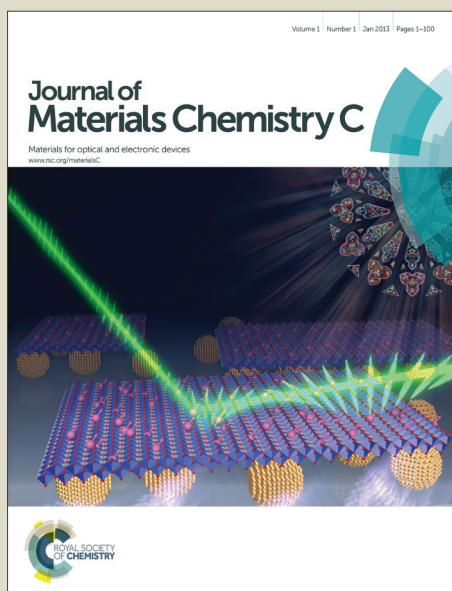


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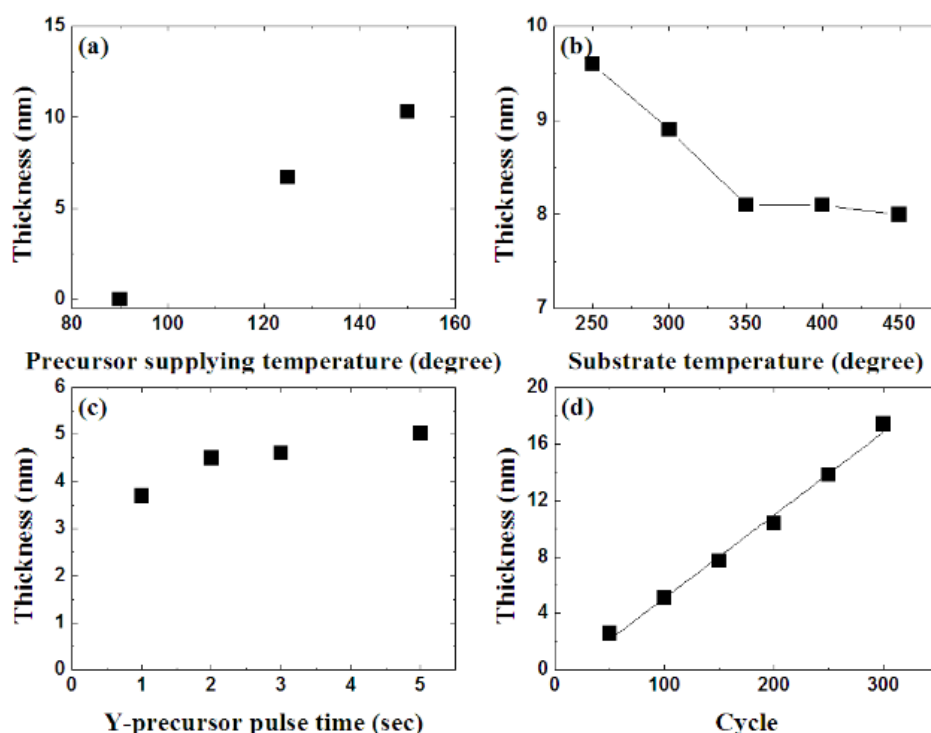
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## Graphical abstract

The  $\text{Y}_2\text{O}_3$  films grown with a new liquid Y precursor,  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$ , have been investigated with chemical properties of precursor, atomic layer deposition (ALD) process, and material characterization of the deposited film and its non-volatile resistive switching behavior. A heteroleptic  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  has been synthesized so that it exist in liquid phase at room temperature. The vapor pressure of 1 Torr is obtained at 168 °C, and thermal evaporation and decomposition begins from 250 °C and 425 °C, respectively.  $\text{Y}_2\text{O}_3$  film is fabricated by ALD technique using  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  and water. The growth of  $\text{Y}_2\text{O}_3$  film is well self-limited, the ALD window is from 350 °C to 450 °C, and the growth rate is 0.06 nm/cycle. The deposited  $\text{Y}_2\text{O}_3$  film reveals polycrystalline cubic structure, higher refractive index over 1.8 at 632.8 nm, and high dielectric constant of 24. The as-deposited  $\text{Y}_2\text{O}_3$  film is very compositionally stoichiometric and constant with Y and O through depth and also includes small -OH bonding in the film without any additional processes. The Ru/ $\text{Y}_2\text{O}_3$ /Ru resistor shows a resistance switching between low and high resistance states with voltage sweeping and the resistance ratio between two states is over 1,000 times preferable to non-volatile memory operation.



## ARTICLE

# Atomic layer deposition of $\text{Y}_2\text{O}_3$ films using heteroleptic liquid $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$ precursor

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The  $\text{Y}_2\text{O}_3$  films grown with a new liquid Y precursor,  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$ , have been investigated with chemical properties of precursor, atomic layer deposition (ALD) process, and material characterization of the deposited film and its non-volatile resistive switching behavior. A heteroleptic  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  has been synthesized so that it exist in liquid phase at room temperature. The vapor pressure of 1 Torr is obtained at 168 °C, and thermal evaporation and decomposition begins from 250 °C and 425 °C, respectively.  $\text{Y}_2\text{O}_3$  film is fabricated by ALD technique using  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  and water. The growth of  $\text{Y}_2\text{O}_3$  film is well self-limited, the ALD window is from 350 °C to 450 °C, and the growth rate is 0.06 nm/cycle. The deposited  $\text{Y}_2\text{O}_3$  film reveals polycrystalline cubic structure, higher refractive index over 1.8 at 632.8 nm, and high dielectric constant of 24. The as-deposited  $\text{Y}_2\text{O}_3$  film is very compositionally stoichiometric and constant with Y and O through depth and also includes small -OH bonding in the film without any additional processes. The Ru/ $\text{Y}_2\text{O}_3$ /Ru resistor shows a resistance switching between low and high resistance states with voltage sweeping and the resistance ratio between two states is over 1,000 times preferable to non-volatile memory operation.

