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ARTICLE

Antioxidant vs Prooxidant Action of Phenothiazine in a Biological Environment in the presence of Hydroxyl and Hydroperoxyl Radicals: A Quantum Chemistry Study

Cite this: DOI: 10.1039/x0xx00000x

Received 00th January 2012,

Accepted 00th January 2012

DOI: 10.1039/x0xx00000x

www.rsc.org/

C. Iuga,^{*a} A. Campero^b and A. Vivier-Bunge^b

In this work, we have carried out a quantum chemistry and computational kinetics study on the reactivity of phenothiazine (PTZ) towards hydroxyl ($\cdot\text{OH}$) and hydroperoxyl ($\cdot\text{OOH}$) free radicals, in order to elucidate the antioxidant activity of phenothiazine in biological environments. We investigated three types of reaction mechanisms: i) single electron transfer (SET), ii) hydrogen atom transfer (HAT) and iii) radical adduct formation (RAF). In order to mimic biological environments, we have considered both water and lipid media. We show that, in aqueous solution, PTZ acts as an excellent antioxidant while, in lipid media, it behaves as a prooxidant due to the formation of the phenothiazinyl radical that is very stable and toxic to biological systems. In addition, in water, we suggest that PTZ is able to regenerate by means of the reaction between the radical cation formed initially by electron transfer to the attacking radical, $\text{PTZ}^{+\cdot}$, and a superoxide radical anion, $\text{O}_2^{\cdot-}$. In this process, PTZ would hence be able to scavenge two radicals per cycle (the $\cdot\text{OH}$ or $\cdot\text{OOH}$ original attacking radical, and a superoxide radical anion, $\text{O}_2^{\cdot-}$) and to form molecular oxygen O_2 *in situ*. Finally, we show that the dication PTZ^{++} that has been observed experimentally in water, can be easily formed if $\text{PTZ}^{+\cdot}$ reacts with a second $\cdot\text{OH}$ radical.

INTRODUCTION

Oxidative stress is a widespread condition in the pathology of several neurodegenerative diseases, and it has been reported that reactive oxygen species (ROS) are directly implicated in many neurologic disorders and brain dysfunction.¹ Indeed, the brain is believed to be particularly vulnerable to oxidative stress for several reasons: it contains high concentrations of polyunsaturated fatty acids that are susceptible to lipid peroxidation; it consumes relatively large amounts of oxygen for energy production; and it has lower antioxidant defenses compared to other organs. In order to overcome free radical-mediated consequences, neuroprotective antioxidants have been considered as a promising approach to slow down disease progression and to limit the extent of functional neuronal loss in chronic neurodegenerative disorders. Within this context, it has been suggested that the molecules that have shown beneficial effects in the treatment of neurodegenerative diseases owe their therapeutic action, at least in part, to their antioxidant properties.

Phenothiazines are biologically active heterocyclic compounds that belong to a class of drugs used clinically to treat psychiatric disorders since the early 1950's. They are endowed with dopamine receptor antagonistic activities in the central nervous system (CNS).² In addition, these drugs are used for clinical purposes as sedative,^{3,4} antihelmintics,^{5,6} anti-inflammatory, antimalarials, antibacterial,^{7,8} and anticonvulsants⁹. Since phenothiazines have low ionization

potentials, there have been suggestions that phenothiazine tranquilizers may be good electron donors and thus act as charge or electron transfer donors.¹⁰ In addition, phenothiazine and related compounds could exhibit an elevated free radical trapping action. Furthermore, phenothiazines are capable of crossing easily the blood-brain barrier, since they exhibit a strong affinity to lipid bilayers of the cell membranes in neurons and other lipid-rich tissues,¹¹ and therefore, they could perform their antiradical action within the brain tissues. This is particularly important since 50% of dry brain weight is lipid, in contrast to 6-20 % for other organs. Phenothiazines have a tricyclic structure, with two phenyl rings bound by sulfur and nitrogen atoms.¹² Their general chemical structure is given in Figure 1. In the basic molecule, phenothiazine (PTZ), $\text{R}_2 = \text{R}_{10} = \text{H}$.

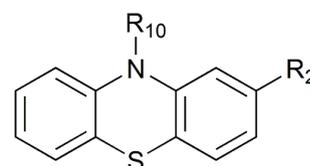


Figure 1. Chemical structure of phenothiazines.

The PTZ crystal structure shows that the molecule is folded about the N-S axis with the two planes containing the phenyl rings making

a dihedral angle of 158.5° .¹³ Upon formation of its radical cation, the phenothiazine molecule opens, and the dihedral angle increases to 172° .¹⁴ Photoionization work¹⁵ suggests that the amine part of phenothiazine is pyramidal in the neutral ground state and planar in the radical cation ground state, thus favoring the π -orbital overlap.¹⁶ In recent studies, the photooxidation behavior of phenothiazine and its radical cations has been studied using time-resolved laser flash photolysis experiments.¹⁷ The radical cation formed by one-electron transfer exhibits two strong and characteristic optical absorptions $\lambda_{\text{max}} = 270$ and 530 nm that can easily be detected and studied by time-resolved pulse radiolysis experiments. In addition, the photoelectron spectra of phenothiazine and its derivatives indicate that the first ionization potential is N-centered, and the second ionization potential, of slightly lower energy, is S-centered.¹⁸

The sensitivity of phenothiazines towards chemical oxidation has been investigated experimentally. It has been reported that the radical cations derived from them are pharmacologically active in the metabolism of phenothiazine-based drugs,¹⁹ and that they might be important intermediates in their biochemical action, especially in aqueous environments.²⁰

The phenothiazine molecule has a rather small N-H bond dissociation energy (BDE) 79.3 ± 0.2 ,²¹ when compared, for example, with the BDE of the O-H bond in some of the most active radical trapping phenols, such as α -tocopherol, galvinoxil, and 2,4,6-trimethoxyphenol.^{22,23} A mechanism consisting of an electron transfer to an $\cdot\text{OOR}$ free radical has been suggested as the first step, with the formation of the radical cation of the amine.²⁴ This is supported by the fact that the experimental value for the PTZ ionization potential is low, about 7 eV.²⁵ The radical cation of PTZ is stable, and its EPR spectrum is well-known.^{26,27}

Despite the great potential and practical interest of this class of molecules, not much is known about their reactivity towards free radicals, and data available in the literature are often contradictory. V. Hadjimitova *et al.*²⁸ studied experimentally the antioxidant activity *in vitro* of six neuroleptic drugs (chlorpromazine, levomepromazine, promethazine, trifluoperazine and thioridazine) and two antidepressants (imipramine and amitriptyline) applying luminol-dependent chemiluminescence, and they demonstrated that phenothiazines are powerful scavengers of hydroxyl and superoxide radicals.²⁸ In the case of PTZ, in addition to its antioxidant activity, a prooxidant activity has been reported in erythrocytes when the concentration of PTZ is large. G-X Li *et al.*²⁹ have studied the hemolysis of human erythrocytes at different concentrations of PTZ and of a peroxyl radical supplier, 2,2'-azobis (2-amidinopropane hydrochloride), (AAPH). They found that, although PTZ can protect erythrocytes against AAPH-induced hemolysis, it can also act as a prooxidant when high concentrations are used. Under these conditions, PTZ is able to initiate hemolysis even in the absence of a radical initiator. The authors propose that high concentrations of PTZ may permeate into erythrocytes and be oxidized to $\cdot\text{PTZ}$, which can induce additional radical propagation to oxidize polyunsaturated fatty acids in the membrane.

