

# RSC Advances

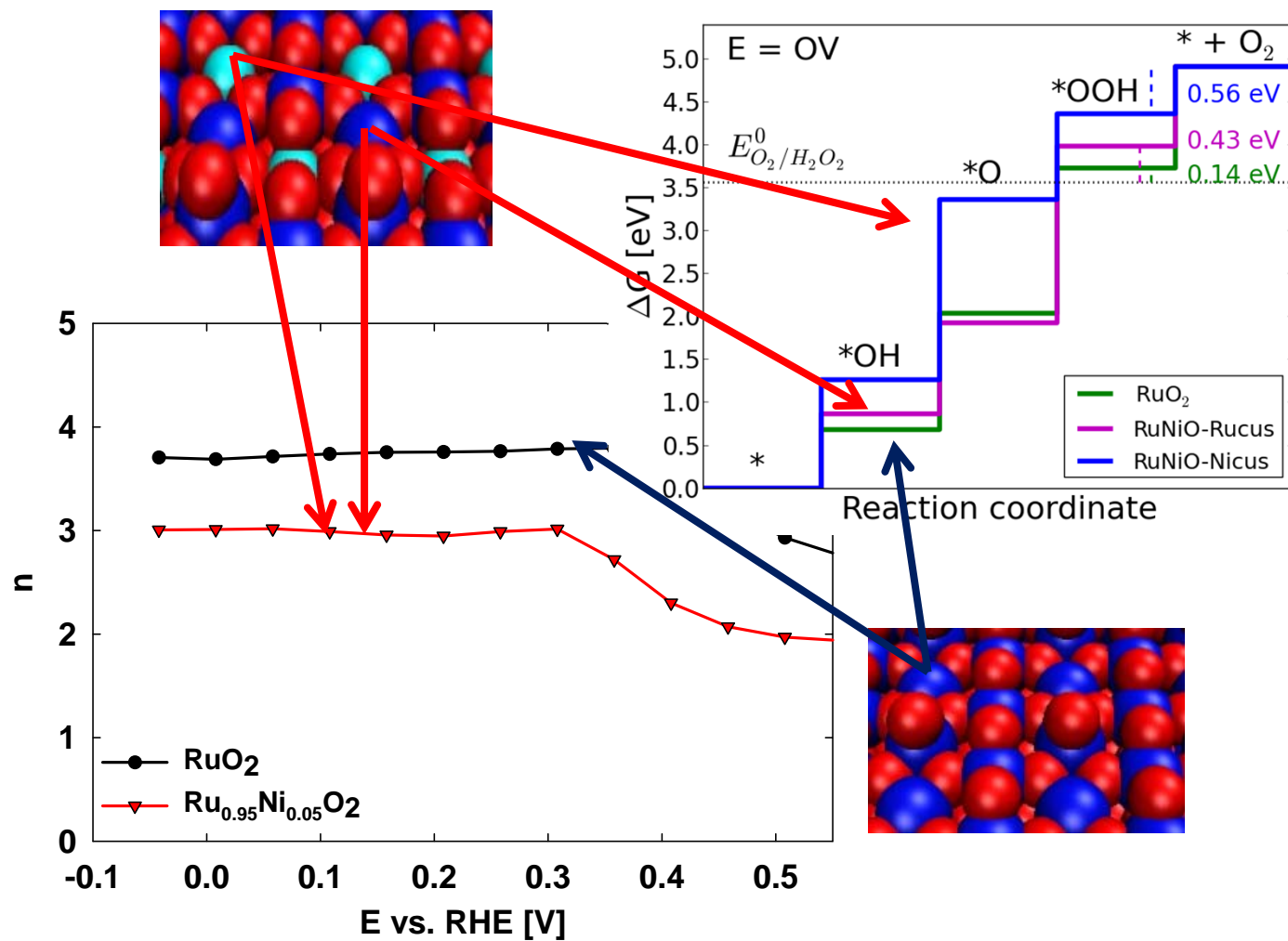


This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

*Accepted Manuscripts* are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. This *Accepted Manuscript* will be replaced by the edited, formatted and paginated article as soon as this is available.

