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**Effect of different coating materials on the biological  
characteristics and stability of microencapsulated  
*Lactobacillus acidophilus***

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23 **Abstract**

24 Effect of different coating materials on the biological characteristics and stability of  
25 microencapsulated *Lactobacillus acidophilus* was investigated. Results indicated that  
26 the surface and microstructure of microencapsulation was significant affected by the  
27 type of coating material. The complex carrier could provide protection for *L.*  
28 *acidophilus* cells against the simulated gastric fluid (SGF) and simulated intestinal  
29 fluid (SIF). Cell survivals remain the counts with 2.1 and 3.72 logarithmic cycle  
30 reduction found in microencapsulated *L. acidophilus* with complex wall materials and  
31 for free cells after exposure to SIF for 180 min, respectively. Furthermore, at the high  
32 temperatures evaluated, the higher cell survival rate in microencapsulation embedded  
33 with the complex materials was found than that for free cells and that with other  
34 materials. Cells counts were reduced to 8.16, 7.17, 6.42 cfu·mL<sup>-1</sup> and 5.86, 4.29, 2.32  
35 log cfu·mL<sup>-1</sup> for microencapsulation with complex materials and free cells at 50, 60 or  
36 70 °C for 20 min, respectively. Its stability was also improved compared to free cells  
37 at refrigerated temperatures. For the cells release from microcapsules, the counts were  
38 increased with prolonged the incubation time. Moreover, the survival rate of cells in  
39 microencapsulation was better than that for free cells at bile salt concentration.  
40 Results showed that for improving protection against deleterious factors, the complex  
41 materials might be the better one for the preparation of microencapsulation.

42 **Keywords:** *Lactobacillus acidophilus*, Porous starch, Coating materials,  
43 Microbiological characteristics, stability

44

## 45 **1 Introduction**

46 *Lactobacillus acidophilus* as one of the important probiotic microorganisms could  
47 confer beneficial effects on the human gastrointestinal tract, which have become  
48 increasingly popular all over the world in recent years.<sup>1-2</sup> However, the live cell count  
49 of probiotics including *L. acidophilus* is commanded at least  $10^6$ - $10^7$ CFU/g of product  
50 at the end of storage and at the time of consumption.<sup>2-6</sup> More importantly, the high  
51 survival numbers of bacteria was not observed easily during the processing and  
52 application of dairy products.<sup>4-6</sup> On the other hand, the high survival rate of probiotics  
53 is critical during processing and for its application in products.<sup>6</sup> This is because that it  
54 needs to remain higher viable activity during the time of pass through the stomach and  
55 intestine since the viability and activity of probiotics are needed at the site of action.<sup>2,</sup>  
56 <sup>7-9</sup> But, many factors have been reported to influence the viability of *L. acidophilus*  
57 cell, such as the type and concentration of coating materials, hydrogen peroxide  
58 production, oxygen toxicity, stability in dried or frozen form, storage temperature,  
59 microenvironment pH and bile concentration, and the high temperature of dairy  
60 processing.<sup>10-12</sup> Therefore, in order to increase the survival of probiotics cell, further  
61 research is need to be investigated. In recent years, microencapsulation is recognized  
62 as the useful technology to maintain the higher viability and stability of cells during  
63 its storage and application in foods.<sup>7-9</sup>

64 Microencapsulation could provide a better barrier and protection for free cells  
65 against harsh environmental conditions such as heat treatment, pH and freezing.<sup>2,7,9</sup> It  
66 was used as one important technology and has been investigated for improving their

67 viability by many other researchers<sup>13-16</sup>. As reported Picot and Lacroix, compared to  
68 the free cells, microencapsulated *B. breve* with whey protein as the coating material  
69 significantly improved the viable count of bacteria cell.<sup>4</sup> On the other hand, the type  
70 of coating materials is critical for the preparation of microencapsulation, which could  
71 affect its microbiological characteristics.<sup>14, 17-20</sup> Carbohydrates such as hydrolyzed  
72 starches, porous starches and starches alginate are the most common carrier materials  
73 for the probiotic cells.<sup>18, 20-24</sup> Porous starch could form strong complexes with  
74 alginates, which is stable in the presence of  $\text{Ca}^{2+}$  chelators and reduce the porosity of  
75 the gel.<sup>22</sup> Microencapsulation of cardamom oleoresin was prepared by spray drying  
76 using modified starch as wall material.<sup>21</sup> As reported by Xing *et al.*, porous starch  
77 with the optimum concentration mixed with sodium alginate as a carrier can be  
78 considered as an innovative technology to improve the stability of *L. acidophilus*.<sup>2</sup> On  
79 the other hand, the protective agent including mannitol, glycerol and sodium alginate  
80 in the preparation solution might provide the better function for the survival of cell in  
81 the microencapsulation.<sup>2, 18</sup> Furthermore, the investigation conducted by Mokarram *et*  
82 *al.* was evaluated the influence of alginate coating on survivability of probiotic  
83 bacteria in simulated gastric and intestinal juice.<sup>23</sup> More importantly, the biological  
84 characteristics and stability of cells in microencapsulation under different conditions  
85 are quite important for its application, which was significant influenced by the type of  
86 wall materials. However, none papers have been found to report that the effect of  
87 different coating materials on the biological characteristics and stability of *L.*  
88 *acidophilus* in the microencapsulation.

89 Therefore, the objective of this study was to understand the effect of different  
90 coating materials on the biological characteristics and stability of microencapsulated *L.*  
91 *acidophilus*. Morphological observation and thermo gravimetry/derivative thermo  
92 gravimetry was conducted. The influence of different coated materials on the survival  
93 rate of cells was also evaluated during exposure to artificial gastrointestinal fluid and  
94 storage at different temperature. The release of cells in simulated colonic pH juice  
95 was analysis. Moreover, the cell survival exposure to the bile salt solution was  
96 evaluated in order to understand its application property.

## 97 **2. Materials and methods**

### 98 **2.1. Materials**

99 *L. acidophilus* (CICC 6075) used as the active core material was purchased from  
100 China Center of Industrial Culture Collection (Beijing, China). Porous starch was  
101 purchased from Liaoning Lida Bio-Technology Co. Ltd. (Jinzhou, China). Sodium  
102 alginate (240±20 mPa·s) was purchased from Chengdu Ruifeng Lier Technology Co.  
103 Ltd. (Chengdu, China). All the other chemicals used were of analytical grade.

### 104 **2.2 Preparation of microencapsulation**

105 *L. acidophilus* in the freeze-dried ampoule was activated according to the method  
106 reported by Liserre *et al.* and Xing *et al.* with some modifications.<sup>2,25</sup> *L. acidophilus*  
107 was activated in chalk litmus milk at 37°C for 24 h, and the cultures were maintained  
108 in a refrigerator (7±1°C). The culture was reactivated in MRS broth by transferring  
109 three times and the cells were harvested by centrifugation (3000g) at 4°C for 10 min  
110 and then washed twice with 0.85% (w/v) NaCl solution. The pellet was resuspended

111 in the saline solution to obtain a suspension with cell concentration of  $10^9$ - $10^{10}$   
112 CFU·mL<sup>-1</sup>.

113 *L. acidophilus* as core material was encapsulated in different coating material as  
114 described by Sheu *et al.*, Mandal *et al.*, Liserre *et al.* and Xing *et al.* with some  
115 modifications.<sup>2, 6, 25</sup> 50mL of *L. acidophilus* cell suspension with  $10^9$ - $10^{10}$  CFU·mL<sup>-1</sup>  
116 was transferred into a sterilized beaker, which was added mannitol (10%), glycerol  
117 (10%), porous starch (10%) and complex wall material (porous starch (10%)+  
118 mannitol (3%) + glycerol (2%)) as the wall materials, respectively. Then, this solution  
119 was shocked by ultrasonic wave for 20 min in order to the free cell coated by wall  
120 material. The pH values of this solution were adjusted to 6.0 using NaOH and HCl  
121 solutions. After absorption, sodium alginate (1.5%) and Tween 80 (0.2%) was added  
122 dropwisely into the solutions with stirring. The obtained uniformly emulsion was  
123 obtained by adding 0.1M calcium chloride (100mL) dropwisely for hardening of  
124 microcapsules. The microcapsules were harvested by centrifuging at 4°C with  
125 500g×10 min and washed twice with distilled water in order to float the residual  
126 liquid of calcium chloride, mannitol and/or glycerol on the surface of  
127 microencapsulation. Furthermore, the residual bacteria on the surface were also  
128 washed twice with the sterilized saline solution (0.85%). The beads were separated by  
129 filtration using filter paper and precooled in a refrigerator (-40°C) for 4 h. Then the  
130 obtained material was freeze-dried at -58°C for 72 h using the freeze dried machines  
131 (lyolab3000, Heto-Holten Co., Denmark) under 5mmHg. The frozen state was  
132 maintained throughout the freeze-drying procedure. The obtained microencapsulation

133 transferred to a sterile Petrdish and stored in a refrigerator (7 °C).

### 134 **2.3 Enumeration of *L. acidophilus* cells**

135 The viable numbers of *L. acidophilus* were counted on MRS agar according to  
136 Grosso and Fávaro-Trindade, Pedroso *et al.* and Xing *et al.* with some modifications.  
137 <sup>2, 26, 27</sup> MRS agars was supplemented with lithium chloride (0.1%), L-cysteine (0.05%)  
138 and aniline blue (0.01%) for enumeration of *L. acidophilus* cell. Serial dilutions were  
139 prepared with a 2% sodium citrate solution. The plates were incubated with the  
140 anaerobic system at 37 °C for 72 h.

