Chemical Science

Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about *Accepted Manuscripts* in the **Information for Authors**.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard <u>Terms & Conditions</u> and the <u>Ethical guidelines</u> still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



www.rsc.org/chemicalscience

Cite this: DOI: 10.1039/c0xx00000x

www.rsc.org/xxxxx

EDGE ARTICLE

Intramolecular ring-opening from a CO₂-derived nucleophile as the origin of selectivity for 5-substituted oxazolidinone from the (salen)Cr-catalyzed [aziridine + CO₂] coupling

Debashis Adhikari,^{a,b} Aaron W. Miller,^{a,§} Mu-Hyun Baik,^{b,c,*} SonBinh T. Nguyen^{a,*}

^aDepartment of Chemistry and the International Institute for Nanotechnology, Northwestern University, 2145 Sheridan Road, Evanston, IL, 60208-3113, USA. ^bDepartment of Chemistry, Indiana University, 800 East Kirkwood Avenue, Bloomington, IN 47405, USA. ^cDepartment of Materials Chemistry, Korea University, Jochiwon-eup, Sejong-si, 339-700, South Korea.

The (salen)Cr-catalyzed [aziridine + CO₂] coupling to form oxazolidinone was found to exhibit excellent selectivity for the 5-substituted oxazolidinone product in the absence of any cocatalyst. Quantum mechanical calculations suggest that the preferential opening of the substituted C–N bond of the
 aziridine over the unsubstituted C–N bond is a key factor for this selectivity, a result that is supported by experiment with several phenyl-substituted aziridines. In the presence of external nucleophile such as dimethyl aminopyridine

(DMAP), the reaction changes pathway and the ring-opening 20 process is regulated by the steric demand of the nucleophile.

Introduction

Oxazolidinones constitute an important class of organic molecules with significant biological relevance,¹ for example as antibiotic agents against various Gram-positive bacteria,² and rich ²⁵ reactive chemistry that can be exploited in the syntheses of challenging natural products, pharmaceutical agents, and chiral ligands.³⁻⁵ An important subgroup of this class, the 1,3oxazolidin-2-ones that are also known as Evans chiral auxiliaries, has been utilized widely to promote various organic reactions, ³⁰ such as alkylation,⁶⁻⁷ aldol condensation,⁸⁻⁹ Diels-Alder reaction,³⁻⁴ etc. Traditional oxazolidinone syntheses often rely on the use of phosgene and reactive derivatives of carbonic acid, which is not atom-economical and can limit the scope of their utility.¹⁰⁻¹² In this respect, the catalytic coupling of aziridines and

³⁵ CO₂ is an attractive alternative that can exploit the easy accessibility of a broad range of substituted aziridines and CO₂.¹³⁻¹⁴
 ¹⁴ Surprisingly, little effort has been focused on this reaction in comparison to the tremendous attention that has been paid to the analogous coupling of epoxide and CO₂.¹⁵⁻²⁴

⁴⁰ During the past decade, a handful of catalysts—including DMAP,²⁵ alkali metal halide,²⁶⁻²⁷ tetraalkylammonium halide,^{26,28} and iodine²⁹—have been utilized to couple aziridines and CO₂ into 4-substituted oxazolidinone or an unselective mixture of 5- and 4-substituted oxazolidinones. We also reported the use of

⁴⁵ [(salen)Cr^{III}Cl + DMAP] catalyst in the facile conversion of a range of aziridines into 5-substituted and 4-substituted oxazolidinones with selectivity up to 20:1 favoring the 5-substituted isomer (Eq 1).³⁰ While there are several reports of

selective oxazolidinone formation from aziridine and CO₂, the ⁵⁰ observed selectivity was only moderately in favor of 4-substituted oxazolidinone, consistent with the opening of the aziridine ring at the less substituted position.³¹⁻³² From this perspective, the high selectivity favoring 5-substituted oxazolidinone for the [(salen)Cr^{III}Cl + DMAP] catalyst system is quite unique and we ⁵⁵ proposed that this is a consequence of a Lewis acid-activation that favored ring-opening at the carbon stabilized by the aryl substituent.³⁰



Interestingly, (salen)Cr^{III}Cl was even more selective for 60 reaction 1 in the absence of DMAP cocatalyst: the conversion of N-propyl-2-phenylaziridine to the corresponding 5-substituted oxazolidinone proceeds with a selectivity of 40:1, albeit with a slightly slower rate than that for the DMAP-cocatalyzed reaction.³⁰ This selectivity is increased to 80:1 when the 65 substrate is the electron-rich N-propyl-2-(pmethoxyphenyl)aziridine (see below). These data are in stark contrast to the analogous [epoxide $+ CO_2$] coupling³³⁻³⁴ where the Lewis-basic DMAP cocatalyst is crucial for the successful completion of the reaction. Intrigued by this observation, we set 70 out to investigate the mechanism of reaction 1 using a comprehensive array of theoretical calculations to corroborate with experimental results and pinpoint the key parameters that dictate the observed selectivity and reactivity. Herein, we propose a novel mechanism for reaction 1 that features an initial ⁷⁵ binding of CO₂ to the (salen)Cr^{III} center (Fig. 1). This activation allows for the aziridine substrate to attack the CO₂ carbon to form a (salen)Cr^{III}(aziridiniumcarbamate) intermediate (3). The CO₂derived oxygen nucleophile of the carbamate moiety can then intramolecularly ring-open the tethered aziridine substrate. The 80 uniqueness of this mechanism lies in the key presence of the CO₂-coordinated intermediate 2 and the ability of the carbamate oxygen nucleophile to regulate the oxazolidinone selectivity by

