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## **ARTICLE TYPE**

### **Binder-Free Si-based Anode for Li-ion Battery**

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A binder-free anode for lithium ion batteries consisted of micro-sized silicon particle and polyacrylonitrile (PAN) was prepared. By optimizing the size of Si particle and the ratio of Si particle and PAN, the performance of the anode is greatly enhanced. Although its columbic efficiency in the first cycle is comparatively lower than that made by traditional method, it increases to 99% in the 8th cycle, 10 and remains above 99.5% up to 190+ cycles. Due to its adhesivity and conductance, pyrolyzed PAN

(PPAN) can be used both as binder and the conductive agent at the same time.

#### Introduction

The development of high energy density batteries continues to be of great interest due to their numerous applications in 15 information technology, consumer electronic devices, electric vehicles, implantable devices and telecommunication industry.<sup>1, 2</sup> Developing a low-cost electrode material with high energy capacity can lead to a significant improvement in the performance and lifetimes of products that use rechargeable batteries. In this 20 regard, considerable efforts have been made to improve the performance of lithium ion batteries (LIBs). Silicon has become an attractive material because of its high theoretical capacity (4200mAhg<sup>-1</sup>, ten times higher than graphite)<sup>3</sup>, low lithium alloying/dealloying potential (about 370mV vs. Li/Li<sup>+</sup>)<sup>4</sup>, long 25 discharge plateau<sup>5</sup> and abundance. However, lithium alloying with silicon results in a large volume change (400% in maximum)<sup>6</sup>, which results in severe cracking in the active material and agglomeration in anode and eventual electrode failure. Several strategies have been developed to accommodate 30 this severe volume expansion, including silicon sub micrometer pillars<sup>7</sup>, silicon nanowires<sup>6</sup>, <sup>8</sup>, silicon carbon composite<sup>9</sup>, crystalline-amorphous silicon nanowires<sup>10</sup>, porous thin films<sup>11</sup> silicon nanowire<sup>8, 12</sup> and so on. However, the preparation of structured Si material will increase the cost of anode, which

- <sup>35</sup> hinders the application of the batteries. Facile materials like nanofilm<sup>13, 14</sup> and nanoparticle<sup>15-17</sup> are also studied for Si anode material, but the matching of binder and conductive agent with active materials is also an intractable issue to be resolved. Here, a binder-free anode composed of Si particles and PAN was <sup>40</sup> prepared. After pyrolysis, there's transformation in the structure
- <sup>40</sup> prepared. After pyrolysis, there's transformation in the structure and conductance of PAN. This increases the specific capacity of battery and avoids the mismatching problems due to the absence of any other insulating binder and conductive agent.

#### 45 Experimental Section

#### Preparation

PAN was dissolved in dimethylacetamide (DMAC) with a weight ratio of 6.5%, by mixing at a constant temperature of 60°C for 3h. Si particles were dispersed in DMAC by ultrasonic.

<sup>50</sup> Afterwards, Si and PAN were mixed in different ratios to prepare anode materials. The mixture was stirred by a magnetic stirrer at

50°C for 6h to be evenly mixed, and to volatilize redundant solvent. The slurry was then coated on a copper foil with a thickness of 150μm, followed by heated at 110°C in a vacuum <sup>55</sup> oven. After pyrolyzed at 550 °C with a heating rate and cooling rate of 2 °C/min, the anode was ready for cell assembling. Scanning electron microscope (SEM) was utilized to investigate the morphology and uniformity of anode. X-ray photoelectron spectroscopy (XPS) and Fourier transform infrared spectroscopy 60 (FTIR) were used to characterize the structure transformation of

PAN through pyrolysis.

#### **Electrochemical measurements**

The coin-type half cells (2025R type), with lithium foils as counter electrodes, were assembled in a glove box (Mikrouna <sup>65</sup> Super 1220/750) in the atmosphere of Argon. The electrolyte was LiPF<sub>6</sub> (1M) in ethylene carbonate/methyl ethyl carbonate (EC/EMC, 30:70 vol%), with vinylene carbonate (VC) and fluoroethylene carbonate (FEC) as additives. Glass fiber filter or nickel foam were used to stabilize the coin system. The coin-type <sup>70</sup> half cells were cycled at a rate of 0.2C (1C=900mAh/g) on land battery test system. The cyclic voltammetry (CV) curves (in the range of 20mV to 2.7V and at a rate of 0.1mV/s) and electrochemical impedance spectroscopy (EIS, in the range of 100000Hz to 0.01Hz at a magnitude of 0.05mV) were measured <sup>75</sup> with an electrochemical workstation (PGSTAT302N, Autolab).