## Introduction

In recent years, yttrium oxide ( $\text{Y}_2\text{O}_3$ ) film has attracted considerable attention for its wide range of application. The excellent material properties of  $\text{Y}_2\text{O}_3$  film are allowed it to be applied to various electronic and optoelectronic devices. There are a lot of applications of  $\text{Y}_2\text{O}_3$ : metal-oxide-semiconductor field effect transistors (MOSFET) and carbon-based FET,<sup>1,2</sup> non-volatile memory,<sup>3,4</sup> laser,<sup>5,6</sup> phosphor,<sup>7,8</sup> superconductor,<sup>9</sup> and solid oxide fuel cell (SOFC).<sup>10-12</sup> As a gate dielectric in FET,  $\text{Y}_2\text{O}_3$  is one of the most promising candidates to substitute  $\text{SiO}_2$  layer due to its medium dielectric constant (15), wide bandgap (5.6 eV), low leakage current, high breakdown voltage, large conduction band offset (2.3 eV), and good thermal stability.<sup>1</sup> In addition,  $\text{Y}_2\text{O}_3$  can be used as an insulating layer of metal-insulator-metal (MIM) structure in non-volatile resistive switching memory. Excellent device characteristics have been revealed such as high resistance ratio, nondestructive readout, and good data retention.<sup>3</sup> The rare earth (RE)-doped  $\text{Y}_2\text{O}_3$  films, Er: $\text{Y}_2\text{O}_3$ , Er:Eu: $\text{Y}_2\text{O}_3$ , and Er:Yb: $\text{Y}_2\text{O}_3$ , are used as laser hosts because they have high transparency, refractive index (1.7-1.9), mechanical strength, thermal conductivity, and good compatibility with Si technologies.<sup>5</sup> The RE-doped  $\text{Y}_2\text{O}_3$  films have been widely used to photonic and electric devices, namely, optical amplifiers, sensors, upconverters, light emitting diode (LED), organic LED, and thin film electroluminescent applications.<sup>8,13,14</sup>  $\text{Y}_2\text{O}_3$  is also used to make high temperature superconductor  $\text{YBa}_2\text{Cu}_3\text{O}_9$ . The  $\text{Y}_2\text{O}_3$ -based films such as yttria-stabilized zirconia (YSZ)<sup>11</sup> and yttria-doped ceria (YDC)<sup>12</sup> films are applied as solid-state electrolytes to SOFC.

Atomic layer deposition (ALD) is a relatively new technology for thin film formation, but it has been frequently employed in various nano-

device fabrications and become one of the leading film deposition methods.<sup>15-19</sup> In ALD process, the film grows through a unique surface reaction of vaporized precursors that are alternately supplied into the substrate surface. For binary metal oxide formation, the complete cycle of ALD for a monolayer growth is consisted of pulse of metal precursor, inert gas purge, pulse of oxidant, and inert gas purge. Each provided precursor should be well isolated to prevent the gas-phase reaction. The significant advantage of the ALD process is the self-limiting growth of monolayer. In spite of slow growth, ALD has offered high quality thin films, good controllability of the thickness in atomic-level, thickness uniformity, and perfect conformal coating on complex-structured surfaces.<sup>16</sup>

The choice of proper metal precursor is very crucial for successful ALD process. The main requirements of metal precursors are high vapor pressure (more than 0.1 Torr) at its supplying temperature, no self-decomposition at process temperature, and aggressive reaction with ligands on surface. Thermal and plasma-assisted ALD techniques with various types of precursors have been used to deposit pure, Y-doped, and ion-doped  $\text{Y}_2\text{O}_3$  films.<sup>12,20-23</sup> The ALD processes with the precursors with O-, N-, and C-coordinated ligands and their heteroleptic ligands have been reported.<sup>12,20-28</sup>

Among O-coordinated ligands,  $\beta$ -diketonate precursors are thermally stable. The tris(2,2,6,6-tetramethyl-3,5-hemipentadione) yttrium,  $\text{Y}(\text{thd})_3$ , has been used to fabricate  $\text{Y}_2\text{O}_3$ ,<sup>20</sup>  $\text{YScO}_3$ ,<sup>21</sup>  $\text{YSZ}$ ,<sup>22</sup>  $\text{YF}_3$ ,<sup>23</sup> and  $\text{YDC}$ <sup>12</sup> thin films. The solid  $\text{Y}(\text{thd})_3$  should be sublimated at 125 °C to achieve optimal ALD condition.<sup>12</sup> The ALD window using the  $\text{Y}(\text{thd})_3$  precursor and ozone results in the temperature range of 250-375 °C but with low growth rate of 0.23 Å/cycle.<sup>20</sup> The impurity level of grown films, however, is very high. Impurity carbon and hydrogen levels are as high as 1.0-1.4 at.% and 1.3-1.7 at.%, respectively, even the deposition is carried out at 350 °C in the high edge of ALD window.

N-coordinated precursors approach instead, has been demonstrated

lower carbon level in the films. The yttrium tris(*N,N'*-diisopropylacetamidate),  $\text{Y}(\text{iPrCp-amd})_3$ , with  $\text{H}_2\text{O}$  has been used and it is obtained the proper growth rate of 0.8 Å/cycle within the temperature range of 150–280 °C.<sup>24</sup> The impurity levels of carbon and nitrogen are reduced below 0.5 at. %. The ratio of Y to O, however, is close to 1:2. Even worse is that hygroscopic  $\text{Y}_2\text{O}_3$  films adsorb water very easily after air exposure, showing large amount of Y-OH states in XPS analysis. Therefore, to prevent or to reduce water adsorption in  $\text{Y}_2\text{O}_3$  film, additional *in-situ* deposition of an  $\text{Al}_2\text{O}_3$  capping layer or thermal annealing at 400 °C is required to keep good dielectric and electrical characteristics.

