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## **ARTICLE TYPE**

## Graphene/Carbon-Coated Fe<sub>3</sub>O<sub>4</sub> Nanoparticles Hybrids for Enhanced Lithium Storage<sup>†</sup>

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A novel hierarchical nanostructure composed of carbon coated  $Fe_3O_4$  nanoparticles with seed-like morphology distributing on graphene (denoted as G/Fe<sub>3</sub>O<sub>4</sub>@C) is prepared as a high-capacity anode electrode for LIBs. β-FeOOH nanoseeds were firstly assembled on graphene by solvothermal treatment, followed by coating  $\beta$ -FeOOH nanoseeds with polydopamine via immersion in dopamine aqueous 10 solution. Finally, G/Fe<sub>3</sub>O<sub>4</sub>@C is obtained after *in situ* phase transformation of  $\beta$ -FeOOH to Fe<sub>3</sub>O<sub>4</sub> and

- simultaneously carbonization of polydopamine nanocoating through a thermal annealing at 500 °C. The thickness of the uniform and continuous carbon layer can be easily tailored by varying the polymerization time and the concentration of dopamine to balance the concurrent needs for high active material content and structure stability. The carbon layer can effectively prevent the agglomeration of  $Fe_3O_4$  nanoparticles,
- 15 which enables the reversible conversion reaction between  $Fe_3O_4$  and lithium, and significantly improves the mechanic stability of electrodes by accommodating volume expansion of Fe<sub>3</sub>O<sub>4</sub> nanoparticles during the electrochemical cycling. Meanwhile, the combination of graphene and carbon shell improves the electrochemical reaction kinetics of electrode. As a result, the obtained  $G/Fe_3O_4$  (a)C nanocomposites with the optimal carbon shell thickness of about 1.2 nm exhibit high reversible capacities with remarkable

<sup>20</sup> cyclic retention at different current rates (1344 mA h g<sup>-1</sup> after cycling at 0.5 C for 200 cycles, 743 mA h g<sup>-1</sup> <sup>1</sup> after further cycling at 2 C for another 200 cycles) and excellent rate performance (150 mA h g<sup>-1</sup> at 20 C) as anodes in lithium ion batteries.

#### 1 Introduction

- To achieve the next generation of rechargeable lithium-ion 25 batteries (LIBs) with improved energy and power density, and excellent cyclic stability for further applications in hybrid electric vehicles (HEVs) and electric vehicles (EVs), considerable efforts have been made recently in developing new electrode materials or designing novel nanostructures. Nowadays, electrode compounds 30 reacting through conversion reactions, such as transition metal
- oxides (Fe<sub>3</sub>O<sub>4</sub>,<sup>1</sup> Fe<sub>2</sub>O<sub>3</sub>,<sup>2</sup> and Co<sub>3</sub>O<sub>4</sub><sup>3</sup>), are capable of Li<sup>+</sup> insertion/extraction in excess of 6 Li<sup>+</sup> per formula unit, resulting in a significantly larger reversible capacity ( $\sim 700-1000 \text{ mA h g}^{-1}$ ) than that of traditional graphite anode (372 mA h g<sup>-1</sup>).<sup>4</sup> However,
- 35 the severe volume change occurs for this category of materials during lithium ion insertion/extraction, leading to structural disintegration and poor cycling performance, which are main drawbacks for commercial development.5, 6 It is an effective approach for tackling the above obstacles to combine conversion-
- 40 based electrodes with conductive materials and/or wrapped with high conductive materials.<sup>7</sup> Graphene, a 2-dimensional nanostructure of carbon atoms arranged in a honevcomb lattice, is the most popular "matrix" material to be composited with highcapacity metal oxides, due to its superior electrical conductivity, <sup>45</sup> high surface area, and chemical stability.<sup>8, 9</sup> These intriguing

merits make graphene in composites not only cushions the internal stress induced during the volume change but also serves as conductive paths for fast transfer of electrons.

On the other hand, differing from classical lithium insertion-50 extraction or lithium alloying processes, conversion reaction mechanism involves the formation and decomposition of Li<sub>2</sub>O, which accompanies the reduction and oxidation of metal oxides (as shown in the following equation 1).<sup>10, 11</sup>

 $M_xO_v + 2yLi^+ + 2ye^- \leftrightarrow yLi_2O + xM$  (M = Fe, Co, Ni, Cu, etc.) 55 (1)

The reversible electrochemical lithium storage proceeds more easily with the nanoscale electrode, due to the fact that the extraction of lithium from bulk Li<sub>2</sub>O is thermodynamically unfavorable.<sup>12, 13</sup> Therefore, synthesis of stable nanosized metal 60 oxides has become very important for their high electrochemical performance. Fe<sub>3</sub>O<sub>4</sub>-based nanostructured materials (including nanoparticles,<sup>14</sup> nanocubes,<sup>15</sup> nanorods,<sup>16</sup> nanotubes,<sup>17</sup> nanodisks,<sup>18</sup> etc.) are attracting growing attention as highcapacity anode electrodes for LIBs due to their high theoretical 65 capacity (928 mA h g<sup>-1</sup>), low cost, eco-friendliness, and the natural abundance of iron.<sup>19</sup> Nanostructure provides a very short lithium ion diffusion length (L) within electrochemically active particle, thus significantly reducing the characteristic time constant (t) for ion diffusion, which makes it possible for 70 nanostructured Fe<sub>3</sub>O<sub>4</sub> materials to achieve an excellent rate capability.<sup>20</sup> Furthermore, in order to integrate both the optimized electrochemical reaction and electrical conductivity, efforts have been put in combining nanostructured  $Fe_3O_4$  and graphene matrix for high capacity and high power LIB anodes.