preferentially opening one of the two available C–N bonds in an *intramolecular* fashion, depending on the ability of the substituents at the aziridine C^2 to stabilize the developing cationic charges.



Fig. 1 A proposed catalytic cycle for the formation of 5- and 4-substituted oxazolidinones from the coupling of aziridine and CO_2 in the sole presence of the (salen)Cr^{III}Cl catalyst. The pathway indicated by the blue arrow produces the major product.

10 Results and Discussion

Proposed mechanism for the (salen) Cr^{III} **-catalyzed coupling of CO₂ and aziridine in the absence of the DMAP cocatalyst**. Our quantum mechanical calculations (DFT, M06 level of theory³⁵⁻³⁶) reveal that under the high-pressure conditions employed in the

- ¹⁵ closed experimental system shown in Eq 1, dissolved CO₂ can weakly bind to the highly Lewis-acidic (salen)Cr^{III}Cl center and cause a slight polarization of the electron density in the coordinated C=O bond. This results in an increase in the electrophilicity of the CO₂ carbon and renders it susceptible to a
- ²⁰ nucleophilic attack by the phenyl aziridine substrate to form intermediate **3** (Fig. 1). The desired oxazolidinone product is then formed through a combination of synchronous, concerted three-membered aziridine ring-opening and five-membered ringclosing processes. Key to the observed high selectivity for the 5-
- ²⁵ substituted oxazolidinone **5** is an increase in the carbocationic character of the carbon bearing the phenyl substituent, leading to an $N-C^2$ bond cleavage on the phenyl-substituted side of the aziridine ring and resulting in the major product after ring closure. The alternative $N-C^3$ bond cleavage at the unsubstituted
- ³⁰ carbon is kinetically unfavorable and affords the minor product **6** in a very small amount.

As mentioned above, the binding of CO_2 to the Lewis acidic (salen)Cr^{III}Cl center results in a polarization of the coordinated C=O bond, which slightly elongates (1.17 Å) over the other C=O

- ³⁵ bond (1.16 Å).³⁷ This electronic perturbation causes a slight increase in the electrophilicity of the CO₂ carbon (its electrostatic-potential (ESP)-fitted charge increases to 0.73 from 0.69), rendering it easier to undergo attack by the phenyl aziridine substrate. Our quantum mechanical calculations suggest that this
- ⁴⁰ event is favored enthalpically by 7.5 kcal/mol, but is canceled out by the translational entropic penalty to afford a solvationcorrected Gibbs free energy of -0.1 kcal/mol, measured from the initial lowest-energy reference state of the system (catalyst and

substrates being at infinite distance).

While the activation of CO₂ by (salen)Cr^{III}Cl, as shown in Fig. 45 1, is favored by the high pressure of CO₂ employed in our experiments, it can be inhibited by the direct binding of the Lewis-basic aziridine substrate to the Cr center. Such coordination can competitively retard the rate of the catalytic 50 cycle, especially in the absence of a cocatalyst that can ring-open the coordinated substrate. Indeed, our calculations reveal that while the Lewis acid-Lewis base interaction between (salen)Cr^{III}Cl and the aziridine substrate does significantly activate the aziridine ring (see ESI,[†] section S4), it is not strong 55 enough to induce its spontaneous opening. We note in passing that in the presence of DMAP, a similar Lewis acid-Lewis base competitive binding can also occur between the Cr center and DMAP. However, in this case DMAP can also serve as the cocatalyst to ring-open the coordinated substrate and lead to the 60 products through another pathway (see "The Effect of DMAP on isomer selectivity" below).