Recently the cyclopentadienyl ( $\text{Cp} = \text{C}_5\text{H}_5$ )-based precursors such as  $\text{YCp}_3$ ,<sup>25</sup>  $\text{Y}(\text{MeCp})_3$ ,<sup>11,25</sup>  $\text{Y}(\text{EtCp})_3$ ,<sup>26,27</sup> and  $\text{Y}(\text{iPrCp})_3$ <sup>28</sup> have been used in ALD process. Those Cp-based precursors are much more reactive with water than O-coordinated ligands, leading to higher growth rate. The growth rate of  $\text{Y}_2\text{O}_3$  film with Cp-based precursors/ $\text{H}_2\text{O}$  (1.2–1.8 Å/cycle),<sup>25</sup> is about 5 or 8 times larger than that with  $\text{Y}(\text{thd})_3/\text{O}_3$  process (0.23 Å/cycle).<sup>20</sup>  $\text{Y}_2\text{O}_3$  films using  $\text{Y}(\text{EtCp})_3$  and  $\text{H}_2\text{O}$  show relatively lower carbon ratio (< 0.5 %) and good stoichiometric property ( $\text{Y}:\text{O} = 2:3$ ), but its ALD process window is too narrow (250–285 °C). Narrow ALD window is also found in  $\text{Y}(\text{MeCp})_3$ -based  $\text{Y}_2\text{O}_3$  films within the temperature range of 250–300 °C with constant growth rate of 1.2–1.3 Å/cycle. The narrow ALD windows hinder to apply Cp-based precursors to diverse application. In addition, the low thermal stability of Cp-based precursors prevents them from using in ALD.

The described ALD- $\text{Y}_2\text{O}_3$  films contain higher impurities such as C- and -OH bonds because they are deposited at relatively lower temperature. Most of Y precursors so far are solid-based complex and they have been adopted because of easy synthesis and handling. Unsublimated solid precursors from the source canister, however, often remain in precursor-supply-line and in an ALD chamber, provoking detrimental defects in the films and contamination in ALD system. Since usual solid precursors are not generally much reactive towards water, it is essential to react with aggressive oxidants like ozone or plasma reactive oxygen but results in lower growth rate. Therefore, to solve these problems of solid precursors, the liquid precursors are preferred in ALD process if it is available. The precursors such as  $\text{Y}(\text{EtCp})_3$  is liquid phase at its vaporization temperature of 120 °C<sup>26</sup> and for  $\text{Y}(\text{iPrCp})_3$  is melted at 55 °C.<sup>28</sup> In recent, the heteroleptic precursors showing liquid phase at room temperature have been used in ALD processes.<sup>29,30</sup>

In this study, a novel heteroleptic Y precursor which is liquid at room temperature,  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$ , has been synthesized and its chemical and thermal properties are analyzed. Within our knowledge, this liquid precursor is the first successful fabrication. The ALD process conditions to fabricate  $\text{Y}_2\text{O}_3$  films are investigated and optimized. The structural, optical, and chemical properties of grown films are characterized. Finally, the dielectric and electrical properties are characterized using metal-oxide-Si (MOS) capacitor and MIM non-volatile memory, respectively.

## Experimental

### Synthesis of $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$ precursor

The yttrium precursor,  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$ , was prepared in relatively high yield by a reaction of  $\text{Y}(\text{iPrCp})_3$  with one equivalent of  $\text{iPr-amd-H}$  in pentane at room temperature, followed by vacuum distillation. A solution of  $\text{Y}(\text{iPrCp})_3$  (130 g, 316 mmol) in *ca.* 200 mL pentane at room temperature was added to a solution of  $\text{iPr-amd-H}$  (45 g, 316 mmol) in *ca.* 200 mL pentane dropwise over 30 min. Raw pentane purchased from Sigma Aldrich was used as received without any further purification. The  $\text{Y}(\text{iPrCp})_3$  and  $\text{iPr-amd-H}$  were also obtained

commercially and used as received. The mixture was stirred at room temperature overnight. The solvent and volatiles were evaporated under vacuum. The resulting yellow oil was vacuum distilled at 145–150 °C at 70 mTorr. The yield of  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  is 82 % (116 g).

### Characterization of $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$ precursor

To identify the compound formation of  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$ , the  $^1\text{H}$  nuclear magnetic resonance (NMR) spectra were recorded on a Bruker Avance II spectrometer at 400 MHz in benzene- $\text{d}_6$  solvent. The chemical shifts were reported in relative to tetramethylsilane (TMS). The vapor pressure and the thermal properties of  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  were measured on Mettler Toledo thermogravimetry analyzer (TGA) and differential scanning calorimetry (DSC). All experiments were performed under vacuum or under nitrogen atmosphere.

### ALD process for $\text{Y}_2\text{O}_3$ film with $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$

$\text{Y}_2\text{O}_3$  films were prepared in a flow-type ALD reactor (CN1 Co.) using a liquid  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  precursor and  $\text{H}_2\text{O}$  as metal precursor and oxidant, respectively. Prior to ALD- $\text{Y}_2\text{O}_3$  film growth, the B-doped Si (100) substrates were cleaned with dilute HF solution and deionized water to remove the native oxide. For the optimum  $\text{Y}_2\text{O}_3$  deposition, the precursor supplying temperature (90–150 °C), substrate temperature (150–450 °C), and Y pulse time were varied. The  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  precursor was supplied into the reactor using nitrogen as a carrier gas. The water at room temperature was supplied into reactor without any carrier gas flow.