- s Nevertheless, the exposed Fe<sub>3</sub>O<sub>4</sub> nanostructures on the graphene surface are still prone to aggregate during the electrochemical cycling, thus the cracking and pulverization of the electrodes are difficult to avoid, which leads to a decreased electrochemical performance of graphene/Fe<sub>3</sub>O<sub>4</sub> hybrids.<sup>21, 22</sup>
- <sup>10</sup> Furthermore, in many nanostructured transition metal oxides, the SEI films formed during Li uptake might be catalyzed by transition metal upon Li extraction to disappear completely, leading to capacity fading and safety problems.<sup>23-25</sup> Wrapping nanostructures within highly conductive carbon shells on
- <sup>15</sup> graphene to form a close structure can tackle the aggregation of nanomaterials and keep the overall electrode highly conductive while leading to stabilized SEI films.<sup>7, 23</sup> Su et al. fabricated 2D graphene@metal oxide nanosheets confined within a carbon layer (G@MO@C) and obtained an outstanding lithium storage
- $_{20}$  performance.<sup>26</sup> The concept of 2D core-shell nanomaterials on the surface of graphene could be utilized to fabricate excellent conversion/alloy-style electrode materials. Herein, we report a novel hierarchical nanostructure composed of carbon coated Fe<sub>3</sub>O<sub>4</sub> nanoparticles with seed-like morphology distributed on
- <sup>25</sup> graphene (denoted as G/Fe<sub>3</sub>O<sub>4</sub>@C). β-FeOOH nanoseeds were firstly assembled on graphene by solvothermal treatment. Next, β-FeOOH nanoseeds were coated with polydopamine *via* immersion in dopamine aqueous solution. Finally, G/Fe<sub>3</sub>O<sub>4</sub>@C is obtained after in situ phase transformation of β-FeOOH and
- <sup>30</sup> simultaneously carbonization of polydopamine nanocoating by a thermal annealing at 500 ° C. Benefited from the excellent electroconductive network, uniform distribution and nanosize of Fe<sub>3</sub>O<sub>4</sub> particles, high contact surface area and the efficient protection of carbon shell, the resulting G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6), in
- <sup>35</sup> which 1.0 and 6 represented the initial concentration of dopamine (1.0 mg mL<sup>-1</sup>) and the polymerization time (h), respectively, delivered outstanding cycling performance as high as 1344 mA h g<sup>-1</sup> (after cycling at 0.5 C for 200 cycles) and 743 mA h g<sup>-1</sup> (after further cycling at 2 C for another 200 cycles), and good rate
- <sup>40</sup> capacity up to 150 mA h g<sup>-1</sup> (20 C) when evaluated as an anode material for LIBs.

#### 2 Experimental

#### 2.1 Reagents and materials

Graphite powder, Iron (III) chloride hexahydrate (FeCl<sub>3</sub>·6H<sub>2</sub>O), 45 Cetyltrimethylammonium bromide (CTAB), and ethanol were purchased from Sinopharm Chemical Reagent Co., Ltd. Sodium hydroxide (NaOH) was purchased from Shang-hai Lingfeng Chemical Reagent Co., Ltd. All the chemicals were of analytical grade and used as received. Deionized water was used in all 50 experiments.

#### 2.2 Preparation of G/β-FeOOH

Firstly, graphene/β-FeOOH nanoseeds nanocomposites were fabricated by a facile solvothermal process. Graphite oxide was prepared from natural graphite flakes according to the modified <sup>55</sup> Hummers method.<sup>27</sup> In a typical synthesis, 0.1 g of graphite oxide

was first dispersed in mixed solvent of ethanol and water (42 mL,

volume ratio 5:1) by sonication for 1.5 h to form a homogeneous dispersion. Subsequently, CTAB (0.8 g) was added into the mixture with vigorous stirring for uniform dispersion before the addition of the proper amount of acid to adjust the pH value to about 2.0, as part A. 0.8 g of FeCl<sub>3</sub>·6H<sub>2</sub>O was dissolved into 2 mL of ethanol. After being stirred for 0.5 h, 1 mL of deionized water was added dropwise, then 1 mL of NaOH solution (16 mg mL<sup>-1</sup>) was also added into the mixture, which was kept stirring <sup>65</sup> for 15 min. The resulting mixture was filtered with a PTFE

membrane with 1 µm pore size. The filtered solution, as part B, was then mixed with part A under vigorous stirring. The final suspended solution was transferred into a 100 mL Teflon-lined stainless steel autoclave and solvothermally treated at 120 °C for 14 h. The graphene/B-EeOOH nanocomposites were collected by

 $_{70}$  14 h. The graphene/ $\beta$ -FeOOH nanocomposites were collected by centrifugation, washed thoroughly with warm ethanol and water and then dried at 60 °C in a vacuum box overnight.