### 141 **2.4 Morphological observation and thermogravimetric analysis**

142 The morphology of microcapsules with different coating material was carried with  
143 SEM (scanning electron microscope). The microcapsules on a piece of adhesive paper  
144 were coated with gold with a vacuum sputtering coater before observing with SEM  
145 (JSM 6390 LV, Jeol, Tokyo, Japan) at an accelerating voltage of 15 kV.<sup>2, 7, 28</sup>

146 Thermo gravimetry/derivative thermo gravimetry (TGA/DrTGA) of  
147 microencapsulation with different coating materials were analysis using a  
148 TG/DTA-6300 thermobalance (Shimadzu, model DTA-6300, Kyoto, Japan).<sup>29</sup> The  
149 sample was placed in alumina pans and heated from 30 °C to 350 °C at a rate of 10 °C  
150 min<sup>-1</sup> under a dynamic synthetic N<sub>2</sub> atmosphere (100 mL·min<sup>-1</sup>). The equipment was  
151 preliminarily calibrated with a standard reference of calcium oxalate.

### 152 **2.5 Resistance to simulated gastric fluid and intestinal fluid**

153 Resistance to the simulated gastric fluid (SGF) and simulated intestinal fluid (SIF)  
154 was determined as described by Gbass *et al.* and Xing *et al.*.<sup>2, 30</sup> SGF consisted of 9



155  $\text{g}\cdot\text{L}^{-1}$  of sodium chloride and  $3 \text{ g}\cdot\text{L}^{-1}$  of pepsin, and the pH was adjusted to 1.5 with  
156 hydrochloric acid. SIF consisted of  $9 \text{ g}\cdot\text{L}^{-1}$  of sodium chloride,  $10 \text{ g}\cdot\text{L}^{-1}$  each of  
157 pancreatin and trypsin and  $3 \text{ g}\cdot\text{L}^{-1}$  of bile salts, and the pH was adjusted to 6.5 with  
158 sodium hydroxide. Survey assays were conducted at  $37 \text{ }^\circ\text{C}$  for 60, 120 and 180 min  
159 after incubation of free and encapsulated cells in SGF and SIF, respectively.

## 160 **2.6 Stability of microcapsules under different temperature**

161 Stability of microencapsulated *L. acidophilus* under heat treatment (50, 60 or  
162  $70^\circ\text{C}$  for 20min) and low temperature ( $4^\circ\text{C}$  for 14 weeks) was investigated according  
163 the method reported by Mandal *et al.* and Xing *et al.*<sup>2, 6</sup> 1mL of the free cell  
164 suspension or one gram of microcapsules ( $10^9$ - $10^{10}$  cfu $\cdot\text{mL}^{-1}$ ) was transferred in test  
165 tubes with 10mL of distilled water. The content was cooled to room temperature  
166 ( $30 \text{ }^\circ\text{C}$ ) after the different temperature treatment and the total number of viable cells  
167 was enumerated as described as Section 2.3.

## 168 **2.7 Release of microencapsulated cells**

169 In vitro release of encapsulated *L. acidophilus* at simulated colonic pH juice was  
170 investigated according to the method described by Rao *et al.*, Mokarram *et al.* and  
171 Mandal *et al.*<sup>6, 23, 31</sup> 1 g of microcapsules was transferred into 10 mL simulated  
172 colonic pH juice (0.1 M  $\text{KH}_2\text{PO}_4$ , pH  $7.4\pm 0.2$ ), followed by homogenization with a  
173 magnetic stirrer for 10 min. Then, the mixed solution was incubated at  $37 \text{ }^\circ\text{C}$  for 3 h.  
174 The count of viable probiotic cells was carried out as described in Section 2.3 during  
175 the incubation period.

## 176 **2.8 Survival of microencapsulated cells in bile salt solution**

177 Tolerance to simulated bile salt concentration simulated small intestine of human  
178 was carried out as the reported by Lee and Heo, Mandal *et al.* and Xing *et al.*.<sup>2, 6, 32</sup>  
179 Similar to low pH tolerance, 1 g of microcapsules or 1mL of the free cellsuspension  
180 ( $10^9$ - $10^{10}$ cfu·mL<sup>-1</sup>) were transferred in test tubes containing 10mL of 1% or 2% bile  
181 salt and incubated at 37°C. The viable cells of each bacterium were then enumerated  
182 using methods described in Section 2.3.<sup>33</sup>

## 183 **2.9 Statistical analysis**

184 The tests in this investigation were carried out in triplicate and the obtained test  
185 dates were analyzed using SPSS 13.0 software (SPSS Inc.). The results were  
186 expressed as mean  $\pm$  S.D.. The one way analysis of variance procedure followed by  
187 Student-Newman-Keuls test was used to determine the significant difference ( $p<0.05$ )  
188 between treatment means.

## 189 **3. Results and discussion**

### 190 **3.1 Morphological characterization**

191 Morphological observation of microencapsulated *L. acidophilus* prepared with  
192 different coating materials was carried by SEM. The photomicrographs of  
193 microencapsulation with mannitol, glycerol, porous starch and complex wall material  
194 were shown in Fig.1A, 1B, 1C and1D, respectively. As can be seen in Fig.1A and1B,  
195 the SEM photos revealed that many *L. acidophilus* cells was found on the surface of  
196 wall materials. These photos indicated that the wall materials of mannitol and glycerol  
197 could provide the carrier action as the protective agent for free cells. However, the  
198 cell of *L. acidophilus* could not be embedded completely with mannitol and glycerol

199 during the processing period. Furthermore, the absence of mannitol and glycerol  
200 could provide the better protection as the competent of complex coating material for *L.*  
201 *acidophilus* cells. As shown in Fig.1C and1D, these photos revealed that, although the  
202 microparticles prepared with porous starch and complex wall materials containing  
203 porous starch were nearly spherical in shape, the walls of the particles were irregular.  
204 However, SEM photos in Fig.1C also revealed the absence of agglomeration was also  
205 observed among the microparticles of porous starch. On the other hand, for the  
206 microencapsulation prepared with the complex materials, these photos also revealed  
207 the absence of various sizes of micro-porous in the surface of modified starches  
208 confirming the formation of microcapsules and the protection provided for *L.*  
209 *acidophilus* cells. Moreover, the modified starches in the Fig.1D dispersed better than  
210 that in the Fig.1C, but some broken starch particles were also observed.

211 There results revealed that the application of these wall materials could sufficiently  
212 guarantee the integrity of free cell and provide the enough cell number for the  
213 application of microencapsulated *L. acidophilus*. For the preparation of  
214 microencapsulation, the choice of wall material is very important for its stability and  
215 application.<sup>7, 20, 34</sup> Similar results were observed by Krishnan *et al.* and  
216 Rodríguez-Huezo *et al.* <sup>21, 35</sup> Moreover, the investigations about microencapsulated  
217 flavors also demonstrated the similar pattern of microcapsules prepared with modified  
218 starch as wall materials.<sup>20, 21</sup> The investigation of Krishnan *et al.* showed that  
219 microencapsulated cardamom oleoresin were broken and not complete, which used  
220 the modified starches as the coating material.<sup>21</sup> These results might be due to one

221 reason of the microstructure of biopolymer as coating materials could be influenced  
222 by the composition and competent of wall materials. This might be due to the  
223 micro-porous in the modified starch and the protective agent of mannitol, glycerol and  
224 sodium alginate as the carrier, as shown in Fig.1. The micro-porous in the starches  
225 could acts as the important protection carrier, and mannitol, glycerol and sodium  
226 alginate as the protection membrane adhered to the surface of porous starches, as  
227 shown in Fig. 1D. This combined action might be permitted the continued die loss and  
228 improving the stability of cells.<sup>2, 18, 20</sup> These results might be due to the result of  
229 widespread surface growth and cells released from the gel bead, which could lead to  
230 decreasing cell population in the beads and hence a higher cell release from a  
231 microcapsule resulted in lower cells number inside the beads.<sup>36</sup> In the present  
232 investigation, there were different cell loaded among different kinds of beads because  
233 they had a different type of coating material. In addition to differences in chemical  
234 characteristics, the capsule materials also possessed different physical properties.<sup>28</sup>  
235 Coating materials with more protection could result in a lower permeability for  
236 external and internal mass transfer and lead to a smaller amount of cell loaded. The  
237 complex materials as the coating showed less permeability for external and internal  
238 mass transfer compare to the other biopolymers. On the other hand, the interaction  
239 between the different coating materials and *L. acidophilus* could induce the change in  
240 structure and accordingly physical properties of biopolymers. Porous starch is one  
241 kind of modified starch and has been used as the carrier material successfully in the  
242 microencapsulation preparation.<sup>4, 37</sup> It is a denatured starch with suitable porous size

243 on the surface and excellent biocompatibility. This honeycomb structure of porous  
244 starch could improve the adhesive property and absorbability of the core materials.<sup>4,</sup>  
245 <sup>37, 38</sup> In the prepared processing of microencapsulation, mannitol could be used as  
246 anti-sticking agent, filler and quality improver and improve the stability and storage  
247 property of particle products.<sup>39</sup> Glycerol has gained considerable attention because  
248 can be used as softeners, desiccant, lubricant and plasticizer for the prepared  
249 microencapsulation.<sup>40</sup> Moreover, glucose with the lower diffusion property was also  
250 reported in concentrated alginate gels due to decreased number and length of pores  
251 rather than decrease in pore diameter.<sup>12, 41</sup> Furthermore, alginate could also form a gel  
252 when in contact with calcium and multivalent cations. This crosslinked alginate  
253 matrix system could cause a faster degradation and release of active ingredients.<sup>2, 6, 32</sup>  
254 More importantly, the viscosity of prepared solutions during emulsification could also  
255 influence the interaction between different coating materials and the preparation of  
256 microencapsulation. During stirring in the preparation, the viscosity of these coating  
257 solutions was evaluated by the Digital Rotational Viscometer (NDJ-5S, Shanghai  
258 Jingxi Instrument Co., Ltd. Shanghai, China), which were about 3320 mPa·s, 2040  
259 mPa·s, 12600 mPa·s and 12080 mPa·s for cmannitol, glycerol, porous starch and  
260 complex wall material as the coating materials, respectively. This interaction could  
261 provide the better function for the protection of the complex carrier for cell loaded.  
262 This starch complex capsule coating possesses an interphasic membrane and offers  
263 the possibility to encapsulate *L. acidophilus* without loss of viability.<sup>2, 5, 6</sup> SEM photos  
264 also indicated the suitability of porous starches complied with other materials as the

265 wall material for encapsulation of *L. acidophilus*. Furthermore, the stability and other  
266 characteristics of microencapsulation coated with different type of materials need to  
267 be investigated for it's extend application.

