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1 Self-assembly of glycinin nanoparticles for delivery of phenolic compounds 2 from *Phyllanthus urinaria*

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6
7 **Abstract:** The purpose of this work was to fabricate and evaluate glycinin nanoparticles for
8 delivery of phenolic compounds from *Phyllanthus urinaria*. The nanoparticles were prepared
9 using self-assembly method, and three variables, including pH (X_1), glycinin concentration
10 (X_2), and glycinin to phenolic compounds mass ratio (X_3), for the achievement of high
11 encapsulation efficiency of phenolic compounds were optimized using response surface
12 methodology. The statistical analyses show that the independent variables (X_1 , X_2) and the
13 quadratic terms (X_1^2 , X_2^2 and X_3^2) have significant effect on the encapsulation efficiency. The
14 optimized conditions are X_1 of 4.4, X_2 of 3.2 mg/mL, and X_3 of 6.2:1. Under these conditions,
15 the experimental value is 51.42% ($n=3$), which is well matched with the predicted value.
16 Scanning electron microscopy (SEM) micrograph and dynamic light scattering (DLS)
17 analyses show that the nanoparticles have an approximately spherical morphology with a
18 smooth surface, and the mean particle size was about 100 nm with a narrow size distribution
19 of 0.318. The release of phenolic compounds shows a faster release at pH 7.4 but a lower
20 release at pH 1.2, and the release mechanism at pH 1.2 and 7.4 is Fickian diffusion and
21 anomalous transport, respectively.

22 **Keywords:** *Phyllanthus urinaria*; phenolic compounds; self-assembly; glycinin;
23 nanoparticles.

24 25 1. Introduction

26 *Phyllanthus urinaria*, commonly called chamberbitter, gripeweed, shatterstone,
27 stonebreaker, or leafflower, is one of the species belonging to the genus *Phyllanthus*

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1 (Euphorbiaceae) and is widely distributed in Southern America and many countries in Asia,
2 such as China, India and Thailand ¹⁻³. *P. urinaria* has been used as a traditional medicine for
3 the treatment of some diseases including diarrhea, dysentery, hepatitis, edema, infantile
4 malnutrition, acute conjunctivitis, aphthae and antibiotic resistant pyogenic infections ⁴
5 because it has many biological and pharmacological activities *in vitro* and *in vivo*, such as
6 antiviral ^{5,6}, hepatoprotective anti-inflammatory ^{7,8}, hypoglycemic and hypocholesterolemic ⁹,
7 antioxidant ^{10,11}, anti-allodynic and anti-oedematogenic ¹², and antibacterial ¹³ properties. The
8 anticancer effect of *P. urinaria* has been reported in some academic literatures ^{2,6,14-17}, and in
9 recent years there is increasing interest to understand and develop alternative agents from *P.*
10 *urinaria* compounds for the treatment of hepatitis B virus and liver cancer ^{1,18-22}. It is believed
11 that the phenolic compounds from *P. urinaria* are one of the main effective substances for the
12 treatment of hepatitis B virus and liver cancer ²³⁻²⁶. However, in conventional administration,
13 the therapeutic effects of the phenolic compounds are limited due to their poor stability in
14 gastrointestinal tract and limited bioavailability *in vivo*. Moreover, the phenolic compounds'
15 unpleasant taste, like astringency and bitterness, also limits their applications. Therefore, it is
16 very necessary to develop effective methods to overcome these disadvantages.

17 Nanoencapsulation technology is an effective method to overcome the disadvantages
18 mentioned above ²⁷. Nanoparticles bearing anticancer drugs have received increasing attention
19 because they not only can improve the stability and bioavailability of the drugs and mask the
20 unpleasant taste of drugs ²⁸, but also can facilitate the drugs to go across critical and specific
21 biological barriers and hit specific targets ²⁹. Additionally, nanoparticles can prevent the first
22 pass metabolism of the drug molecules through a lymphatic uptake mechanism ³⁰, and are
23 particularly useful for cancer chemoprevention for their enhanced permeability and retention
24 effect ³¹. Therefore, significant efforts in recent years have been devoted to fabricate and use
25 nanoparticles to encapsulate drugs for targeted drug delivery and targeted cancer therapy ³².

26 Molecular self-assembly is the spontaneous organization of molecules due to their mutual
27 interaction (from the noncovalent type) into ordered aggregates (spatial and/or temporal
28 ordering) without external control ^{33,34}, and is the elegant and powerful approach to design
29 nanomaterials ³⁵. In recent years, proteins and peptides have gained great interest in delivering
30 drugs and bioactive molecules ³⁶⁻⁴⁰. Soy protein is an abundant, renewable, and inexpensive

1 natural protein, which has gained considerable attention for its potential role in improving risk
2 factors for cardiovascular disease³⁹. Glycinin is one of the two major globulins of soy protein,
3 and is sensitive to the pH of solution. Therefore, glycinin nanoparticles can be self-assembled
4 by controlling the pH of glycinin solution.