#### 2.3 Preparation of G/Fe<sub>3</sub>O<sub>4</sub>@C

200 mg of graphene/β-FeOOH was dispersed in 50 mL Tris-75 buffer (pH: ~8.5) by ultrasonication for 30 min to form a suspension. Subsequently, 50 mg dopamine was added to the mixture under stirring. The mixture was subjected to continuous magnetic stirring at 30 ° C for 6 h. Afterwards, the precipitates  $(graphene/\beta-FeOOH@polydopamine)$  were collected by <sup>80</sup> centrifugation, washed thoroughly with deionized water and then dried at 60 °C in a vacuum box overnight. The resulting sample was heated in a quartz tube to 150 °C at a rate of 3 °C min<sup>-1</sup> in Ar atmosphere and kept at this temperature for 1 h, and then further heated to 500 °C with a heating rate of 5 °C min<sup>-1</sup>, and kept at this 85 temperature for 6 h. The obtained composite was denoted as  $G/Fe_3O_4@C$  (1.0/6), in which 1.0 and 6 represented the initial concentration of dopamine (1.0 mg mL<sup>-1</sup>) and the polymerization time (h), respectively.

In order to tune the coating thickness of the carbon layer, samples were prepared by varying the polymerization time and the concentration of dopamine, labeled as  $G/Fe_3O_4@C$  (1.0/4) and  $G/Fe_3O_4@C$  (1.5/6). Meanwhile,  $G/Fe_3O_4$  without carbon shell was also prepared in a similar process in the absence of dopamine.

#### 95 2.4 Structure and morphology characterization

Powder X-ray diffraction (XRD) measurements were carried out by a polycrystalline X-ray diffractometer (RIGAKU, D/MAX 2550 VB/PC, λ = 1.5406 Å). Fouriertrans form infrared (FTIR) and Raman spectra were recorded on a Nicolet 5700 FTIR
<sup>100</sup> spectrometer and a Renishaw inVia Raman microprobe with excitation laser beam wavelength of 514 nm, respectively. The thermogravimetric (TG) measurement was carried out using a Mettler STARe thermal analyzer under a flow of air with a temperature ramp of 10 °C min<sup>-1</sup> from room temperature to 800
<sup>105</sup> °C. A JEOL SM-6360LV microscope (SEM), equipped with an energy dispersive X-ray analyzer (EDX), was used to investigate themorphologies and chemical compositions of the samples. The transmission electron microscopy (TEM) and selected area electron diffraction (SAED) was conducted on a JEM-2100
<sup>110</sup> microscope operated at 200 kV.

#### 2.5 Electrochemical measurements

All the electrochemical studies were conducted in two-electrode

coin-cell (CR 2016) assembled in an argon-filled glovebox. The working electrode composed of 80 wt% active material, 10 wt% poly(vinylidene fluoride) (PVDF), and 10 wt% carbon black was fabricated by casting a slurry onto a copper foil, and then dried in

- $_{\rm 5}$  a vacuum oven at 80 °C for 12 h. The loading density of active material is 0.5 mg cm<sup>-2</sup> and coating thickness is 14  $\mu m$ . Metallic Li sheets were used both as counter and reference electrodes and a polypropylene film (Celgard 2400) was used as a separator. The nonaqueous electrolyte used was a 1.0 M LiPF<sub>6</sub> in EC/DMC (1:1
- <sup>10</sup> wt/wt). Galvanostatical charge-discharge cycles were carried out on a LAND-CT2001A battery tester at various current densities in the voltage range of 0.01-3.0 V versus Li<sup>+</sup>/Li. Cyclic voltammetry and electrochemical impedance spectroscopy (EIS) measurements were carried out on an electrochemical workstation
- <sup>15</sup> (Autolab PGSTAT30 potentiostat). The cyclic voltammograms were obtained over the potential range from 3.0 to 0.01 V at a scanning rate of 0.5 mV s<sup>-1</sup>. The impedance spectra were obtained by applying an AC voltage of 5 mV amplitude over the frequency range from 100 kHz to 0.01 Hz at delibilitation states.

#### 20 3 Results and discussion

The overall synthetic procedure of  $G/Fe_3O_4(a)C$  was illustrated in Fig. 1. It is well known that single layer of GO in solution was negatively charged. Thus, after CTAB was added in the stirring GO solution, the CTA<sup>+</sup> ions attached randomly on the surface of 25 GO through electrostatic interactions.<sup>28, 29</sup> Subsequently, Fe<sup>3+</sup> ions existing in filtered solution were added into the GO-CTA<sup>+</sup> solution and some of those were absorbed in the outward end of the micelles because of the electrostatic effects, while the others were still dispersed in the solution.<sup>30</sup> When the redox reaction 30 was carried out in the acidic solvothermal system, FeOOH nanowires formed and then FeOOH nanowires dispersed in the solution self-assembled parallelly to those lying on GO to form nanorods.<sup>30, 31</sup> Finally, the FeOOH nanorods were epitaxial fused together to form β-FeOOH nanoseeds.<sup>31</sup> Meanwhile, GO could be 35 well reduced to graphene under the solvothermal condition, thus obtained G/β-FeOOH nanoseeds nanocomposites. Afterwards, β-

FeOOH were coated with polydopamine though the bind between the surface -OH of  $\beta$ -FeOOH and the catechol-derivative anchor groups of dopamine, after immersion of G/ $\beta$ -FeOOH in dopamine <sup>40</sup> aqueous solution.<sup>32</sup> Subsequently, through a thermal annealing at 500 °C, G/ $\beta$ -FeOOH@polydopamine was successfully transformed into G/Fe<sub>3</sub>O<sub>4</sub>@C nanocomposites.<sup>33</sup>



Fig. 1 Schematic illustration for fabricating G/Fe<sub>3</sub>O<sub>4</sub>@C



Fig. 2 (a) XRD patterns, (b) FTIR spectra, and (c) Raman spectra of  $G/\beta$ -FeOOH,  $G/Fe_3O_4$  without carbon shell, and  $G/Fe_3O_4$ @C (1.0/6), respectively.