The nucleophilic attack of the aziridine substrate on the activated CO₂ carbon generates a (salen)Cr^{III}Cl-coordinated alkoxide intermediate 3 (Fig. 2), where CO₂ is effectively 65 complexed between the metal center and the aziridine. A transition state (2-TS) for this process can be located at an energy of 14.6 kcal mol⁻¹. Consistent with such a nucleophilic attack, the linear CO₂ becomes significantly bent to 157.8°, with noticeable elongations of both "C=O" bonds (~0.03 Å). The 70 formation of intermediate **3** is energetically uphill by 8.7 kcal/mol from the initial lowest-energy state. (As expected, such a process is highly dependent on the nucleophilicity of the nitrogen lone pair: N-tosyl-2-methylaziridine, whose nitrogen lone pair is strongly delocalized into the tosyl group, is unreactive under our 75 experimental coupling condition.) At this intermediate stage, the coordinated aziridine retains its three-membered ring structure despite significant elongations of both substituted and unsubstituted C-N bonds (Fig. 2). Notably, the phenylsubstituted $N-C^2$ bond in 3 is substantially more elongated (to $_{80}$ 1.52 Å from 1.45 Å) compared to the unsubstituted N–C³ bond (to 1.48 Å from 1.45 Å). We note that this differential elongation is larger than the bond lengths change when aziridine binds directly to the Cr^{III} center (see ESI,† section S4) and can be considered as the first step to activate the aziridine ring, allowing 85 for subsequent electronic polarization and charge development to occur. The result is a preferential ring-opening on the more elongated $N-C^2$ bond. We note that our proposed mode for CO_2 complexation, between the (salen)Cr^{III}Cl center and the aziridine substrate, does not require the opening of a new coordination site ⁹⁰ from distorting the salen ligand, as proposed by Luinstra and coworkers for the coupling of CO₂ and epoxide.³⁸ In our hands, the free-energy calculations for such a ligand framework distortion process only resulted in sizable energy penalties.

95



Fig. 2 The computed structures of *N*-propyl aziridine (left) and intermediate **3** (right) after aziridine attack on the electrophilic carbon of the [(salen)Cr^{III}Cl] \leftarrow O=C=O intermediate. The bond lengths are in Å.

Selectivity prediction for the (salen) Cr^{III} -catalyzed coupling of CO_2 and aziridine in the absence of the DMAP cocatalyst. From a transition-state consideration, the aforementioned elongation of the N–C² bond in the aziridine-attacked ¹⁰ intermediate **3** should logically lead to a selective formation of the 5-oxazolidinone product. To verify whether the observed selectivity of reaction 1 has a thermodynamic component, we evaluated the difference in ground-state energies of nine pairs of 5- and 4-aryl-*N*-propyl oxazolidinones comprising a broad range ¹⁵ of para (*p*)-substituted phenyl groups. The apparent insensitivity of this difference to electronic changes in the aryl substituent (Fig. 3) suggests that the selectivity of reaction 1 is not a product-



based ground-state effect.

²⁰ Fig. 3 A comparison of the difference in ground-state energies between *N*-propyl-5-aryl- and *N*-propyl-4-aryl oxazolidinones with different *p*-subsituents. Conformational geometries were optimized using DFT and the M06/cc-pVTZ(-f)//M06/LACVP** parameterization scheme.

- As indicated in Fig. 1, intermediate 3 contains two different CO₂-derived nucleophilic sites, namely the alkoxide and carbonyl oxygens, either of which can ring-open the aziridine to form the 5- and 4-substituted oxazolidinones (via attacking at the substituted and unsubstituted carbon centers, respectively). Since
- ³⁰ this process is completely intramolecular, the carbon with higher partial positive character is more likely to undergo nucleophilic attack. Thus, the presence of electron-donating (or -withdrawing) *p*-substituents on the aziridine phenyl rings can be expected to greatly influence this process via stabilization (or destabilization)
- ³⁵ of the developing carbocationic charge at the C² center. To verify this, we evaluated the differences in charges between C² and C³ centers for five different analogs of **3** where the phenyl groups of the coordinated aziridine rings possess *p*-substituents ranging from electron-donating to -withdrawing (Table 1). When
- 40 these charge differences are plotted against the corresponding

Hammett σ_p^+ values, a strong linear relationship can be observed (Fig. 4). Together with the excellent correlation observed when the experimentally obtained product selectivity is plotted against σ_p^+ (see Fig. 8 below), this data offer strong evidence for the 45 significant influence of substrate electronic effect on charge polarization and consequent product selectivity.

Transition states (TS) calculations. Having verified that ringopening through the C^2 -N bond of intermediate **3** (Fig. 1) should 50 be favored, we located 3-TS_{major}, the alkoxide-mediated ringopening transition state that leads to the 5-substituted oxazolidinone as major product, at an energy of 26.9 kcal/mol. As portrayed in the free energy profile for reaction 1 (Fig. 5), the ring-opening of the aziridine is likely the rate-determining step. 55 The formation of 3-TS_{maior} is synchronous and concerted in nature where both C-N bond cleavage and C-O bond formation take place at the same time, displaying elongated C---N and C---O bonds (2.20 Å and 2.62 Å, respectively). Notably, this fivemembered transition state structure adopts an envelope 60 conformation that is typical of a five-membered ring. A close examination of this structure reveals that the phenyl substituent is in plane with the HC^2-C^3 group (the angle around the carbon bearing the -Ph group is 359.9 °), effectively stabilizing the developing positive charge of the "incipient carbocation". 65 Indeed, the corresponding molecular orbital picture of 3-TS_{maior} (ESI,[†] Fig. S2) shows that a substantial π -overlap exists between the phenyl substituent and the transiently carbocationic C^2 carbon. This is consistent with an increase in the Mayer-Mulliken bond order (BO) for the C²-phenyl bond, to 1.29 from 70 1.02, that is considerably higher than that of a single bond.