### 268 **3.2 TG analysis of microencapsulated *L. acidophilus***

269 The thermogravimetric analysis was conducted in order to determine the thermal  
270 stability of complex materials as the carrier in the preparation of  
271 microencapsulation.<sup>29</sup> The weight loss process for many kinds of materials shows the  
272 relatively wide temperature range and indicates different thermogravimetric properties.  
273 <sup>29, 42</sup> The thermal behavior of microencapsulated *L. acidophilus* embedded with  
274 different materials can be observed in the TG curves shown in Fig.2. As shown in  
275 Fig.2a, the thermal behavior of microencapsulation with mannitol as the coating  
276 material showed that two representative stages were found in the thermogravimetric  
277 curves. The first mass loss of the TG curves refers to moisture loss (between 41 °C  
278 and 85.8 °C). The breakdown of the fructose chains in microcapsules and may have  
279 occurred between 249 °C and 305.6 °C. For thermal behavior of microencapsulation  
280 with glycerol, the result shown in Fig.2b indicated that three representative stages  
281 were found. The first mass loss of TG curves refers to moisture loss, which occurred  
282 at the temperature between 53.3 °C and 92.7 °C. The other two stages also indicated  
283 that the breakdown of the fructose chains in microcapsules might be occurred between  
284 170.9 °C and 197.7 °C and between 271.3 °C and 307.6 °C. More important, the  
285 thermal behavior of microencapsulation with porous starch and complex wall  
286 materials were shown in Fig.2 c and 2d, respectively. Two representative stages were

287 found in the TG analysis curves. The first mass loss from TG curves referred to  
288 moisture loss, which were between 50.2°C and 115.3 °C for the microencapsulation  
289 prepared with porous starch. For microencapsulation coated with complex wall  
290 materials, the first stage of mass loss was observed not very clearly in the cruces of  
291 Fig.2d. It might be referred two stages about moisture loss, which was between  
292 50.0°C and 111.3 °C, between 173.0°C and 193.9 °C, respectively. Furthermore, the  
293 breakdown of the fructose chains in microcapsules and may have occurred between  
294 223.7 °C and 259.4 °C, between 233.8 °C and 271.8 °C for the microencapsulation  
295 coated with porous starch and complex wall materials, respectively. Above this  
296 temperature range, the second stage of mass loss corresponds to the decomposition  
297 process.

298 The thermogravimetric analysis in this investigation is one of the criteria needed  
299 in the designing and manufacturing of microencapsulation.<sup>29</sup> In the result of  
300 thermogravimetric analysis, TG curves (red color curve) express the relation between  
301 the reduction speeds of weight for the tested samples with the specified heating rate of  
302 tested temperature.<sup>29, 43</sup> It can know from the curve, the weight of the sample  
303 decreased most at the certain temperature, which indicates that this temperature might  
304 be the decomposition temperature of samples. DTG curves (blue color curve) indicate  
305 the function relationship between the quality change rate with time and temperature.<sup>29,</sup>  
306 <sup>44</sup> It might indicated that a reaction is occurring between the different groups of  
307 coating materials with the formation of a new structure within the process of  
308 composites received.<sup>29, 43, 44</sup> Result above indicated that the thermal stability of

309 microencapsulation with different coating material is described as the limit  
310 temperature, which noted that the materials could be used with no damage to its  
311 usable properties as the carrier. According to Macêdo *et al.* (1997), in this step there  
312 can be occurrence of decomposition reactions in the constituents of the microcapsules,  
313 i.e., proteins and carbohydrates.<sup>42</sup> Moreover, Bohm *et al.* (2005) reported that thermal  
314 degradation of inulin has been described as being a consequence of the breakdown of  
315 the fructose chains and, as was noted in this study, the breakdown of the fructose  
316 chains in microcapsules with different coating material might be occurred between  
317 227°C and 308 °C.<sup>43</sup> The analysis results also showed that more than one temperature  
318 were found at which the decomposition process occurred at the maximum rate for  
319 microencapsulation obtained from different carrier.<sup>29</sup> Moreover, with increasing the  
320 heating rate, the shift of decomposition process towards higher temperatures was also  
321 observed.<sup>29, 43, 44</sup> Two or three distinct areas of mass loss and two or three maxima on  
322 the DTG curves were observed from the course of change to TG and DTG curves of  
323 microencapsulation. This result indicated that it is a complex and heterogeneous,  
324 two-phase or three-stage process.<sup>29</sup> During this period, the first stage for thermal  
325 decomposition is connected to the degradation of hard segments and the second or  
326 third stages might be connected with the decomposition of soft segments.<sup>29, 44</sup> It was  
327 noted that the course of thermal decomposition of microencapsulation decomposition  
328 process depends on the structure and a type of the complex materials.<sup>29, 44</sup> However,  
329 further research is needed to be investigated in order to understand the deep  
330 mechanism on the different thermal behavior of microencapsulation coated with



331 different materials.

### 332 **3.3 Resistance to the simulated gastric and intestinal fluids**

333 In order to determinate the surviving rate of microencapsulated *L. acidophilus*  
334 passage through the stomach, the stability in SGF and SIF were need to be  
335 investigated. Effects of different coating material on the resistance to SGF and SIF  
336 were shown in Fig.3a and 3b, respectively. The initial population found in  
337 encapsulated *L. acidophilus* was about  $10^9$ - $10^{10}$  cfu·mL<sup>-1</sup>. As shown in Fig.3a, after  
338 exposure to SGF for 180 min, survival counts of cell in the microencapsulation with  
339 mannitol, glycerol, porous starch and complex wall material as the carrier was  
340 remained 98.76%, 99.12%, 99.26% and 99.72% of the initial population found in  
341 encapsulated *L. acidophilus*, respectively. Moreover, for the free cell after exposure to  
342 SGF for 180 min, the survival number of *L. acidophilus* remains the count with 0.25  
343 logarithmic cycle reduction. The higher survival rate of cells in microparticles  
344 indicated that the SGF and the exposure time were not significantly affected the  
345 survival of lactobacillus cells. On the other hand, after exposure to SIF for 60min and  
346 180 min, cell survivals remain the counts with 2.24, 2.38, 2.19, 1.84 logarithmic cycle  
347 reduction and 3.03, 3.09, 2.66, 2.1 logarithmic cycle reduction from the initial  
348 population found in microencapsulated *L. acidophilus* with mannitol, glycerol, porous  
349 starch and complex wall material, respectively. Cell survivals remain the counts with  
350 2.55 and 3.72 logarithmic cycle reduction for free cells as the control after exposure to  
351 SIF for 60min and 180 min, respectively. The counts of free cell were decrease  
352 significantly exposure to pH 1.5 for 180min. After 1 h of incubation, the survival of

353 microencapsulated cells was significantly lower in the microencapsulation with  
354 mannitol and glycerol as the carriers compared to porous starch and complex wall  
355 material beads, but it was still higher than free cells. Moreover, the highest visibility  
356 of cell was observed in the microparticles with complex wall material after 180 min  
357 exposure in the SIF.

358 For the application of microencapsulated bacteria cell, one of the major problems  
359 is the low survival rate of *L. acidophilus* in gastric pH in the intestine system.<sup>45</sup>  
360 Results showed that the microencapsulation used the complex material containing  
361 porous starch as the carrier provided better protection for *L. acidophilus* against SGF  
362 and SIF. The resistance of microencapsulated *L. acidophilus* cells was differing with  
363 the different coating materials. The highest and lowest resistance to simulated gastric  
364 fluids was found in the microencapsulation prepared with mannitol, glycerol, and  
365 complex materials, respectively. However, no significant reduction in viable count  
366 was observed in microcapsules with different coating materials as well free cells in  
367 distilled water (pH 6.5) on incubation for up to 180 min. There was also no significant  
368 difference in the viability of free cells in distilled water. In the present study, result  
369 about the increase of resistance to simulated gastric and intestinal fluids was observed  
370 compared to the survival of free cells.<sup>23, 45-47</sup> A large variation about the ability of *L.*  
371 *acidophilus* to resist acid has been reported by other researchers.<sup>20, 33, 48</sup> According to  
372 the investigation conducted by Corcoran *et al.*, they reported that the presence of  
373 glucose could enhance the visibility of probiotic *lactobacilli* during the gastric  
374 transit.<sup>49</sup> As reported by Krasaekoopt *et al.*, microencapsulated cells of *L. acidophilus*

375 in alginate beads survived better after sequential incubation in simulated gastric and  
376 intestinal juices.<sup>17</sup> It was in agreement with Kim *et al.*, who reported that  
377 microencapsulated *L. acidophilus* still maintained above  $10^6$  cfu·mL<sup>-1</sup> at pH 1.5 after  
378 2 h, but free cells *L. acidophilus* were completely destroyed after 1 h.<sup>47</sup> Chandramouli  
379 *et al.* reported that a higher survival of *L. acidophilus* immobilized in alginate bead  
380 was found in low pH environments.<sup>50</sup> The results reported by Chandramouli *et al.* and  
381 Iyer *et al.* also indicated that microencapsulation could maintain the high viability in  
382 gastro-intestinal conditions.<sup>50, 17, 18, 32, 48-49, 51-53</sup> According to the results reported by  
383 Wang *et al.*, the stability of allicin microcapsules against pH was improved when  
384 porous starch was used as the assisted wall material.<sup>37</sup> In their investigation, porous  
385 starch with  $\beta$ -cyclodextrin could act as the cell carrier for many negative factors  
386 including high temperature, acid and light; thus, cell stability might be improved.<sup>2, 54</sup>  
387 These results indicated that the protection of *L. acidophilus* cells against SGF and SIF  
388 might be explained by the combined function between the porous starch at the  
389 appropriate concentration and mannitol, glycerol, sodium alginate at the protective  
390 agent. The added coating afforded better protection to probiotic organisms compared  
391 to uncoated microcapsules in the same time points.<sup>53</sup> This combined action could  
392 provide protection of *L. acidophilus* cellular integrity and improve its stability.  
393 Therefore, the probiotic cells loaded in the microencapsulation of starches could pass  
394 through the gastric transit and be released in the vicinity of the site of action.