The two main functions of antioxidants are (1) to inhibit oxidation and (2) to stop the oxidation chain reaction.³⁰ As a consequence, the primary antioxidant activity is related to the capacity of a certain molecule to sacrifice itself by becoming oxidized instead of an important biological target, and the most common role of an antioxidant is to scavenge free radicals. This occurs by a radical-molecule reaction. From a chemical point of view, the study involves the determination of its mechanism and kinetics, and the rate of this reaction is considered to be a measure of the antioxidant capacity of the molecule. The density functional theory (DFT) method has successfully been used to study the thermodynamic and kinetic aspects of radical-molecule reactions.

In order to quantify the antiradical reactivity of a certain molecule, it is usual to use the BDE and IP as thermodynamic parameters. However, when studying the reactivity of a certain molecule towards various different free radicals, these parameters are not sufficient to quantify the inhibition of the oxidative stress caused by each one of these free radicals. BDE reveal the lowest possible energy of the abstracted H atom from an R—H bond, to form an R-centered radical; although it can be very useful, the BDE parameter exhibits only the extent of the difficulty of a molecule to form a radical, but it does not exhibit the stabilization of the formed radical. With respect to the ionization potential, it is clear that a good electron donor should have low ionization energy and will tend to give electrons to a good electron acceptor. However, as discussed by Galano *et al.*,³¹ reaction kinetics offer a more accurate picture of reactivity than other possible indexes such as IE or BDE. In addition, overall rate coefficients take into account environmental aspects and the contributions of different mechanisms and sites of reactions.

No molecular study of the reaction mechanism and kinetics of PTZ with oxygen radical species in general has been published. In the present work, we report for the first time the results of a quantum chemistry and computational kinetics investigation aiming to assess the thermochemical and kinetic aspects of the initial step in the reaction of phenothiazine with $\cdot\text{OH}$ and $\cdot\text{OOH}$ free radicals and the superoxide anion $\text{O}_2^{\cdot-}$, which are relevant oxidative species under oxidative stress conditions. In order to mimic different biological environments, both water and lipid media were considered. Thermodynamic and kinetic data are provided, as well as a quantitative assessment of the contributions of the different mechanisms and channels of reaction, to the overall reactivity of PTZ towards the $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals. The hydroxyl radical ($\cdot\text{OH}$) was chosen because it is the most electrophilic and reactive one among all the oxygen-centered radicals, and it has a very short half-life of $\sim 10^9$ s.³² The hydroperoxyl radical, $\cdot\text{OOH}$, is the protonated form of the superoxide radical anion, $\text{O}_2^{\cdot-}$.³³ Its protonation/deprotonation equilibrium exhibits a pKa of 4.8, indicating that only about 0.3% of any superoxide present in a typical cell is in the protonated form. However, $\text{O}_2^{\cdot-}$ is not a very reactive species, so the chemistry of superoxide in living systems is probably dominated by $\cdot\text{OOH}$ radical reactions.³⁴ The possible antioxidant vs prooxidant role of PTZ will be discussed.

COMPUTATIONAL METHODOLOGY

All electronic calculations were performed with the Gaussian 09 package,³⁵ using the QM-ORSA methodology.³¹ Geometry optimizations and frequency calculations have been carried out using the M06-2X functional in conjunction with the 6-311++G(d,p) basis set. The M06-2X functional has been recommended for kinetic calculations by its developers.³⁶ Moreover, in a very recent work,³⁷ Galano and Alvarez-Idaboy carried out a detailed benchmark study of 19 chemical reactions between different free radicals and closed-shell molecules, in order to test the performance of 18 exchange correlation functionals in conjunction with the 6-311++G(d,p) basis set, to calculate reaction rate constants in aqueous solution. Among them, the M06-2X functional was shown to yield excellent results. The unrestricted open-shell formalism was used for optimization of radical species. Frequency calculations were performed on optimized geometries to establish the nature of the stationary point on the potential energy surface: local minima have only real frequencies, while transition states are identified by the presence of a single imaginary frequency that corresponds to the expected motion along the reaction coordinate. Relative energies are calculated with respect to the sum of the separated reactants. Zero-point energies (ZPE) and thermal corrections to the energy (TCE) at 298.15 K, which

correspond to a 1 M standard state, are included in the determination of energy barriers.

In this work, solvent effects are introduced with the SMD continuum model³⁸ using water and pentylethanoate as solvents, in order to mimic aqueous and lipid biological environments. SMD is considered to be a universal solvation model, due to its applicability to any charged or uncharged solute in any solvent or liquid medium for which a few key descriptors are known.³⁸ In this work, for anionic species, we have used the following solvation energies derived from experiment: $\Delta G_{\text{sol}}(\text{OH}^-) = -105$ kcal/mol and $\Delta G_{\text{sol}}(\text{OOH}^-) = -97.7$ kcal/mol, on the basis of the recommendation of Pliego and Riveros.³⁹

Solvent cage effects have been included according to the corrections proposed by Okuno,⁴⁰ taking into account the free volume theory.⁴¹ The expression used to correct the Gibbs free energy is:

$$\Delta G_{\text{sol}}^{\text{FV}} \cong \Delta G_{\text{sol}}^0 - RT \left\{ \ln \left[n 10^{(2n-2)} \right] - (n-1) \right\} \quad (1)$$

where n represents the molecularity of the reaction. According to expression (1), the cage effects in solution cause ΔG to decrease by 2.54 kcal/mol for bimolecular reactions, at 298.15 K. This lowering is expected since the packing effects of the solvent reduce the entropy loss associated with any addition reaction or transition state formation, in reactions with molecularity equal or larger than two. If the translational degrees of freedom in solution are treated as in the gas phase, the cost associated with their loss when two or more molecules form a complex system in solution is overestimated, and consequently these processes are kinetically over-penalized in solution, leading to rate constants that are artificially underestimated. Rate constants have been computed using Conventional Transition State Theory (TST),⁴² according to:

$$k = \sigma \kappa \frac{k_B T}{h} e^{(\Delta G^\ddagger)/RT} \quad (2)$$

where k_B and h are the Boltzmann and Planck constants; ΔG^\ddagger is the Gibbs free energy of activation; σ represents the reaction path degeneracy, which accounts for the number of equivalent reaction paths; and κ is the tunneling correction. The latter are defined as the Boltzmann average of the ratio of the quantum and the classical probabilities, and they were calculated using the zero-curvature tunneling (ZCT) method and an Eckart barrier.^{43,44} The energy values, partition functions and thermodynamic data were taken from the quantum-mechanical calculations.

For mechanisms involving a single electron transfer (SET), the Marcus theory was used.⁴⁵ It relies on the transition state formalism, defining the SET activation barrier ($\Delta G_{\text{SET}}^\ddagger$) in terms of two thermodynamic parameters, the free energy of reaction (ΔG_{SET}) and the nuclear reorganization energy (λ):

$$\Delta G_{\text{SET}}^\ddagger = \frac{\lambda}{4} \left(1 + \frac{\Delta G_{\text{SET}}}{\lambda} \right)^2 \quad (3)$$

The reorganization energy (λ) is the energy associated with the nuclear rearrangement involved in the formation of products in a SET reaction, which implies not only the nuclei of the reacting species but also those of the surrounding solvent. The reorganization energy (λ) is calculated as:

$$\lambda = \Delta E_{\text{SET}} - \Delta G_{\text{SET}} \quad (4)$$

where ΔE_{SET} has been calculated as the non-adiabatic energy difference between reactants and vertical products. This approach is

similar to the one previously used by Nelsen and co-workers⁴⁶ for a large set of self-exchange reactions.