- (~1.00). Upon free-geometry optimization, the located **3-TS_{major}** easily leads to intermediate **4**, indicating a direct connection between it and the 5-substituted oxazolidinone product.
- ⁷⁵ **Table 1**. Computationally evaluated differences in charges between C^2 and C^3 centers for analogs of intermediate **3** bearing aziridine rings with different *p*-substituents

⊂ ⊖ ⊖O	Y	Charge at C ²	Charge at C ³	Difference in charge
Pr ∕ N⊕	OMe	0.122	-0.271	0.393
$C^3 - C^2$	Me	0.107	-0.251	0.358
δ+	Cl	0.056	-0.238	0.294
	Н	0.049	-0.231	0.280
	Br	0.040	-0.235	0.275

55



Fig. 4 A plot of the differences in charge between C^2 and C^3 of the coordinated aziridine in intermediate **3** against the Hammett $\sigma_{\rm p}^{+}$ values³⁹ showing a strong correlation ($R^2 = 0.94$ for the best fit line), suggesting a s significant influence of the *p*-substituent on the developing carbocationic charges on C^2 . This trend agrees with the experimentally observed selectivity for reaction 1 in the absence of DMAP cocatalyst (see Fig. 9 below), where electron-donating substituents exhibit higher selectivity.

¹⁰ Interestingly, both the alkoxide and carbonyl oxygen in intermedite **3** (O¹ and O⁴, respectively) are equally efficient for the subsequent nucleophilic aziridine ring-opening. The alternative ring-opening transition state **3-TS'_{major}**, where O⁴ is the nucleophile, is electronically only 1.45 kcal mol⁻¹ higher in ¹⁵ energy than **3-TS_{major}** and has essentially the same solvation-

corrected free energy (see ESI,† Fig. S3). It is important to note that the *"unimolecular"* aziridine ring-

opening by a CO_2 -derived nuclophile is a unique feature in our proposed mechanism for reaction 1. In the reaction media that

- ²⁰ we employed (CH₂Cl₂ solvent) for this reaction, the amount of free chloride ion (or other alternative nucleophiles from the (salen)Cr^{III}Cl catalyst) that can promote the ring-opening of any activated aziridine through a "*bimolecular*" mechanism would be negligible. The low level of chloride can be attributed to a
- 25 combination of low catalyst loading (≤1 mol %) and the strong bond between the anionic chloride ligand and the cationic (salen)Cr^(III) center: our calculations suggest that the dissociation of chloride ion from (salen)Cr^{III}Cl would cost a sizable energy penalty of 26.5 kcal mol⁻¹.) The catalyst, (salen)Cr^{III}Cl itself, as being a very poor puploabile, also eccent the struct the distoction.
- ³⁰ being a very poor nucleophile, also cannot open the activated aziridine ring in a bimetallic reaction mode (see details in ESI,† section S6).

Interestingly, transition-state searches for the path that leads to the 4-substituted oxazolidinone minor product revealed an *as asynchronous, concerted* pathway to the **3-TS**_{minor} transition state that is strictly based on the opening of the aziridine ring by the carbonyl functionality of **3** (Fig. 7, right structure). In contrast to **3-TS**_{major}, the elongated C---N and C---O distances (2.36 Å and 2.31 Å, respectively) in this asynchronous TS are quite similar. 40 In the gas phase, the electronic energy of **3-TS**_{minor} was ~7.3

kcal/mol higher than that of **3-TS_{major}**, consistent with the disfavored formation for the 4-substituted oxazolidinone that was experimentally observed.³⁰ Although the difference in solvation-corrected free energies between these two transition states (9.1 45 kcal/mol) is higher than our expectation, it reproduces well the

trend in favor of the major product.



Fig. 5 The complete free-energy profile for the formation of the ⁵⁰ major 5-substituted oxazolidinone product from the (salen)Cr^{III}Clcatalyzed [aziridine + CO₂] coupling in the absence of DMAP cocatalyst. All energy values have been solvation-corrected. The TS marked as * was not located computationally and is only shown for illustrative purposes.



Fig. 6 The **3-TS**_{major} transition-state structure (right) for the formation of 5-substituted oxazolidinone, obtained through a synchronous and concerted pathway from intermediate **3** (left), where both C–N bond ⁶⁰ cleavage and C–O bond formation take place concomitantly. The "lengths" for both bonds (in Å) are indicated on the structure. For clarity, all of the hydrogens have been removed except for that on the C² carbon where C–O bond formation is taking place.