### Characterization of deposited $\text{Y}_2\text{O}_3$ films

Thickness and refractive index of ALD- $\text{Y}_2\text{O}_3$  film on Si substrate were measured using a TEM (JEOL JEM-2010) and a spectroscopic ellipsometry (Horiba UVISSEL). Crystallinity of the film was identified using an X-ray diffractometer (XRD, Rigaku D/MAX 2500/PC). The surface was checked by atomic force microscopy (AFM). The X-ray photoelectron spectroscopy (XPS, Thermo Scientific-Theta Probe) analyzed the chemical binding of the  $\text{Y}_2\text{O}_3$  films. The Auger electron spectroscopy (AES) system (PHI 700Xi) was used to analyze the depth profile of composition.

### Dielectric and electrical properties of ALD- $\text{Y}_2\text{O}_3$ film

To evaluate the dielectric and electrical parameters of ALD- $\text{Y}_2\text{O}_3$  films, two types of test vehicle devices, which are  $\text{Ru}/\text{Y}_2\text{O}_3/\text{Si}$  MOS capacitor and  $\text{Ru}/\text{Y}_2\text{O}_3/\text{Ru}/\text{Si}$  resistor with MIM structure, were fabricated. The Ru metal was deposited with a dc sputtering at room temperature. The top electrodes of both devices were defined using an aligner system and conventional liftoff method. The capacitance-voltage (C-V) measurements were performed with a HP4284 LCR meter for MOS capacitor. The capacitance was measured by sweeping from +2 V to -2 V and then vice versa. The capacitance equivalent thickness (CET) of the MOS capacitor was determined with the saturated capacitance at -2 V in C-V curves measured at 100 kHz. Using current-voltage (I-V) curves measured by using a HP 4155 semiconductor parameter analyzer, the resistive switching behavior of MIM resistor was obtained. The voltage of top electrode was varied by positive and negative value increment from 0 V and all measurements were carried out separately.

## Results and discussion

### Chemical identification of $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$

Fig. 1 depicts the  $^1\text{H}$  NMR spectra of synthesized  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  and the corresponding precursor; the methyl groups of the iso-propyl substituent on the cyclopentadienyl ring appears at 0.99 ppm as a



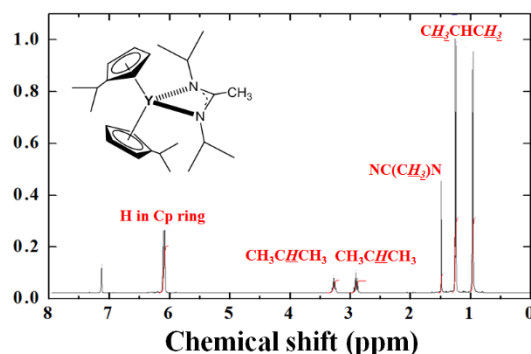


Fig. 1.  $^1\text{H}$  NMR spectra of synthesized  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  and its corresponding schematic picture.

doublet but the other methyl groups on the amidinate also show doublet at 1.27 ppm. Each proton on the iso-propyl group shows multiplets coupled with the neighbor two methyl groups at 2.92 ppm for the iso-propyl substituent on the ring and at 3.30 ppm for the one on the amidinate, respectively. The backbone methyl group appears at 1.51 ppm as a singlet. The ring protons appear at around 6.12 ppm. The peak at 7.6 ppm comes from solvent.

#### Thermal properties of $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$

Fig. 2 shows the vapor pressure variation of  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  precursor with temperature. The synthesized  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  precursor is a yellow oil that has vapor pressure of 1 Torr at around 168 °C. It is quite interesting that the precursor is in a liquid phase at room temperature, has not been available. Owing to the atomic size of yttrium, bulky ligands are usually required to cover the metal and to stabilize the precursor, thus the formation of solid cannot be avoided. The  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  precursor can exist in liquid phase owing to its heteroleptic nature of the precursor.<sup>30</sup>

Moreover, it has good thermal stability so that it can evaporate without decomposition in TGA experiment up to 500 °C, showing one step profile in Fig. 3. In Fig. 3, the precursor shows that evaporation begins to occur 250 °C in single step till 320 °C with very low residual mass of about 0 %. This means no decomposition during evaporation. Also, the absence of residual mass amounts reveals better thermal stability of molecule than other precursors at higher temperature.

To investigate the behavior of molecules at higher temperatures in ALD process, DSC analysis is presented in Fig. 4. In DSC analysis, it begins to deform from 375 °C and start to rapidly decompose at 425 °C, which indicates the decomposition to be exothermic reaction.

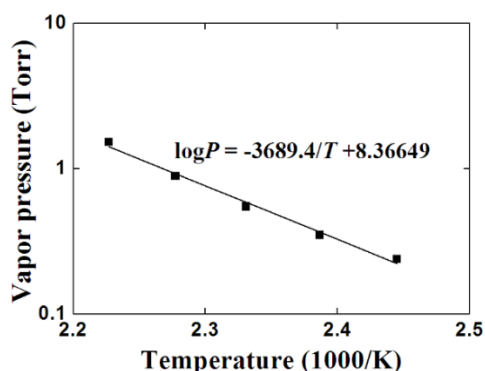


Fig. 2. Vapor pressure variation of  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  with temperature.

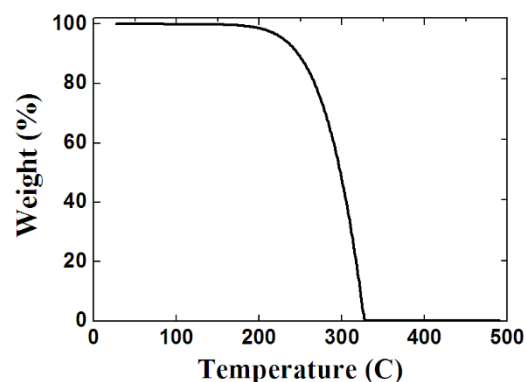


Fig. 3. TGA curve of  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  precursor.