### 395 **3.4 Stability of microencapsulation under different temperature**

396 The loss of activity could occur during the microcapsules under the heat treatment

397 and cold storage conditions. Therefore, the effects of different coating materials on  
398 the stability of *L. acidophilus* microcapsules throughout storage at different  
399 temperatures were shown in Fig. 4 and 5. As shown in Fig.4a, free cells in distilled  
400 water were drastically reduced to 5.86, 4.29 and 2.32 log cfu·mL<sup>-1</sup> on heat treatments  
401 at 50, 60 or 70 °C for 20min, respectively. Microencapsulated *L. acidophilus* with  
402 different coating materials showed higher survival for a period of up to 20 min of  
403 storage at these high temperatures than that for free cells. Cells counts in the  
404 microencapsulation were drastically reduced from 9.10 log cfu·mL<sup>-1</sup> (in distilled  
405 water) to 7.35, 6.37, and 5.53 log cfu·mL<sup>-1</sup> for mannitol, 7.73, 6.69 and 5.81 cfu·mL<sup>-1</sup>  
406 for glycerol, 7.98, 6.94 and 5.85 log cfu·mL<sup>-1</sup> for porous starch, 8.16, 7.17 and 6.42  
407 cfu·mL<sup>-1</sup> for complex materials on heat treatments at 50, 60 or 70 °C for 20min,  
408 respectively. Microencapsulation with complex wall materials improved the stability  
409 of *L. acidophilus* and presented a logarithmic cycle reduction of 2.69 (70°C), 1.99  
410 (60°C) and 0.98 log cfu·g<sup>-1</sup> (50°C) during the storage period, respectively. Further,  
411 the survival of *lactobacilli* was found to decrease with increasing the treated time. On  
412 the other hand, Figure 5 shows the stability of free and encapsulated probiotic bacteria  
413 during 12 weeks of storage in the refrigerator at 4 °C. The viability of  
414 microencapsulated cells showed different stability between microcapsules with  
415 different coating materials in the same storage conditions. After 12 weeks, the  
416 survival of *L. acidophilus* in microcapsule mannitol, glycerol, porous starch and  
417 complex materials decreased to 7.30, 7.46, 7.76 and 7.86 log cfu·g<sup>-1</sup>, respectively.  
418 However, the numbers of free cells decreased from 9.08 to 4.12 log cfu·g<sup>-1</sup>

419 respectively after 12 week, and low survival counts was noted at the end of storage.  
420 The decrease rate was significantly different between the microencapsulated with  
421 different material, which was also significantly influenced by the storage time.

422 Results showed that the immobilized cells in the micro-porous of modified  
423 starches as complex materials showed the lowest loss of viability of cells and good  
424 stability at the end of the time under the different temperature conditions. Improving  
425 the stability of *L. acidophilus* cells with complex wall materials during storage could  
426 reduce the loss of cells to the medium during its application. As reported by  
427 Krasaekoopt *et al.*, it confirmed that starch mixed with cationic polymers could  
428 improve the stability of the microcapsules.<sup>18</sup> They also reported that the stability of  
429 cell could increase with decreasing the treated temperature and low temperatures  
430 could prevent active ingredient exposure and promote a longer shelf life of  
431 microcapsules. It is well known that heat treatment could influence the survival of  
432 lactic acid bacteria.<sup>47, 54</sup> This observation on the survival of *L. acidophilus* at high  
433 temperatures was agrees with the findings of Jeffery *et al.* and Kim *et al.*<sup>47, 54</sup>  
434 Moreover, *L. acidophilus* might die quickly during storage at the refrigerated  
435 temperature. Therefore, one of the main aims of the present study was to check the  
436 viability of microencapsulated *L. acidophilus* over a period of time under refrigeration.  
437 Several investigations also showed that the survival of microencapsulated bacteria  
438 was higher than that of free cells during the storage time.<sup>18, 48</sup> Koo *et al.* reported that  
439 *L. bulgaricus* loaded in chitosan coated alginate showed higher stability than free cell  
440 culture.<sup>55</sup> Furthermore, the results of Medina and Jordano demonstrated that the viable

441 cells of *L. acidophilus* decreased rapidly during the storage time under refrigeration at  
442 7°C, especially between days 10 and 17.<sup>56</sup> For the microencapsulation products stored  
443 under refrigeration, one of the main focuses should be on the minimum viable level,  
444 which required for the bacterium to be beneficial to health. Results of this study  
445 indicated a great variability after the storage at 4°C of 12 weeks in the survival ability  
446 of microencapsulated *L. acidophilus* with the complex wall materials. Inactivation of  
447 cells in the microparticles can be related to many factors, such as fatty acid oxidation,  
448 DNA damage and the formation of free radicals under the high temperature.<sup>57</sup> Release  
449 of cells during the heat conditions might be due to the collapse of beads. More  
450 importantly, survival mechanisms exhibited by bacteria are generally referred to as  
451 the adaptive stress response.<sup>58</sup> The higher viability of microencapsulated cells  
452 towards heat treatments could be explained by this theory. However, probiotic cells  
453 were injured and killed with increasing the osmotic pressure.<sup>58</sup> On the other hand, the  
454 variability is also highly dependent on the kinds of capsule materials. The complex  
455 wall materials including porous starch is a substance that is accumulated within the  
456 cells to reduce the osmotic difference with the external environment or a substance  
457 that surrounds cells to improve heat and cold tolerance.<sup>59</sup> The wall materials of  
458 mannitol and glycerol might not be able to protect cells from injury completely, which  
459 could induce the reduction in probiotic viability. It is interesting to note that the  
460 mixtures of porous starch, mannitol and glycerol as the capsule materials showed the  
461 best viability and had better viability than the sole wall material. Therefore, our  
462 results suggested that the complex materials containing porous starches used as wall

463 material had a positive effect on the protection of *L. acidophilus* during the heated and  
464 refrigerated period. For this reason, changes in the population of viable bacteria  
465 during the expected shelf-life of product should be known to some extent and taken as  
466 a basis for selection of coating material.

### 467 **3.5 Release of microencapsulated cells in simulated colonic pH juice**

468 An *in vitro* system was utilized in order to determine the effect of the acidic pH of  
469 the stomach on the survival of encapsulated probiotics. Release of cells from  
470 microencapsulation in simulated colonic pH juice 37 °C was investigated and the  
471 results are shown in Fig. 6. The released cell counts were between 8.34 and 8.76 log  
472 cfu·g<sup>-1</sup> at the end of the storage period upon immediate exposure to the solution of  
473 simulated colonic pH. With an increased incubation time, the release of cells was  
474 increased. After 1.0 h and 2.0 h in the immediate medium, the released cell counts  
475 from microencapsulation with mannitol, glycerol, porous starch and complex  
476 materials as the wall material were 7.32, 6.91, 6.74, 6.46 log cfu·g<sup>-1</sup> and 8.76, 8.63,  
477 8.53, 8.34 log cfu·g<sup>-1</sup>, respectively. Results also indicated that the total counts of cells  
478 released from microencapsulation prepared with different coating materials increased  
479 significantly before the period of the first 1.5 h. During this period, the type of wall  
480 materials affected the released cell counts. However, after the exposure time of 1.5 h,  
481 no significant change on the count of released cells was observed. As shown in Fig.6,  
482 free cell of *L. acidophilus* were sensitive to the acidic environment and the ingestion  
483 of unprotected cell might result in reduced viability of 5 log reduction after 2 h.