It is noteworthy that the pathway leading to $3-TS_{minor}$ starts $_{65}$ with $\mathbf{3}_{rot}$, a rotomer of intermediate **3** where proper alignment of the respective interacting groups have been attained (Fig. 7). The required geometry is essentially isoenergetic to 3. A closer scrutiny of 3-TS_{minor} discloses that the C=O bond is elongated considerably (from 1.21 to 1.24 Å), a direct consequence of the 70 rehybridization into a nucleophilic C–O moiety. This change can be quantified by the change in the Mayer-Mulliken BO for the C=O group, which is reduced to 1.56 in 3-TS_{minor} from an initial value of 1.81 in 3_{rot}. Upon closer inspection, it becomes evident that the unstabilized incipient carbocation is so electron-deficient 75 that the phenyl group on the adjacent carbon is taking part in anchimeric assistance. As in the case of 3-TS_{major}, 3-TS_{minor} also adopts an envelope structure that is characteristic of five membered rings. Given the high energy of $3\text{--}TS_{minor},$ we surmise that the small amount of 4-substituted oxazolidinone minor ⁸⁰ product observed under our experimental condition does not arise from the intramolecular opening of the aziridine ring by the carbonyl functionality of **3**. Instead, an alternative pathway may be operative where a (salen) Cr^{III} -bound aziridine is opened by another aziridine molecule, in a manner similar to DMAP in the

s mechanism shown in Fig 10. This intermediate then inserts CO₂ and the minor product forms via ring-closing (see discussion below).



Fig. 7 The transition state structure $3-TS_{minor}$ (right) for the formation of 4-substituted oxazolidinone, obtained through an asynchronous, concerted pathway form the corresponding intermediate 3_{rot} (left). The "lengths" for the relevant C–N and C–O bonds (in Å) are indicated on the structure. For clarity, all hydrogens have been removed.

- ¹⁵ Experimental selectivity for the $(salen)Cr^{III}Cl$ -catalyzed coupling of CO_2 and aziridine in the absence of the DMAP cocatalyst. Thus far, our theoretical analyses predict a differential activation of the two carbons on the aziridine ring in intermediate **3** that eventually leads to the enhanced formation of
- ²⁰ **5**. Such differences in charge development should be apparent through product selectivity in a Hammett-type investigation. To this end, we examined the (salen)Cr^{III}Cl-catalyzed coupling of CO₂ with several *p*-substituted *N*-propyl-2-arylaziridines in the competitive presence of the parent *N*-propyl-2-phenylaziridine
- ²⁵ and in the absence of DMAP (Eq 2). Notably, the experimental selectivity for the 5-substituted isomer was found to vary over almost an order of magnitude, with as high as 80:1 for *N*-propyl-2-(*p*-methoxyphenyl)aziridine and as low as 12:1 for *N*-propyl-2-(*p*-bromophenyl)aziridine.



When plotted against the Hammett σ_p^+ values, the ratio of oxazolidinone products (5-substituted/4-substituted) obtained from the corresponding aziridines in reaction 2 afford an excellent linear correlation ($R^2 = 0.98$), signifying a clear ³⁵ influence of substrate electronic effects on product selectivity. In addition, the moderate magnitude of this negative ρ (-1.28) is consistent with the presence of an incipient cationic character⁴⁰ at the aryl-bearing C^2 in the aziridine ring-opening step. This fits well with our computational results that the aziridine N–C² bond ⁴⁰ becomes polarized upon attacking the coordinated CO₂ moiety on

30

the [(salen)Cr^{III}Cl center. That the trend of experimentally observed selectivity closely mirrors the computationally

evaluated charge-separation correlation (Fig. 4) greatly strengthens our proposed mechanism (Fig. 1). We note that ⁴⁵ plotting the experimental selectivity ratio vs Hammett σ_p and $\sigma_p^$ gave only poor correlations, further confirming the cationic nature of reaction 1.



⁵⁰ Fig. 8 Experimental selectivity for 5-substituted oxazolidinone over the 4-substituted isomer as observed by Hammett competition experiment between *p*-substituted *N*-propyl-2-arylaziridines and the parent *N*-propyl-2-phenylaziridine. The selectivity decreases as the aryl group become more electron-withdrawing.

- That the two carbons in the complexed 2-aryl-substituted aziridine in **3** are differently activated suggests that the rate of the oxazolidinone formation in reaction 1 will also be influenced by the presence of electron-donating and -withdrawing groups at the *p*-position of the aryl ring in the aziridine substrate. Stabilization
- $_{60}$ of the incipient carbocation by electron-donating groups will accelerate the rate of oxazolidinone formation while the presence of electron-withdrawing group will retard this rate. Indeed, a plot of the relative rate constants for reaction 2 against Hammett σ_p^+ values clearly shows a linear relationship with more electron-
- $_{65}$ withdrawing groups affording lower reaction rates (Fig. 9). These data further substantiate our mechanistic proposal that the (salen)Cr^{III}Cl-catalyzed [aziridine + CO₂] coupling proceeds through intermediates bearing incipient cationic charges.
- The effect of DMAP on isomer selectivity. As mentioned in the introduction, the rate of reaction 1 is slowed down in the absence of the DMAP cocatalyst but afford higher selectivity, up to 80:1 for the *N*-propyl-2-(*p*-methoxyphenyl)aziridine substrate. Adding a Lewis-basic cocatalyst enhances the rate but compromises
 selectivity, suggesting the presence of another mechanistic pathway. Such a decrease in selectivity can be explained if the aziridine coordinates to the (salen)Cr center first and is then activated for ring-opening by DMAP (Fig. 10). In this case, the sterically driven preference for ring-opening will be the opposite set of that shown in Fig. 1: DMAP would prefer to attack the coordinated aziridine at the less-substituted C³. CO₂ insertion into the Cr–N bond followed by ring-closing to displace the DMAP leaving group will yield intermediates 4, but with opposite preference from that shown in Scheme 1, thus eroding