You can find more information about *Accepted Manuscripts* in the [Information for Authors](#).

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard [Terms & Conditions](#) and the [Ethical guidelines](#) still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



Cite this: DOI: 10.1039/c0xx00000x

www.rsc.org/xxxxxx

ARTICLE TYPE

## Oxygen reduction on nanocrystalline ruthenia - local structure effects

Daniel F. Abbott<sup>a,b</sup>, Sanjeev Mukerjee<sup>b</sup>, Valery Petrykin<sup>a</sup>, Zdeněk Bastl<sup>a</sup>, Niels Bendtsen Halck<sup>c</sup>, Jan Rossmeisl<sup>c</sup> and Petr Krtil<sup>a\*</sup>

Received (in XXX, XXX) Xth XXXXXXXXX 20XX, Accepted Xth XXXXXXXXX 20XX

DOI: 10.1039/b000000x

Nanocrystalline ruthenium dioxide and doped ruthenia of the composition  $\text{Ru}_{1-x}\text{M}_x\text{O}_2$  ( $\text{M} = \text{Co}, \text{Ni}, \text{Zn}$ ) with  $0 \leq x \leq 0.2$  were prepared by the spray-freezing freeze-drying technique. The oxygen reduction activity and selectivity of the prepared materials were evaluated in alkaline media using the RRDE methodology. All ruthenium based oxides show a strong preference for a 2-electron oxygen reduction pathway at low overpotentials. The catalysts' selectivity shifts towards the 4-electron reduction pathway at high overpotentials (i.e. at potentials below 0.4 V vs. RHE). This trend is particularly noticeable on non-doped and Zn-doped catalysts; the materials containing Ni and Co produce a significant fraction of hydrogen peroxide even at high overpotentials. The suppression of the 4-electron reduction pathway on Ni and Co-doped catalysts can be accounted for by the presence of the Ni and Co cations in the *cus* binding sites as shown by the DFT-based analyses on non-doped and doped catalysts.

### Introduction

The fuel cell related electrocatalytic processes based on controlled hydrogen oxidation and oxygen reduction have recently gained importance mainly in connection with the increasing utilization of renewable energy sources. Despite efforts devoted to the optimization of existing systems, the performance of real fuel cells still lags behind the expectations and the cathodic oxygen reduction is seen as the performance limiting process. Simultaneously, the electrochemical fuel cell reactions can also be generally employed in the energy storage mode using the excess electricity or solar energy to generate energetically useful hydrogen (produced along with the oxygen). This leads to the introduction of the regenerative fuel cell concept<sup>1, 2</sup>. It also needs to be stressed that the regenerative fuel cell applications have sparked extensive catalyst development primarily for the oxygen evolution/reduction processes.

Oxygen electrochemistry, including oxygen evolution as well as reduction, represents the simplest example of multiple

electron charge transfer processes which have been extensively studied both experimentally as well as theoretically<sup>3, 4</sup>. In contrast to the development of suitable catalysts for independent oxygen evolution (OER) or oxygen reduction (ORR), the catalysts' application in regenerative fuel cells faces significant restrictions in terms of minimizing the energetic barriers of both kinetically irreversible processes. The fact that the oxygen evolution reaction proceeds solely on oxide covered surfaces disfavors the use of metal catalysts which are reported to be of superior activity in oxygen reduction. The oxide activity in the oxygen evolution was traditionally investigated in both acidic as well as alkaline media on various systems based on oxide of ruthenium<sup>5-8</sup>, iridium<sup>5, 7-9</sup>, cobalt<sup>5, 10</sup> or manganese<sup>5</sup>. Oxygen reduction studies on oxides are less frequent and are generally restricted to alkaline media. Oxygen reduction has been studied on rutile<sup>11, 12</sup>, spinel<sup>13, 14</sup>, perovskite<sup>15</sup> and pyrochlore<sup>16, 17</sup> structural types based on ruthenium, manganese, nickel, cobalt and iridium oxides. The investigated oxide catalysts were the subject of electrochemical characterization which was phenomenologically analyzed in

order to explain the possible reaction pathways leading to both 4-electron and 2-electron oxygen reduction processes. In contrast to the studies of oxygen evolution, no detailed investigations aiming at the role of the catalyst structure, including the local structure of the oxygen reduction active site, have been reported so far.