484 The release of *L. acidophilus* cells from microcapsules is essential for growth and

485 colonization of probiotics in the vicinity of the site of action.<sup>6</sup> The effect of different  
486 coating materials on the release of *L. acidophilus* needed to be investigated.<sup>60</sup> This  
487 study was conducted to compare the released performance of probiotic *Lactobacillus*  
488 from microencapsulation with different coating materials in simulated colonic pH  
489 juice during storage at 37 °C. The above result also indicated that the type and  
490 structure of coating materials also affected the released cell counts from  
491 microencapsulation at the first period of 1.5 h. However, there was no significant  
492 change on the count of released cells among microencapsulation with different  
493 coating material at the end of the exposure time. This demonstrated that no effect of  
494 different coating material on the cells release was observed after exposure to the  
495 medium solution for 2.5 h. This action could promote a longer shelf life of the  
496 microcapsules and provide a better release performance of active cells in the  
497 microcapsules. The result obtained in this investigation was consisted with that of Rao  
498 *et al.*, who reported that the microencapsulated *B. pseudolongum* resisted the  
499 simulated gastric and intestinal juices up to 60 min.<sup>31</sup> This implies that the different  
500 coating materials could control the release of cell from microencapsulation during the  
501 first period after inoculation. These results also indicate that strain release from the  
502 product will depend on many factors such as pH, presence of preservatives and the  
503 structure of different coating materials.<sup>2,61</sup> Zhang *et al.* have shown that the survival  
504 ability of *L. acidophilus* was significantly affected when subjected to low pH.<sup>62</sup> Frank  
505 and Hassan reported that neutralized juices inhibited probiotic bacteria. This  
506 indicated that acid injury was responsible for the inhibitory effect. As reported by



507 Vinderola *et al.*, the survival of *B. bifidum* and *L. acidophilus* during refrigerated  
508 storage at 5°C in milk.<sup>60</sup> Their results also proved that the product acidity has a major  
509 impact on the microbial viability during its shelf-life.<sup>60</sup> In order to be successful  
510 candidates for functional food applications, the release characteristic of active cells in  
511 the intestine is one of the aims of microencapsulation.<sup>4, 60, 61</sup> Picot and Lacroix also  
512 reported the progressive release of viable cells from whey protein-based  
513 microcapsules in simulated intestinal conditions.<sup>4</sup> This indicated that the coating of  
514 complex beads provided the best protection in simulated gastric juice because the  
515 protected interspace forms in the double layer membrane and as a result, the diffusion  
516 of gastric juice into the beads may be limited.<sup>6,23,31</sup> This microstructure will protect  
517 cells from interacting with the gastric juice.<sup>63</sup> Microencapsulated cells survived better  
518 than that for free cells after sequential incubation in simulated gastric and intestinal  
519 juices, and the complex coating enhanced the survivability of cells more than other  
520 coating materials. Porous starch with a honeycomb structure can provide the stability  
521 release characteristics of cells from the network formed with porous starch and  
522 sodium alginate.<sup>37</sup> Porous capsules suitable for immobilizing *L. acidophilus* cells were  
523 coated with sodium alginate, which served both to position the microorganisms in the  
524 capsule pores and to form spaces for cell release.<sup>37, 38, 64</sup> These results allow us to  
525 conclude that microencapsulation prepared with the complex materials as the wall  
526 material could provide satisfactory release properties of *L. acidophilus* cells.

### 527 **3.6 Survival rate of microencapsulated cells in bile salt solutions**

528 The effect of different coating materials on the survival rate of *L. acidophilus* in

529 microencapsulation exposure to the solutions of 1% and 2% bile salt was investigated.  
530 As the results shown in Fig. 7a, free cells decreased from 9.10 to 6.59 log cfu·mL<sup>-1</sup>  
531 and from 9.13 to 4.85 log cfu·mL<sup>-1</sup> after exposure to 1% and 2% bile salt solutions for  
532 12 h, respectively. However, according to the results shown in Fig. 6b-e, survival rate  
533 of cell in microencapsulation with different coating materials improved firstly and  
534 then reduced the viability of cells at similar bile salt concentrations.  
535 Microencapsulated cells embedded with mannitol and glycerol decreased from 9.1 to  
536 7.63 log cfu·g<sup>-1</sup>, from 9.14 to 6.60 log cfu·g<sup>-1</sup> and from 9.10 to 7.85 log cfu·g<sup>-1</sup>, from  
537 9.12 to 7.10 log cfu·g<sup>-1</sup> on exposure to 1% and 2% bile salt after 12 h, respectively.  
538 However, after exposure to 2% bile salt for 12 h, microencapsulation with porous  
539 starch and the complex material decreased from 9.14 to 6.95 log cfu·g<sup>-1</sup> and from 9.12  
540 to 7.10 log cfu·g<sup>-1</sup>, respectively. This indicated that the viability of *L. acidophilus*  
541 decreased proportionately with concentration and time of exposure to bile salt and that  
542 a highest survival of cells among these microparticles was obtained on encapsulation  
543 in the complex wall material containing porous starch.

544 The survival of microencapsulated *L. acidophilus* was better at high bile salt  
545 concentration than that for free cells. The obtained results indicated that the protection  
546 of *L. acidophilus* cells against the bile salt solution might be explained by the  
547 combined function between porous starch and sodium alginate in the preparation  
548 solution. According to the investigation conducted by Trindade and Grosso, the  
549 immobilization of *L. acidophilus* in alginate beads was not effective in protecting the  
550 cells from 2% and 4% bile salt.<sup>65</sup> Chandramouli *et al.* reported that encapsulation of *L.*

551 *acidophilus* in alginate significantly increased the viability in 1% bile salt.<sup>50</sup> As  
552 reported by Murata *et al.*, the chitosan coating provides the best protection in bile salt  
553 solution because an ion exchange reaction takes place when the beads absorb bile  
554 salt.<sup>63</sup> This was consisted with that of Koo *et al.* and Yu *et al.*, who reported that  
555 *L.casei* entrapped in alginate beads containing chitosan had higher viability than in  
556 alginate without chitosan.<sup>55</sup> Bile salt solutions were always used to determine whether  
557 coating materials would increase survival of cells in this environment. This is because  
558 that bile tolerance is often used as a criterion for probiotic strain selection, which is  
559 similar to that of the digestive system. As reported by Sultana *et al.*,  
560 microencapsulated *L. acidophilus* decreased by two log cycles compared to the initial  
561 cell count in 1% and 2% bile salt solutions.<sup>52</sup> Moreover, the survival rate decreased  
562 proportionately with the time exposed to bile salt solutions.<sup>32</sup> The combined action  
563 could provide protection of the *L. acidophilus* cellular integrity and improve its  
564 stability. This might be due to the shell and net structures that were formed with  
565 porous starch and sodium alginate, which could act as a physical and permeable  
566 barrier for negative factors.<sup>2, 37, 38, 64</sup> A similar result was also reported by Corcoran *et*  
567 *al.*, in their investigation, the presence of glucose could also enhance the visibility of  
568 probiotic lactobacilli during gastric transit.<sup>51</sup> The protection afforded was dependent  
569 to some extent on the type and chemical characteristics of the media.<sup>2, 6, 66</sup> The  
570 polysaccharide-containing matrix with micropores provided more protection to the  
571 probiotic when porous starch were used in combination with sodium alginate for the  
572 preparation of microencapsulated *L. acidophilus*. This point might be due to the

573 interplay between the role of porous starch in protecting probiotics, which could  
574 provide the enough space and maintain the integrity of cell membranes.<sup>66-67</sup> Therefore,  
575 our results suggested that the complex material containing porous starches and  
576 alginate beads used as the wall materials have a positive effect on the resistance of *L.*  
577 *acidophilus* to bile salt solution.

#### 578 **4. Conclusions**

579 New methods to produce applicable coating materials appear therefore as an  
580 important task. This investigation was conducted about effect of different coating  
581 materials on the biological characteristics and stability of microencapsulated *L.*  
582 *acidophilus*. Indeed, the type of coating material significantly affected the surface and  
583 microstructure of microencapsulation. The complex materials as the coating could  
584 provide the better protection for probiotics cells against the passage through gastric  
585 and intestinal fluids. It showed a higher survival rate of *L. acidophilus* with the  
586 different coating materials at the different temperatures evaluated. With increased  
587 incubation time, the release of cells was increased and there was no significant change  
588 indicating no effect of different coating materials on the cells release from  
589 microcapsules. The viability and stability of cells in the microencapsulation at  
590 refrigerated storage temperatures was also improved. These results demonstrated that  
591 the complex wall material containing porous starch might be the better one for the  
592 preparation of microencapsulated *L. acidophilus* in order to increasing protect against  
593 environmental deleterious factors.

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818 Fig.1. Morphology of microencapsulated *L. acidophilus* different coating materials  
819 observed by SEM (the different porous starch concentrations (A: mannitol (10%), B:  
820 glycerol (10%), C: porous starch, D: complex wall material; (a) 500×, (b) 3000×).

821

822 Fig.2. TG/DTG analysis of microencapsulated *L. acidophilus* with different coating  
823 materials ((a): mannitol (10%), (b): glycerol (10%), (c): porous starch, (d): complex  
824 wall material).

825

826 Fig.3. Effect of pH on viable counts of free and microencapsulated *L. acidophilus*  
827 with different coating materials (log CFU mL<sup>-1</sup> for free cells and log CFU g<sup>-1</sup> for  
828 microencapsulated cells; (a) 6.5 and (b) pH 1.5). Mean bars with different letters (a–c)  
829 in the same coating material with different incubation time differ significantly  
830 (p<0.05). Mean bars with different letters (p–t) at the same incubation time with  
831 different coating material differ significantly (p<0.05).

832

833 Fig.4. Effect of heat treatments on viable counts of microencapsulated *L. acidophilus*  
834 (log CFU g<sup>-1</sup>) with different coating materials ((a): mannitol (10%), (b): glycerol  
835 (10%), (c): porous starch, (d): complex wall material). Mean bars with different letters  
836 (a–c) at the same temperature for different heating times differ significantly (p<0.05).  
837 Mean bars with different letters (p–r) for same heating time at different temperatures  
838 differ significantly (p < 0.05).

839 Fig.5. Effect of low temperature on viable counts of free cells and microencapsulated

840 *L. acidophilus* (log CFU g<sup>-1</sup>) with different coating materials ((a): free cells; (b):  
841 microencapsulated *L. acidophilus* with different coating materials). Mean bars with  
842 different letters (a-g) with same coating material at the different incubation time differ  
843 significantly (p<0.05). Mean bars with different letters (p-r) at the same incubation  
844 time for different coating materials differ significantly (p<0.05).

