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# **Graphical Abstract**

A novel monodisperse  $YbF_3:Er^{3+}$  nanoplates with regular rhombus shape are synthesized via a thermolysis process in this study. The morphology and luminescence properties have been investigated using transmission electron microscopy (TEM) and emission spectroscopy.



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### COMMUNICATION

## Synthesis of Monodispersed YbF<sub>3</sub>:Er<sup>3+</sup> Nanoplates with Rhombus Shape

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A novel monodispersed  $YbF_3:Er^{3+}$  nanoplates with rhombus shape are synthesized via a thermolysis process in this study. The morphology and luminescence properties have been investigated using transmission electron microscopy (TEM) and emission spectroscopy.

Controlling inorganic nanocrystals (NCs) with well-defined shapes and architectures is always an important route to finely tune their properties, because the properties of the materials closely interrelate with geometrical factors such as morphology, dimensionality, and size<sup>1-3</sup>. In the past decade, lots of effort has been made to fabricate a variety of inorganic crystals to enhance their performance. Among them, the wet chemical method has been proved to be one of the most effective and convenient approaches in preparing various inorganic materials with diverse controllable morphologies and architectures in terms of low cost and large scale production.

In recent years, research on lanthanide  $(Ln^{3+})$  ions doped fluoride crystals is rapidly increasing due to their wide applications in solar cell, flat panel display, fluorescence imaging, drug deliver<sup>4-8</sup>. The Ln<sup>3+</sup> ions can undergo a process known as up-conversion (UC) in which they convert low energy radiation such as near infrared (NIR) or IR light to high energy radiation including visible or ultraviolet (UV) light<sup>9,10</sup>. Typically in UC fluoride crystals, hexagonal ( $\beta$ ) phase NaREF<sub>4</sub> ( RE = rare earth ions ) crystals are identified as the ideal UC luminescence matrices owing to their low phonon energy, high Ln<sup>3+</sup> ion solubility and high photochemical stability<sup>11-13</sup>. Besides  $\beta$ -NaREF<sub>4</sub>, orthorhombic REF<sub>3</sub> crystals have been proved to be another efficient high order UC luminescence materials<sup>14-16</sup>. Furthermore, YbF<sub>3</sub>:Er shows strong down-conversion properties when excited with UV light <sup>17,18</sup>.



Fig.1 TEM (a) and HRTEM (b) images of as-synthesized  $YbF_3:10\%Er^{3+}$  nanoplates.

Here,  $Er^{3+}$  doped YbF<sub>3</sub> rhombus nanoplates (NPs) are first synthesized with a high temperature thermolysis process. Fig.1a presents a TEM image of as-synthesized YbF<sub>3</sub>:10%  $Er^{3+}$  NPs. It is found that almost all the nanoplate show clear rhombus shape and size of as-synthesized NPs is well dispersed. The length and width of NPs are 12.2 ± 2.1 nm and 10.9 ± 1.8 nm, respectively. The corresponding XRD and electron diffraction patterns (shown as S4 and S5) demonstrate that as-synthesized nanoplate is YbF<sub>3</sub>

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{Pnma} orthorhombic crystal structure. The diffraction band of as-synthesized nanoplates is consistent with the JCPDS 49-1805 file of crustal YbF<sub>3</sub>. Furthermore, from the high resolution TEM image of fig.1b, well crystalline lattice structures can be observed. Most of nanoplates grow along (101) with a lattice distance of 0.360 nm. The shape of YbF<sub>3</sub> nanoplate can be affected by the amount of  $\mathrm{Er}^{3^+}$  and oleic acid. Fig.2a presents a TEM image of YbF<sub>3</sub>: 50% Er<sup>3+</sup> nanoplates. As shown in fig.2a, instead of well disperse single rhombus plate, short belts consisted by 2-4 single rhombus plates are observed for YbF<sub>3</sub>: 50% Er<sup>3+</sup> plate. Fig.2b is a HRTEM image of an aligned YbF<sub>3</sub>:50% Er<sup>3+</sup> plate standing on the edge array. The distance between two standing plate is about 2.5 nm, and the thickness of nanoplate is ca. 2.8 nm. The analysis results from HRTEM image of plate edge demonstrate that the edge grow along (111) and (020) directions. While, when amount of  $Er^{3+}$  is up to 90%, as-synthesized nanoplates are well disperse single rhombus plates (see S9). Furthermore, the shape or structure can be affected by the amount of oleic acid. For example, for YbF<sub>3</sub>:10%  $Er^{3+}$  discussed above, with same synthesis conditions, when volume of oleic acid is reduced from 3.2 ml to 1.6 ml, similar structure to that of YbF<sub>3</sub>: 50%  $Er^{3+}$ nanoplate are also observed. Currently, we attribute the formation of short belt of YbF<sub>3</sub>:10% to the overgrowth of nanoplate. With less oleic acid, several nanoplates grow and band together to form large nanoplate belt. Further experiments and analyses are being done to explore the effect of Er<sup>3+</sup> amount and oleic acid on the structure of Er<sup>3+</sup> doped YbF<sub>3</sub> nanoparticles.