A powder X-ray diffraction (XRD) experiment was carried out to gain insight into the internal structure of G/β-FeOOH, G/Fe<sub>3</sub>O<sub>4</sub> and G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6). As shown in Fig. 2a, the distinct diffraction pattern of solvothermally treated product was in good agreement with β-FeOOH (JCPDS No. 75-1594). In contrast, both products (G/Fe<sub>3</sub>O<sub>4</sub> and G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6)) after annealing process exhibited similar patterns, and all intensive peaks can be well indexed to pure Fe<sub>3</sub>O<sub>4</sub> (JCPDS No.85-1436), suggesting that the akagenite particles had been transformed into the facecentered cubic phase of magnetite after the pyrolysis. The XRD pattern of G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) lacked peaks corresponding to graphite, indicating that the carbon layer was amorphous.<sup>19</sup> Further insights of the structural and compositional properties of composites were obtained from Flourier transform infrared spectroscopy (FTIR) spectra (Fig. 2b). Characteristic peaks of  $\beta$ -FeOOH (at around 401 cm<sup>-1</sup> corresponding to Fe-O stretching vibration, and at 642.5 and 841.7 cm<sup>-1</sup> corresponding to the <sup>5</sup> deformation mode of Fe-OH groups) were found in the curve of G/ $\beta$ -FeOOH sample,<sup>34, 35</sup> and these peaks disappeared and a new

- preak at 569.1 cm<sup>-1</sup> corresponding to the stretching vibration of the Fe-O bond of Fe<sub>3</sub>O<sub>4</sub> arisen in the curves of  $G/Fe_3O_4$  and  $G/Fe_3O_4@C$  (1.0/6). Meanwhile, the characteristic peaks of
- <sup>10</sup> oxygen-containing functional groups, including 3430.7 cm<sup>-1</sup> for O-H stretching, 1717.7 cm<sup>-1</sup> for C=O stretching of COOH groups, 1213.7 cm<sup>-1</sup> for phenolic C-OH stretching, and 1040.3 cm<sup>-1</sup> for C-O stretching, were decreased dramatically in intensity after the annealing process, implying that rGO obtained after solvothermal
- <sup>15</sup> reduction was further reduced to graphene. As a result, the electrical conductivity could be improved, which was favorable for the efficient energy storage.<sup>36</sup> Raman spectra of three samples (Fig. 2c) displayed two prominent peaks at ~1349 cm<sup>-1</sup> (D band originating from defects associated with vacancies, grain
- <sup>20</sup> boundaries, and amorphous carbon species) and 1595 cm<sup>-1</sup> (G band corresponding to ordered sp<sup>2</sup>-bonded carbon atoms), respectively.<sup>37</sup> The intensity ratios of D band to G band for G/Fe<sub>3</sub>O<sub>4</sub> and G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0-6h) showed decreased values compared with that for G/β-FeOOH, indicating the further <sup>25</sup> recovered aromatic structures after the removal of oxygen moieties by thermal reduction,<sup>38</sup> consistent with the result of FTIR spectra.



Fig. 3 (a) Low-magnification and (b) high-magnification SEM images of <sup>30</sup> the G/β-FeOOH. The SEM images of (c) G/Fe<sub>3</sub>O<sub>4</sub> without carbon shell and (d) G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6).

The morphology and structure of the products were characterized by SEM images (Fig. 3). As shown in Fig. 3a and 3b, plenty of  $\beta$ -FeOOH nanoparticles with seed-like morphology <sup>35</sup> were uniformly anchored on the wrinkling graphene surface, suggesting efficient assembly between  $\beta$ -FeOOH nanoparticles and graphene sheets during the solvothermal treatment. These seed-shaped nanoparticles exhibited a homogenous particle size with diameters of about 10 nm and lengths of 20-40 nm.

<sup>40</sup> Interestingly, when mixed solution (part B) wasn't filtered with a PTFE membrane with 1  $\mu$ m pore size, while keeping the other experimental variables fixed, we obtained  $\beta$ -FeOOH nanospindles besides of nanoseeds (Fig. S1a and 1b). It was more

likely because that the high Fe<sup>3+</sup> concentration caused the growth 45 of crystal. Further, when CTAB was also not used, only β-FeOOH nanospindles were obtained, as shown in Fig. S1c, which was ascribed to that CTAB could confine the growth of particles in the nanometer regime.<sup>39</sup> After phase transformation of  $\beta$ -FeOOH to Fe<sub>3</sub>O<sub>4</sub> through a thermal annealing at 500 ° C, the <sup>50</sup> seed-like morphology in G/Fe<sub>3</sub>O<sub>4</sub> without carbon shell turned into irregular and larger particle size was observed, as shown in Fig. 3c. Whereas, there was no significant change in overall hierarchical nanostructure of G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6), as shown in Fig. 3d, which might be because the uniform carbon shell could 55 effectively prevent the adjacent nanoparticles from coalescing and maintain the shape of particles during the thermal treatment. Fig. S2 showed the corresponding energy dispersive X-ray spectrum (EDS) of G/Fe<sub>3</sub>O<sub>4</sub> and G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6). Carbon content estimated from EDS analysis were found to be 25.1 wt% 60 and 38.19 wt% for G/Fe<sub>3</sub>O<sub>4</sub> and G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6), respectively.



