mass spectrometer was recently published by Fredenhagen *et al.* [5].
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4 Here a different approach will be followed by using a laser based ionization technique. Compared to a
5 standard APPI source the wavelength tunability of laser systems allows a further improvement in the
6 separation of an IMS as shown by Löhmannsröben *et al.* [6]. Like in Resonance enhanced Multi Photon
7 Ionization (REMPI) [7] applied to mass spectrometry, a similar behavior in the ionization process can
8 be observed in the IMS technique. If the laser wavelength coincides with an intermediate electronic state
9 of a substance, a drastic increase of the signal intensity can easily be observed. Due to the photo physi-
10 cal processes underlying the multi photon ionization scheme, ions are generally formed with less inter-
11 nal energy than in other photo ionization techniques such as APPI.
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20 First studies with this laser technique in conjunction with ion mobility spectrometry were conducted by
21 D.M. Lubman and coworkers [8, 9] and later by G.A. Eiceman *et al.* [10]. Nowadays, this ionization
22 technique is known as atmospheric pressure laser ionization APLI [11]. Both groups observed during
23 their studies a stronger peak broadening by the use of APLI in comparison to similar studies with an
24 APCI technique. While Lubman *et al.* attributed this observation to a longer drift length in the ionization
25 region due to an exchange and introduction of a simple repeller in the APCI source, Eiceman and
26 coworkers started to look in more detail at the effects of the laser during the ionization process. They
27 concluded that an ion-ion repulsion during the ionization with APLI should cause this broadening be-
28 havior. However, a clear explanation of the broadening effect was not presented by either of them. Fur-
29 thermore, in both experiments ambient pressure and elevated temperatures were used. Thus a direct
30 conclusion for atmospheric pressure and room temperature is not appropriated.
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40 We extended in this contribution these above mentioned investigations by a comparative study of the
41 APCI and APLI with a commercial IMS at atmospheric pressure and room temperature. For APLI, no
42 atmospheric pressure IMS is commercially available and therefore the measurements have to be carried
43 out with a commercial setup as described in detail by Gunzer and coworkers [12]. The main goal is the
44 validation the obtained signals, as well as a comparison concerning the differences obtained.
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49 Since this is an initial study, the influence of only a few parameters has been investigated as well as the
50 setup has not been optimized for the resolving power and other experimental parameters of minor inter-
51 est. This is planned for future studies. But already here two unusual effects can be observed and a basic
52 analysis regarding the cause of these effects will be described.
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2. EXPERIMENTAL SECTION

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3 A schematic view of the IMS 5000 (Dräger Safety GmbH & Co KG, Germany) used is shown in figure
4 1. Details for the different ionization methods are as follows: In both experiments a Dräger IMS 5000
5 Unit is used for the detection of the transferred ions; the detector unit at the end of the device is a stain-
6 less steel Faraday Cup with a diameter of 1 cm. All data are recorded with an oscilloscope of type
7 LeCroy Waverunner 6051. Data processing is done with help of Origin (v 9.0, OriginLab Corporation).
8 The software FITYK (v 0.9.8) [13] is used for the estimation of the resolving power for APCI.
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22 All measurements are performed at atmospheric pressure and room temperature. Dry air with a water
23 concentration lower than 90 ppbv is used as drift and sample gas. A mass flow controller (MFC) pro-
24 vides a constant drift gas flow (denoted $Q_{\text{drift gas}}$). Three further MFCs and corresponding parallel gas
25 flows are used to control the sample gas flow (Q_{sample}). One gas flow was enriched with the analyte
26 (here anisole and toluene) by passing the flow through a reservoir filled with the analyte ($\text{MFC}_{\text{analyte}}$),
27 resulting in a saturated dry air flow with the analyte of a concentration around 200 ppmv for toluene and
28 20 ppmv for anisole. Afterwards, this flow was diluted to the desired concentration by adding another
29 gas flow controlled by (MFC_{air}). Finally, this flow was combined with another flow containing water
30 vapour saturated air ($\text{MFC}_{\text{water}}$). Thus, the final flow (Q_{sample}) contained the analyte in the desired con-
31 centration at the desired humidity level. A schematic view is given in figure 2. Red marks indicate com-
32 ponents which have been removed for further experiments with toluene, where only dry air was used.
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50 All chemicals were obtained from Sigma Aldrich and were used without further purification. The used
51 water is deionized and produced in our laboratory.
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2.1 APCI:

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In brief, a ^3H -source (50 MBq, production year 2004) with 1 cm in diameter is placed 2 mm away from the grid, which separates the reaction region and drift region resulting in an ionization volume of 307.8 mm^3 .

The control electronics are provided by a home built setup. Two high voltage power supplies (HCN 140-6500 respectively HCN 140-3500; FuG Elektronik GmbH, Germany) are used with a push/pull switch (HTS 41-06-GSM, Behlke Power Electronics GmbH, Germany) to obtain a time wise adjustable extraction pulse. The drift region is driven by a continuous electric field generated by a high voltage power supply (HCN 140-3500; also FuG Elektronik GmbH). The timing for the extraction pulse and the data acquisition is controlled by a delay generator (DG535, Stanford Research Systems, Inc., USA). The overall experimental frequency is 20 Hz.

The electric field strength of the extraction pulse accelerating the ions out of the reaction region is 1500 V/cm , the field strength in the drift region is 600 V cm^{-1} . APLI only provides positively charged ions and radical cations, the polarity of the fields has been set accordingly.