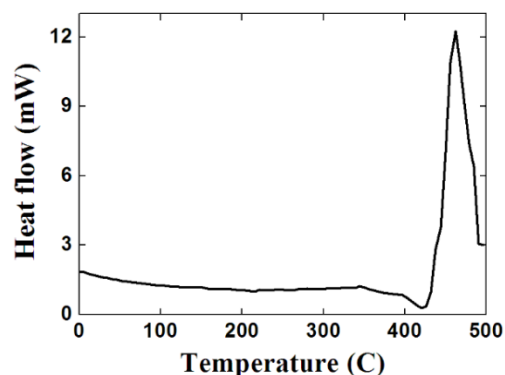


Fig. 4. DSC measurement of  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  precursor.

The decomposition at relatively high temperature demonstrates that  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  indicates its thermal stability. The melting point is not detected in this measurement range.

#### ALD of $\text{Y}_2\text{O}_3$ film with $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$ precursor

In ALD process, the metal precursor should be evaporated and sufficient amount is to be supplied into the chamber for monolayer coverage. One parameter acquired adequate ALD process is the overall precursor exposure on the growth surface. The exposure amount depends on the partial pressure of the used precursor and its pulse time. Practically, the partial pressure of precursor in reactor can be controlled by the precursor supply temperature and the flow rate of carrier gas. To supply appropriate amount of liquid  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  precursor, film thickness with ALD 150 cycles is investigated by varying the precursor supplying temperature with the fixed pulse time of 3 sec at substrate of 350 °C. Fig. 5a shows the growth results with Y precursor supplying temperature. When the precursor is supplied at 85 °C (0.01 Torr), no growth is observed because the vapor pressure is too low to vaporize  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$ . Moreover, when the precursor canister is kept at 125 °C and furnished with the same process cycles,  $\text{Y}_2\text{O}_3$  film has been grown around 7 nm. However, the most appropriate growth thicker than 10 nm is appeared at 150 °C precursor supplying temperature (0.4 Torr). The liquid  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  precursor is so thermally stable up to 250 °C in TGA result that this temperature is stable enough as precursor supplying temperature. The  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  supplying temperature is fixed at 150 °C.

In Fig. 5b, the ALD process temperature window with  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  precursor is characterized by the thickness change as function of substrate temperature from 250 °C to 450 °C under optimized exposure which is 3 sec pulse for  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  and 0.2 sec for

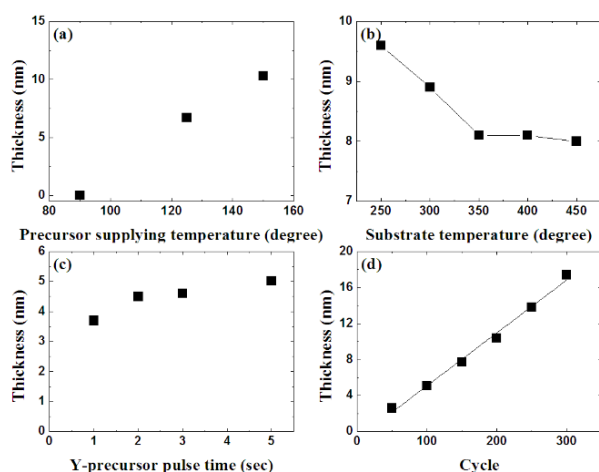


Fig. 5. Growth thickness of  $\text{Y}_2\text{O}_3$  film with (a)  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  precursor supplying temperature, (b) with substrate temperature, (c) with  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  precursor pulse time, and (d) with ALD cycle with the growth rate (slope) of 0.06 nm/cycle. The  $\text{H}_2\text{O}$  pulse time is 0.2 sec for all of  $\text{Y}_2\text{O}_3$  deposition.

$\text{H}_2\text{O}$ . The ALD window is temperature range with constant deposited thickness and that is a favorable feature of an ALD process. In our study, the region of constant growth has been observed as high as 350 °C to 450 °C. According to DSC result,  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  is not self-decomposed in the temperature range. Therefore, an ALD process is performed when the substrate temperature is higher than 350 °C. It is relatively high temperature ALD window. For examples, ALD window for  $\text{Y}_2\text{O}_3$  film is 250-350 °C with  $\text{Y}(\text{thd})_3$ ,<sup>20</sup> 150-280 °C with  $\text{Y}(\text{iPr}_2\text{-amd})_3$ ,<sup>24</sup> 200-400 °C with  $\text{Y}(\text{MeCp})_3$ ,<sup>25</sup> 250-285 °C with  $\text{Y}(\text{EtCp})_3$ ,<sup>26</sup> and 245-300 °C with  $\text{Y}(\text{iPrCp})_3$ .<sup>28</sup> The higher ALD window is related to the higher decomposition temperature revealed in DSC measurement. The ALD at higher process temperature makes the advantages on the grown film dense and less contamination with C, N, and H.

Fig. 5c is the saturation curve of Y precursor with precursor supplying time at 350 °C of substrate temperature. The figure represents self-limited growth after 2 sec. With the proper ALD process conditions,  $\text{Y}_2\text{O}_3$  films are deposited with cycle variation. Therefore, the deposition has been carried out at 350 °C of substrate temperature with  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  pulse time of 3 sec supplied at 150 °C and  $\text{H}_2\text{O}$  pulsing of 0.2 sec at room temperature. As can be seen in Fig. 5d, the thickness of  $\text{Y}_2\text{O}_3$  film is linearly increased with the number of process cycle. The growth rate of  $\text{Y}_2\text{O}_3$  deposition was 0.06 nm/cycle.  $\text{Y}_2\text{O}_3$  deposition on Si substrate reveals almost 1.3 nm nucleation delay. The incubation cycle is usual with the case of  $\text{H}_2\text{O}$  oxidant use whilst no incubation in the case of  $\text{O}_3$  reactant.<sup>20,28</sup> The difference between them is originated from the reaction mechanism between oxidant and underlying surface. The incubation cycle is the time until the substitution from -H termination on Si substrate to -OH fully.