50

the excellent selectivity (favoring the 5-substituted isomer) observed for the DMAP-free reaction. Such a process would have linear dependences on the concentrations of both DMAP and aziridine. This is indeed the case: the rate for reaction 3 ⁵ exhibits single-order rate dependence on the concentration of DMAP (Fig. 11), consistent with its role as a ring-opening nucleophile. In addition, it exhibits single-order rate dependence on the concentration of the aziridine substrate, both in the absence and presence of the DMAP cocatalyst (Fig. 12).



Fig. 9 A Hammett correlation of reaction rates for the *N*-propyl-2arylaziridine substrate (relative to the parent *N*-propyl-2-phenylaziridine) shown in reaction 2 against σ_p^+ values. A linear decrease is observed as more electron-withdrawing substituents are placed on the 2-aryl group of 15 the *N*-propyl-2-arylaziridine substrate. Relative raction rate contants are obtained against the rate for *N*-propyl-2-phenyl aziridine.



Fig. 10 A plausible mechanism through which the erosion of ²⁰ selectivity in the presence of DMAP can be explained.



Fig. 11 The variation in rate for the coupling reaction between *N*-²⁵ "propyl-2-phenylaziridine and CO₂ catalyzed by (salen)Cr^{III}Cl in the presence of varying amounts of DMAP cocatalyst (Eq 3). Reaction conditions: *N*-"propyl-2-phenylaziridine (0.322 g, 2 mmol), (salen)Cr^{III}Cl catalyst (12.6 mg, 0.02 mmol), DMAP cocatalyst (varying amounts: 0.45 to 1.70 equiv with respect to catalyst), 400 psig CO₂, CH₂Cl₂ (3.7 mL), rt, ³⁰ 24 h.

Experimental support for an incipient carbocation retention of chirality by chiral aziridine intermediate: substrates. Because reaction 1 passes through a concerted, $_{35}$ synchronous transition state (i.e., $3-TS_{major}$), a true carbocation does not exist; rather, an incipient carbocation is generated. As such, a chiral aziridine undergoing coupling with CO₂ should retain the stereochemistry at its chiral carbon under our reaction conditions. Indeed, treatment of (R)-N-propyl-2-phenylaziridine $_{40}$ with CO₂ in the presence of the achiral catalyst **1** resulted in no racemization for either the substrate or product. Additionally, the products of the coupling between CO₂ and pure samples of either cis- or trans-N-propyl 2,3-dipropylaziridine retain their respective diastereopurities (Eqs 4-5). Together, these data support our 45 argument that an incipient carbocation intermediate is more likely than a true carbocation, whose presence would most likely lead to loss of chirality as recently observed by Wender and others in a Ag⁺-catalyzed [aziridine + alkyne] coupling.⁴⁰⁻⁴²





Fig. 12 Kinetic plots of the coupling reaction between *N*-^{*n*}propyl-2-phenylaziridine and CO₂ catalyzed by (salen)Cr^{III}Cl. General reaction 5 conditions: *N*-^{*n*}propyl-2-phenylaziridine (varying amounts, between 0.088 g and 0.228 g; 0.55 mmol to 1.42 mmol), catalyst (5.8 mg, 0.01 mmol), 400 psig CO₂, CH₂Cl₂ (1.8 mL), rt, 24 h. *Top*: in the absence of DMAP cocatalyst. *Bottom*: in the presence of 2 equiv of DMAP cocatalyst (2.45 mg, 0.02 mmol).