Fig. 4 (a) TEM image of G/Fe<sub>3</sub>O<sub>4</sub>. (b) TEM and (c and d) HRTEM images of G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6). (Inset in 4d) the SAED pattern of G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6).

65 The microstructures of G/Fe<sub>3</sub>O<sub>4</sub> and G/Fe<sub>3</sub>O<sub>4</sub>(a)C (1.0/6) were further examined using transmission electron microscopy (TEM) and were presented in Fig. 4. As shown in Fig. 4a, Fe<sub>3</sub>O<sub>4</sub> nanoparticles in G/Fe<sub>3</sub>O<sub>4</sub> with size of about 50 nm showed serious aggregation, which could inhibit the Li-ion diffusion and 70 electrolyte access. In contrast, Fe<sub>3</sub>O<sub>4</sub> in G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) featuring diameters of about 7 nm and lengths of about 20 nm still uniformly distributed on graphene with few aggregations due to the confinement of carbon shell (In Fig. 4b and 4c), thus making them fully accessible to lithium ions in the electrolyte. 75 The slightly reduced size of  $Fe_3O_4$  nanoseeds compared to that of initial β-FeOOH nanoseeds was ascribed to the volume contraction associated with the transformation from low density  $\beta$ -FeOOH (3 g cm<sup>-3</sup>) to denser magnetite with a density of 5.18 g cm<sup>-3</sup> after the annealing process.<sup>40</sup> In Fig. 4d, the HRTEM image 80 of G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) shown that the entire surface of Fe<sub>3</sub>O<sub>4</sub> nanoseeds had been covered with a uniform and continuous amorphous carbon shell with the thickness of about 1.2 nm to form a close composite structure. Such a geometric confinement effectively suppressed the dissolution and agglomeration of 85 nanoseeds during the cycling, thereby promoting the electrochemical activity and stability of the nanocomposites.<sup>41</sup> Besides, amorphous carbon could allow Li ions to pass through

carbon layer to react with inner  $Fe_3O_4$  nanoseeds as compared to crystallized carbon.<sup>42</sup> The visible set of lattice fringes with *d*-spacing of 0.30 and 0.25 nm corresponded to (220) and (331) lattice planes of  $Fe_3O_4$ , respectively. The corresponding SAED

- s pattern (Inset in Fig. 4d) showed multiple concentric circles, which can be indexed to the (220), (311), (400), (422), and (511) lattice planes, confirming the polycrystalline nature of  $Fe_3O_4$ . Energy-dispersive X-ray spectroscopy elemental mapping was used to help understand the distribution and composition of
- <sup>10</sup> G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6). As shown in Fig. 5, the concordance of C, Fe and O signals confirmed that Fe<sub>3</sub>O<sub>4</sub> particles were homogeneously distributed in the composite. Moreover, the evenly distributed points of N element, which doped in carbon derived from polydopamine after pyrolysis,<sup>43</sup> further confirmed <sup>15</sup> the homogeneous carbon shell cover on the nanocomposite.



Fig. 5 (a) Scanning transmission electron microscopy (STEM) image of  $G/Fe_3O_4@C$  (1.0/6), and (b-f) the corresponding EDX mapping images of carbon, iron, oxygen, nitrogen elements.

- In order to determine the optimal composition for electrochemical performance,  $G/Fe_3O_4@C$  nanocomposites with different carbon thickness were also prepared by simply adjusting the polymerization time and the concentrations of initial dopamine, while keeping the other experimental variables fixed.
- <sup>25</sup> The polymerization time was shorted from 6 to 4 h or initial dopamine concentration was varied from 1.0 to 1.5 mg mL<sup>-1</sup>, and the corresponding products were named G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/4) and G/Fe<sub>3</sub>O<sub>4</sub>@C (1.5/6), respectively. As seen from the TEM images (Fig. 6a and 6b), the carbon shell in G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/4) and
- <sup>30</sup> G/Fe<sub>3</sub>O<sub>4</sub>@C (1.5/6) was about 0.6 and 4.3 nm in thickness, respectively. However, it should be noticed that uncontinuous carbon shell and serious aggregation of partial particles with random shape were observed in G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/4), possibly because of the relatively low dopamine concentration and limited
- <sup>35</sup> polymerization time (Fig. S3). Thermogravimetric (TG) analysis was used to determine chemical composition of products as

shown in Fig. 6c. From the images we could see that as the polymerization time or the initial concentration increased, the weight loss of the corresponding product was greater. The content <sup>40</sup> of carbon was 23.5 wt%, 28.7wt%, 30.8 wt% and 40.0 wt% for products  $G/Fe_3O_4$ ,  $G/Fe_3O_4@C$  (1.0/4),  $G/Fe_3O_4@C$  (1.0/6), and  $G/Fe_3O_4@C$  (1.5/6), respectively, which matched well with the result of element analysis for  $G/Fe_3O_4$  and  $G/Fe_3O_4@C$  (1.0/6). The TG curves of  $Fe_3O_4$  and graphene were showed in Fig. S4.