845

846 Fig.6. Effect of bile salt on viable counts of microencapsulated *L. acidophilus* (log  
847 CFU g<sup>-1</sup>) with different coating materials ((a): mannitol (10%), (b): glycerol (10%),  
848 (c): porous starch, (d): complex wall material). Mean bars with different letters (a-c)  
849 in the same bile salt concentration at different incubation times differ significantly  
850 (p<0.05). Mean bars with different letters (p-r) at the same incubation time in  
851 different bile salt concentrations differ significantly (p<0.05).

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853 Fig.7. Effect of different coating materials on the release characteristics of  
854 microencapsulated *L. acidophilus* (log CFU g<sup>-1</sup>). Mean bars with different letters (a-e)  
855 in the same coating materials at different incubation times differ significantly  
856 (p<0.05). Mean bars with different letters (p-r) at the same incubation time in  
857 different coating material differ significantly (p<0.05).

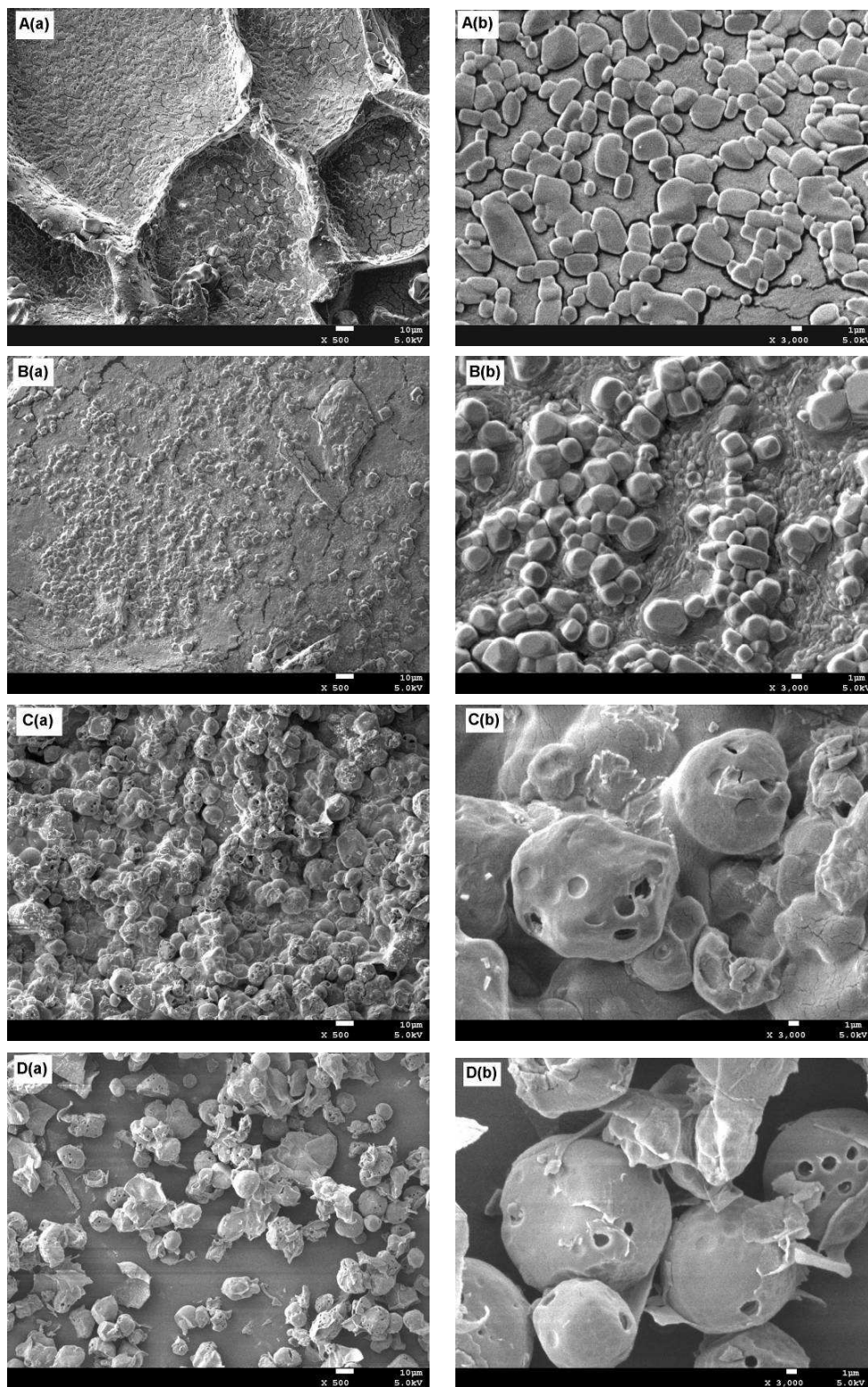
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862 **Figure 1**

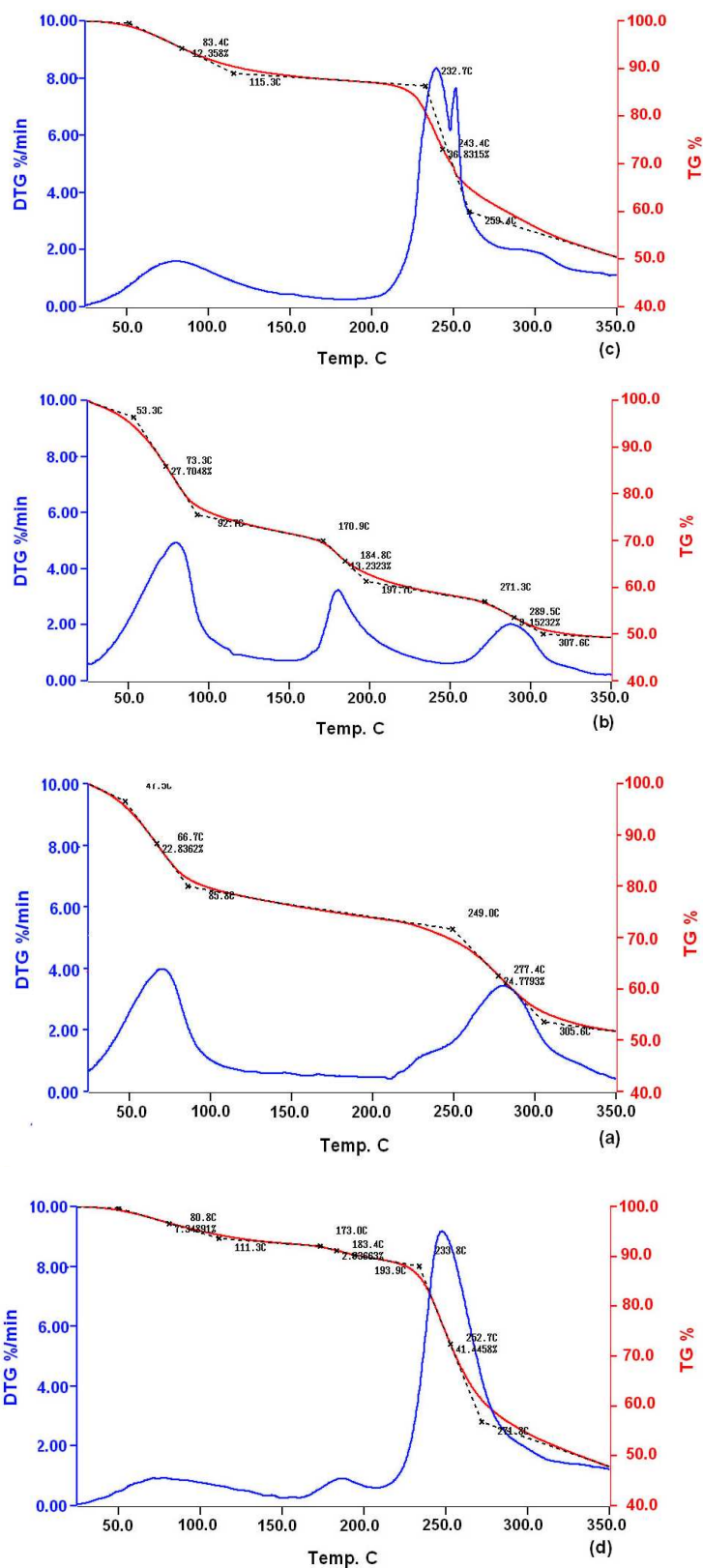
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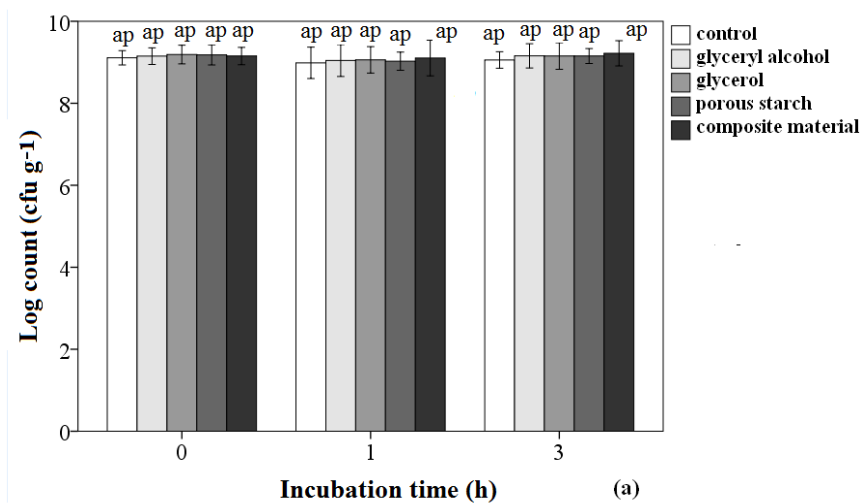
868 **Figure 2**