When the reaction rate constants are within the diffusion-limited regime, they cannot be directly obtained from TST calculations. In this case, any encounter between reactants is limited by the rate at which they can diffuse within the solvent. Therefore, any rate constant larger than the diffusion rate lacks physical meaning. Moreover, any calculated rate constant intended to reproduce the actual behavior of a system under experimental (*in vitro* or *in vivo*) conditions must be directly comparable with the observable one.³¹ We will refer to the latter as the apparent rate constant (k^{app}). In the present work, the Collins-Kimball theory⁴⁷ is used to correct the rate constant, and k^{app} is calculated as:

$$k^{\text{app}} = \frac{k^{\text{diff}} \cdot k}{k^{\text{diff}} + k} \quad (5)$$

where k is the thermal rate constant, obtained from TST calculations, and k^{diff} is the steady-state Smoluchowski⁴⁸ rate constant for an irreversible bimolecular diffusion-controlled reaction:

$$k^{\text{diff}} = 4 \pi R_{AB} D_{AB} N_A \quad (6)$$

where R_{AB} denotes the reaction distance, N_A is the Avogadro number, and D_{AB} is the mutual diffusion coefficient of reactants A and B. D_{AB} has been calculated from D_A and D_B according to reference⁴⁹. D_A and D_B have been estimated using the Stokes–Einstein approach⁵⁰:

$$D = \frac{k_B T}{6 \pi \eta a} \quad (7)$$

where k_B is the Boltzmann constant, T is the temperature, η denotes the viscosity of the solvent, and a is the radius of the solute. For water, $\eta = 8.91 \times 10^{-4}$ Pa s, and for pentylethanoate, $\eta = 8.62 \times 10^{-4}$ Pa s. In Eq. 6, R_{AB} is the distance required for a bimolecular reaction to take place, and it depends on the mechanism.

The total rate coefficient for each mechanism is calculated as the sum of the individual rate constants for all channels of this mechanism. The overall rate coefficient (k^{overall}), in each solvent, is calculated as the sum of the total rate constants for all the considered mechanisms.

RESULTS AND DISCUSSION

The PTZ structure has been determined using quantum chemistry calculations. The optimized structure is shown in Figure 2, where we have also indicated the atomic numbering scheme. Hydrogen, carbon, nitrogen and sulphur atoms are in white, grey, blue and yellow colours, respectively.

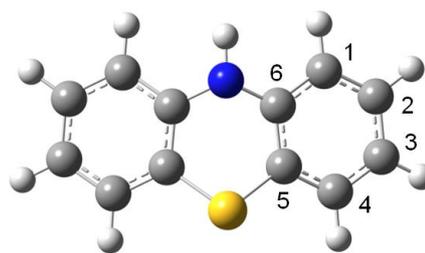


Figure 2. Optimized structure of phenothiazine molecule.

In the PTZ optimized structure, the two benzene rings form a dihedral angle along the line between S and NH.

Figure 3 shows the shape of the phenothiazine molecule frontier orbitals. The red and green colors represent the positive and negative phases, respectively.

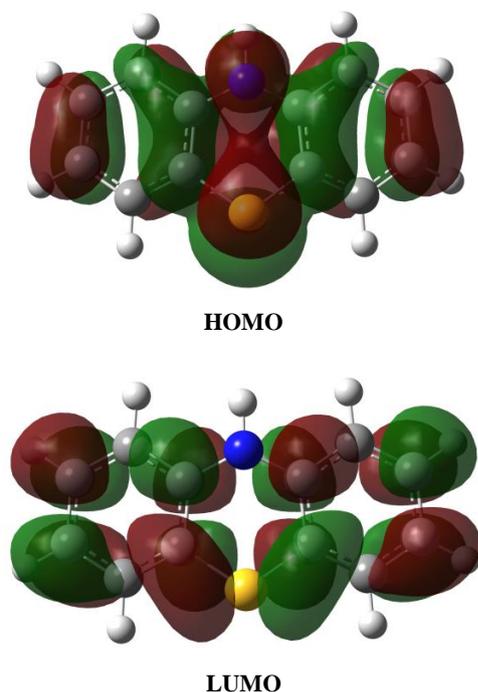


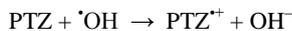
Figure 3. Frontier orbitals of the PTZ molecule.

It can be observed that the HOMO is a π -bonding orbital strongly localized on the S and N atoms, while the LUMO is mainly an antibonding π^* -orbital in character. Thus, it is expected that the reactivity of PTZ towards electrophilic free radicals such as $\cdot\text{OH}$ and $\cdot\text{OOH}$, will involve most probably the S and N donating atoms.

PTZ exists in a neutral form in aqueous solution at physiological pH. Since blood can be modelled as an aqueous solution at pH = 7.4, in this work the neutral form will be used to study the reactivity of PTZ towards $\cdot\text{OH}$ and $\cdot\text{OOH}$ free radicals both in water and lipid media.

We have considered three possible reaction mechanisms:

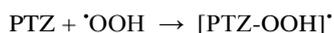
i) One electron transfer from the neutral molecule to the free radical (*single electron transfer, SET*):



ii) Hydrogen atom transfer from the neutral molecule to the free radical (*Hydrogen atom transfer, HAT*):



and iii) Radical addition to the neutral molecule (*Radical adduct formation, RAF*):



These channels could occur in parallel, but at different rates. One of the objectives of the present paper is to determine which mechanism has the fastest rate constant in the reactions of PTZ with hydroxyl ($\cdot\text{OH}$) and hydroperoxyl ($\cdot\text{OOH}$) free radicals.

All of the above reactions may be responsible for some of the beneficial and/or adverse effects of administered phenothiazine

drug. For example, in the HAT mechanism the phenothiazinyl radical is formed. This radical is known to be very stable and toxic to biological systems.

For the reaction of PTZ with $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals in aqueous environment, we have studied the HAT, RAF and SET mechanisms described above. In lipid media, only the HAT and RAF mechanisms are considered; the SET mechanism is not expected to occur in non-polar environments because it does not promote the necessary solvation of the intermediate ionic species yielded by this mechanism.

Since the most effective inhibition of oxidative stress occurs if the reaction chain is interrupted at the rate determining step, then it is necessary to calculate the reaction barriers and the absolute rate constants and branching ratios of all the individual feasible reaction channels, and the overall rate constants for each radical in the first step of the PTZ oxidation. In what follows, we have characterized the stationary points along the reaction coordinate for all the viable reaction channels. At this point, we wish to emphasize the need to reoptimize stationary structures in the solvent, rather than performing single-point calculations at the gas phase geometries. This is especially important in polar solvents, since they favor charge separation and may lead to significant geometrical changes.

I. Thermodynamic feasibility

For each mechanism, the thermochemical feasibility of all the different channels was investigated first, since it determines the viability of the referred chemical processes. For the HAT mechanism, we have only considered the hydrogen atom transfer from the N-H site to the free radical, with the formation of the corresponding aminoxyl radical and a water molecule. For the RAF mechanism, there are six possible reaction pathways that correspond to the oxygen atom of the free radical binding to any one of the carbon atoms in the molecule.

Relative reaction Gibbs free energies (including TCE at 298.15 K) for the HAT, SET and RAF channels of the $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals have been calculated with respect to the sum of the separated reactants, in water and pentylethanoate, and they are reported in Table 1.

Table 1. Relative reaction Gibbs free energies (including TCE at 298.15 K), in kcal mol^{-1} , for all the possible channels in the reaction of PTZ with $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals, in water and pentylethanoate.

Reaction channel	Water	Pentylethanoate
	ΔG ($\cdot\text{OH}$)	
SET	-32.29	--
HAT	-42.47	-37.34
RAF 1	-12.34	-9.75
RAF 2	-10.79	-7.79
RAF 3	-12.44	-9.59
RAF 4	-10.40	-7.51
RAF 5	-15.19	-12.53
RAF 6	-11.33	-8.56
ΔG ($\cdot\text{OOH}$)		
SET	-17.29	--
HAT	-10.26	-4.86
RAF 1	12.77	16.51
RAF 2	13.92	18.69
RAF 3	12.71	16.86
RAF 4	14.90	18.98
RAF 5	12.52	15.96
RAF 6	16.16	19.89

For the $\cdot\text{OH}$ radical, all reactions are exergonic, and the HAT reaction channel is clearly thermodynamically favored in both media. It can be observed that all paths are considerably more exergonic in water than in lipid media, due to the polarity of the solvent. Addition of $\cdot\text{OH}$ to position 5 yields the most stable RAF adduct.