5 In this work, glycinin nanoparticles were self-assembled to encapsulate phenolic
6 compounds from *P. urinaria*. The effects of pH, glycinin concentration, and glycinin to
7 phenolic compounds mass ratio on the encapsulation efficiency were investigated, and the
8 response surface methodology (RSM) was employed to optimize these variables for the
9 achievement of high encapsulation efficiency of the phenolic compounds. The structure and
10 properties of the nanoparticles were studied by SEM and DLS, and the release behaviors and
11 release mechanism of the phenolic compounds from the nanoparticles were also investigated
12 in detail.

13 **2. Experimental**

14 **2.1 Materials and chemicals**

15 Glycinin (protein content of 91.25%) was prepared according to Nagano⁴¹. *P. urinaria* was
16 bought from herb stores. Glutaraldehyde (GA, 50% solution) was purchased from Aladdin
17 (Shanghai, China). Other reagents were analytical grade and used as received.

18 **2.2 Extraction of phenolic compounds from *P. urinaria***

19 The dry *P. urinaria* was powdered by a pulverizer (XS-10B, Longxin, China) and then
20 passed through an 80 mesh sieve. Fifty grams of the *P. urinaria* powders were extracted twice
21 with 500 ml of 60 % ethanol at room temperature for one day. The extracts were filtered
22 through a filter paper with 0.22 μm pore size and concentrated by evaporating the solvent
23 under the reducing pressure. The concentrated liquid was finally freeze-dried by a freeze
24 dryer (LL3000, Heto, Germany) to obtain the powders of phenolic compounds. The phenolic
25 compounds obtained under ethanol extraction are mainly composed of gallic acid, corilagin,
26 geraniin, ellagic acid, brevifolin, quercetin, luteolin and kaempferol^{23,42-44}.

27 **2.3 Determination of total phenolic content**

28 The total phenolic content was determined using ferrous tartrate method⁴⁵ with a slight
29 modification. One milliliter of sample solution was transferred into a 25 mL volumetric flask
30 to react with 5 mL solution dye (0.1 g ferrous sulfate and 0.5 g potassium sodium tartrate

1 tetrahydrate dissolved in 100 mL distilled water), 4 mL distilled water and 15 mL buffer
2 solution (0.067 mol/L potassium phosphate, pH 7.5). The mixture was kept for 5 min for color
3 development. The absorbance was measured at 540 nm by a UV-vis spectrophotometer
4 (UVmini-1240, Shimadzu, Japan), using a blank solution prepared with distilled water
5 replacing the sample solution. The total phenolic content was calculated as gallic acid
6 equivalent from the calibration curve of gallic acid standard solutions (0-50 mg/L).

7 **2.4 Self-assembly of phenolic compounds loaded glycinin nanoparticles**

8 A certain concentration of glycinin solution was prepared by dispersing the glycinin
9 powder in an aqueous solution with pH of 8.0 to completely dissolution with stirring, whereas
10 a certain concentration of phenolic solution was prepared by dissolving the phenolic powders
11 in distilled water. While constantly stirring the solution, phenolic compounds were added and
12 the mixture was kept stirring for 10 min, and then the pH of the mixture was adjusted with 2
13 mol/L HCl solution to form nanoparticles. Then glutaraldehyde (30 $\mu\text{g}/\text{mg}$ glycinin) was
14 added to cross-link the nanoparticles for 6 h under stirring constantly. Finally, the mixture was
15 centrifuged at 12000 g for 20 min. The precipitate was freeze-dried for 24 h by a freeze dryer
16 (LL3000, Heto, Germany) to obtain the glycinin nanoparticles loaded with phenolic
17 compounds, whereas the phenolic content in the supernatant was determined using the
18 established standard curve to calculate the encapsulation efficiency (EE) of the phenolic
19 compounds in the nanoparticles. The EE was calculated using the following equation:

$$20 \quad \text{EE (\%)} = \frac{\text{total phenols} - \text{free phenols}}{\text{total phenols}} \times 100 \quad (1)$$

21 **2.5 Optimum design**

22 A three-level-three-factor, Box-Behnken design (BBD) was employed to determine the best
23 combination of variables for the encapsulation efficiency based on the results of preliminary
24 single-factor-test. pH (X_1), glycinin concentration (X_2), and glycinin to phenolic compounds
25 mass ratio (X_3) were the independent variables, and their coded and uncoded levels were
26 presented in Table 1. The encapsulation efficiency (Y) taken as the response for the design
27 experiment was given in Table 2. Experimental data were fitted to a quadratic polynomial
28 model and the model was explained by the following quadratic equation:

$$Y = A_0 + \sum_{i=1}^3 A_i X_i + \sum_{i=1}^3 A_{ii} X_i^2 + \sum_{i=1}^2 \sum_{j=i+1}^3 A_{ij} X_i X_j \quad (2)$$

Where Y is the dependent variable; A_0 , A_i , A_{ii} , and A_{ij} are the regression coefficients for intercept, linearity, square and interaction, respectively; X_i and X_j are the independent variables.

2.6 Surface morphology analysis

Scanning electron microscopy (SEM) was performed to examine the surface morphology of the phenolic compounds-loaded nanoparticles. The freeze-dried nanoparticles loaded with phenolic compounds were first sputter-coated with conductive carbon, and then the morphology was examined using SEM (Supra 55, Zeiss, Germany) with an acceleration voltage of 20 kV.