2.2 APLI:

For APLI measurements the ^3H source is exchanged by a simple pusher electrode. The details are also shown in figure 1. To couple a laser into the ionization region two windows are introduced in the apparatus. Due to the changes in the apparatus the point of ionization is 7 mm apart from the grid and the pusher 9 mm. The laser beam coupled perpendicular to the drift region of the IMS is of 2 mm in diameter resulting in an ionization volume of 44 mm^3 .

For the REMPI experiments the fourth harmonic of an Nd:YAG Laser (operated at a frequency of 20 Hz, wavelength 266 nm; the laser is of type Surelite I, Continuum GmbH, Germany) is used. The Q-Switch delay of the laser is used for the timing of the experiment. For advanced experiments a positive lens ($f = +100 \text{ mm}$) is introduced into the beam in order to obtain a controllable focal point.

Due to the changes in the ionization technique and the use of a pulsed laser, some adjustments have to be made for the electronics. The potentials for the pusher electrode and the drift region are continuous and generated by two high voltage power supplies (HCN 140-6500 respectively HCN 140-3500; FuG Elektronik GmbH, Germany). A voltage of 4350 V is used for the pusher electrode and 3000 V for the drift region. Thus constant electric fields of 1500 V cm^{-1} are present in the ionization region and again 600 V cm^{-1} in the drift region.

3. RESULTS and DISCUSSION

For the first measurements anisole was used. Anisole has two important attributes that this study can benefit from. First, anisole has a higher PA (839.6 kJ/mol) compared to water (and clusters with one water molecule attached), thus it can be easily protonated under APCI conditions regarding protonation but also electron abstraction. Secondly, since an aromatic system is included in the structure, anisole can absorb light in the UV range with high efficiency and can therefore be used for APLI measurements. Thus it is an ideal substance for an initial comparison of both ionization techniques.

For APCI measurements first the reactant ion peak (RIP) was recorded. Afterwards, while keeping the gas flow and the relative humidity (rh) constant, the analyte was mixed with the gas flow. Because anisole has a higher PA than water clusters a clear change in the obtained spectrum can be observed (figure 3).

The drift time spectrum for anisole indicates two species with slightly different reduced mobilities (K_0) [14]. For all comparative measurements the used settings and obtained signal parameters are summarized in table 1. To get a first estimation of the resolving power two independent fit functions and additionally a Gaussian distribution for the diffusion were used (see figure 3b). Since two species are present, two different peak shapes have been combined with standard diffusion broadening, so that the experimental curve is reproduced (see for comparison the green and black curves in figure b). Thus, an averaged resolving power of $R_{\text{exp,Anisole,APCI}} = 37,3$ was obtained for APCI. This demonstrates the quality of the used commercial IMS and the method. Typical commercial setups achieve a resolving power of around 40.

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The obtained drift time spectra of anisole with APCI and APLI under similar experimental conditions are shown in figure 4. Two effects can clearly be recognized. The obtained signal for APLI increased in intensity and in the width of the peak compared to APCI. A resolving power of $R_{\text{exp,Anisole,APLI}} = 6,3$ is obtained. Thus the resolving power under same experimental conditions decreases about the 6-fold. It

1 should be noted that even at these signal intensities the detector system does not show any saturation
2 (only at much stronger laser intensities signal saturation can be observed).
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11 Both effects are not expected. The number of detected ions, represented by the area under the curve
12 (AUC), rises by ca. a factor of 11, while the ionization volume due to smaller dimensions of the laser
13 beam decreases by a factor of seven. Thus APLI seems to have a higher ionization efficiency compared
14 to APCI at least for polar aromatic systems. Furthermore, the usual negative effects regarding the re-
15 solving power, like unstable electric fields or turbulent flows [15], can be excluded as a cause for this
16 effect because the same setup and experimental conditions were used for both measurements.
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24 The unexpected strong peak broadening effect cannot be explained with the previous measurements
25 published by Eiceman *et al.* or Lubman *et al.* [8-10] Furthermore, based on the principles published
26 before [16], it would be assumed that a shorter extraction pulse length results in an increase in resolving
27 power. Compared to the length of a laser pulse of 10 ns where ionization can take place to the extraction
28 pulse length of 100 μs in APCI, the herein made observation can also not be explained.
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42 In order to get further insight, especially in light of these rather unexpected experimental results, we
43 have used an additional method to explain the obtained peak broadening by the use of APLI; we have
44 carried out a simulation based on the same experimental conditions. Focusing on the peak broadening,
45 especially related to the ion cloud size produced by the laser pulse and its subsequent size increase due
46 to diffusion and Coulomb repulsion, finite elements method calculations (FEM) and simulations have
47 been performed. The FEM solver COMSOL [22] has been used for the development of the calculated
48 ion cloud dimensions and related resolving powers can be seen in figure 5. These calculations starts
49 with an initial ion cloud as large as the laser spot size of 100 μm^2 .
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56 Noteworthy to mention is the on purpose over estimation of the number of ions obtained by the ioniza-
57 tion process. Reports in the literature [7, 8, 16] suggest an ion number of 10^9 ions per laser pulse, while
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1 herein 10^{11} ions were estimated. Thus the coulomb interactions of the ions should have a higher impact
2 on the peak broadening. The background of this approach is that the Coulomb interaction of the pro-
3 duced ions during APLI was mentioned earlier by Eicemann *et al.* as a possible explanation for the peak
4 broadening by the use of APLI [10].
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8 Even with the higher influence of the Coulomb interaction combined with the diffusion broadening a
9 resolving power in this experiment should be far over 10 (i.e., much better than the one obtained in our
10 experiments) and at least with the use of the same molecules the resolving power should not decrease
11 when APLI is used.
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15 The simulation also contradicts the explanation by Lubman *et al.* who stated that the decrease in resolv-
16 ing power is due to a longer drift length and to the changes in the ionization region [8]. The simulation
17 clearly predicts a resolving power increase of the experiment with a longer drift time and therefore by
18 the drift length of the IMS as expected from theory.
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32 Since literature and simulation cannot sufficiently explain the peak broadening under APLI conditions
33 further investigations were carried out with toluene as a test molecule. Despite a further reduced resolv-
34 ing power, two main advantages can be designated for a further examination of the peak broadening
35 effects.
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40 The vacuum 0_0^0 -transition is close to the used wavelength of the laser. Thus the ionization efficiency is
41 further increased (see fig. 6). The intensity of the obtained signal is almost constant until a beam energy
42 of the laser of about 150 μJ . Using lower laser energies the signal gets unstable. Furthermore, humidity
43 has no significant influence on the signal intensity. In APCI measurements, humidity has a large influ-
44 ence on the signal intensity because it is directly involved in the ionization mechanism.
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49 For APLI a slightly different gas flow system has been used. The $\text{MFC}_{\text{Water}}$ was removed from the setup
50 so that only dry air was used, i.e. no humidity added, and a larger analyte reservoir was used. The
51 changes are marked red in figure 2.
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59 **3.1 Influence of the drift gas flow (fig. 7):** 60