For the $\cdot\text{OOH}$ radical, all the RAF reaction pathways are endergonic by more than 12.5 kcal/mol and they will not be considered further. The HAT reaction is exergonic in both media, and the SET reaction is exergonic in water. Although the reaction free energies are, in all cases, considerably smaller than with $\cdot\text{OH}$, these mechanisms may still contribute significantly to the radical scavenging activity of PTZ.

For the kinetic study, we have not included those reaction paths that were found to be endergonic or close to zero, because, even if they took place at significant rates, they would be reversible, and the formed products would not be observed. However, they might still represent significant channels if their products, in turn, react rapidly. This would be particularly important if these further stages were sufficiently exergonic to provide a driving force, and if their reaction barriers were low.³¹

In conclusion, for the reaction of PTZ with $\cdot\text{OH}$ radicals in water, we have considered all three mechanisms, while for $\cdot\text{OOH}$, only the HAT and SET mechanisms have been studied. In pentyethanoate, HAT and RAF are possible with $\cdot\text{OH}$, while only HAT is exergonic for the $\cdot\text{OOH}$ radical. This is in line with the smaller reactivity of the $\cdot\text{OOH}$ radical compared with $\cdot\text{OH}$.

II. Reactivity of PTZ towards $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals in water

Single electron transfer (SET)

In an aqueous environment, PTZ can react with alkoxy and peroxy radicals via an electron transfer reaction in which the N atom loses an electron to form a radical cation. In this reaction PTZ acts as electron donor and the free radicals are the electron acceptors. The radical cation may then transfer the proton to form the phenothiazinyl radical (Figure 4).

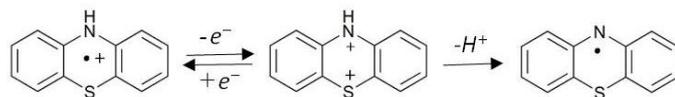


Figure 4. SET mechanism.

The spin density distribution on the radical cation and on the phenothiazinyl radical are shown in Figure 5. In the radical cation, the electron is mainly located on the N atom (spin density on N = 0.327). It can be seen that, after the loss of the proton, the N atom spin density increases, while it decreases on the S atom.

The phenothiazinyl radical is stabilized through delocalization of the unpaired electron. The single electron of the N-centered radical is delocalized over the whole PTZ molecule. As mentioned before, in PTZ, the two benzene rings form a dihedral angle along the line between S and N-H, but in the PTZ \cdot radical they are located on the same plane. The coplanarity of these two benzene rings allows the nitrogen p orbital to participate in the π -bond formed by the two benzene rings. The large conjugative system stabilizes the PTZ \cdot .

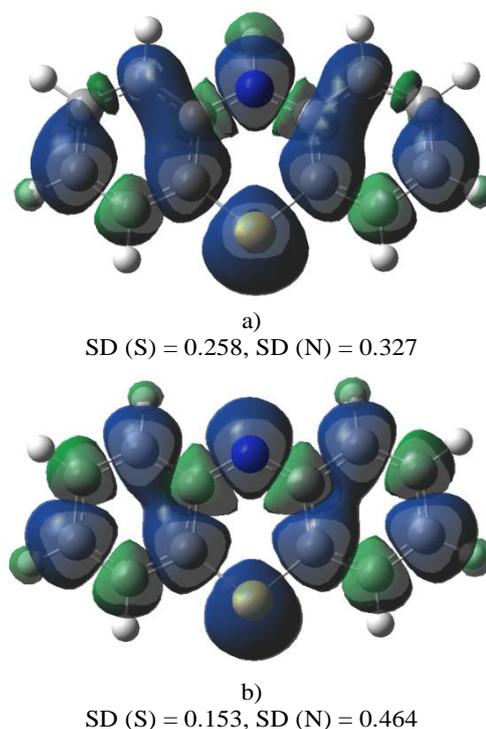


Figure 5. Spin density on the PTZ cation radical (a) and phenothiazinyl radical (b).

Gibbs free energies of activation (ΔG_{SET}^\ddagger), free reaction energies (ΔG_{SET}), reorganization energies (λ), diffusion rate constants (k_{SET}^{diff}), thermal rate constants (k_{SET}) and apparent rate constants (k_{SET}^{app}) for the SET reactions of PTZ with the studied radicals, in aqueous solution at physiological pH, are reported in Table 2. ΔG_{SET}^\ddagger has been evaluated using the Marcus Theory. Apparent rate constants (k_{SET}^{app}) were calculated using the Collins-Kimball theory. The diffusion rate constant k_D has been calculated according to Eq. 6, and it is also included in this table for comparison.

The calculated activation energy for the $\cdot\text{OH}$ radicals is almost 0.0 kcal/mol, as the reaction Gibbs free energy is almost equal to the negative value of the reorganization energy (λ). Thus, this reaction is located on the Marcus parabola vortex, and the activation free energy tends to 0, according to Eq. 3. Consequently, in this case, the SET process for the $\cdot\text{OH}$ radical is diffusion-controlled. The subsequent proton transfer could be very fast in a polar medium, and its corresponding rate constant could also be diffusion-controlled.

For the $\cdot\text{OOH}$ radical, the calculated activation barrier is 10.01 kcal/mol, much higher than in the case of $\cdot\text{OH}$ radicals. However, the calculated SET rate constant is also quite large.

Thus, PTZ is clearly a very efficient scavenger of both $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals through the SET mechanism in aqueous solution at physiological pH. Moreover, we will show that PTZ has the ability to regenerate and to scavenge more than one free radical. This point will be addressed in the next section.

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Table 2. Gibbs free energy of activation (ΔG_{SET}^\ddagger , kcal mol⁻¹), reaction free energy (ΔG_{SET} , kcal/mol), reorganization energy (λ), diffusion rate constants (k_{SET}^{diff} , M⁻¹ s⁻¹), thermal rate constants (k_{SET} , M⁻¹ s⁻¹) and apparent rate coefficients (k_{SET}^{app} , M⁻¹ s⁻¹) for the SET reactions of the PTZ with $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals, and its regeneration in aqueous solution at physiological pH.

SET Reaction	ΔG_{SET}^\ddagger	ΔG_{SET}	λ	k_{SET}^{diff}	k_{SET}	k_{SET}^{app}
PTZ + $\cdot\text{OH} \rightarrow \text{PTZ}^{\cdot+} + \text{OH}^-$	0.00	-18.43	18.64	8.31×10^9	1.52×10^{14}	8.31×10^9
PTZ + $\cdot\text{OOH} \rightarrow \text{PTZ}^{\cdot+} + \text{OOH}^-$	10.01	4.99	29.20	8.14×10^9	6.98×10^6	6.97×10^6
$\text{PTZ}^{\cdot+} + \text{O}_2^{\cdot-} \rightarrow \text{PTZ} + \text{O}_2$	0.93	-26.68	18.40	7.84×10^9	3.16×10^{13}	7.84×10^9

PTZ regeneration mechanism in water

It has been previously proposed that, in aqueous solution, compounds containing a catechol moiety are capable of regenerating after scavenging a peroxy radical.⁵¹

In our case, once the PTZ molecule transfers an electron to the $\cdot\text{OH}$ or $\cdot\text{OOH}$ radical, the formed PTZ radical cation could react with a different radical species. As $\text{O}_2^{\cdot-}$ is present in relatively large concentrations in biological media, we propose that $\text{PTZ}^{\cdot+}$ accepts an electron from $\text{O}_2^{\cdot-}$; thus PTZ is regenerated and molecular O_2 is formed. At this point, it is important to note that, since the superoxide radical anion does not cross membranes easily,⁵² its damaging effects would be restricted to the cells that generate it. This means that PTZ would be able to neutralize undesirable $\text{O}_2^{\cdot-}$ and form beneficial O_2 that is required for cellular respiration *in situ*. In contrast, the neutral protonated form of superoxide ($\cdot\text{OOH}$) could traverse biological membranes, although its low intracellular concentration provides a low driving force for diffusion into adjacent cellular compartments.⁵²

Gibbs free energies of activation (ΔG_{SET}^\ddagger), free reaction energies (ΔG_{SET}), reorganization energies (λ), diffusion rate constants (k_{SET}^{diff}), thermal rate constants (k_{SET}) and apparent rate coefficients (k_{SET}^{app}) for the SET reactions in the regeneration mechanism of PTZ, in aqueous solution at physiological pH, are reported in Table 2.