**Fig. 6** TEM image of (a)  $G/Fe_3O_4@C(1.0/4)$  and (b)  $G/Fe_3O_4@C(1.5/6)$ . (c) TG curves of  $G/Fe_3O_4@C$  nanocomposites with different carbon content.

- The integration of crystalline nanoparticles, uniform carbon <sup>50</sup> coating as well as graphene conducting network should lead to improvement in conducting properties and structure integrity, and therefore the overall electrochemical reversibility for Li<sup>+</sup> storage. In order to characterize electrochemical properties of these products, coin cells with the lithium foil as the counter electrode <sup>55</sup> were fabricated. The charge storage behavior was first characterized by cyclic voltammetry (CV) scanned at a rate of 0.5 mV s<sup>-1</sup> between 0.01 and 3.0 V. In the cathodic curve of the first cycle, both G/Fe<sub>3</sub>O<sub>4</sub> nanocomposites and G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) nanocomposites exhibited two well-defined peaks, as shown in
- <sup>60</sup> Fig. 7a and 7b. The peak at 1.41 V for G/Fe<sub>3</sub>O<sub>4</sub> and 1.43 V for G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) corresponded to the structure transition induced by lithium intercalation into crystalline Fe<sub>3</sub>O<sub>4</sub> (Fe<sub>3</sub>O<sub>4</sub> + xLi<sup>+</sup> + xe<sup>-</sup>  $\rightarrow$  Li<sub>x</sub>Fe<sub>3</sub>O<sub>4</sub>). The main peak at 0.42 V for G/Fe<sub>3</sub>O<sub>4</sub> and 0.47 V for G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) was attributed to the further <sup>65</sup> reduction of Li<sub>x</sub>Fe<sub>3</sub>O<sub>4</sub> to Fe and the formation of amorphous Li<sub>2</sub>O by conversion reaction [Li<sub>x</sub>Fe<sub>3</sub>O<sub>4</sub> + (8 x)Li<sup>+</sup> + (8 x)e<sup>-</sup>  $\rightarrow$  4Li<sub>2</sub>O + 3Fe].<sup>44</sup> Note that the two main peaks shifted to higher voltage in the subsequent cycles due to the structure change in the
- Fe<sub>3</sub>O<sub>4</sub> nanoparticles after the Li-ion insertion in the first cycle.<sup>45</sup> 70 Meanwhile, in the anodic sweep, the wide peak at about 1.77 V for G/Fe<sub>3</sub>O<sub>4</sub> and 1.89 V for G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) was ascribed to the oxidation of Fe<sup>0</sup> to Fe<sup>2+</sup> and Fe<sup>3+</sup> (3Fe + 4Li<sub>2</sub>O  $\rightarrow$  Fe<sub>3</sub>O<sub>4</sub> +  $8Li^{+} + 8e^{-}$ ). The slightly peak shift from 1.77 V for G/Fe<sub>3</sub>O<sub>4</sub> to 1.89 V for G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) may be ascribed to the more  $_{75}$  oxidation of Fe<sup>0</sup> to Fe<sup>3+</sup>, due to the structural change composed of the nanosized Fe<sub>3</sub>O<sub>4</sub> particles, less aggregation, and higher carbon shell.46-48 content, after adding the carbon It was noteworthy that, after the first cycle, there was no noticeable change of peak intensity and integrated areas for both

cathodic and anodic peaks of G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) nanocomposites, which indicated that a stable SEI film formed on the surfaces and interfaces of nanocomposites, thus safeguarding the structural integrity of encapsulated Fe<sub>3</sub>O<sub>4</sub> during subsequent s charge-discharge cycles, leading to the stable and superior

- reversibility of the sample.<sup>49</sup> Fig. 7c and 7d presented the voltage profiles of  $G/Fe_3O_4$  and  $G/Fe_3O_4@C$  (1.0/6) nanocomposites charged and discharged at a current density of 93 mA g<sup>-1</sup> (0.1 C) between 0.01 and 3.0 V versus Li/Li<sup>+</sup> for the first two cycles,
- <sup>10</sup> respectively. The first discharge curves of two products were very similar, with one clear potential plateau at about 0.8 V versus  $Li^+/Li$  due to the reduction of Fe<sup>3+</sup> to Fe<sup>0</sup>, followed by a sloping curve down to the cutoff voltage of 0.01 V, which was close to that described in the literature for Fe<sub>3</sub>O<sub>4</sub> anodes.<sup>23</sup> In agreement
- <sup>15</sup> with the CV curves, the discharge curves of  $G/Fe_3O_4$  and  $G/Fe_3O_4@C$  (1.0/6) nanocomposites were different in the second cycle due to the drastic structural changes in the electrode. The initial lithiation and delithiation capacities of  $G/Fe_3O_4$  were 2123 and 1302 mA h g<sup>-1</sup>, respectively, with a Coulombic efficiency of
- $_{20}$  61.3 % (Fig. 7c). The initial capacity loss might result from the incomplete conversion reaction and irreversible lithium loss due to the formation of a solid electrolyte interphase (SEI) layer.<sup>50</sup> The reversible capacity was higher than the theoretical capacity of Fe<sub>3</sub>O<sub>4</sub> (about 930 mA h g<sup>-1</sup>), which was likely due to the
- <sup>25</sup> formation of a pseudo-capacitive gel-like film resulting from the electrolyte decomposition at low voltages and larger electrochemical active surface area of graphene and/or grain boundary area of the nanosized Fe<sub>3</sub>O<sub>4</sub> particles.<sup>51, 52</sup> The initial lithiation and delithiation capacities of G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6)
- <sup>30</sup> decreased to 1568 and 1033 mAh g<sup>-1</sup>, respectively, after carbon coating, whereas Coulombic efficiency increased to 65.9 %. Similar effects of carbon coating were obtained in reported literature,<sup>12, 26</sup> owing to that the amount of SEI was reduced by the carbon and less side reactions taking place since the contact
- <sup>35</sup> area between Fe<sub>3</sub>O<sub>4</sub> and electrolytes was reduced by blocking liquid electrolyte penetration into Fe<sub>3</sub>O<sub>4</sub> nanoparticles.<sup>53</sup> In the second discharge-charge cycle, the G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) nanocomposites exhibited a high reversible capacity of 1102 mA h g<sup>-1</sup> and the corresponding Coulombic efficiency quickly rose to 40 92.4 %.