1 For a low drift gas flow ($0 - 100 \text{ mL min}^{-1}$) a broad distribution of detected ions over a wide drift time
2 range can be observed. Furthermore, a structure can be observed around the peak maxima. With increas-
3 ing drift gas flow an increase in signal intensity in combination with a narrowing effect in the distribu-
4 tion of the drift time can be observed.
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16 Therefore it seems likely for APLI to generate unstable ion – molecule or fragment ion – molecule clus-
17 ters in the ionization process which are then detected at the end of the drift region. A possible explana-
18 tion for the supposed fragment ions could be an often observed ladder-switching mechanism [7, 18].
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21 Due to an increase in collisions by increasing the drift gas flow it is possible for the unstable clusters to
22 fragment and thus to result in only one stable detected ion or ion – molecule cluster [19, 20].
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29 **3.2 Influence of the laser energy (fig. 8):**

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32 To study the influence of the laser energy the laser beam was focused with a biconvex lens directly in
33 the ionization region. Initially, the obtained spectra seem to increase in intensity with increasing laser
34 energy. A detailed analysis of the peak intensities and shapes, however, reveals a different behavior for
35 the intensity and the peak width.
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39 While the intensity of the peaks reaches a maximum at a laser energy around $200 \mu\text{J}$ and decreases af-
40 terwards, the FWHM and the detected ions (represented by the AUC) rises further up to an energy
41 around $400 \mu\text{J}$ and remains then constant with increasing laser energies. It is interesting to observe that
42 the increase in FWHM is driven by the broadening of the tailing while the peak maximum does not
43 change in drift time. Thus our measurements do not show the same behavior as those of Eiceman *et al.*
44 [10]. Their dependence of the signal intensity on the laser power was a monotonous one over the same
45 energy range as the one investigated here (pulse energies between $250 \mu\text{J}$ and $1000 \mu\text{J}$). Our results
46 show an increase, but also a decrease after the maximum at $200 \mu\text{J}$. The behavior of the peak width was
47 not investigated by Eiceman and coworkers [10]. However, additional effects are active in the data pre-
48 sented in our measurements, especially in addition to the expected Coulomb repulsion, which require
49 further investigations. Such a discrimination of the peak intensity while the peak width and the peak
50 area remains constant is known in spectroscopy as power broadening [21]. A comparable observation of
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1 this behavior in IMS does not exist to our knowledge. Therefore, for the first time, a power broadening
2 effect in atmospheric pressure IMS at room temperature with an APLI is observed. On base of the data
3 shown above further investigations are necessary to reveal the details of the causing effects. It is obvi-
4 ous that the ion – ion repulsion of the analyte seem not to be solely responsible for this effect. We con-
5 clude this fact because the AUC remains the same after 400 μ J of laser energy. This value should also
6 increase further since the higher laser energies should lead to more ions being produced. Therefore, a
7 higher ion concentration resulting in a peak broadening by ion - ion repulsion should not cause the ob-
8 served behavior as stated out in earlier an interpretation [10].
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20 **4. CONCLUSION**

21 In this study we have shown spectra of a comparative study for APLI and APCI with anisole and tolu-
22 ene to reveal a strong peak broadening effect for the use of APLI in atmospheric pressure IMS. We were
23 not able to explain this observation with common effects, like diffusion broadening, concentration ef-
24 fects leading to ion-ion repulsion or changes in the ionization/reaction region, in IMS at atmospheric
25 pressure.
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33 However, at this point we are able to explain this effect by two observations. Additional aspects cannot
34 be ruled out at this stage of investigation. Firstly, due to the influence of the variation of laser energy on
35 the peak area, the spectra seem to indicate that power broadening mechanisms play an important role
36 which have not been described for such an experiment before. Further investigations on this behalf and
37 on the wavelength dependency have to be made.
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43 A second effect can also be assigned to the peak broadening effect. A wide range of unstable ions,
44 fragments and clusters can be detected for low drift gas flows. Thus a simple comparison to a direct
45 APCI, APPI and APLI coupled to mass spectrometers is not possible and further experiments have to be
46 made.
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51 **5. ACKNOWLEDGMENT**

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Table 1: Overview of experimental parameters and resulting experimental values for the comparison of the drift time spectra with APLI and APCI.