The reaction barrier for the recombination of the reacting $\text{PTZ}^{\cdot+} + \text{O}_2^{\cdot-}$ radicals is close to zero (0.93 kcal/mol). This reaction corresponds to the inverted region of the Marcus theory that is characterized by having the reaction free energy lower than the negative value of the reorganization energy ($\Delta G < -\lambda$)⁵³. However, it is close to the vertex of the Marcus parabola and therefore its barrier remains low, and the corresponding reaction rate will be very fast. Indeed, our calculations show that this reaction is limited by diffusion.

Hence, it is reasonable to assume that the proposed mechanism competes with the proton transfer, since both occur at diffusion-controlled rates. Furthermore, the recombination of two radicals (in this case, $\text{PTZ}^{\cdot+}$ and $\text{O}_2^{\cdot-}$) is expected to be favored over the breaking of the N-H bond, which implies the motion of a proton instead of an electron. Thus, the regeneration process seems to be a very probable pathway for $\text{PTZ}^{\cdot+}$ and, in the end, PTZ would then be able to scavenge two free radicals per cycle: the original attacking radical ($\cdot\text{OH}$ or $\cdot\text{OOH}$) and $\text{O}_2^{\cdot-}$.

The proposed mechanisms for PTZ regeneration in water is presented schematically in Figure 6. We have indicated, in red,

the values of the activation barriers in terms of Gibbs free energies.

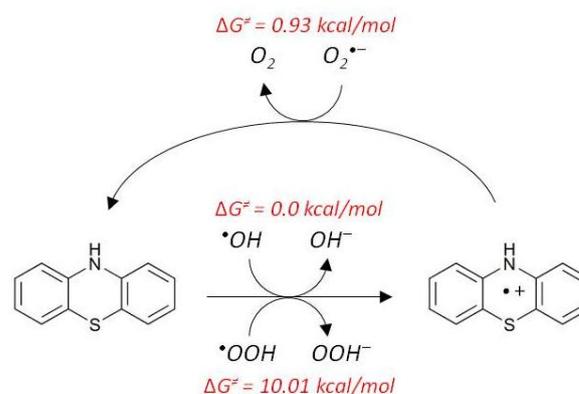


Figure 6. Schematic mechanism for the SET reaction of PTZ with $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals, and its regeneration in water at physiological pH.

Formation of PTZ dication in water

If $\text{PTZ}^{\cdot+}$ encounters another $\cdot\text{OH}$ radical, a second electron transfer could occur, with the formation of a dication PTZ^{2+} . This species has been observed experimentally, and its formation is favored by the relatively small second ionization potential of the PTZ molecule. The two successive electron-transfer steps correspond to the removal of a p-electron at the nitrogen atom and a p-electron at the sulfur atom, respectively. Consequently, the nonplanar configuration of the PTZ molecule changes into a planar configuration in the PTZ^{2+} . In turn, we have investigated the reaction of the dication with $\text{O}_2^{\cdot-}$, which regenerates $\text{PTZ}^{\cdot+}$. The results are presented in Table 2, for $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals.

The results in Table 3 show that the reaction only happens with $\cdot\text{OH}$ radicals; while $\cdot\text{OOH}$ is not capable of removing a second electron to form the dication. The regeneration of $\text{PTZ}^{\cdot+}$ by means of the $\text{PTZ}^{2+} + \text{O}_2^{\cdot-}$ reaction presents a very large and negative ΔG , and the corresponding rate constant is diffusion-controlled.

Table 3. Gibbs free energy of activation (ΔG_{SET}^\ddagger , kcal mol⁻¹), reaction free energy (ΔG_{SET} , kcal/mol), reorganization energy (λ), diffusion rate constants (k_{SET}^{diff} , M⁻¹ s⁻¹), thermal rate constants (k_{SET} , M⁻¹ s⁻¹) and apparent rate coefficients (k_{SET}^{app} , M⁻¹ s⁻¹) for the SET reactions of the PTZ^{•+} with [•]OH and [•]OOH radicals and its regeneration in aqueous solution at physiological pH.

SET Reaction	ΔG_{SET}^\ddagger	ΔG_{SET}	λ	k_{SET}^{diff}	k_{SET}	k_{SET}^{app}
PTZ ^{•+} + [•] OH → PTZ ⁺⁺ + OH ⁻	5.14	4.51	9.37	8.35 × 10 ⁹	2.59 × 10 ¹⁰	6.31 × 10 ⁹
PTZ ^{•+} + [•] OOH → PTZ ⁺⁺ + OOH ⁻	28.73	27.93	19.93	8.17 × 10 ⁹	1.32 × 10 ⁻⁷	1.32 × 10 ⁻⁷
PTZ ⁺⁺ + O ₂ ^{•-} → PTZ ^{•+} + O ₂	15.20	-49.61	17.24	7.98 × 10 ⁹	2.59 × 10 ¹⁰	6.10 × 10 ⁹

The proposed mechanism for the formation of the dication is presented schematically in Figure 7. We have indicated, in red, the values of the activation barriers in terms of Gibbs free energies.

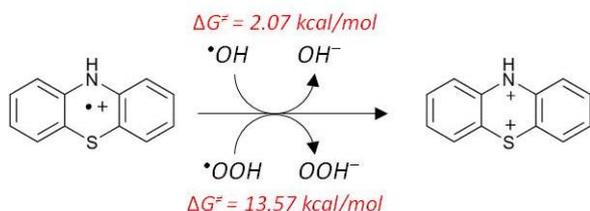


Figure 7. Schematic mechanism for the formation of the PTZ dication, in water at physiological pH.

The PTZ^{•+} + [•]OH reaction is also expected to lead to a variety of addition adducts by means of radical recombination reactions (RAF). The same should be true for PTZ^{•+} + [•]OOH as well as for most any available radical. However, as an electron transfer can occur at distances between the reactants that are considerably larger than in addition reactions,⁵⁴ it seems reasonable to expect that this mechanism will prevail.

Hydrogen atom transfer (HAT)

According to the results presented in Table 1, abstraction of the amino hydrogen by either [•]OH or [•]OOH radicals is exergonic. The calculated BDE for PTZ obtained with our methodology is 79.60 kcal/mol, in excellent agreement with the reported experimental value of 79.3 ± 0.3.²¹ Reaction barriers and rate constants were investigated using the same methodology.

The transition states for the PTZ + [•]OH and PTZ + [•]OOH reactions were easily located and characterized in the gas phase. However, it was not possible to locate them in water using full optimizations. A careful mapping of the potential energy surfaces indicates that, in water, H-abstraction from the N-H group of PTZ is a barrierless process, for both [•]OH and [•]OOH radicals, and it occurs without the formation of a pre-reactive complex. Using partial optimization with constrained N---H---OH bonds, we were able to obtain a structure that presents an imaginary frequency. The subsequent unfreezing of the two distances involved, followed by optimization to a saddle point, produces an increase of the H---OH distance, and a corresponding decrease of the imaginary frequency and of the gradient, leading to the separated reactants. A relaxed scan, obtained by decreasing the H---OH distance, produces an equivalent result: in this case, the energy decreases until the H atom is completely transferred. This means that the reaction is strictly diffusion-controlled, i.e. every encounter results in a reaction.