### Crystalline $\text{Y}_2\text{O}_3$ film

The crystal structure of the 30-nm-thick  $\text{Y}_2\text{O}_3$  film on p-type Si substrate is determined by XRD. Most of diffraction peaks corresponds to the cubic phase of  $\text{Y}_2\text{O}_3$  (JCPDS 41-1105). Fig. 6 demonstrates that the normal direction of (222) plane in  $\text{Y}_2\text{O}_3$  film is preferably oriented perpendicular to the surface of Si (100) substrate. The (400), (332), (431), (440), and (622) planes of cubic  $\text{Y}_2\text{O}_3$  are also observed. The preceding results show that  $\text{Y}_2\text{O}_3$  film on Si substrate using thermal ALD method has also a cubic structure due to the high

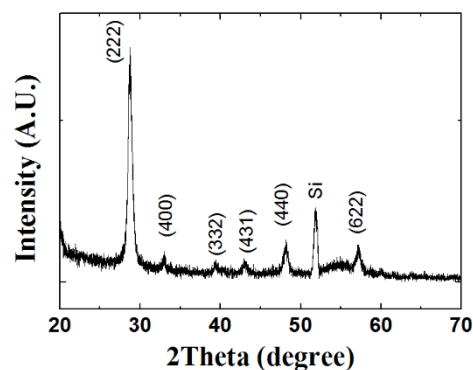


Fig. 6. XRD patterns of  $\text{Y}_2\text{O}_3$  films deposited at 350 °C on Si.

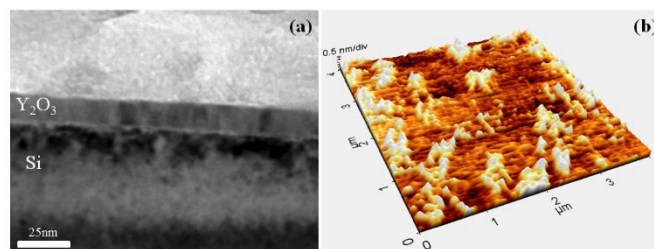


Fig. 7. (a) TEM image of  $\text{Y}_2\text{O}_3$  films deposited on Si substrate with 13.3 nm and (b) its AFM image with RMS of 0.39 nm.

reactivity of Y precursor.<sup>24-27</sup> The dominant plane of ALD- $\text{Y}_2\text{O}_3$  films is (222) or (400) along with precursor and deposition temperature. The  $\text{Y}_2\text{O}_3$  films using  $\text{YCp}_3$  and  $\text{H}_2\text{O}$  at 200-350 °C show the crystal structure with preferred (400) plane but at 400 °C the strongest is changed to (222).<sup>25</sup> With the more reactive precursor of  $\text{Y}(\text{MeCp})_3$ , (222) reflection is obtained from  $\text{Y}_2\text{O}_3$  film deposited at 175 °C. The crystal structure in which (222) plane is preferably stacked parallel to substrate indicates that  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  is adequately reactive with  $\text{H}_2\text{O}$  and high reactivity of precursor allows the  $\text{Y}_2\text{O}_3$  film to grow in crystalline form. Fig. 7a shows a TEM images  $\text{Y}_2\text{O}_3$  film on Si substrate. The thickness of  $\text{Y}_2\text{O}_3$  film is uniform and average 13.3 nm thick. It is also found that the top surface of  $\text{Y}_2\text{O}_3$  films is so smooth. The surface morphology of the film is analyzed by AFM. The root mean square (RMS) of this film is found to be 0.39 nm as shown in Fig. 7b.

### Chemical bonding and elemental analysis in $\text{Y}_2\text{O}_3$ film

Fig. 8 presents the XPS spectra of Y 3d and O 1s for deposited  $\text{Y}_2\text{O}_3$  film at 350 °C. In Fig. 8a, the appearing two main peaks at 156.2 eV and 158.2 eV ( $3d_{5/2}$  and  $3d_{3/2}$ ) show stoichiometric  $\text{Y}_2\text{O}_3$  oxide.<sup>31-33</sup> If there is hydroxylation in the films which essentially need post thermal treatment after film processing, XPS spectra usually shows two key features; the binding energy of both Y 3d and O 1s are shifted to higher side by around 0.6-1.8 eV, which can be back to normal position after annealing and the intensity of lower binding energy peak is stronger than higher energy peak.<sup>34-36</sup> Contrast to several studies, which reported hydroxylation formation is inevitable,<sup>24,36</sup> XPS result in this study shows no appreciable binding energy shift, suggesting that no hydroxylation (Y-OH) or less than spectrometer detection limit in the film. Even the similar structured but still solid phase precursor  $\text{Y}(\text{iPrCp})_3$  shows thick hydroxylation from the surface to deep inside, being compared against our result.<sup>28</sup> It suggests that liquid  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  precursor approach to avoid water absorption during deposition process or air exposure successfully forms good

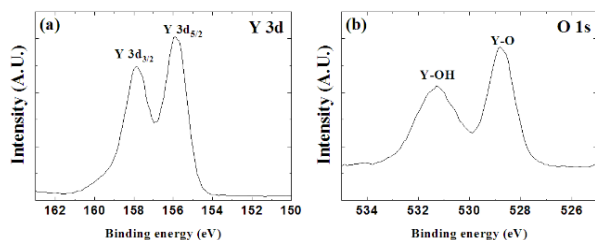


Fig. 8. XPS spectra of  $\text{Y}_2\text{O}_3$  film for (a) Y 3d and (b) O 1s.