2.7 Particle size measurement

The particle size and size distribution of the phenolic compounds-loaded nanoparticles were performed by dynamic light scattering (DLS) using a particle size analyzer (ZS90, Malvern, UK).

2.8 In vitro drug release study

The glycinin nanoparticles loaded with phenolic compounds were put in a dialysis bag and the dialysis bag was clamped by a clip. Then, the dialysis bag with the nanoparticles was immersed in a conical vial containing 50 mL of buffer solution. The vial was closed and incubated in a thermostatic shaker (SKY100C, Sukun, China) with a speed of 60 rpm at 37 °C. At given time intervals, 1 mL of the solution was taken out to measure the release amount of phenolic compounds according to the ferrous tartrate method, and 1 mL of fresh buffer solution was put back into the same vial. The cumulative release of phenolic compounds was calculated with the following equation:

$$\text{Cumulative release of phenolic compounds (\%)} = \frac{M_t}{M_0} \times 100 \quad (3)$$

Where M_t is the cumulative amount of phenolic compounds released at time t , and M_0 is the initial amount of phenolic compounds loaded.

2.9 Statistical analysis

All the data were determined in triplicate and the results were averaged. Design Expert

1 software version 8.0.6 (Stat-Ease, Minneapolis) was employed for the regression analysis and
2 the optimization.

3 **3. Results and Discussion**

4 **3.1 Effect of pH on encapsulation efficiency of phenolic compounds**

5 Self-assembly of glycinin nanoparticles for encapsulation of phenolic compounds from *P.*
6 *urinaria* was carried out using pH from 3.5 to 5.5, while other parameters were as follows:
7 glycinin concentration 3 mg/mL and glycinin to phenolic compounds mass ratio 4:1. The
8 effect of pH on encapsulation efficiency of phenolic compounds is shown in Fig. 1A. When
9 pH increases, the variance of encapsulation efficiency is relatively rapid and reaches a
10 maximum at pH 4.5 and then decreases. When the pH of solution is near isoelectric point (pI)
11 of glycinin, the net charges on the protein molecules are almost zero. At this time, the protein
12 molecules aggregate to form particles due to the weak mutual repulsion forces between the
13 protein molecules and the phenolic compounds are simultaneously encapsulated in the
14 particles. Moreover, the pH of solution is nearer to pI, the more particles are formed, and the
15 more phenolic compounds are encapsulated. Therefore, pH 4.5-5.0 is favorable for
16 encapsulating the phenolic compounds.

17 **3.2 Effect of glycinin concentration on encapsulation efficiency of phenolic compounds**

18 The encapsulation of phenolic compounds from *P. urinaria* was carried out at different
19 glycinin concentration of 1, 2, 3, 4 and 5 mg/mL, while other parameters were as follows: pH
20 4.5 and glycinin to phenolic compounds mass ratio 4:1. The effect of glycinin concentration
21 on encapsulation efficiency of phenolic compounds is shown in Fig. 1B. The variance of
22 encapsulation efficiency increases first and then decreases with the increase of glycinin
23 concentration, and peaks at 3 mg/mL. As the glycinin concentration increases, the number of
24 glycinin particles per unit volume in the solution increases, resulting in more phenolic
25 compounds encapsulated in the particles and consequently the higher encapsulation efficiency.
26 When the glycinin concentration exceeds 3 mg/mL, the mean separation distance between the
27 particles decreases and the collisions between particles are more frequent, resulting in larger
28 particles formed in the solution. The formation of larger particles makes the number of
29 particles per unit volume in the solution decreases, resulting in less phenolic compounds
30 encapsulated in the larger particles and consequently the lower encapsulation efficiency.

1 Therefore, the glycinin concentration of 3 mg/mL is good for encapsulating the phenolic
2 compounds.

3 **3.3 Effect of glycinin to phenolic compounds mass ratio on encapsulation efficiency of** 4 **phenolic compounds**

5 The encapsulation of phenolic compounds from *P. urinaria* was carried out at different
6 glycinin to phenolic compounds mass ratio in the range of 2:1 to 10:1, while pH and glycinin
7 concentration were fixed at 4.5 and 3 mg/mL, respectively. The effect of glycinin to phenolic
8 compounds mass ratio on encapsulation efficiency of phenolic compounds is shown in Fig.
9 1C. As the mass ratio increases, the encapsulation efficiency increases initially with a
10 maximum achieved at 6:1 and then starts slightly decreasing. This phenomenon may be
11 attributed to the critical concentration of phenolic compounds in the solution. The lower mass
12 ratio, the higher concentration of phenolic compounds in the solution for the glycinin
13 concentration fixed at 3 mg/mL; while the higher mass ratio, the lower content of phenolic
14 compounds. Below the critical concentration, at lower mass ratio, the number of particles is
15 not sufficient for encapsulating the phenolic compounds, leading to lower encapsulation
16 efficiency; with the increase in the mass ratio, the concentration of phenolic compounds
17 decreases and the encapsulation efficiency increases. But above critical concentration, the
18 mass ratio further increases, the encapsulation efficiency decreases for the drastically decrease
19 in the concentration of phenolic compounds. Therefore, the mass ratio of 6:1 is sufficient for
20 encapsulating the phenolic compounds.