The diffusion rate constants for the [•]OH and [•]OOH radicals are calculated from Eq. 6. The calculated rate for the diffusion of the [•]OH radical in water when reacting with PTZ through a HAT mechanism, is 2.82 × 10⁹ M⁻¹ s⁻¹, and for [•]OOH is 2.70 × 10⁹ M⁻¹ s⁻¹.

Radical adduct formation (RAF)

As mentioned before, for the kinetic study, we have not investigated those reaction paths that were found to be endergonic or to have a reaction free energy that is close to zero (Table 1). Thus, only the RAF channels for the [•]OH radicals will be studied in detail. The discussion in this section considers the RAF reaction channels for the [•]OH radicals and the formation of the corresponding radical adducts, and attempts to identify the most reactive sites in the PTZ molecule on which the [•]OH radicals may bind.

All the stationary points have been characterized on the potential energy surface of the reactions considered. The optimized geometries of the transition structures in the RAF pathways are presented in Figure 7, along with the corresponding C---O non-bonding distances (in Å) and activation Gibbs free energies.

All additions occur in a similar way and destroy the aromaticity of the ring. The [•]OH radical oxygen atom approaches a carbon atom of the benzene ring. The transition vector in the transition states (TS) structures corresponds to the vertical movement of the OH group in the direction of the carbon site. The H atom attached to the carbon atom folds back slightly to accommodate the incoming [•]OH radical. In these TS, the distance between the [•]OH radical and the C atom ranges from 2.092 to 2.315 Å. Only six adducts are formed, as the transition states on both sides of the molecule yield the same final radical adduct.

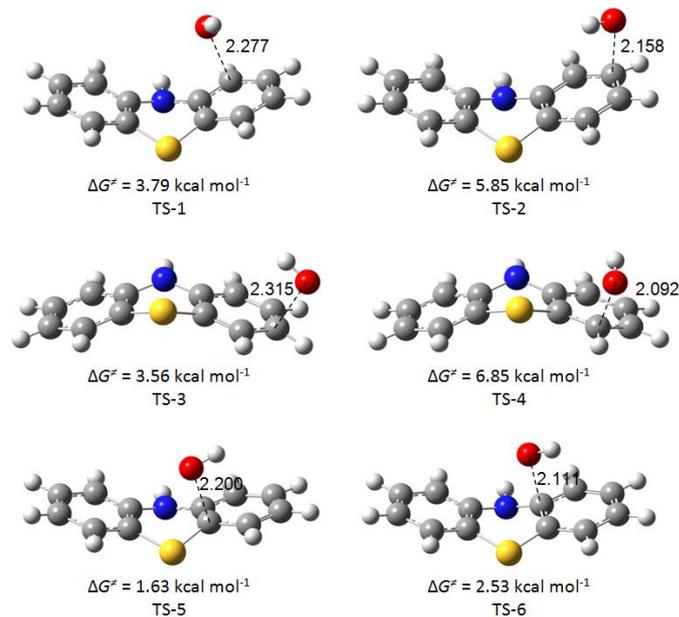


Figure 7. Optimized transition structures in the RAF reaction channels between PTZ and [•]OH radicals, in water.

Relative enthalpies of activation (ΔH_{RAF}^\ddagger) including ZPE, and Gibbs free energies of activation (ΔG_{RAF}^\ddagger) including TCE at 298.15 K, are calculated with respect to the sum of the separated reactants at 0 K, in aqueous solution at physiological pH, and they are reported in

Table 4. The smallest Gibbs free energy of activation corresponds to the RAF-5 channel (1.63 kcal/mol), followed by RAF-6 and RAF-3 (2.53 kcal/mol and 3.56 kcal/mol, respectively). Thus, in water, the RAF-5 site is preferentially attacked by $\cdot\text{OH}$ radicals through a RAF mechanism. Analyzing the magnitude of $d(\text{O}-\text{C})$ in the transition states and the Gibbs free energy of reaction for the different RAF channels, it can be noticed that the earlier the transition state (more reactant-like) the lower the ΔG value, which is in line with the Hammond postulate.⁵⁵

Corresponding thermal rate constants (k_{RAF}) and apparent rate constants ($k_{\text{RAF}}^{\text{app}}$) for the RAF channels are also reported in Table 4. The calculated rate for the diffusion of the $\cdot\text{OH}$ radical in water when reacting with PTZ through a RAF mechanism was calculated using Eq. 6, and its value is $1.57 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$. The distance required for the bimolecular reaction to take place is taken from the transition state, and it is equal to the distance between the two atoms that are involved in the forming bond. We have not calculated the tunneling correction, because the enthalpies of activation are very low, and they are negative in almost all cases. In addition, it is well-known that tunneling corrections are not important for reactions that do not involve light particles. The total rate constant for the RAF mechanism ($k_{\text{RAF}}^{\text{total}}$), calculated as the sum of all the independent RAF channels is also reported in Table 4.

Table 4. Relative enthalpies of activation ($\Delta H_{\text{RAF}}^\ddagger$, kcal mol⁻¹) including ZPE, Gibbs free energies of activation ($\Delta G_{\text{RAF}}^\ddagger$, kcal mol⁻¹) including TCE at 298.15 K, thermal rate constants (k_{RAF} , M⁻¹ s⁻¹), and apparent rate constants ($k_{\text{RAF}}^{\text{app}}$, M⁻¹ s⁻¹), in the RAF pathways with $\cdot\text{OH}$ radicals, in water at physiological pH.

RAF Path	$\Delta H_{\text{RAF}}^\ddagger$	$\Delta G_{\text{RAF}}^\ddagger$	k_{RAF}	$k_{\text{RAF}}^{\text{app}}$
<i>Solvent = water</i>				
1	-2.83	3.79	5.07×10^{11}	1.56×10^9
2	-0.48	5.85	1.57×10^{10}	1.42×10^9
3	-2.63	3.56	7.47×10^{11}	1.56×10^9
4	0.14	6.85	2.90×10^9	1.02×10^9
5	-5.16	1.63	1.94×10^{13}	1.57×10^9
6	-4.36	2.53	4.25×10^{12}	1.57×10^9
$k_{\text{RAF}}^{\text{total}}$				8.70×10^9

The apparent rate coefficients for all the RAF channels of $\cdot\text{OH}$ radicals are also close to or diffusion-controlled, and therefore, no selectivity is observed, even though the Gibbs free energies of activation are different. Our conclusion is that $\cdot\text{OH}$ -addition to PTZ also constitutes an important mechanism that yields six possible ring-hydroxylated adducts.

Overall rate constants in water

The overall rate constant, which measures the rate of $\cdot\text{OH}$ or $\cdot\text{OOH}$ disappearance, is estimated by summing the total rate coefficients calculated for all the competing mechanisms.

In a water environment, phenothiazine reacts with $\cdot\text{OH}$ radicals at diffusion-controlled rates, independently of the reaction mechanism, and so, the overall rate constant must be mandatorily diffusion-controlled. The calculated overall rate constant for the $\cdot\text{OH}$ radical in water is $1.98 \times 10^{10} \text{ M}^{-1} \text{ s}^{-1}$. However, as an electron transfer can occur at distances between the reactants that are considerably larger than in addition reactions,⁵⁴ it seems reasonable to expect that the SET mechanism will prevail.

The $\cdot\text{OOH}$ radicals only react with PTZ mainly through the HAT mechanism, also at diffusion-controlled rate constant. As the product of the HAT reaction is the toxic phenothiazinyl radical, we suggest that PTZ could act as a prooxidant, as observed by G-X Li *et al.*²⁹

The calculated overall rate constant for the $\cdot\text{OOH}$ radical in water is $2.70 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$.