| | Anisole | | Toluene |
|--|-------------|-------------|-------------|
| | <i>APCI</i> | <i>APLI</i> | <i>APLI</i> |
| $Q_{\text{drift gas}}$ [mL min ⁻¹] | 15 | 15 | 15 |
| Q_{sample} [mL min ⁻¹] | 20 | 20 | 20 |
| Q_{water} [mL min ⁻¹] | 20 | 20 | 20 |
| Q_{air} [mL min ⁻¹] | 20 | 20 | 20 |
| $E_{\text{ionisation region}}$ [V] | 3300 | 4350 | 4350 |
| $E_{\text{drift region}}$ [V] | 3000 | 3000 | 3000 |
| $t_{\text{ionisation}}$ [μs] | 100 | 0,01 | 0,01 |
| E_{laser} [μJ] | | 850 | 700 |
| t_{max} [ms] | 7,87 | 7,55 | 7,62 |
| | 8,06 | | |
| K_0 [cm ² V ⁻¹ s ⁻¹] | 0,98 | 1,03 | 1,02 |
| | 0,96 | | |
| FWHM [ms] | 0,269 | 1,19 | 1,64 |
| | 0,199 | | |
| R | 34,1 | 6,3 | 3,9 |
| | 40,5 | | |
| AUC [a.u.] | 4,487 | 48,09 | 190,6 |

Figure Captions

Figure 1. Experimental Setup. Sketch of the used Dräger IMS 5000 with the APCI source and the used timing is shown in the upper right part. Modifications and the APLI source are shown in the lower part of the figure. The laser beam is irradiated perpendicular to the drift region into the IMS.

Figure 2. Used gas flow system. Marked in red are components which have been removed for further APLI measurements with toluene.

Figure 3. A) Drift time spectrum of anisole with APCI. Experimental data can be found in table 1. B) Enlargement of the experimental signal and a data interpretation with FITYK.

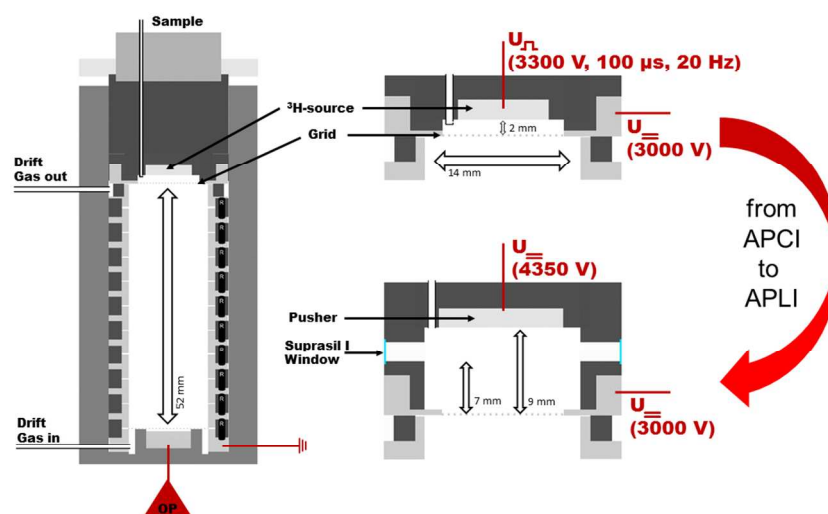
Figure 4. Drift time spectra of anisole comparing APCI and APLI. For both spectra the same experimental options have been chosen and can be found in table 1.

Figure 5. Simulated FWHM with rising drift times and the corresponding resolving power.

Figure 6. Drift time spectra of anisole and toluene at different laser energies and for toluene without water in the sample gas flow. All other experimental conditions can be found in table 1.

Figure 7. Variation of the drift gas flow with $Q_{\text{sample}} = 60 \text{ mL min}^{-1}$ (17% analyte), $t_{\text{laser}} = 10 \text{ ns}$, $\lambda_{\text{Laser}} = 266 \text{ nm}$, $\varnothing_{\text{Laser}} = 1 \text{ mm}$; $E_{\text{Laser}} < 70 \text{ }\mu\text{J}$; $V_{\text{Pusher}} = 4350 \text{ V}$ and $V_{\text{Drift}} = 3000 \text{ V}$.

Figure 8. Variation of the laser energy with a focus into the ion region. $Q_{\text{sample}} = 60 \text{ mL min}^{-1}$ (17% analyte), $Q_{\text{drift gas}} = 950 \text{ mL min}^{-1}$, $t_{\text{laser}} = 10 \text{ ns}$, $\lambda_{\text{Laser}} = 266 \text{ nm}$, $\varnothing_{\text{Laser}} = 2 \text{ mm}$, $f = + 100 \text{ mm}$, $V_{\text{Pusher}} = 4350 \text{ kV}$ and $V_{\text{Drift}} = 3000 \text{ V}$.



338x190mm (96 x 96 DPI)

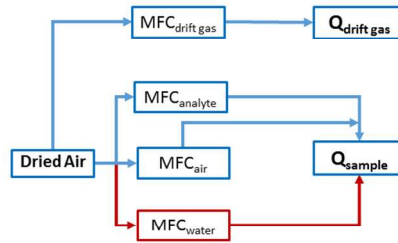


Figure 2. Used gas flow system. Marked in red are components which have been removed for further APLI measurements with toluene.