21 **3.4 Optimization of parameters for encapsulation efficiency of phenolic compounds**

22 Table 2 shows the process variables and experimental data of 15 runs containing 3
23 replicates at center point. By applying multiple regression analysis on the experimental data,
24 the model for the response variable could be expressed by the following quadratic polynomial
25 equation in the form of coded values:

$$26 \quad Y = 51.26 - 1.10X_1 + 0.83X_2 + 0.69X_3 - 3.20X_1^2 - 2.16X_2^2 - 4.77X_3^2 + 0.26X_1X_2 + 0.095X_1X_3 + \\ 27 \quad 0.39X_2X_3 \quad (4)$$

28 Analysis of variance (ANOVA) for the model is shown in Table 3. The determination
29 coefficient ($R^2=0.9788$) indicates that only 2.12 % of the total variations are not explained by
30 the model. For a good statistical model, the adjusted determination coefficient (R_{adj}^2) should be

1 close to R^2 . As shown in Table 3, R_{adj}^2 (0.9407) is close to R^2 , which confirms that the model is
2 highly significant. The lack of fit test determines whether the selected model is adequate to
3 explain the experimental data, or whether another model should be reselected. The value of
4 lack of fit test (0.0719) is higher than 0.05, which is not significant relative to the pure error
5 and indicates that the fitting model is adequate to describe the experimental data. At the same
6 time, a relatively low value of coefficient of variation (CV) (1.72) indicates a better precision
7 and reliability of the experimental values. Therefore, the model is adequate for prediction in
8 the range of experimental variables.

9 The significance of each coefficient measured using p -value and F -value is listed in Table 4.
10 Smaller p -value and greater F -value mean the corresponding variables would be more
11 significant. The p -value of the model is 0.0012, which indicates that the model is significant
12 and can be used to optimize the encapsulation variables. The two independent variables (X_1 ,
13 X_2) and three quadratic terms (X_1^2 , X_2^2 and X_3^2) significantly affect the encapsulation efficiency
14 within a 96% confidence interval. But the interaction between pH (X_1), glycinin concentration
15 (X_2) and glycinin to phenolic compounds mass ratio (X_3) is not significant ($p > 0.05$).
16 Meanwhile, pH (X_1) is the most significant factor affecting the encapsulation efficiency.

17 3D response surface and 2D contour plots are the graphical representations of regression
18 equation and are very useful to judge the relationship between independent and dependent
19 variables. Different shapes of the contour plots indicate whether the mutual interactions
20 between the variables are significant or not. Circular contour plot means the interactions
21 between the corresponding variables are negligible, while elliptical contour suggests the
22 interactions between the corresponding variables are significant⁴⁶. The three-dimensional
23 representation of the response surfaces and two-dimensional contours generated by the model
24 are shown in Figs. 2-4. In these three variables, when two variables are depicted in
25 three-dimensional surface plots, the third variable is fixed at zero level.

26 As shown in Fig. 2, encapsulation efficiency increases rapidly when pH (X_1) and glycinin
27 concentration (X_2) increase in the range of 3.50 to 4.42 and 1.00 to 3.19 mg/mL, respectively;
28 but beyond 4.42 and 3.19 mg/mL, encapsulation efficiency also decreases quickly. This
29 demonstrates that the effect of pH (X_1) and glycinin concentration (X_2) on encapsulation
30 efficiency is significant and is in good agreement with the results in Table 4. The circular

1 contour plots in Fig. 2 mean that the interaction between the two variables is not significant,
2 which also agrees with the results in Table 4. From Fig. 3, both pH (X_1) and glycinin to
3 phenolic compounds mass ratio (X_3) have quadratic effect on encapsulation efficiency.
4 Encapsulation efficiency increases at first and then decreased quickly with increasing of the
5 two parameters, and maximum encapsulation efficiency is achieved when pH (X_1) and
6 glycinin to phenolic compounds mass ratio (X_3) are 4.42 and 6.16:1, respectively. It can be
7 seen that the mutual interactions between pH (X_1) and glycinin to phenolic compounds mass
8 ratio (X_3) are not significant due to the circular contour plots shown in Fig. 3, which is also
9 confirmed by the results in Table 4. It is obvious in Fig. 4 that encapsulation efficiency
10 increases with increasing of glycinin concentration (X_2) from 1.00 to 3.19 mg/mL and
11 decreases slowly after 3.19 mg/mL; while encapsulation efficiency increases rapidly with
12 increasing of glycinin to phenolic compounds mass ratio (X_3) from 2.00:1 to 6.16:1 and
13 decreases rapidly after 6.16:1. The circular contour plots in Fig. 4 suggest that the interactions
14 between the two variables are not significant, which is in agreement with the results in Table
15 4.