The theoretical approach allowing for the generalization of oxygen electrochemistry on oxides based on DFT calculations was recently reported<sup>4, 18, 19</sup>. The DFT calculations identify the active sites for oxygen activation and the charge transfer to so-called coordination unsaturated sites (*cus*), the surface population of which is a function of the surface orientation. The *cus* surface sites feature (n-1) oxygen bonding partners, where n is equal to number of metal-oxygen bonds present in the oxide bulk. It is believed that only *cus* sites can form the atop reaction intermediate, which are essential to the oxygen electrochemistry. In this respect one can easily predict that the catalytic activity and selectivity of oxide catalysts may be altered if one controls the population and stacking of the *cus* sites at the oxide surface. This trend has been shown for oxygen evolution on heterostatically doped ruthenia when the incorporation of lower valency cations, such as Ni<sup>20-23</sup>, Co<sup>24-27</sup>, Fe<sup>28</sup> or Zn<sup>29, 30</sup> into ruthenia framework resulted in changes to both the activity and selectivity of anodic processes including oxygen and chlorine evolution. Similar systematic studies focused on other oxide systems are, so far, lacking.

This paper focuses on the role of the local structure of the oxide catalysts in the oxygen reduction reaction. We report on the ORR activity of model nanocrystalline ruthenia catalysts with local structure controlled by doping with Ni, Co and Zn. The observed electrocatalytic activity and selectivity are related to the actual local structures and rationalized using DFT-based thermodynamic analysis of the oxygen reduction process.

## Methods

### Material Preparation

Ruthenium dioxide and doped samples of the composition Ru<sub>1-x</sub>M<sub>x</sub>O<sub>2</sub> (M = Co, Ni, Zn) were synthesized using the spray-freezing freeze-drying method as described in references<sup>30, 31</sup>. Generally, an 8 mM solution was prepared by dissolving the appropriate amount of Ru(NO)(NO<sub>3</sub>)<sub>3</sub> (31.3% Ru, Alfa Aesar) in 100 mL of Millipore H<sub>2</sub>O. In the case of doped materials, a stoichiometric amount of the appropriate transition metal salt was added to the solution. Zinc-doped samples were prepared from the acetate precursor, Zn(C<sub>2</sub>H<sub>3</sub>O<sub>2</sub>)<sub>2</sub> • 2H<sub>2</sub>O (99.5% ACS reagent grade, Fluka). Cobalt- and nickel-doped samples were prepared from the nitrate salts, Co(NO<sub>3</sub>)<sub>2</sub> • 6H<sub>2</sub>O and Ni(NO<sub>3</sub>)<sub>2</sub> • 6H<sub>2</sub>O (99.999% trace metal basis, Sigma Aldrich), respectively. The starting solution was then sprayed into liquid N<sub>2</sub>. The resulting ice slurry was collected in an aluminum tray pre-cooled with liquid N<sub>2</sub> and quickly transferred to a freeze-dryer (FreeZone Triad Freeze Dry System 7400030, Labconco) pre-cooled to -30°C. The frozen solvent was sublimated at reduced pressure (≈1.0 Pa) while the temperature was ramped according to the following program: -30°C (1h), -25°C (5h), -20°C (4h), -15°C (6h), 30°C (4h). After drying, the resulting powder was annealed in air at 400°C for 1 hour.