These findings mean that, kinetically, in aqueous solution at physiological pH, PTZ exhibits an extremely high reactivity towards both $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals. These results can be compared, for example, with calculated and experimental rate constants obtained for Trolox (Table 5), which is frequently used as an antioxidant reference.

Table 5. Calculated (k^{overall}) and experimental ($k^{\text{experimental}}$) rate constants, in M⁻¹ s⁻¹, in the reaction of PTZ and Trolox with $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals, in water at physiological pH. The values for the Trolox reactions are taken from reference 56.

Reaction	k^{overall}	$k^{\text{experimental}}$
PTZ + $\cdot\text{OH}$	1.98×10^{10}	
Trolox + $\cdot\text{OH}$	2.78×10^{10}	8.10×10^{10}
PTZ + $\cdot\text{OOH}$	2.70×10^9	
Trolox + $\cdot\text{OOH}$	8.90×10^4	

PTZ and Trolox react with $\cdot\text{OH}$ at very similar reaction rates, while the reaction with $\cdot\text{OOH}$ is thirty thousand times faster for PTZ than for Trolox. Based on kinetic considerations, the PTZ + $\cdot\text{OOH}$ reaction rate constant in water is predicted to be unusually high, much higher than for example, that of allicin ($7.4 \times 10^3 \text{ M}^{-1} \text{ s}^{-1}$),⁵⁷ dopamine ($2.2 \times 10^5 \text{ M}^{-1} \text{ s}^{-1}$),⁵⁸ canolol ($2.50 \times 10^6 \text{ M}^{-1} \text{ s}^{-1}$),⁵⁹ glutathione ($2.7 \times 10^7 \text{ M}^{-1} \text{ s}^{-1}$),⁶⁰ sesamol ($2.4 \times 10^8 \text{ M}^{-1} \text{ s}^{-1}$),⁶¹ propyl gallate ($4.56 \times 10^8 \text{ M}^{-1} \text{ s}^{-1}$),⁶² and fraxetin ($4.12 \times 10^8 \text{ M}^{-1} \text{ s}^{-1}$).⁶³

As the $\cdot\text{OOH}$ damage to fatty acids is known to occur at much smaller rate constants,⁵⁶ it is evident that PTZ protects efficiently against oxidative stress caused by $\cdot\text{OOH}$ radicals and also probably other peroxy radicals. This is especially important because, contrary to $\cdot\text{OH}$ radicals that react very fast with any biological molecule, $\cdot\text{OOH}$ radicals are less reactive and have a much larger half-life. Therefore, their impact on oxidative stress is very high.

III. Reactivity of PTZ towards $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals in lipid media

The results presented in Table 1 indicate that, in the non-polar pentylethanoate solvent, the HAT mechanism is exergonic for both $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals. The RAF reaction channels of the $\cdot\text{OH}$ radical are also exergonic, while for the $\cdot\text{OOH}$ radicals, they all are considerably endergonic, as was the case in water. This means that, independently of the environment's polarity, the RAF mechanism for the $\cdot\text{OOH}$ radicals is not expected to contribute to the free radical scavenging activity of PTZ. Consequently, in what follows, we have investigated the HAT mechanisms for both $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals, and the RAF reaction channels only for $\cdot\text{OH}$ radicals.

Hydrogen atom transfer (HAT)

For the HAT mechanism in lipid media, all the stationary points have been characterized on the potential energy surface of the reactions considered. In pentylethanoate, direct HAT transition structures were obtained (Figure 8) for the amino hydrogen abstraction by $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals. In these structures, the abstracted hydrogen atom is located outside of the molecular symmetry plane. They are early transition states, with rather long distances between the N atom and the oxygen atom of the free radical: in the $\cdot\text{OH}$ radical HAT reaction, this distance is about 1.74 Å, while in the case of the $\cdot\text{OOH}$ radical, it is about 1.45 Å. In the former, the calculated activation barrier in terms of its Gibbs free energy is 6.88 kcal/mol, while in the H-abstraction by the $\cdot\text{OOH}$

radical this barrier is much higher, equal to 18.13 kcal/mol. Since the thermal rate constants depend directly on the size of the reaction barriers, the $\cdot\text{OH}$ hydrogen abstraction reaction should be much faster than the one involving the $\cdot\text{OOH}$ radical.

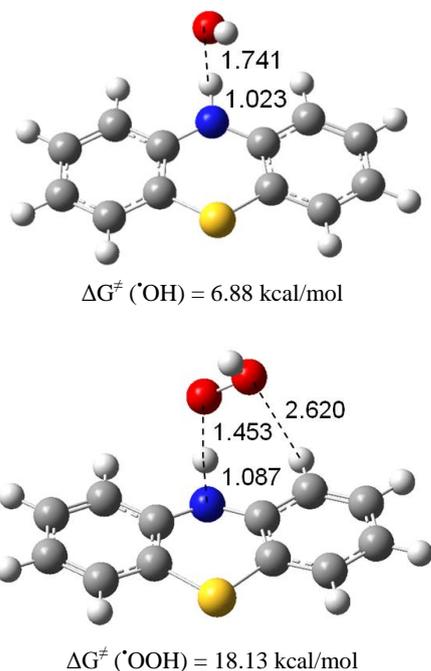


Figure 8. Transition structures in the HAT reaction of PTZ with $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals, in pentylethanoate.

Thermal rate constants were calculated for these reactions. Relative enthalpies (ΔH^\ddagger) including ZPE, Gibbs free energies of activation (ΔG^\ddagger) including TCE at 298.15 K, and thermal rate constants (k), for the HAT reactions involving the studied radicals, in pentylethanoate, are reported in Table 5. The calculated diffusion rate for the $\cdot\text{OH}$ radical in pentylethanoate through a HAT mechanism is $2.15 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$. In lipid media, the HAT reaction of PTZ with $\cdot\text{OH}$ radicals is close to the diffusion limit, while with $\cdot\text{OOH}$ radicals it is negligibly slow ($15.56 \text{ M}^{-1} \text{ s}^{-1}$).

In the HAT mechanism, PTZ behaves as a prooxidant due to the formation of the phenothiazinyl radical that is very stable and toxic to biological systems.

Radical adduct formation (RAF)

In lipid media, the structures of the stationary points along the reaction coordinate in the $\cdot\text{OH}$ radical RAF channels are similar to the ones obtained in aqueous environment. In general, the interaction distances between reactants in the transition states were found to be shorter in the non-polar media. We have calculated the relative

Table 5. Relative activation enthalpies ($\Delta H_{\text{HAT}}^\ddagger$, kcal mol $^{-1}$) including ZPE, activation Gibbs free energies ($\Delta G_{\text{HAT}}^\ddagger$, kcal mol $^{-1}$) including TCE at 298.15 K, diffusion rate constants ($k_{\text{HAT}}^{\text{diff}}$, $\text{M}^{-1} \text{ s}^{-1}$), thermal rate constants (k_{HAT} , $\text{M}^{-1} \text{ s}^{-1}$) and apparent rate constants ($k_{\text{HAT}}^{\text{app}}$, $\text{M}^{-1} \text{ s}^{-1}$) for the HAT reactions, in pentylethanoate.

Radical	$\Delta H_{\text{HAT}}^\ddagger$	$\Delta G_{\text{HAT}}^\ddagger$	$k_{\text{HAT}}^{\text{diff}}$	k_{HAT}	$k_{\text{HAT}}^{\text{app}}$
$\cdot\text{OH}$	-1.40	6.88	2.11×10^9	1.37×10^9	8.32×10^8
$\cdot\text{OOH}$	7.72	18.13	2.02×10^9	7.78	7.78

enthalpies and Gibbs free energies of activation for all the RAF reaction channels of the $\cdot\text{OH}$ radicals, in pentylethanoate, and they are reported in Table 6, together with the corresponding rate constants for each individual channel and the total rate constant for the RAF mechanism ($k_{\text{RAF}}^{\text{total}}$).