**Fig. 7** Cyclic voltammograms of (a)  $G/Fe_3O_4$  and (b)  $G/Fe_3O_4@C$  (1.0/6) electrode between 0.01 and 3.0 V at a scan rate of 0.5 mV s<sup>-1</sup> for the first

four cycles, respectively. Charge-discharge curves of (c)  $G/Fe_3O_4$  and (d) 45  $G/Fe_3O_4@C$  (1.0/6) electrode in the first two cycles at a current density of 0.1 C (1 C = 930 mA g<sup>-1</sup>).

Cyclic stability of G/Fe<sub>3</sub>O<sub>4</sub>, G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/4), G/Fe<sub>3</sub>O<sub>4</sub>@C

(1.0/6), and G/Fe<sub>3</sub>O<sub>4</sub>@C (1.5/6) was evaluated at a current density of 93 mA  $g^{-1}$  (0.1 C) for the first two cycles, followed by 50 cycling at 465 mA g<sup>-1</sup> (0.5 C) for 200 cycles, and then further at 1860 mA  $g^{-1}$  (2 C) for the last 200 cycles between 0.01 and 3.0 V versus Li/Li<sup>+</sup>, as presented in Fig. 8a. The capacities of all samples showed an increasing trend at the beginning when cycling at 0.5 C, and this phenomenon was not uncommon for 55 various nanostructured metal oxide electrodes, which could be ascribed to the organic polymeric/gel-like layer around the active materials to deliver excess capacity at low potential through a socalled "pseudo-capacitance-type behavior", and the activation of active materials to obtain more reaction sites.<sup>54</sup> However, after a 60 gradual capacity increasing up to 1311 mA h g<sup>-1</sup> about 90 cycles, G/Fe<sub>3</sub>O<sub>4</sub> electrode then suffered from serious capacity fading, leading to a low capacity of 857 mA h g<sup>-1</sup> after 202 cycles with a Coulombic efficiency of 98.4 % (Fig. 8b). In contrast, the capacity of G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) electrode increased continuously 65 to 1344 mA h g<sup>-1</sup> after 202 cycles with a Coulombic efficiency of 99.1 %. It indicated a significantly improved cyclic stability resulting from the external carbon layer. The electrode based on  $G/Fe_3O_4@C$  (1.0/4) also showed the gradual capacity fading after 101 cycles, and a reversible capacity of about 830 mA h g<sup>-1</sup> after 70 202 cycles was obtained, similar to that of G/Fe<sub>3</sub>O<sub>4</sub>. This disappointing cycling performance could categorically be attributed to the large primary size of partial nanoparticles and inadequate carbon coating. G/Fe<sub>3</sub>O<sub>4</sub>@C (1.5/6) with the highest carbon percentage, however, only delivered a charge capacity of <sup>75</sup> 767 mA h g<sup>-1</sup> at the end of 202 cycles due to the decrease in the proportion of electrochemically active component (Fe<sub>3</sub>O<sub>4</sub>).<sup>33, 55</sup> Hence the carbon content had to be optimized to balance the concurrent needs for high active material content and structure stability. Apparently,  $G/Fe_3O_4(a)C$  (1.0/6) sample would be a 80 candidate to achieve a balance between the specific capacity and cycle stability. For this sample, no obviously capacity decay was detected and a high reversible capacity of about 743 mA h g<sup>-1</sup> with a Coulombic efficiency of 98.7 % was finally obtained, when further cycling at 2 C for another 200 cycles. The 85 discharge-charge capacities of obtained electrodes were also measured at different C rates (Fig. 8c and Fig. S5). A total of 380 cycles were used, and the results indicated remarkable resilience in rate performance and capacity retention for G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) electrode. It were initially cycled at 0.1 C where charge <sup>90</sup> capacity was 1469 mA h g<sup>-1</sup> in the first 20 cycles. The rate was then increased stepwise to 0.2, 0.5, 1, 2, 3, 5, 10, and 20 C in succession, and capacities of 1419, 1298, 1048, 757, 508, 405, 227, and 150 mA h g<sup>-1</sup> stable for 40 discharge-charge cycles at each of these rates were obtained. When the C-rate was finally 95 returned to its initial value of 0.1 C after 340 cycles, a charge capacity of 1447 mA h g<sup>-1</sup> was still available. Other three electrodes showed weaker rate performance especially at high rate. This phenomenon could be attributed to uniform Fe<sub>3</sub>O<sub>4</sub> particles with nanosize, enlarging the active material/electrolyte 100 contact area and minimizing transport distances between the electrode and electrolyte, and the improved electronic

conductivity and ion permeability due to carbon coating with appropriate percentage.<sup>33</sup>