338x190mm (96 x 96 DPI)

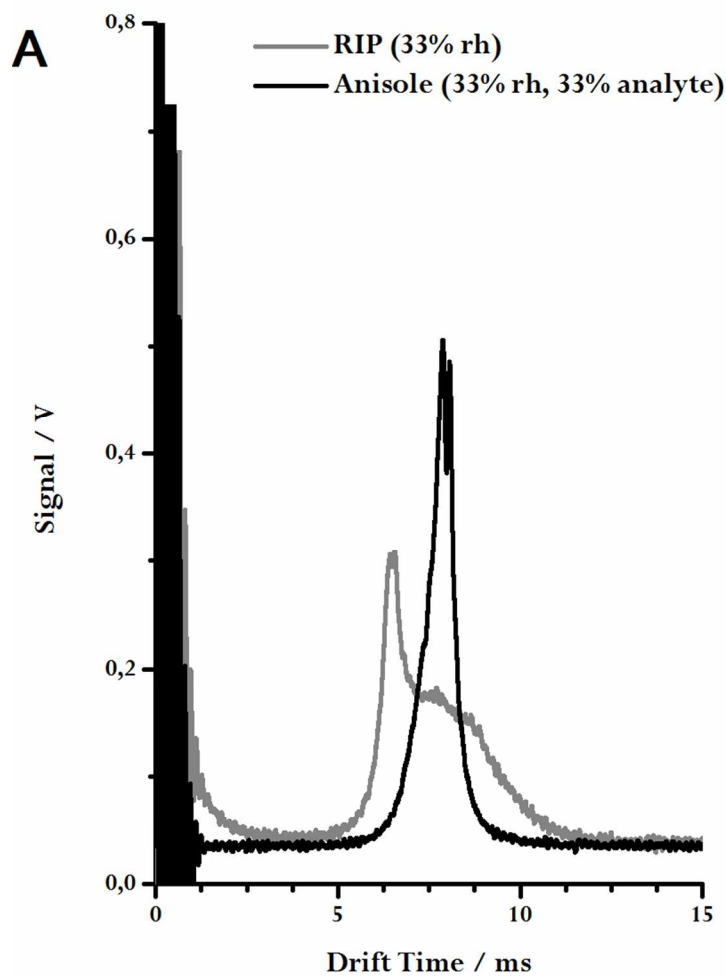


Figure 3. A) Drift time spectrum of anisole with APCI. Experimental data can be found in table 1. B) Enlargement of the experimental signal and a data interpretation with FITYK.
76x114mm (300 x 300 DPI)

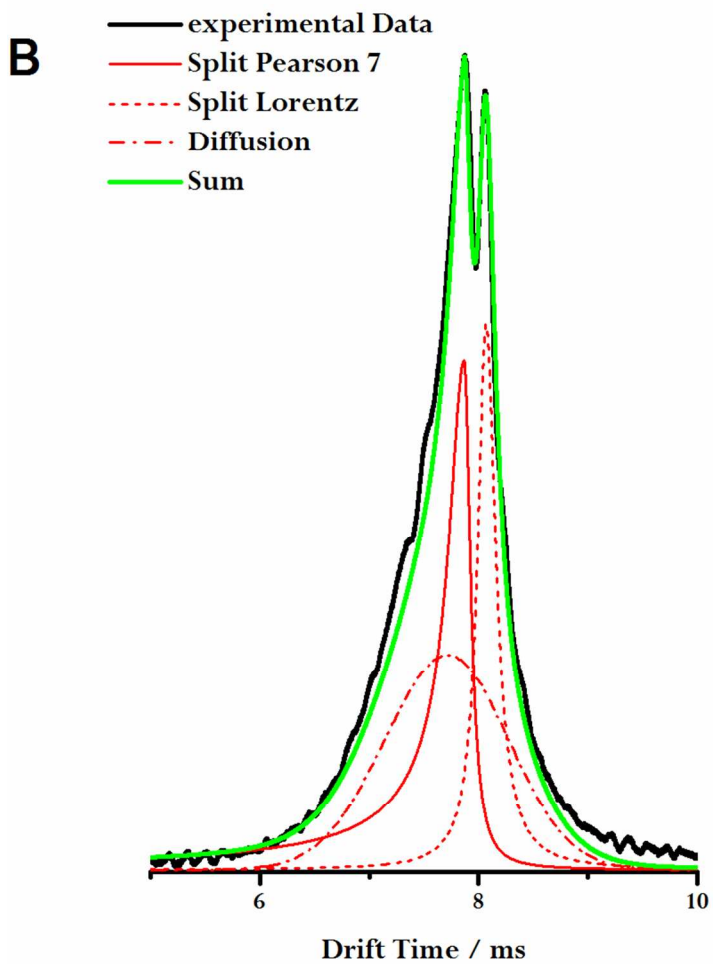


Figure 3. A) Drift time spectrum of anisole with APCI. Experimental data can be found in table 1. B) Enlargement of the experimental signal and a data interpretation with FITYK.
76x114mm (300 x 300 DPI)