10 Conclusions

In summary, we presented the complete mechanism of the $(salen)Cr^{III}Cl$ -catalyzed [aziridine + CO₂] coupling to form 5-substituted oxazolidinones in a highly selective fashion, which is quite unique among the known catalytic methods for coupling

- ¹⁵ aziridine and CO₂. Through a combined theoretical and experimental study of the mechanism of this coupling reaction, we were able to attribute this distinctive selectivity to the preferential *intramolecular* ring-opening at the more substituted carbon of the aziridine ring in a (salen)Cr^{III}(aziridiumcarbamate)
- $_{20}$ intermediate. Theoretical modeling and transition state search reveal that such a process is only possible through an initial key coordination of the CO₂ intermediate to the (salen)Cr^{III} center, which activates the CO₂ carbon for nucleophilic attack by the aziridine substrate. In the resulting
- ²⁵ (salen)Cr^{III}(aziridiumcarbamate) intermediate, either of the two carbamate oxygen atoms can act as an *intramolecular* nucleophile to ring-open the aziridine moiety, allowing for an exquisite control of the regioselectivity. Together, these results also shed light on the erosion of selectivity for the 5-substituted isomer
- ³⁰ when the (salen)Cr^{III}Cl-catalyzed [aziridine + CO₂] coupling is carried out in the presence of the DMAP cocatalyst.

Notably, we showed through a detailed Hammett study that while there is not a significant formal charge development in the aforementioned ring-opening process, its intramolecular nature ³⁵ and the incipient cationic nature of the aziridine C² allows for the substituents of the aziridine substrates to have a substantial influence on both their reactivities with CO₂ and the selectivities for the final product. Indeed, the broad range of selectivity for 5vs. 4-substituted oxazolidinone varies almost over an order of ⁴⁰ magnitude for different aziridines (80:1 for *N*-propyl-2-(pmethoxyphenyl)aziridine to 12:1 for *N*-propyl-2-(pbromophenyl)aziridine).

Acknowledgements

D.A. acknowledges generous support from Northwestern
⁴⁵ University. A.W.M was supported by the EMSI program of the
NSF and the DOE (NSF grant # CHE-9810378 and the DOE grant # DEFG02-03ER15457) at the Northwestern University
Institute for Environmental Catalysis. M.-H.B. thank the NSF (CNS-0116050(MRI), CHE-0645381, CHE-1001589) and the
⁵⁰ Research Corporation (Scialog Award). A.W.M. and S.T.N thank Dr. Baudilio Tejerina and Prof. Frederick P. Arnold Jr. (Binghamton Univ., deceased) for helpful suggestions during the early stage of this work. A.W.M. thanks Drs. So-Hye Cho and Tendai Gadzikwa for their assistance with the ESI-MS analysis.

55 Notes and references

^aDepartment of Chemistry and the International Institute for Nanotechnology, Northwestern University, 2145 Sheridan Road, Evanston, IL, 60208-3113, USA.; <u>stn@northwestern.edu</u> (S.T.N.)

- ^bDepartment of Chemistry, Indiana University, 800 East Kirkwood 60 Avenue, Bloomington, IN 47405, USA.; E-mail: <u>mbaik@indiana.edu</u> (M.H.B.)
 - ^cDepartment of Materials Chemistry, Korea University, Jochiwon-eup, Sejong-si, 339-700, South Korea.
- [§]Current address: Biomedical Informatics Research Center, Marshfield 65 Clinic Research Foundation, 1000 North Oak Avenue, Marshfield, WI, 54449, USA.

†Electronic Supplementary Information (ESI) available: Detailed descriptions of the computational investigations; experimental procedures for the catalytic reactions; characterization data for the oxazolidinone

- 70 products; computational evaluations of selected alternative mechanisms; coordinates and vibrational frequencies of investigated structures. See DOI: 10.1039/b000000x
- 1. J. R. Colca, W. G. McDonald, D. J. Waldon, L. M. Thomasco, R. C.
- Gadwood, E. T. Lund, G. S. Cavey, W. R. Mathews, L. D. Adams, E. T. Cecil, J. D. Pearson, J. H. Bock, J. E. Mott, D. L. Shinabarger, L. Xiong and A. S. Mankin, *J. Biol. Chem.*, 2003, **278**, 21972-21979.
- 2. R. C. Moellering, Jr., Ann. Intern. Med., 2003, 138, 135-142.
- D. A. Evans, K. T. Chapman and J. Bisaha, J. Am. Chem. Soc., 1988, 110, 1238-1256.
- D. A. Evans, D. L. Rieger, M. T. Bilodeau and F. Urpi, J. Am. Chem. Soc., 1991, 113, 1047-1049.
- 5. J. R. Gage and D. A. Evans, Org. Synth., 1990, 68, 83-91.
- D. A. Evans, M. D. Ennis and D. J. Mathre, J. Am. Chem. Soc., 1982, 104, 1737-1739.
- D. A. Evans, J. Bartroli and T. L. Shih, J. Am. Chem. Soc., 1981, 103, 2127-2129.
- D. A. Evans, J. S. Tedrow, J. T. Shaw and C. W. Downey, J. Am. Chem. Soc., 2001, 124, 392-393.
- 90 9. D. A. Evans, C. W. Downey, J. T. Shaw and J. S. Tedrow, Org. Lett., 2002, 4, 1127-1130.
 - D. J. Ager, I. Prakash and D. R. Schaad, *Chem. Rev.*, 1996, 96, 835-876.