### XRD, XPS and SEM Characterization

The crystallinity of sample powders was characterized using a Rigaku Miniflex 600 powder X-ray diffractometer with Cu<sub>Kα</sub> radiation operating at 40 kV and 15 mA. The average sample compositions were evaluated with X-ray energy dispersive spectroscopy using a Hitachi S4800 scanning electron microscope (SEM) equipped with a Nanotrace EDX detector (Thermo Electron). Sample compositions did not deviate significantly from the projected ones. Particle size was evaluated

by analyzing SEM images and averaging the size of 300 randomly chosen particles. The X-ray photoelectron spectra (XPS) of the prepared materials and of the electrodes which were used in electrochemical experiments were measured using a modified ESCA 3 MkII multitechnique spectrometer equipped with a hemispherical electron analyzer operating in the fixed transmission mode. Al  $K\alpha$  radiation was used for electron excitation. The binding energy scale was calibrated using the Au 4f<sub>7/2</sub> (84.0 eV) and Cu 2p<sub>3/2</sub> (932.6 eV) photoemission lines. The spectra were collected at a detection angle of 45° with respect to the macroscopic surface normal. The studied materials were characterized using survey scan spectra and high resolution spectra of overlapping Ru 3d + C 1s photoelectrons, Ru 4s, Zn 2s and O 1s photoelectrons. The spectra were curve fitted after subtraction of Shirley background using the Gaussian–Lorentzian line shape and nonlinear least-squares algorithms. Quantification of the elemental concentrations was accomplished by correcting the photoelectron peak intensities for their cross sections and for the analyzer transmission function. The typical error of quantitative analysis by XPS is ~10%.

### Electrochemical measurements

The electrochemical oxygen reduction activity of the prepared materials was assessed in a three-electrode single-compartment cell with a rotating ring-disk electrode (RRDE) setup (Pine Instruments, USA). The potential was controlled using an Autolab PGSTAT30 (EcoChemie, The Netherlands). Catalyst ink suspensions were prepared by sonicating 9.8 mg RuO<sub>2</sub> or Ru<sub>1-x</sub>M<sub>x</sub>O<sub>2</sub> (M = Co, Ni, Zn) with 5.00 mL Millipore water, 4.95 mL isopropyl alcohol, and 50 μL of 5 wt.% Nafion® ionomer solution as a binder until the suspension was well dispersed. A 10.0 μL aliquot of the ink was drop cast on a 0.196 cm<sup>2</sup> glassy carbon disk

electrode equipped with a platinum ring to yield a total catalyst loading of approximately 50 μg cm<sup>-2</sup>. All experiments were conducted at room temperature in 0.1 M NaOH prepared from sodium hydroxide pellets (semiconductor grade, 99.99%, Sigma-Aldrich). A platinum wire served as the counter electrode and a saturated calomel electrode (SCE) served as the reference electrode. All potentials reported are quoted against RHE. Electrolyte solutions were saturated with O<sub>2</sub> for 30 minutes prior to oxygen reduction measurements. The measured oxygen reduction currents were corrected for the contribution of the capacitive current by subtracting the cyclic voltammograms obtained under identical conditions in Ar saturated solution. Cyclic voltammograms were recorded at a scan rate of 20 mV s<sup>-1</sup> and the potential of the platinum ring electrode was held at 1.1 V vs. RHE during all measurements. The ring collection efficiency was determined to be 0.275 according to the procedure described in reference<sup>32</sup>.