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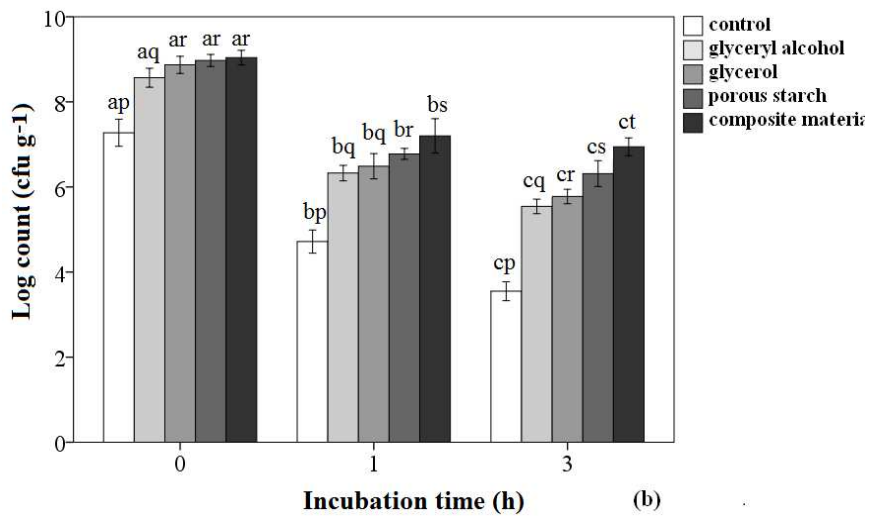
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873 **Figure 3**

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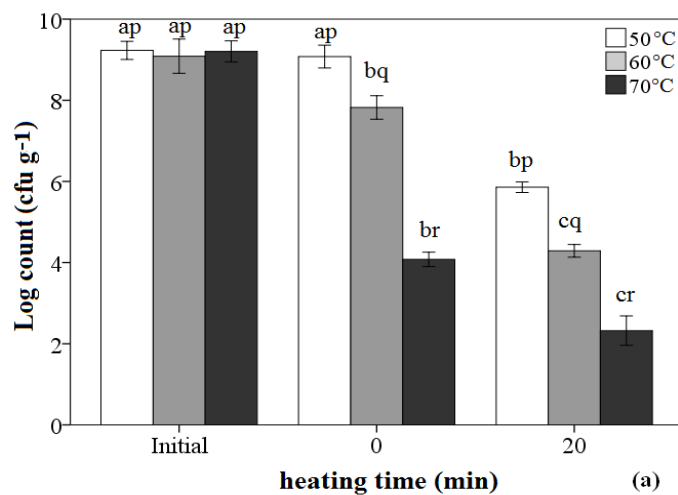
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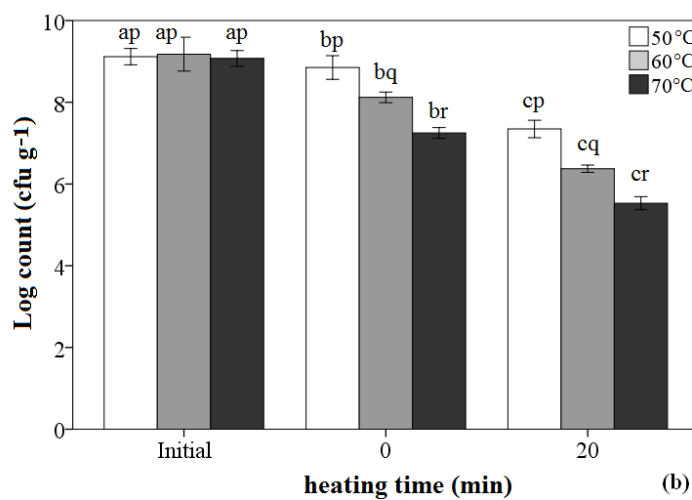
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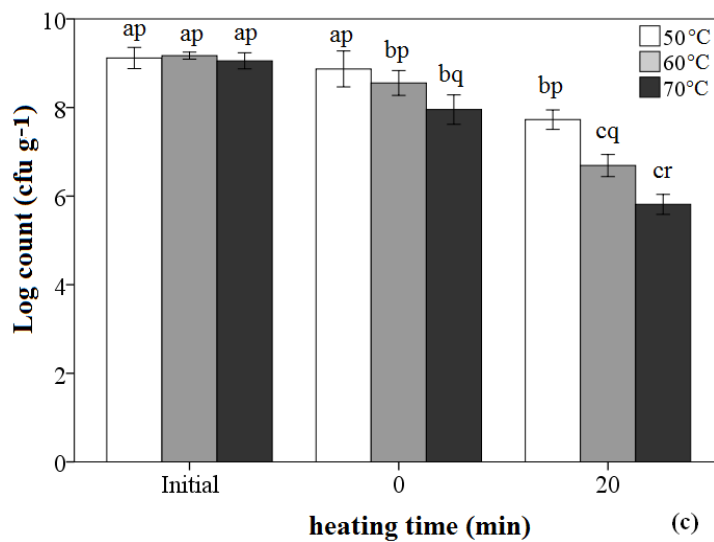
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883 **Figure 4**

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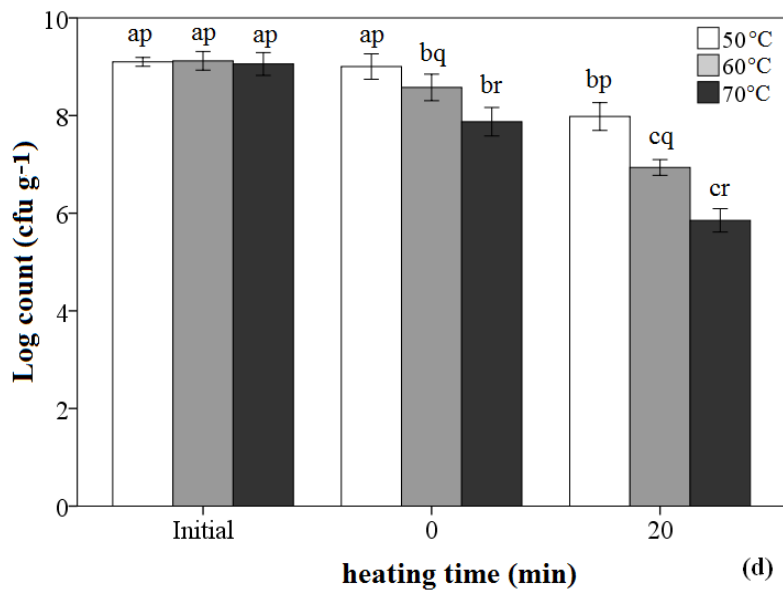


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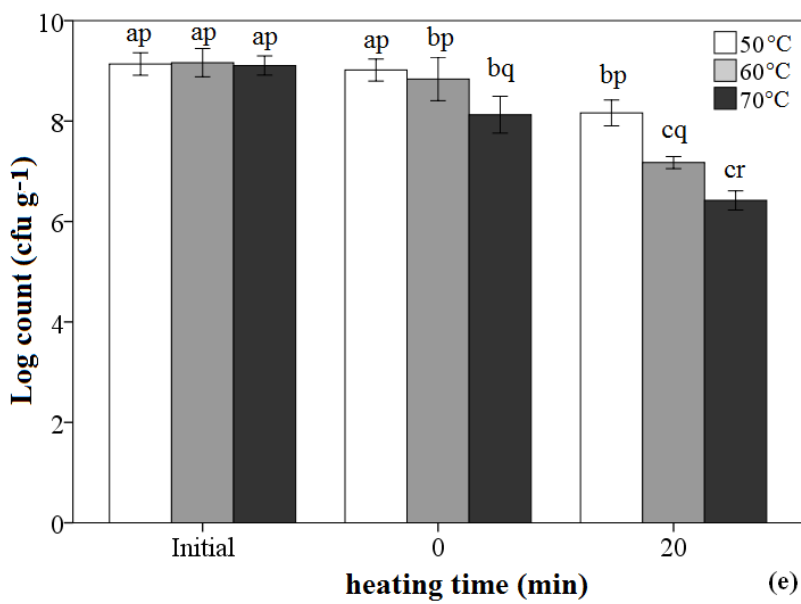


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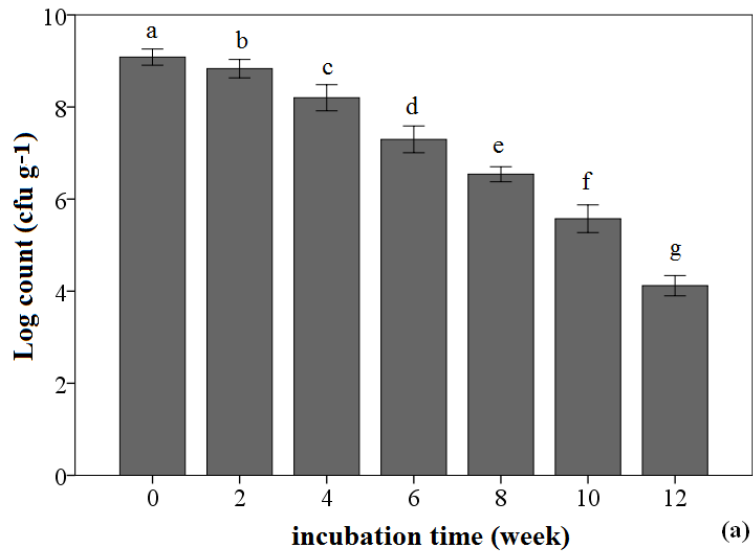
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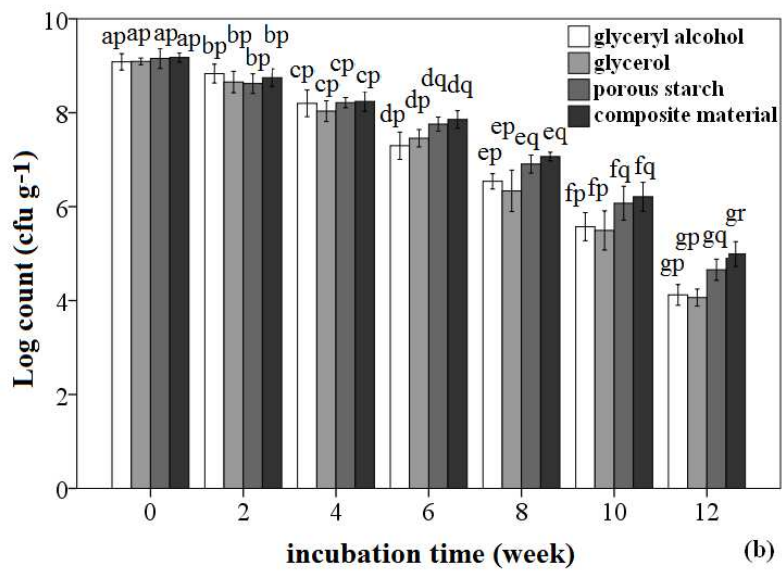
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896 **Figure 5**