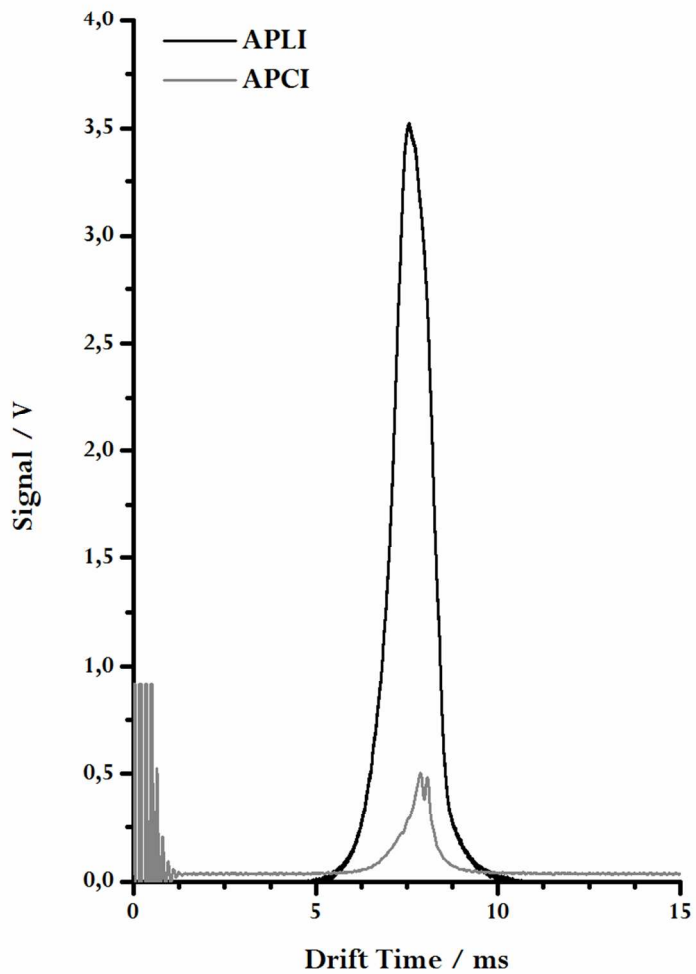


Figure 4. Drift time spectra of anisole comparing APCI and APLI. For both spectra the same experimental options have been chosen and can be found in table 1.

76x114mm (300 x 300 DPI)

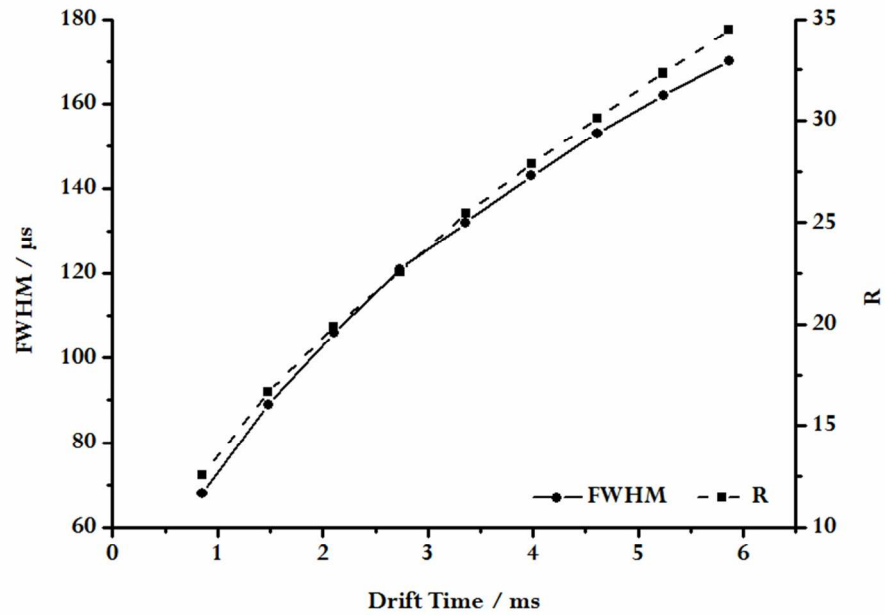


Figure 5. Simulated FWHM with rising drift times and the corresponding resolving power.
76x53mm (300 x 300 DPI)