The lowest activation barrier corresponds to the RAF-1 channel, in contrast with the one found in aqueous solution (RAF-5). This indicates that the relative site reactivity, via RAF, is influenced by the environment.

In pentylethanoate solvent, the calculated values for the $\cdot\text{OH}$ RAF channels rate coefficients are quite large, between 10^6 and $10^8 \text{ M}^{-1} \text{ s}^{-1}$. For comparison, the calculated rate constant for the diffusion of the $\cdot\text{OH}$ radical in lipid media for the RAF mechanism is $1.62 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$. The calculated total rate constant for the RAF mechanism ($k_{\text{RAF}}^{\text{total}}$) is $1.20 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$.

Overall rate constants in lipid media

The calculated overall rate constant for the $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals in lipid media are $3.10 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$ and $15.56 \text{ M}^{-1} \text{ s}^{-1}$, respectively. Thus, in lipid media, PTZ is an excellent $\cdot\text{OH}$ radical scavenger that reacts through HAT and RAF mechanisms at a rate constant that is close to the diffusion of the radical, with an overall contribution from all the RAF channels that amounts to about the same as for HAT. Contrary to the PTZ + $\cdot\text{OH}$ reactions in water that are diffusion-controlled, in lipid media, these reactions are activation-controlled.

As mentioned in the case of the HAT reaction in water, in lipid media PTZ could also act as a prooxidant when reacting with $\cdot\text{OH}$ radicals, due to the formation of the toxic and relatively stable phenothiazinyl radical.

The $\cdot\text{OOH}$ radical only reacts through the HAT mechanism, but at a very low rate constant, and it is clear that PTZ does not efficiently act as an $\cdot\text{OOH}$ radical scavenger in lipid media.

Table 6. Relative enthalpies of activation ($\Delta H_{\text{RAF}}^\ddagger$, kcal mol $^{-1}$) including ZPE, Gibbs free energies of activation ($\Delta G_{\text{RAF}}^\ddagger$, kcal mol $^{-1}$) including TCE at 298.15 K, and thermal rate constants (k_{RAF} , $\text{M}^{-1} \text{ s}^{-1}$), in the RAF reaction channels with $\cdot\text{OH}$ radicals, in pentylethanoate.

RAF Path	$\Delta H_{\text{RAF}}^\ddagger$	$\Delta G_{\text{RAF}}^\ddagger$	k_{RAF}
<i>Solvent = pentylethanoate</i>			
1	-1.40	7.53	9.19×10^8
2	1.73	10.94	2.91×10^6
3	-0.21	8.66	1.36×10^8
4	1.74	10.80	3.68×10^6
5	-0.83	8.83	1.02×10^8
6	0.21	9.36	4.19×10^7
$k_{\text{RAF}}^{\text{total}}$			1.20×10^9

CONCLUSIONS

In this work, we have carried out a mechanistic quantum chemistry study of the reactivity of the phenothiazine molecule towards $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals, in aqueous and lipid simulated biological environments. All thermodynamically feasible reaction pathways have been investigated.

In water, we find that the overall rate constants for both $\cdot\text{OH}$ and $\cdot\text{OOH}$ radicals are diffusion-controlled, independently of the reaction mechanism. However, since the SET mechanism involves the motion of an electron instead of a proton or a radical, it can be argued that this mechanism would be the predominant one.

In addition, we have proposed a regeneration route by means of the reaction of a superoxide anion radical with the radical cation, $\text{PTZ}^{\cdot+}$, formed in the SET reaction. According to this mechanism, PTZ would be able to inactivate two radicals (an $\cdot\text{OH}/\cdot\text{OOH}$ and an $\text{O}_2^{\cdot-}$) per cycle. Thus, PTZ could be considered to be an excellent antioxidant towards $\cdot\text{OH}$, $\cdot\text{OOH}$ and $\text{O}_2^{\cdot-}$ radicals, in aqueous solution at physiological pH, even if it is present in a relatively low concentration. Its radical scavenging capacity appears to be even superior to that of most known antioxidants.

It must be emphasized that the possibility to regenerate is essential and should be considered when evaluating the radical scavenging capacity of a certain antioxidant. If the predominant mechanism is addition of a free radical to form stable radical adducts (RAF), structural changes occur, and it would be difficult to regenerate the original molecule. In contrast, when the predominant mechanism is an electron transfer (SET), structural changes are minimal, and the possibility of regeneration through reactions with other free radicals could be very important.

Finally, in water, if $\text{PTZ}^{\cdot+}$ reacts with a second $\cdot\text{OH}$ radical, we have shown that it easily forms a dication, PTZ^{2+} . This species has been observed experimentally.

In lipid media, only the HAT and RAF mechanisms occur. Thus, the regeneration mechanism is ruled out. The overall rate constant for the $\cdot\text{OH}$ radicals is close to the diffusion limit, but it is very small for $\cdot\text{OOH}$ radicals. In the $\text{PTZ} + \cdot\text{OH}$ reaction under these conditions, the HAT mechanism predominates over the RAF channels, and its overall rate constant is twice as large as the sum of the rate constants of all the RAF channels. The phenothiazinyl radical formed in the HAT mechanism is toxic to biological systems, and it has been reported that it oxidizes polyunsaturated fatty acids in erythrocytes membranes. Thus, PTZ would act as a prooxidant agent in lipid media.

The antioxidant vs prooxidant activity of PTZ depends on the reacting radical as well as on the biological media.

Acknowledgements

We gratefully acknowledge the Laboratorio de Visualización y Cómputo Paralelo at Universidad Autónoma Metropolitana-Iztapalapa for computer time.

Notes and references

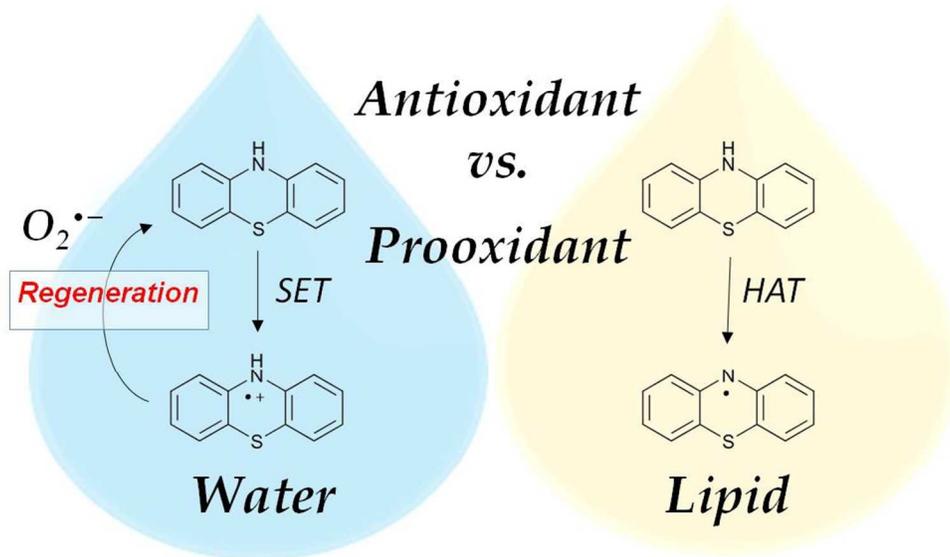
^a Departamento de Sistemas Biológicos, Universidad Autónoma Metropolitana-Xochimilco, Calzada del Hueso 1100, 04960 México D.F., México. E-mail: ciuga@xanum.uam.mx.

^b Departamento de Química, Universidad Autónoma Metropolitana-Iztapalapa, Av. San Rafael Atlixco No. 186, 09340 México D.F., México.

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