16 **3.5 Verification of the model**

17 The suitability of the model equation for predicting the optimum response values are tested
18 using the selected optimum conditions. The optimum conditions are pH (X_1) of 4.42, glycinin
19 concentration (X_2) of 3.19 mg/mL, and glycinin to phenolic compounds mass ratio (X_3) of
20 6.16:1, under which the predicted value is 51.45%. The model is experimentally verified at
21 pH (X_1) of 4.4, glycinin concentration (X_2) of 3.2 mg/mL, and glycinin to phenolic
22 compounds mass ratio (X_3) of 6.2:1, under which the experimental value is $51.42 \pm 0.09\%$
23 ($n=3$), agreeing closely with the predicted value and consequently indicating the RSM model
24 is satisfactory and accurate. The high encapsulation efficiency may be primarily related to the
25 formation of hydrogen bonds between phenolic hydroxyl groups of phenolic compounds and
26 amino groups and carboxyl groups of glycinin, resulting in more phenolic compounds
27 encapsulated in the nanoparticles or adsorbed on the surface of nanoparticles.

28 **3.6 Morphology analysis and size distribution**

29 The morphology of phenolic compounds-loaded nanoparticles self-assembled according to
30 the optimum conditions was investigated using SEM (Fig. 5A). The nanoparticles have an

1 approximately spherical morphology with a smooth surface, and the size of the nanoparticles
2 measured from SEM is in the range of 60-110 nm and the mean size is about 80 nm. The size
3 distribution of the phenolic compounds-loaded nanoparticles was also calculated by dynamic
4 light scattering (DLS) measurement (Fig. 5B). The mean size of the nanoparticles observed
5 from DLS is found to be about 100 nm with a polydispersity index (PDI) of 0.318. The low
6 PDI clearly indicates a narrow size distribution of the prepared nanoparticles. Compared with
7 the particle size observed from SEM and DLS, the particle size obtained from SEM is 20 %
8 lower than those measured using DLS. This difference is due to the fact that DLS measured
9 the particle size in solution, whereas SEM analyzed the particle size in freeze dried state
10 which caused the shrinkage of nanoparticles by the cast-drying process in the vacuum
11 environment⁴⁷⁻⁴⁹.

12 **3.7 In vitro drug release**

13 The kinetic release profiles of phenolic compounds at different pHs at 37 °C are shown in
14 Fig. 6. The release of phenolic compounds from the nanoparticles at pH 7.4 is faster than that
15 at pH 1.2. In the first 90 min, 72.12 % and 43.63 % of phenolic compounds is released at pH
16 of 1.2 and 7.4, respectively. The high release effect in the first 90 min is due to the release of
17 phenolic compounds that are associated with the adsorption of phenolic compounds on the
18 surface of nanoparticles owing to the hydrogen bonds and those that are easy to separate from
19 the surface of nanoparticles by constant shaking in the shaker; and it is also attributed to the
20 release of phenolic compounds that are incorporated shallower into the nanoparticles. The
21 different release effect at pH 1.2 and 7.4 may be mainly related to the release environment. As
22 pH (1.2) is below pI of glycinin, carboxyl acid groups along the glycinin backbone form
23 hydrogen bonds with polar groups, resulting in a more compact network structure in the
24 nanoparticles. This compact structure makes the water molecules diffuse into the
25 nanoparticles slower, leading to the slower dissolution of phenolic compounds. At the same
26 time, the compact structure also causes the increase of the outward diffusion resistance for
27 phenolic compounds, resulting in the slower release of phenolic compounds. But as pH (7.4)
28 is above pI of glycinin, the electrostatic repulsion between carboxyl anion groups along the
29 glycinin backbone makes the nanoparticles have an expanding structure, causing the faster
30 diffusion of water molecules into the nanoparticles and consequently the faster dissolution of

1 phenolic compounds. Also, the expanding structure can decrease the outward diffusion
2 resistance for phenolic compounds, leading to the faster release of phenolic compounds. After
3 the fast release, a subsequent sustained release is observed. This is attributed to the release of
4 phenolic compounds that are incorporated deeper into the nanoparticles, resulting in a longer
5 distance for phenolic compounds release; and it is also due to the release of phenolic
6 compounds that are combined with glycinin through hydrogen bonds, leading to the sustained
7 release.

8 In order to investigate the release mechanism of phenolic compounds from the
9 nanoparticles, the release data were analyzed by fitting the following equations⁵⁰:

$$10 \quad \frac{M_t}{M_\infty} = kt^n \quad (5)$$

11 Where M_t/M_∞ is the fractional release of phenolic compounds at the time t , k is the release
12 constant and n is the characteristic exponent related to the release mechanism of phenolic
13 compounds. For spherical systems, $n \leq 0.43$, $0.43 < n < 0.85$, $n = 0.85$, and $n > 0.85$ is for the
14 release mechanism of Fickian diffusion, anomalous (non-Fickian) transport, Case II transport
15 (zero-order diffusion) and super Case II transport, respectively. $M_t/M_\infty \leq 0.6$ should only be
16 used in this equation.

17 The values of n obtained from the slope of the plot of $\ln(M_t/M_\infty)$ versus $\ln t$ for phenolic
18 compounds release at pH 1.2 and 7.4 are 0.43 ($R^2=0.96269$) and 0.68 ($R^2=0.99781$),
19 respectively. These results indicate that the release mechanism of phenolic compounds at pH
20 1.2 and 7.4 is Fickian diffusion and anomalous transport, respectively, and the diffusion rate
21 of phenolic compounds at pH 1.2 is lower than that at pH 7.4, which is consistent with the
22 results in Fig. 6.