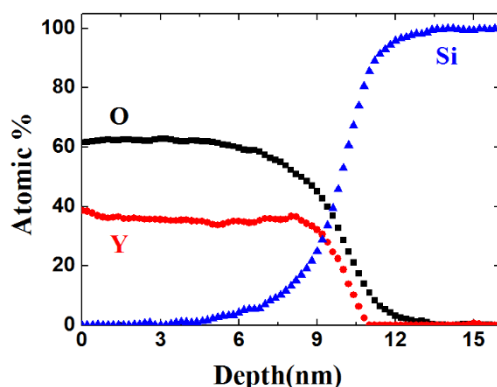


Fig. 9. Depth profile of  $\text{Y}_2\text{O}_3$  film measured by AES.

structured oxide without subsequent heat treatment or forming capping layer.

The O 1s spectra of  $\text{Y}_2\text{O}_3$  film are also composed two main peaks at 529.1 eV and 531.6 eV.<sup>31,32</sup> The lower peak at 529.1 eV is stronger than the higher energy peak and is originated from stoichiometric  $\text{Y}_2\text{O}_3$  film, which is well consistent with Y 3d spectra.<sup>34</sup> The peak at 531.6 eV is broader but weaker, implying multiple bonding features such as -O-C due to contamination of air exposure before loading to XPS analysis, -O-H due to water absorption, and -Si-O-Y in the interface layer.<sup>34</sup> The C 1s binding energy (not shown) is found at 284.9 eV and 288.9 eV corresponds adventitious carbon and carbonates, respectively.<sup>32</sup> Since the  $\text{Y}_2\text{O}_3$  film thickness is thick enough ( $\sim 30$  nm) and no trace of the characteristic feature of  $\text{SiO}_2$  at  $\sim 533$  eV, the emission from possible interfacial silicate or  $\text{SiO}_2$  may be small.<sup>37</sup> The most reliable assignment to the high energy peak can be attributed to small mixture of various contributions from -O-C and -O-H.<sup>36</sup> Typical assignment of the high binding energy component has been to Y-OH formation during ALD deposition process or air exposure if there is clear binding energy shift as in Y 3d emission.<sup>24</sup> To reduce water adsorption in  $\text{Y}_2\text{O}_3$  film after air exposure, an additional deposition of an  $\text{Al}_2\text{O}_3$  capping layer or thermal annealing is required.<sup>24</sup> As discussed above, any additional processes such as capping layer or thermal heat treatment are not necessary with  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  since the hydroxylation formation by solid precursor and the contamination from air moisture can be avoided. No appreciable binding energy shift in all the O 1s spectra, again, is found as in the case of Y 3d. This demonstrates liquid phase precursor  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  approach is more advantageous to avoid Y-OH formation than conventional solid phase precursor.<sup>24,28,34</sup> The atomic ratio of Y/O in  $\text{Y}_2\text{O}_3$  film is extracted by XPS analysis. The Y/O ratio is 0.62, which the value is the same with the stoichiometric  $\text{Y}_2\text{O}_3$  film grown at 350–375 °C with  $\text{Y}(\text{thd})_3$  and  $\text{O}_3$ .<sup>20</sup> The Y/O ratio, from the

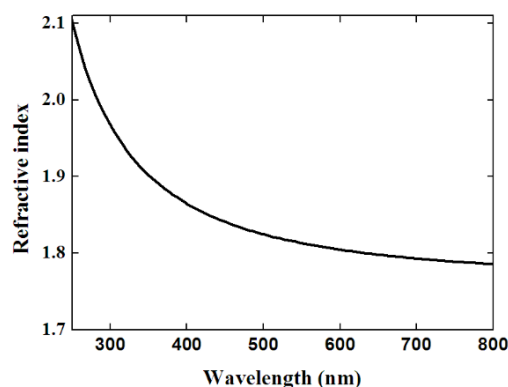


Fig. 10. Refractive index of  $\text{Y}_2\text{O}_3$  film.

Auger depth profiling analysis in Fig. 9, is well maintained in almost constant through depth, which further supports good stoichiometric quality of the film.

### Optical property of $\text{Y}_2\text{O}_3$ film

In visible region of light,  $\text{Y}_2\text{O}_3$  films are characterized by a high refractive index which allows them to be applied to optical devices.<sup>28,38</sup> The refractive index of  $\text{Y}_2\text{O}_3$  film is strongly dependent on the fabrication methods, process temperature, and crystalline structure. The refractive index can be determined using the Lorentz oscillator formula. Fig. 10 shows it as the function of wavelength from 250 nm to 830 nm. For the precise analysis, the thickness of  $\text{Y}_2\text{O}_3$  film is obtained from TEM measurement. It is found that the higher refractive index of ALD- $\text{Y}_2\text{O}_3$  film processed with  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  is obtained. The extracted value at 632.8 nm wavelength is over 1.8. The refractive index of ALD- $\text{Y}_2\text{O}_3$  film using a  $\text{Y}(\text{iPr}_2\text{-amd})_3$  is about 1.8 at 632.8 nm<sup>24</sup> and that of ALD- $\text{Y}_2\text{O}_3$  film processed at 250 °C using a  $\text{Y}(\text{iPrCp})_3$  precursor is 1.77 and anneal at 600 °C and 800 °C are 1.79 and 1.88, respectively.<sup>28</sup> The higher refractive index means the higher density and dielectric constant. The dense  $\text{Y}_2\text{O}_3$  film deposited with  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  can prevent from absorbing water vapor in air, which is well demonstrated in XPS results.