Page 8 of 8

- 11. N. Xi and M. A. Ciufolini, Tetrahedron Lett., 1995, 36, 6595-6598.
- N. Kanomata, S. Maruyama, K. Tomono and S. Anada, *Tetrahedron Lett.*, 2003, 44, 3599-3603.
- 13. F. Fontana, C. C. Chen and V. K. Aggarwal, Org. Lett., 2011, 13, 3454-3457.
- 14. M. T. Hancock and A. R. Pinhas, Synthesis, 2004, 2347-2355.
- 15. D. J. Darensbourg, Chem. Rev., 2007, 107, 2388-2410.
- 16. Z. Qin, C. M. Thomas, S. Lee and G. W. Coates, *Angew. Chem., Int. Ed.*, 2003, 42, 5484-5487.
- 10 17. X.-B. Lu and D. J. Darensbourg, Chem. Soc. Rev., 2012, 41, 1462-1484.
 - 18. X.-B. Lu, W.-M. Ren and G.-P. Wu, Acc. Chem. Res., 2012, 45, 1721-1735.
- 19. S. Klaus, M. W. Lehenmeier, C. E. Anderson and B. Rieger, *Coord. Chem. Rev.*, 2011, **255**, 1460-1479.
 - 20. M. Cokoja, C. Bruckmeier, B. Rieger, W. A. Herrmann and F. E. Kühn, *Angew. Chem., Int. Ed.*, 2011, **50**, 8510-8537.
 - 21. M. North, R. Pasquale and C. Young, *Green Chem.*, 2010, **12**, 1514-1539.
- 20 22. C. T. Cohen and G. W. Coates, J. Polym. Sci., Part A: Polym. Chem., 2006, 44, 5182-5191.
 - 23. R. Zevenhoven, S. Eloneva and S. Teir, *Catal. Today*, 2006, **115**, 73-79.
- M. Cheng, D. R. Moore, J. J. Reczek, B. M. Chamberlain, E. B.
 Lobkovsky and G. W. Coates, *J. Am. Chem. Soc.*, 2001, **123**, 8738-8749.
 - Y.-M. Shen, W.-L. Duan and M. Shi, *Eur. J. Org. Chem.*, 2004, 2004, 3080-3089.
- 26. A. Sudo, Y. Morioka, E. Koizumi, F. Sanda and T. Endo, *Tetrahedron Lett.*, 2003, **44**, 7889-7891.
- 27. M. T. Hancock and A. R. Pinhas, *Tetrahedron Lett.*, 2003, 44, 5457-5460.
- Y. Du, Y. Wu, A.-H. Liu and L.-N. He, J. Org. Chem., 2008, 73, 4709-4712.
- 35 29. H. Kawanami and Y. Ikushima, *Tetrahedron Lett.*, 2002, 43, 3841-3844.
 - 30. A. W. Miller and S. T. Nguyen, Org. Lett., 2004, 6, 2301-2304.
 - 31. C. Phung and A. R. Pinhas, *Tetrahedron Lett.*, 2010, **51**, 4552-4554.
- 32. S. Stankovic, M. D'Hooghe, S. Catak, H. Eum, M. Waroquier, V. Van
 Speybroeck, N. De Kimpe and H.-J. Ha, *Chem. Soc. Rev.*, 2012, 41, 643-665.
- 33. R. L. Paddock and S. T. Nguyen, J. Am. Chem. Soc., 2001, 123, 11498-11499.
- 34. D. Adhikari, S. T. Nguyen and M.-H. Baik, *Chem. Commun.*, 2014, 50, 2676-2678.
- 35. Y. Zhao and D. Truhlar, Theor. Chem. Acc., 2008, 120, 215-241.
- 36. Y. Zhao and D. G. Truhlar, Acc. Chem. Res., 2008, 41, 157-167.
- 37. This mode of linear CO₂ binding to the (salen)Cr^{III}Cl center can simply be viewed in the same way as the Lewis-acid activation of an
- ⁵⁰ organic carbonyl compound. We note that this end-on binding of CO₂ to a metal center has been observed in the literature but often with accompanying redox chemistry. See: I. Castro-Rodriguez, H. Nakai, L. N. Zakharov, A. L. Rheingold and K. Meyer, Science, 2004, **305**, 1757-1759.
- 55 38. G. A. Luinstra, G. R. Haas, F. Molnar, V. Bernhart, R. Eberhardt and B. Rieger, *Chem.-Eur. J.*, 2005, **11**, 6298-6314.
- M. B. Smith and J. March, March's Advanced Organic Chemistry, 5th edn., Wiley-Interscience, New York, NY, 2001.
- 40. M. Bera and S. Roy, J. Org. Chem., 2010, 75, 4402-4412.
- 60 41. P. A. Wender and D. Strand, J. Am. Chem. Soc., 2009, 131, 7528-7529.
- 42. S. Gandhi, A. Bisai, B. A. B. Prasad and V. K. Singh, *J. Org. Chem.*, 2007, **72**, 2133-2142.
- 65

8 | Chem. Sci., 2014, 5, 00-00