### DFT analysis of oxygen reduction

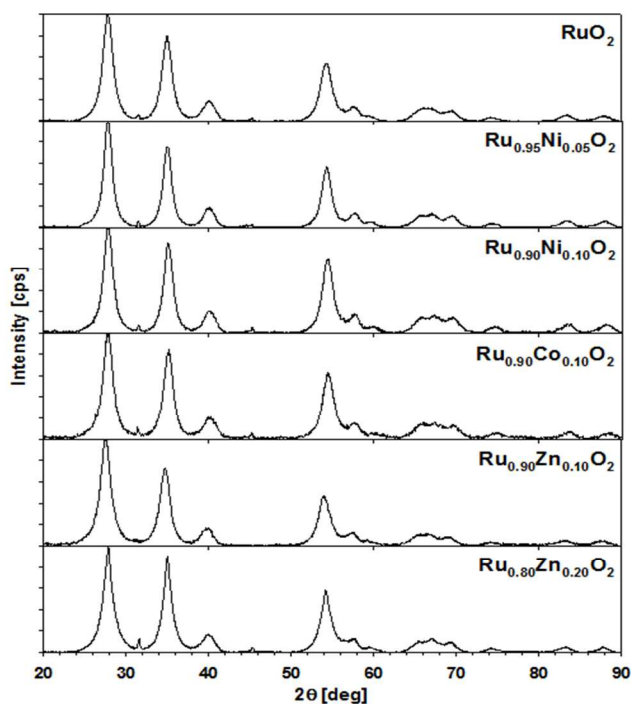
The thermodynamic analysis of the ORR on ruthenia based [110] surfaces was addressed using GPAW (grid-based projector-augmented wave) a DFT based code<sup>33</sup> together with the ASE (atomic simulation environment)<sup>34</sup>. For all surfaces the exchange correlation functional, Revised Perdew Burke Ernzerhof<sup>35</sup>, was used. The grid spacing selected was 0.18 and the Brillouin zone was sampled using a 4x4x1 Monkhorst – Pack grid. The two model systems, the non-doped and Ni-doped ruthenia [110], were approximated using a 2x1 and a 3x1 supercell, respectively, with four atomic trilayers and with the bottom two trilayers fixed. The remaining layers and adsorbates were relaxed until the residual forces in all directions were less than 0.05 eV/Å. The positions

of the Ni atoms were modeled using the approach described in reference<sup>33</sup>. The calculations containing Ni were spin-polarized.

## Results and Discussion

### XRD and SEM Characterization

X-ray diffraction patterns of all studied materials are shown in



**Fig. 1** Powder X-ray diffraction patterns for nanocrystalline RuO<sub>2</sub> and Ru<sub>1-x</sub>M<sub>x</sub>O<sub>2</sub> (M = Ni, Co, Zn) after annealing in air for 1 hour at 400 °C

Figure 1. In all cases the recorded patterns conform to a single phase tetragonal structure of the rutile type as in RuO<sub>2</sub> PDF file #431027. The average size of coherent crystallite domains was evaluated using the Scherrer formula:

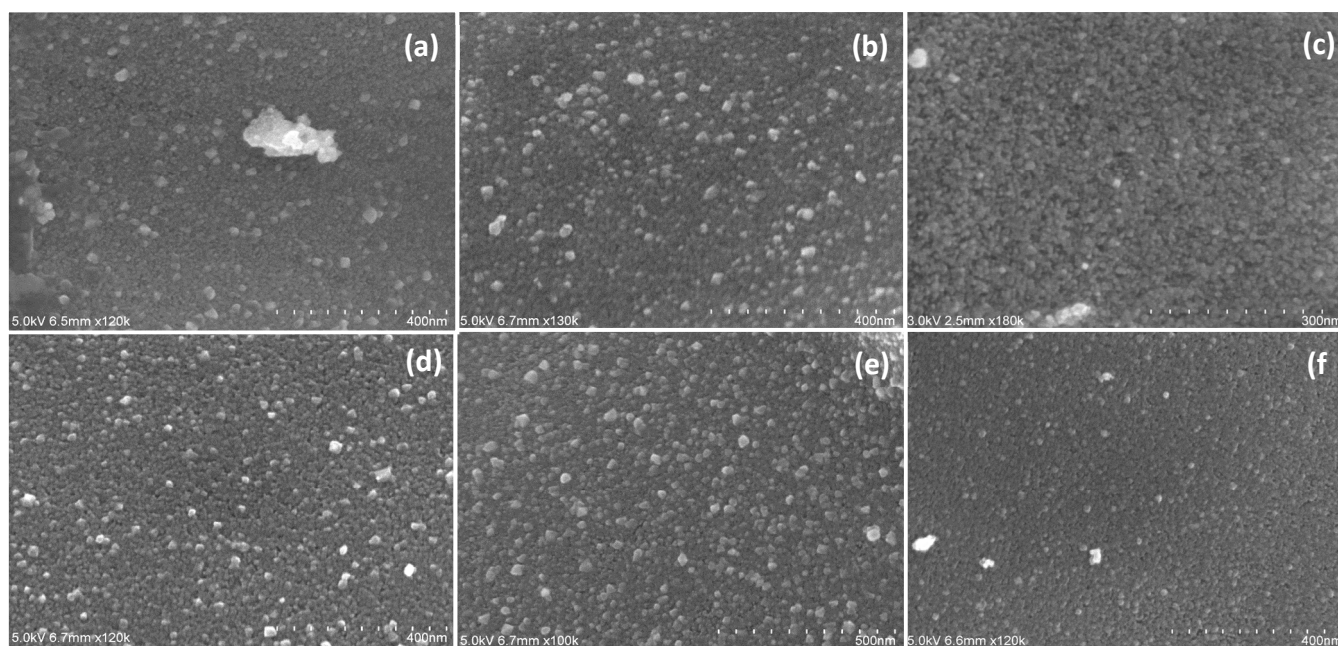
$$D_i = \frac{\lambda}{\beta_i \cos \theta_i} \quad (1)$$