<sup>5</sup> Fig. 8 (a) The comparative cycling performance of obtained electrodes: cycling at a current density of 93 mA g<sup>-1</sup> (0.1 C) for the first two cycles, followed by cycling at 465 mA g<sup>-1</sup> (0.5 C) for 200 cycles, then further at 1860 mA g<sup>-1</sup> (2 C) for last 200 cycles. (b) The corresponding Coulombic efficiency. (c) Cycling performance of obtained electrodes at various 10 current rates (0.1 C - 20 C).

The improved electrochemical performance of G/Fe<sub>3</sub>O<sub>4</sub> after carbon coating could be ascribed to the following reasons: first, the presence of carbon shell was effective in preventing the aggregation of Fe<sub>3</sub>O<sub>4</sub>, which enabled the reversible conversion <sup>15</sup> reaction between Fe<sub>3</sub>O<sub>4</sub> and lithium to provide the high capacity, and significantly improving mechanic stability of electrode to enhance the cycle stability. The microstructures of cycled materials were investigated by TEM, as shown in Fig. 9a and 9b. Aggregation was significant for G/Fe<sub>3</sub>O<sub>4</sub>, and resulting in larger <sup>20</sup> particle sizes (Fig. 9a). It made the extraction of lithium from

- $Li_2O$  difficult, because the reverse reaction of numum from the extraction of numum from the extraction of numum from the extraction of numum from Li<sub>2</sub>O difficult, because the reverse reaction was thermodynamically favorable for nanosized materials,<sup>13</sup> which resulted in the fading lithium ion storage capacity upon extended cycling. For comparison, G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) were very stable
- $_{25}$  and uniform Fe<sub>3</sub>O<sub>4</sub> nanoparticles were well preserved after extended cycling (Fig. 9b), demonstrated that carbon nanocoating could effectively improve the structural stability by suppressing the aggregation of Fe<sub>3</sub>O<sub>4</sub> nanoparticles and accommodating their volume expansion during the cycling. Second, stable nanosized
- $_{30}$  Fe<sub>3</sub>O<sub>4</sub> particles enlarged the electrode/electrolyte contact area and decreased electron and lithium ion diffusion distances significantly, thereby ensuring good rate capability. Additionally, the carbon shell of G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) could improve the conductivity of the electrode, thus introduce fast electron and ion

<sup>35</sup> transport, further improving the rate performance. These enhancements of the electrode kinetics could be shown more directly by electrochemical impedance spectroscopy (EIS) measurements. The G/Fe<sub>3</sub>O<sub>4</sub> and G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) samples were analyzed by EIS at open-circuit voltages in their native <sup>40</sup> states (before cycling), as shown in Fig. 9c. The equivalent circuit for the AC impedance spectra was depicted in Fig. S6. R<sub>s</sub> and R<sub>et</sub> are the solution resistance and charge-transfer resistance, respectively. CPE is the double layer capacitance and passivation film capacitance. The value of the charge transfer resistance (R<sub>et</sub>) <sup>45</sup> is 127 Ω for G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6), which is significantly lower than that of G/Fe<sub>3</sub>O<sub>4</sub>(314 Ω), indicating an overall smaller charge transfer resistance. All the above characteristics made G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) a promising anode material for highperformance LIBs.



Fig. 9 TEM images of (a) G/Fe<sub>3</sub>O and (b) G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) after 402 cycles at 0.1 C for the first two cycles and 0.5 C for the following 200 cycles and 2 C for the last 200 cycles between 0.01 and 3.0 V versus Li/Li<sup>+</sup>. (c) Nyquist plots of G/Fe<sub>3</sub>O<sub>4</sub> and G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) electrodes <sup>55</sup> before cycling by applying an AC voltage of 5 mV amplitude over the frequency range from 100 kHz to 0.01 Hz.

#### 4 Conclusions

In summary, we synthesized new carbon coated Fe<sub>3</sub>O<sub>4</sub> nanoparticles with seed-like morphology on graphene by <sup>60</sup> solvothermal reduction, subsequent simultaneously in situ phase transformation and modification with carbon nanocoating through a thermal annealing process. The thickness of the uniform and

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continuous carbon layer could be easily tailored by varying the polymerization time and the concentration of dopamine, which allowed a balance between the specific capacities and cycling stability. Due to the efficient protection of the carbon shell, stable

5 electrode structure and nanosized Fe<sub>3</sub>O<sub>4</sub> particles with little aggregation were achieved, meanwhile, the combination of graphene and carbon shell improved the electrochemical reaction kinetics. As a result, the obtained G/Fe<sub>3</sub>O<sub>4</sub>@C (1.0/6) with the optimal carbon shell thickness exhibited superior electrochemical 10 performance for LIBs.

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#### Notes and references

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### **Graphical Abstract**



Novel hierarchical nanostructure composed of carbon coated  $Fe_3O_4$  nanoparticles with seed-like morphology distributing on graphene (G/Fe<sub>3</sub>O<sub>4</sub>@C) is prepared as advanced anodes.