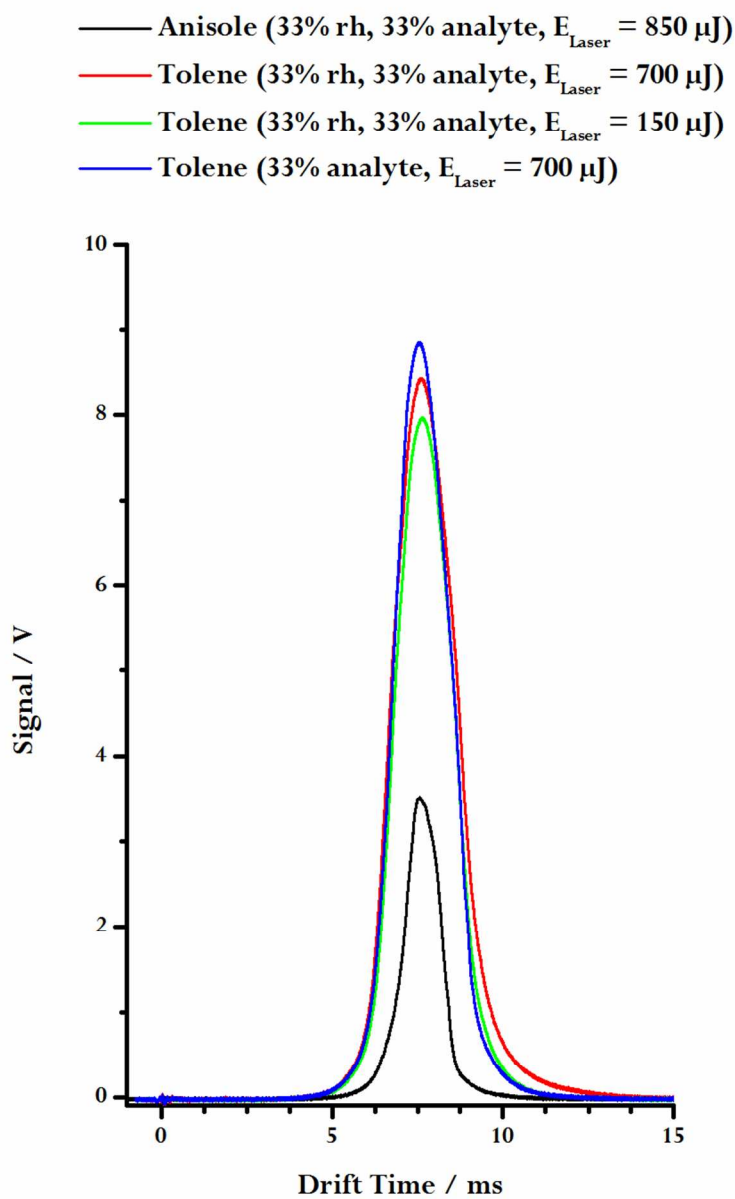


Figure 6. Drift time spectra of anisole and toluene at different laser energies and for toluene without water in the sample gas flow. All other experimental conditions can be found in table 1.
76x114mm (300 x 300 DPI)

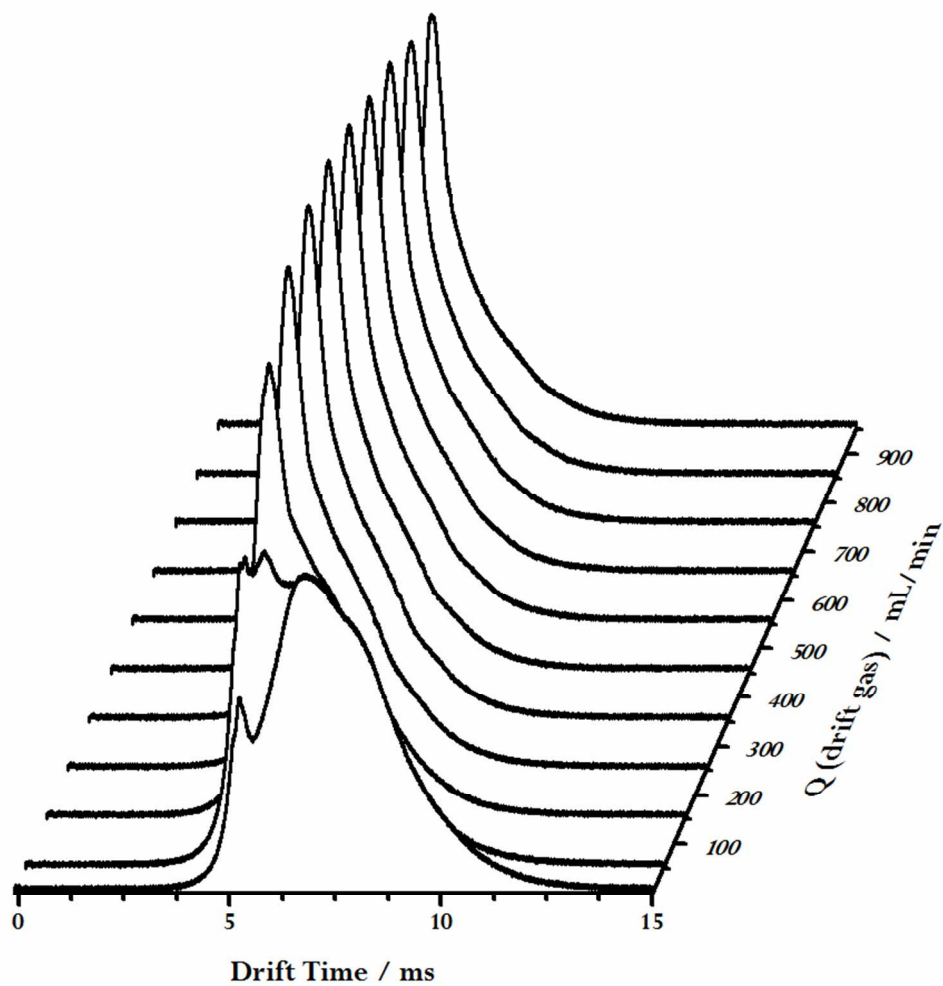


Figure 7. Variation of the drift gas flow with $Q_{\text{sample}} = 60 \text{ mL min}^{-1}$ (17% analyte), $t_{\text{laser}} = 10 \text{ ns}$, $\lambda_{\text{La-ser}} = 266 \text{ nm}$, $\phi_{\text{Laser}} = 1 \text{ mm}$; $E_{\text{Laser}} < 70 \text{ }\mu\text{J}$; $V_{\text{Pusher}} = 4350 \text{ V}$ and $V_{\text{Drift}} = 3000 \text{ V}$.
76x76mm (300 x 300 DPI)