### Dielectric property of $\text{Y}_2\text{O}_3$ film

The dielectric constant of  $\text{Y}_2\text{O}_3$  film is evaluated from the C-V curves of MOS capacitor. The typical C-V curves of Ru/ $\text{Y}_2\text{O}_3$ /Si capacitors are presented in Fig. 11a with  $\text{Y}_2\text{O}_3$  films of 10 nm, 20 nm, and 30 nm thick. As  $\text{Y}_2\text{O}_3$  films become thinner, the distortions in C-V curves are observed due to the large density of slow interface states.<sup>39</sup> The saturated capacitance values of MOS capacitors are 10.8 fF/ $\mu\text{m}^2$ , 7.6 fF/ $\mu\text{m}^2$ , and 5.4 fF/ $\mu\text{m}^2$  respectively. Using those capacitance values,

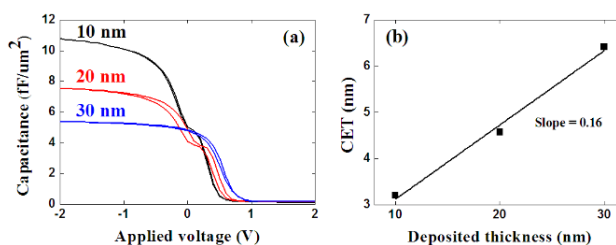


Fig. 11. (a) C-V curves of Ru/ $\text{Y}_2\text{O}_3$ /Si MOS capacitors with the thickness of  $\text{Y}_2\text{O}_3$  films and (b) their CET variation with film thickness. The slope in (b) is reciprocally related to the dielectric constant of 24.

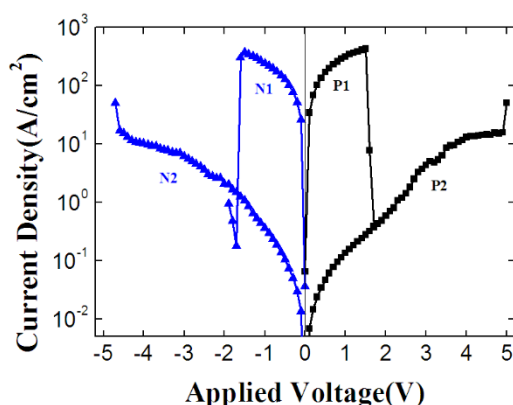


Fig. 12. The J-V curves of  $\text{Y}_2\text{O}_3$  films. The sweeps are independently executed positive (P1 and P2) and negative (N1 and N2) voltage stress. P1(N1) sweep is followed by P2(N2).

each CET is obtained. The CET variation with the thickness of  $\text{Y}_2\text{O}_3$  film is drawn in Fig. 11b. From the slope, dielectric constant of  $\text{Y}_2\text{O}_3$  film is evaluated as 24. The higher dielectric constant is well compatible with the higher refractive index and the density.

#### Resistive switching behaviors with $\text{Y}_2\text{O}_3$ film

To investigate the electrical property, in particular, resistive switching, I-V measurements are carried out on  $\text{Ru}/\text{Y}_2\text{O}_3/\text{Ru}/\text{Si}$  MIM structures with 30 nm insulating  $\text{Y}_2\text{O}_3$  films deposited at 350 °C. The  $\text{Y}_2\text{O}_3$  films present bistable resistive switching characteristics for respective positive and negative voltage sweeps as shown in Fig. 12. The figure shows the typical unipolar resistive switching behaviors with both high resistance state (HRS) and low resistance state (LRS). The resistive switching from LRS (P1, N1) to HRS (P2, N2) and vice versa is independently realized under either a positive or a negative voltage sweep. For a LRS, the large current flows through the  $\text{Y}_2\text{O}_3$  film at low voltage region and suddenly precipitates to a HRS at a reset voltage of about  $\pm 2$  V. With an additional voltage sweep, the current keeps the path of HRS and then drastically increases at a set voltage of about  $\pm 5$  V. The switching features are considered due to the formation and rupture of the conducting filaments through an insulating film.<sup>40</sup> The resistance ratio of HRS to LRS reading at 0.5 V is over 1,000 times, which is sufficient to distinguish two different digital signals as a non-volatile memory device.

#### Conclusions

We have successfully fabricated ALD- $\text{Y}_2\text{O}_3$  films approached by non-conventional liquid heteroleptic Y precursor,  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  and have demonstrated its excellence through the materials characterization and the resistive switching memory device application. The novel  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  has been synthesized with high yield and exist in a yellow oil at room temperature. The vapor pressure of  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  at 168 °C is 1 Torr. This liquid begins to evaporate from 250 °C in a single step till 320 °C with very low residual mass. It also shows a thermal self-decomposition from 425 °C.  $\text{Y}_2\text{O}_3$  film was successfully fabricated by thermal ALD using a liquid  $(\text{iPrCp})_2\text{Y}(\text{iPr-amd})$  and water. The self-limited growth with a growth rate of 0.06 nm/cycle at 350 °C. The ALD window is 350–450 °C which a relatively high temperature. The crystalline  $\text{Y}_2\text{O}_3$  film shows preferred (222) parallel to Si substrate surface. The chemical state of deposited  $\text{Y}_2\text{O}_3$  film shows stoichiometric and constant composition

through depth. From the XPS peak analysis for Y and O, it is observed negligible -OH contamination in whole film without any additional annealing or capping processes. The optical and dielectric constant are relatively high with the value of refractive index over 1.8 and dielectric constant of 24. The  $\text{Ru}/\text{Y}_2\text{O}_3/\text{Ru}$  resistor shows resistance switching between low and high resistance states with voltage sweeping and the resistance ratio between two states at 0.5 V is over 1,000 times.

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#### Notes and references

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