**Fig.2** (a) TEM image of as-synthesized YbF<sub>3</sub>:50%  $Er^{3+}$  nanoplates, (b) HRTEM image of an aligned YbF<sub>3</sub>:50%  $Er^{3+}$  plate standing on the edge array. (c) HRETM image of an aligned YbF<sub>3</sub>:50%  $Er^{3+}$  plate standing edge.

For the photovoltaic efficiency of semiconductor solar cells, the thermalization and sub-band gap losses with UV and near-infrared (NIR) are the major bottleneck. Up-conversion can generate one high energy photon from two or more incident low energy (sub-band gap) photons, whereas the down-conversion can generate more than one low-energy

(sub-band gap) photons for each incident high-energy photon. In this communication, we report the synergistic effect of UV down-conversion and NIR up-conversion of as-synthesized YbF<sub>3</sub>:10%  $Er^{3+}$  nanoplates.



**Fig.3** Absorption spectrum (a), upconversion (b) and downconversion (c) Emission of as-synthesized YbF<sub>3</sub>:10%  $\text{Er}^{3+}$  nanoplates (in b, the laser power of 980 nm laser diode is 1W.).

Fig.3 presents the absorption and emission spectra of assynthesized YbF<sub>3</sub>:10% Er<sup>3+</sup> NPs. It is well known that (Er<sup>3+</sup>, Yb<sup>3+</sup>) is one of the efficient up-conversion couples. Efficient upconversion has been reported for this couple in many host lattices and it is used in the efficient detection of near 1000 nm IR radiation. After excitation to the  ${}^{2}F_{5/2}$  level of Yb<sup>3+</sup>, two sequential energy transfer steps excite the Er<sup>3+</sup> ion from the 4I<sub>15/2</sub> ground state to the 4I<sub>11/2</sub> excited state and from the 4I<sub>11/2</sub> excited state to the higher energy  $4F_{7/2}$  excited state. Visible emission may be observed from the  $4F_{7/2}$  state or, after relaxation, from the lower energy  $2H_{11/2}$  and  $4S_{3/2}$  states. Fig.3b is the UC emission spectra of as-synthesized YbF<sub>3</sub>:10% Er<sup>3+</sup> NPs upon an excitation wavelength of 980 nm. The weak violet emission centred at 430

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nm is attributed to the  $^2H_{9/2}$  –  $^4I_{15/2}$  transition of  $Er^{3+}$  ions. The obvious green emission peak at 542 nm is assigned to the  $^4S_{3/2}$  –  $^4I_{15/2}$  transition of the  $Er^{3+}$  ions, while the red emission peak at 610 nm is attributed to the  $Er^{3+}$   $^4F_{9/2}$  –  $^4I_{15/2}$  transition. Furthermore,  $(Er^{3+}, \ Yb^{3+})$  couple is an attractive down-conversion (DC) materials for use in combination with c-Si solar cells^{19-21}. The choice of  $Yb^{3+}$  as the emitting acceptor is due to the favourable energy of the  $^2F_{5/2}$  excited state and the fact that the  $Yb^{3+}$  has no other 4f excited states that can interfere with the down-conversion process. Fig.3c shows a DC emission spectrum of as synthesized  $YbF_3$ :10%  $Er^{3+}$  NPs excited at 369 nm. The strong emission at 480 nm is attributed to the  $^4F_{7/2}$  –  $^4I_{15/2}$  transition of the  $Er^{3+}$  ions.

#### Conclusions

In this study, monodisperse  $Er^{3+}$  doped YbF<sub>3</sub> nanoplates with regular rhombus shape are synthesized by a thermolysis process. The as-synthesized YbF<sub>3</sub>:10%  $Er^{3+}$  nanoplates are small and its size is less than 15 nm. The thickness of plate is about 2.8 nm. All the nanoplates are well dispersed and have a good crystalline. The analysis results demonstrate that the structure of plate can be affected by the amounts of doped  $Er^{3+}$  ion and oleic acid reagent. Moreover, the as-synthesized YbF<sub>3</sub>:10% $Er^{3+}$ nanoplates also show strong up-conversion and downconversion emission properties.

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