where  $D_i$  is the size of the crystallite domain,  $\lambda$  is the wavelength of the incident radiation ( $\text{Cu}_{K\alpha} = 1.540598 \text{ \AA}$ ),  $\beta_i$  is the width of the diffraction peak at half maximum intensity measured in radians, and  $\theta_i$  is the angle of the hkl reflection.

The average coherent domain size ranged between 4.3 and 5.7 nm (see Table 1). Representative SEM images of the doped ruthenia are summarized in Figure 2. The particle sizes evaluated from SEM micrographs agree with the coherent domain size values (See Table 1). Average sample compositions did not deviate significantly from the projected ones and are listed in Table 1.

Surface composition of all doped samples reflects the metastable character of the materials and previous thermal treatment which result in a dopant enrichment of the surface layer<sup>21, 37</sup>. This effect is most pronounced in the case of the Zn doped materials when the actual surface compositions of both studied materials correspond to Ru<sub>0.73</sub>Zn<sub>0.27</sub>O<sub>2.43</sub> and to Ru<sub>0.63</sub>Zn<sub>0.37</sub>O<sub>2.23</sub> for the materials with nominal Zn contents of 0.1 and 0.2, respectively. It needs to be noted that the apparent excess of the oxygen in the surface composition can be attributed to surface OH groups as well as to adsorbed water.

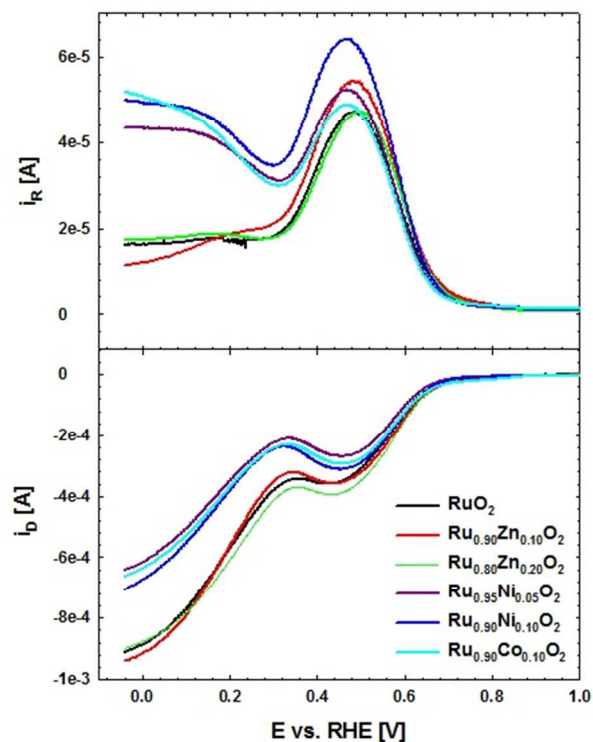




**Fig. 2** SEM images of nanocrystalline (a)  $\text{RuO}_2$ , (b)  $\text{Ru}_{0.90}\text{Zn}_{0.10}\text{O}_2$ , (c)  $\text{Ru}_{0.80}\text{Zn}_{0.20}\text{O}_2$ , (d)  $\text{Ru}_{0.95}\text{Ni}_{0.05}\text{O}_2$ , (e)  $\text{Ru}_{0.90}\text{Ni}_{0.10}\text{O}_2$ , and (f)  $\text{Ru}_{0.90}\text{Co}_{0.10}\text{O}_2$  after annealing at  $400\text{ }^\circ\text{C}$  in air for 1 hour.