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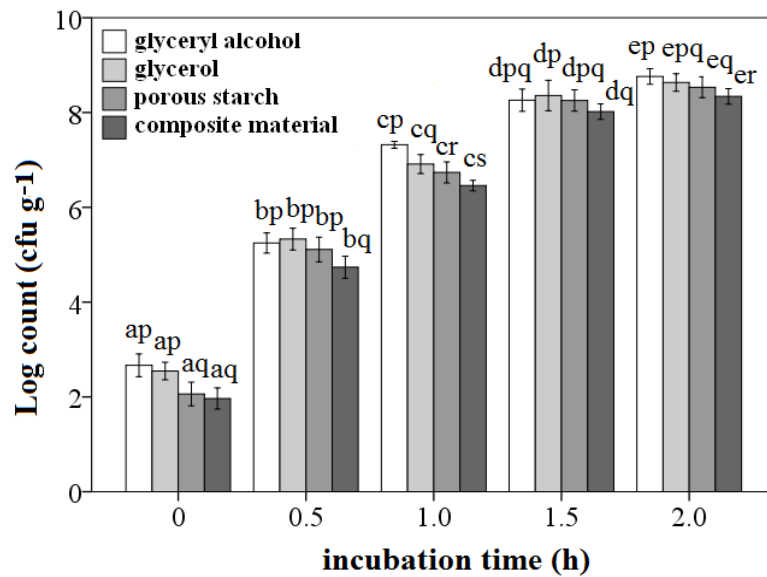
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905 **Figure 6**

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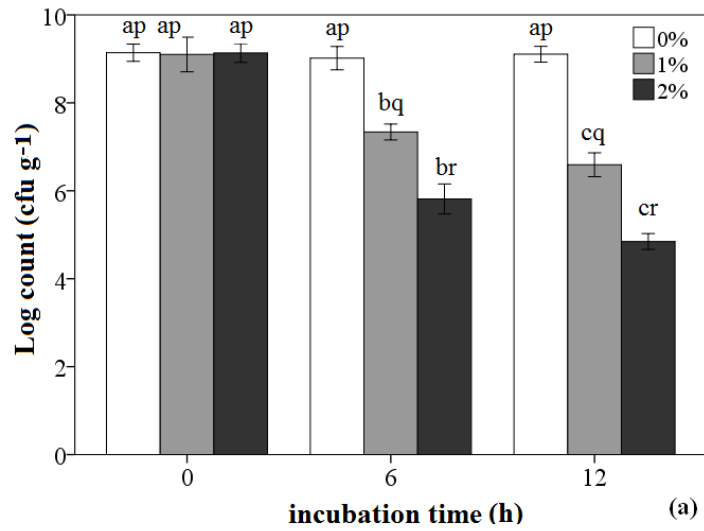
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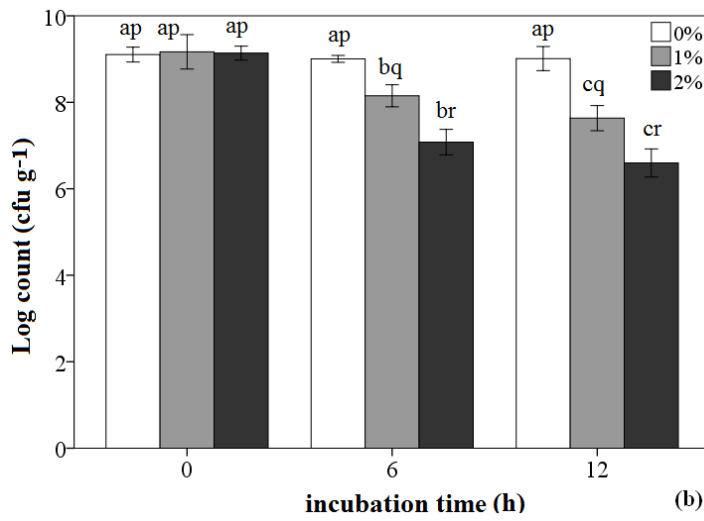
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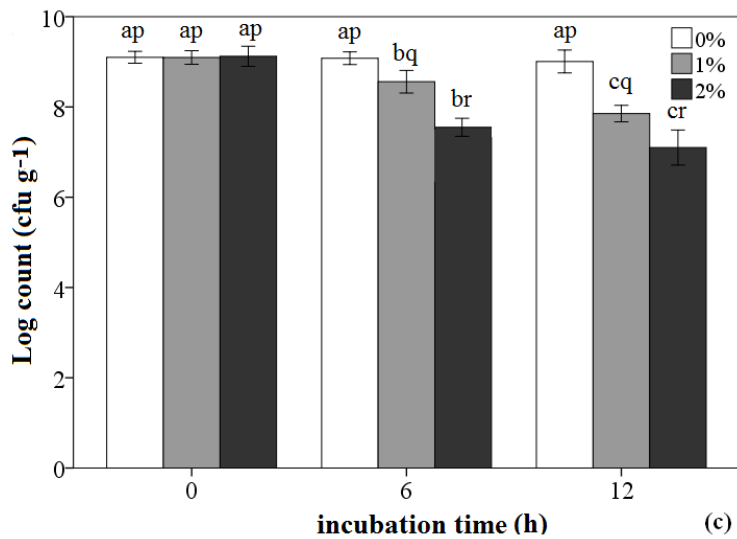
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921 **Figure 7**

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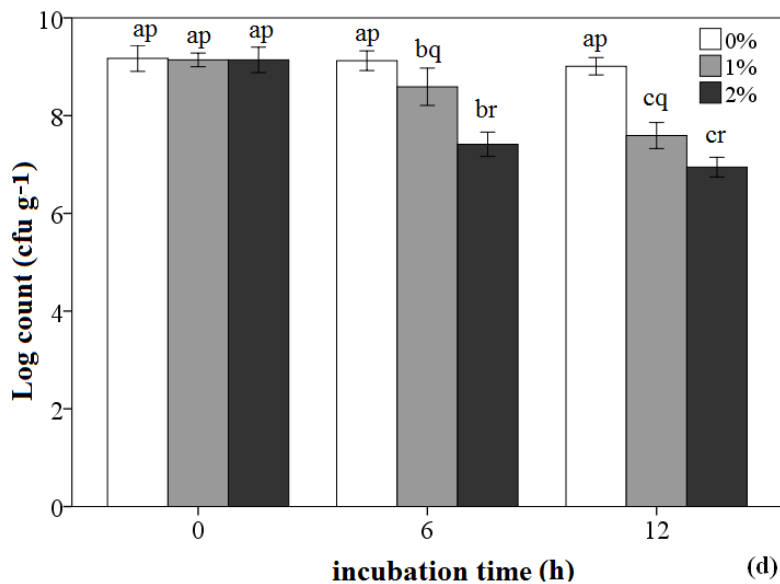


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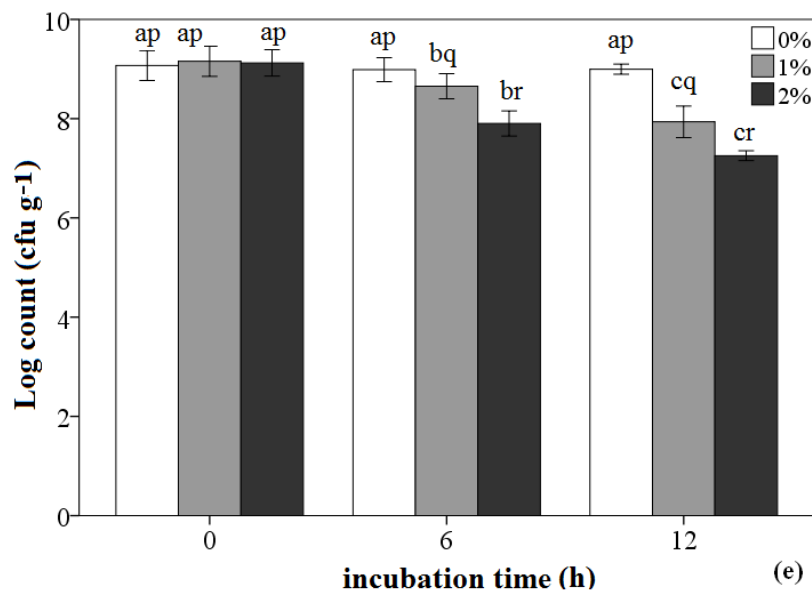


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