23 4. Conclusions

24 The glycinin nanoparticles for encapsulation of phenolic compounds from *P. urinaria* were
25 fabricated using self-assembly method and the self-assembled condition for encapsulation
26 efficiency of phenolic compounds was optimized by RSM. The results show that the pH and
27 glycinin concentration are significant and a high correlation of quadratic model obtained is
28 satisfactory and accurate to predict the encapsulation efficiency. The optimized conditions are
29 as follows: pH 4.4, glycinin concentration 3.2 mg/mL, and glycinin to phenolic compounds

1 mass ratio 6.2:1. Under these conditions, the encapsulation efficiency is 51.42% ($n=3$). The
2 nanoparticles are approximately spherical with the mean particle size in 100 nm. The release
3 of phenolic compounds from the nanoparticles at pH 7.4 is faster than that at pH 1.2, and the
4 release mechanism at pH 1.2 and 7.4 is Fickian diffusion and anomalous transport,
5 respectively, according to the Ritger-Peppas model.

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Table 1 Independent variables and their levels for Box-Behnken design

Independent variables	Levels		
	-1	0	1
pH (X_1)	4.0	4.5	5.0
Glycinin concentration (X_2) (mg/mL)	2.0	3.0	4.0
Glycinin to phenolic compounds mass ratio	4:1	6:1	8:1

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Table 2 Box-Behnken design for independent variables and their encapsulation efficiency

Run	X_1 (pH)	X_2 (Glycinin concentration, mg/mL)	X_3 (Glycinin to phenolic compounds mass ratio)	EE (%)
1	0	-1	1	43.15
2	1	-1	0	43.95
3	0	0	0	51.41
4	0	1	-1	44.71
5	1	0	1	43.36
6	0	1	1	46.65
7	-1	1	0	47.33
8	0	-1	-1	42.78
9	-1	0	1	44.81
10	0	0	0	50.94
11	-1	-1	0	47.23
12	-1	0	-1	43.41
13	1	1	0	45.07
14	0	0	0	51.42
15	1	0	-1	41.58

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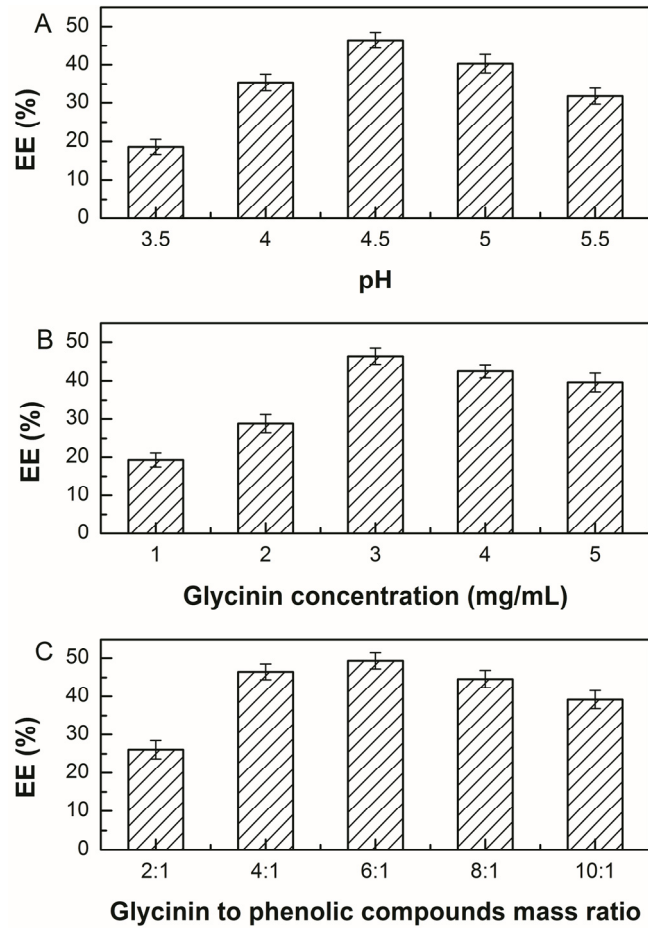
Table 3 Analysis of variance for fitted quadratic model of encapsulation efficiency of phenolic compounds

Source	Sum of squares	Degree of freedom	Mean square	<i>F</i> -value	<i>p</i> -value (Prob. > <i>F</i>)
Model	143.18	9	15.91	25.67	0.0012
Residual	3.1	5	0.62		
Lack of fit	2.95	3	0.98	13.06	0.0719
Pure error	0.15	2	0.075		
Cor. total	146.28	14			

$R^2=0.9788$; $R_{adj}^2=0.9407$; C.V.%=1.72.