#### **Results and discussion**

In the process of pyrolysis, the structure of PAN is transformed to a conjugated chain as depicted in Fig.1. This process was studied by FTIR as shown in Fig.2. According to the <sup>80</sup> previous reports<sup>18</sup>, the −C≡N stretch (2250-2500cm<sup>-1</sup>) in Fig.2a and c is due to the side chain of nitrile group in PAN. The disappearance of this stretch in Fig.2b and d illuminates the disconnection of − C≡N after pyrolysis. The peak at about 1265cm<sup>-1</sup> was attributed to the −C=C and/or −C=N and −C <sup>85</sup> − N functional groups in conjugated chain like structure of pyrolyzed PAN. This peak is also observed in the sample of Si particles and PAN before pyrolysis (Fig.2c) showing the partial structure transformation can happen at 60 °C in the process of

stirring. The – CH and CH<sub>2</sub> aliphatic stretch at 2800-3000cm<sup>-1</sup> <sup>90</sup> from the hydrocarbon chain polymer backbone is observed for the samples both before and after pyrolysis, indicating that just partial transformation happens in PAN.



Fig. 1. The schemes of anodes before (a) and after pyrolysis (b)



Fig. 2. FTIR of different anodes. (a) anode of pure PAN (b) pyrolyzed anode of PAN (c) anode of Si particle and PAN (d) pyrolyzed anode of Si particle and PAN

In the experiments, nano- and micro-sized particles were used as anodes and were both well mixed with PAN (Fig.3a and c). Clearly the battery composed of micro-sized Si particle as anode shows a better performance. Its columbic efficiency in the first 15 cycle was 66.7%, 29.4% higher than that composed of nano-sized particle, and has better performance in the followed cycles (Fig.3b and d). Although nano-sized Si particle is commonly considered as an effective method to avoid the short lifetime aroused by volume expansion in the process of discharging<sup>19</sup>, in 20 our experiment the micro-sized particles are believed to be conglutinated with the network of PPAN strongly and avoids the

- agglomeration during the cycling. Anodes composed of microsized particles are also advantageous in the larger areal capacity. The results were also compared with that of anode made by 25 traditional method in figure 3e and f. Despite the relatively higher columbic efficiency in the 1st cycle, the anode made by
- traditional method had worse stability in the followed cycles. In this system, Li-ions are easily intercalated into PPAN but
- difficultly escaped out as shown by CV result in Fig.4a. This is <sup>30</sup> the main reason causing the low columbic efficiency in the first cycle. The redox peak at about 1.12V originates from the Li-ion intercalation into PPAN, while there is not a distinct oxide peak in the process of charging in the voltage range of measurement. From the 2<sup>nd</sup> cycle, the redox peak is much smaller, indicating

35 that the irreversible capacity do not exist any more.



Fig. 3. SEM, columbic efficiency and specific capacity of
different anodes, (a, b) Si particle of about 100 nm with PAN, (c, d) Si particle of about 4 μm with PAN, (e, f) anode made by traditional method.





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The weight ratio of particle and PAN is a key factor to control

the performance of anodes. Three different anodes consisted of Si and PAN with 6:4, 5:5 and 4:6 (labelled as A, B, and C respectively) were used in our experiment. For anode A, the pyrolyzed anode was found to be cracked all over the surface s (Fig.5a) due to the lack of PAN, which also resulted in a low columbic efficiency and unstable cycle performance (Fig.5b). For samples B and C, the morphology is intact (Fig.5c and e) and sample B exhibits a better columbic efficiency and capacity

density than that of C (Fig.5d and f). More PPAN in the mixture <sup>10</sup> will induce higher irreversible capacity due to Li-ion insertion into PPAN as discussed above.



<sup>15</sup> Fig. 5. SEM images, columbic efficiency and specific capacity of anodes A (a, b), B (c, d) and C (e, f)



Fig. 6. (a) Voltage vs. specific capacity of anode B. (b) Impedance spectroscopy of anode B after different cycles.

The voltage vs. capacity performance of sample B is shown in Fig.6a. It can be found from the 2<sup>nd</sup> cycle, that the curves keep almost identical, and obtain a long plateau. The plateaus at about 25 1.27V and 0.75-0.5V in the first cycle are original from reaction of PPAN. The impedance spectroscopy battery also confirmed the stability of the anode. In the first few circles, the impedance increases slightly due to some breakages of active material for the activation of anode. After this activation process, the impedance <sup>30</sup> decreases greatly, indicating the stability of the anode.

#### Conclusions

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A binder-free anode for LIB was prepared. After pyrolysis, PPAN in the anode can act as both conductive agent and binder, which increase the specific capacity, and improve the

35 conductivity for the absence of any other insulating polymer binder. With the optimal size of active material and ratio of Si and PAN, a stable anode with high battery performance was obtained. The columbic efficiency remains 99.5% up to 190+ cycles.

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#### Notes and references

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These might include comments relevant to but not central to the matter under discussion, limited experimental and spectral data, and crystallographic data.

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