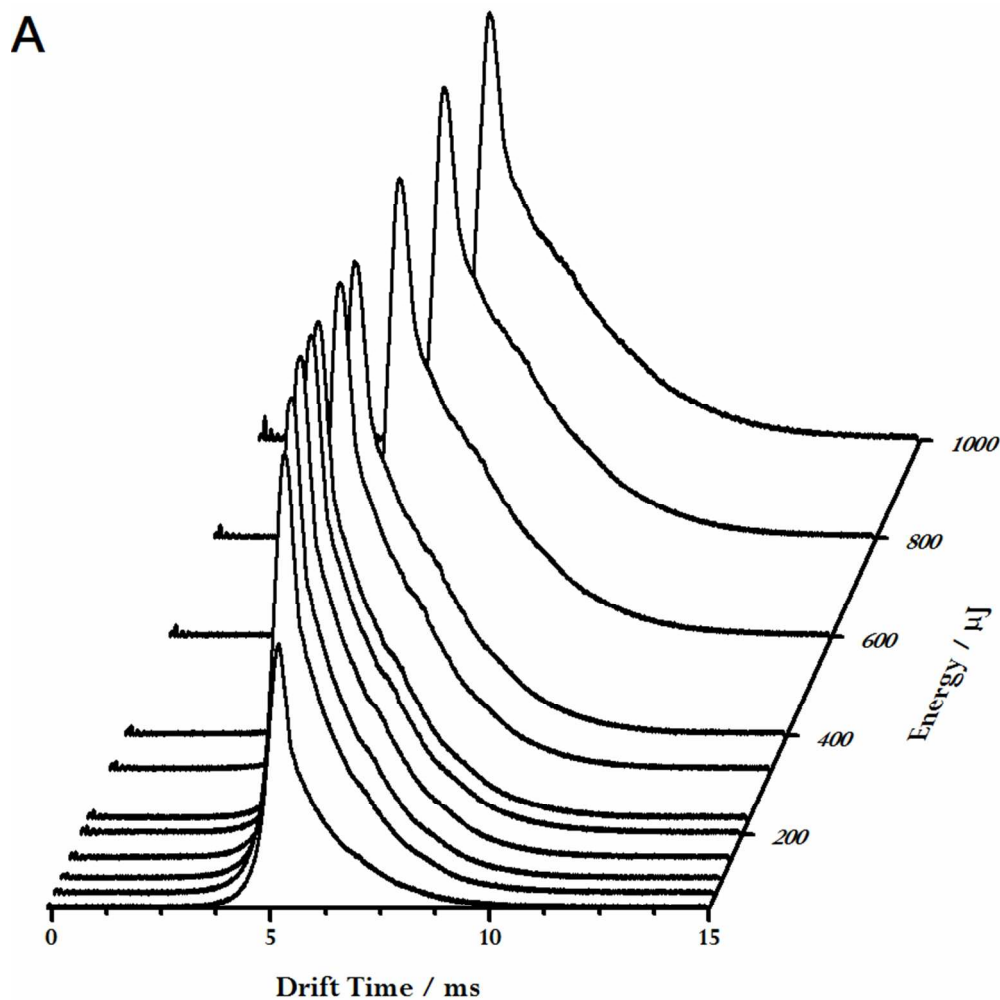


Figure 8. Variation of the laser energy with a focus into the ion region. $Q_{\text{sample}} = 60 \text{ mL min}^{-1}$ (17% analyte), $Q_{\text{drift gas}} = 950 \text{ mL min}^{-1}$, $t_{\text{laser}} = 10 \text{ ns}$, $\lambda_{\text{Laser}} = 266 \text{ nm}$, $\phi_{\text{Laser}} = 2 \text{ mm}$, $f = + 100 \text{ mm}$, $V_{\text{Pusher}} = 4350 \text{ kV}$ and $V_{\text{Drift}} = 3000 \text{ V}$.
76x76mm (300 x 300 DPI)

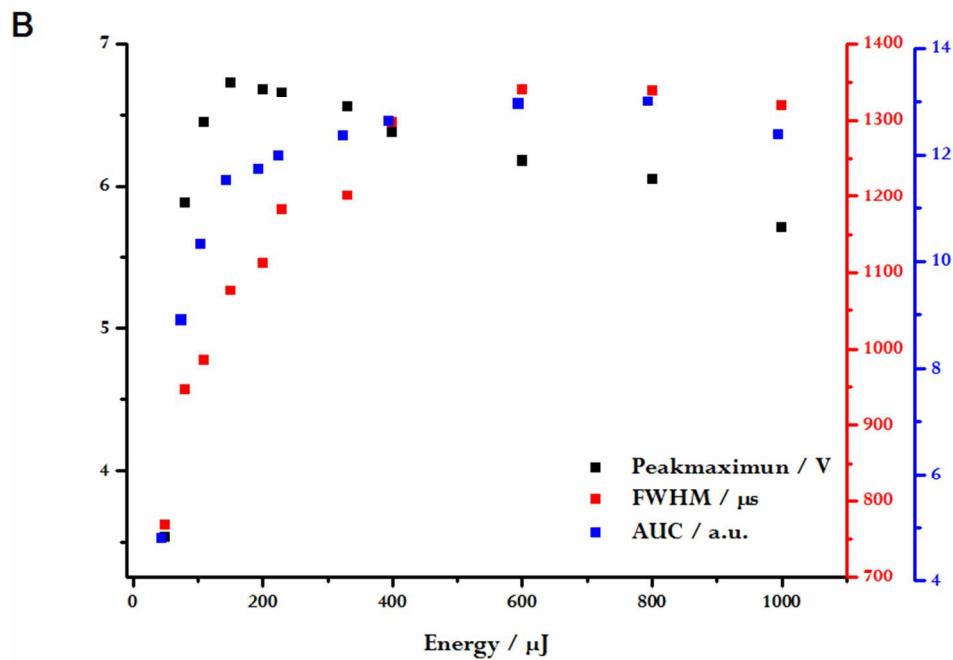


Figure 8. Variation of the laser energy with a focus into the ion region. $Q_{\text{sample}} = 60 \text{ mL min}^{-1}$ (17% analyte), $Q_{\text{drift gas}} = 950 \text{ mL min}^{-1}$, $t_{\text{laser}} = 10 \text{ ns}$, $\lambda_{\text{Laser}} = 266 \text{ nm}$, $\phi_{\text{Laser}} = 2 \text{ mm}$, $f = +100 \text{ mm}$, $V_{\text{Pusher}} = 4350 \text{ kV}$ and $V_{\text{Drift}} = 3000 \text{ V}$.
76x50mm (300 x 300 DPI)