Table 4 Regression coefficients estimate and their significance test for quadratic model

Source	Sum of squares	Degree of freedom	Mean square	<i>F</i> -value	<i>p</i> -value (Prob. > <i>F</i>)
X_1	9.72	1	9.72	15.69	0.0107
X_2	5.53	1	5.53	8.92	0.0306
X_3	3.77	1	3.77	6.08	0.0568
X_1^2	37.74	1	37.74	60.89	0.0006
X_2^2	17.3	1	17.3	27.91	0.0032
X_3^2	84	1	84	135.52	< 0.0001
X_1X_2	0.26	1	0.26	0.42	0.5457
X_1X_3	0.036	1	0.036	0.058	0.8189
X_2X_3	0.62	1	0.62	0.99	0.3645

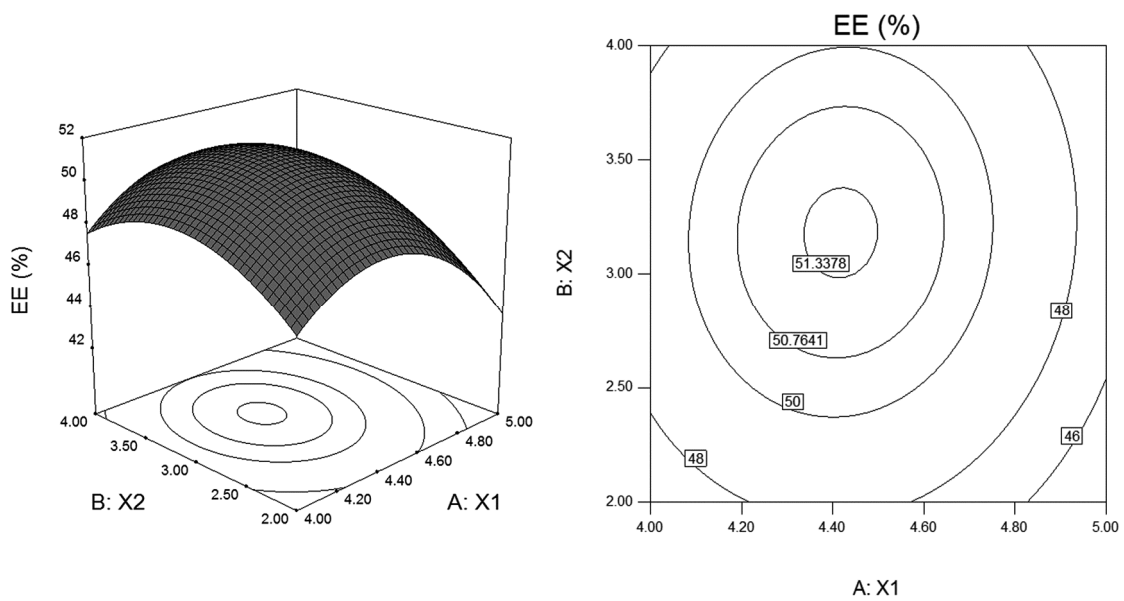


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Fig. 1 Effect of different variables on encapsulation efficiency of phenolic compounds.



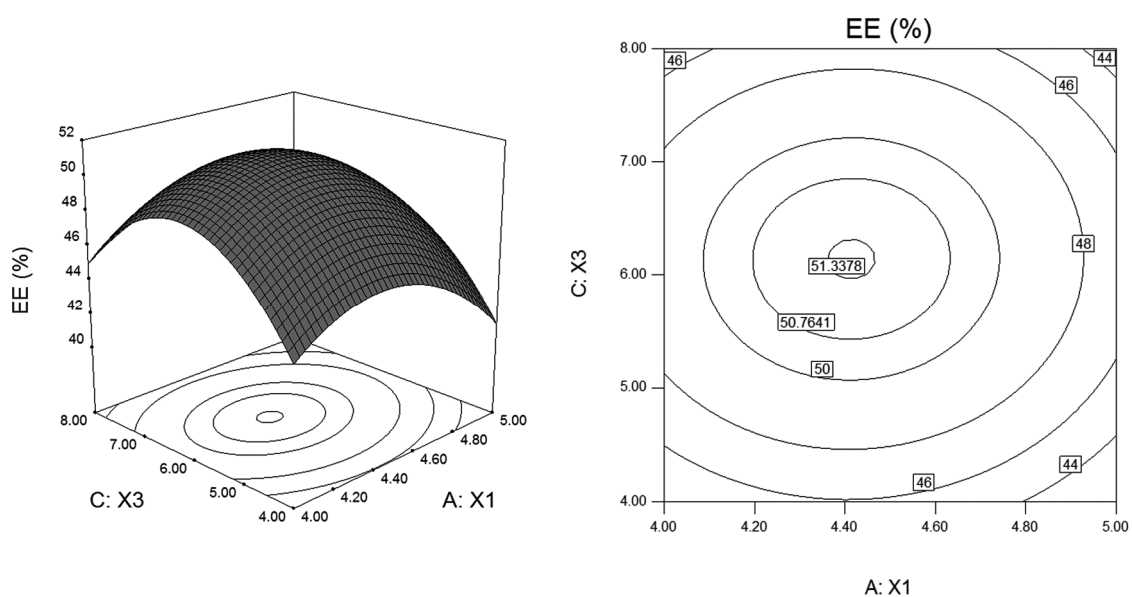
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Fig. 2 Response surface and contour plots showing effect of pH (X_1) and glycinin concentration (X_2).

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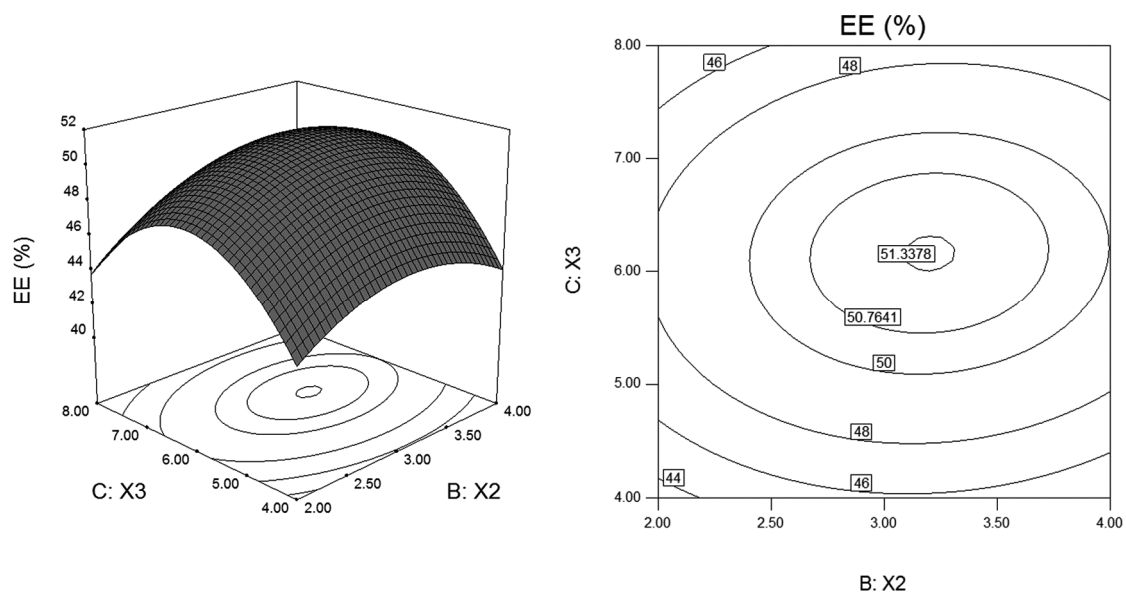


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3 Fig. 3 Response surface and contour plots showing effect of pH (X_1) and glycinin to phenolic
4 compounds mass ratio (X_3).

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8 Fig. 4 Response surface and contour plots showing effect of glycinin concentration (X_2) and
9 glycinin to phenolic compounds mass ratio (X_3).

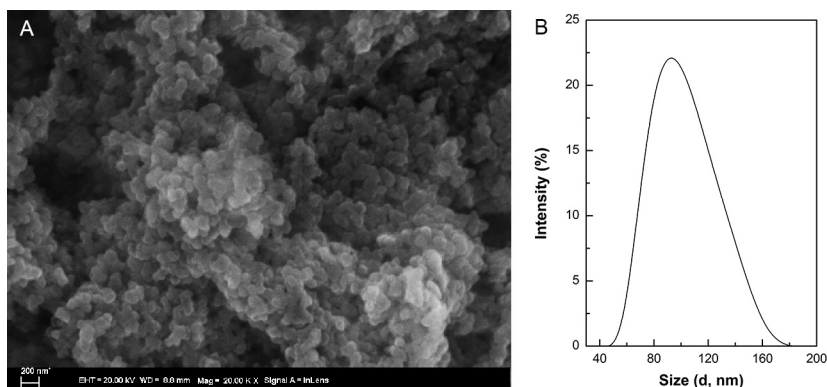
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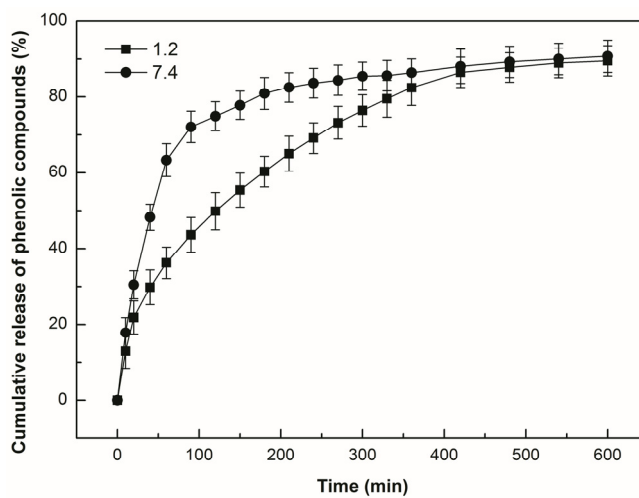
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4 Fig. 5 SEM micrograph (a) and particle size distribution (b) of phenolic compounds-loaded
5 nanoparticles.

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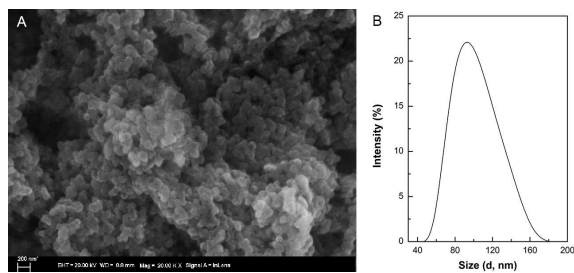
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10 Fig. 6 Release profiles of phenolic compounds from nanoparticles as a function of time.

A table of contents entry



Glycinin nanoparticles for delivery of phenolic compounds from *Phyllanthus urinaria*.