### Electrochemical measurements

All prepared ruthenia materials are active ORR catalysts in alkaline media. The ORR polarization curves for  $\text{RuO}_2$  and  $\text{Ru}_{1-x}\text{M}_x\text{O}_2$  ( $M = \text{Ni}, \text{Co}, \text{Zn}$ ) samples are shown in Figure 3. The disk current ( $i_D$ ) which reflects the oxygen reduction shows a pronounced peak at approximately  $0.40\text{ V}$  to  $0.55\text{ V}$  before approaching a mass transport controlled region. The disk current feature can be tentatively associated with a change in the Ru oxidation state from Ru(IV) to Ru(III)<sup>11, 36</sup>. This process is usually connected with cation insertion into the oxide structure to balance the charge in cationic and anionic sub-lattices<sup>38</sup>. The behavior giving rise to the peak in the disk current is also manifested in the ring current, indicating a pronounced formation of hydrogen peroxide in this potential region. The hydrogen peroxide formation in the  $0.40\text{ V}$  to  $0.55\text{ V}$  interval seems to be unaffected by the chemical composition of the catalyst. In addition, the formation of hydrogen provide seems to be



**Fig. 3** ORR polarization curves and ring currents at  $1600\text{ rpm}$  for  $\text{RuO}_2$  and  $\text{Ru}_{1-x}\text{M}_x\text{O}_2$  ( $M = \text{Ni}, \text{Co}, \text{Zn}$ ) electrodes at  $20\text{ mV s}^{-1}$  in  $\text{O}_2$  saturated  $0.1\text{ M NaOH}$ .  $E_{\text{ring}} = 1.1\text{ V vs. RHE}$ .

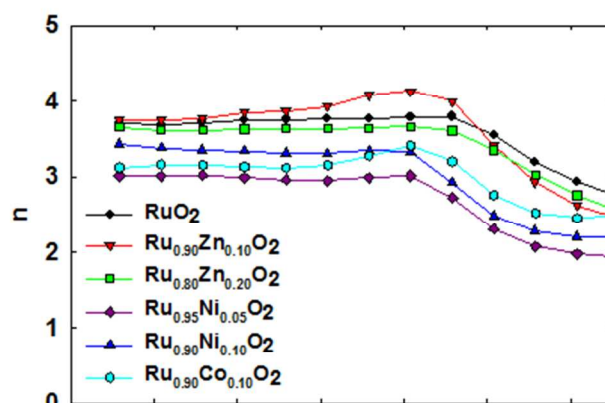
suppressed with increasing rotation rate. The precise mechanism of this reduction process is, however, not evident.

**Table 1.** Results of the analysis of the powder diffraction data for RuO<sub>2</sub> and doped RuO<sub>2</sub> samples.

Actual composition	Coherent Domain Size [nm]	Strain [%]	a [Å]	c [Å]	Particle Size [nm]
RuO <sub>2</sub>	5.7	0.46	4.470	3.120	7.6 ± 2.2
Ru <sub>0.9</sub> Zn <sub>0.1</sub> O <sub>2-z</sub>	4.9	0.61	4.526	3.108	8.9 ± 2.2
Ru <sub>0.82</sub> Zn <sub>0.18</sub> O <sub>2-z</sub>	5.5	0.00	4.519	3.099	5.8 ± 1.5
Ru <sub>0.95</sub> Ni <sub>0.05</sub> O <sub>2-z</sub>	5.3	0.00	4.515	3.096	7.2 ± 1.4
Ru <sub>0.91</sub> Ni <sub>0.09</sub> O <sub>2-z</sub>	5.0	0.00	4.501	3.079	7.9 ± 2.3
Ru <sub>0.9</sub> Co <sub>0.10</sub> O <sub>2-z</sub>	4.3	0.21	4.505	3.081	7.4 ± 1.8

5

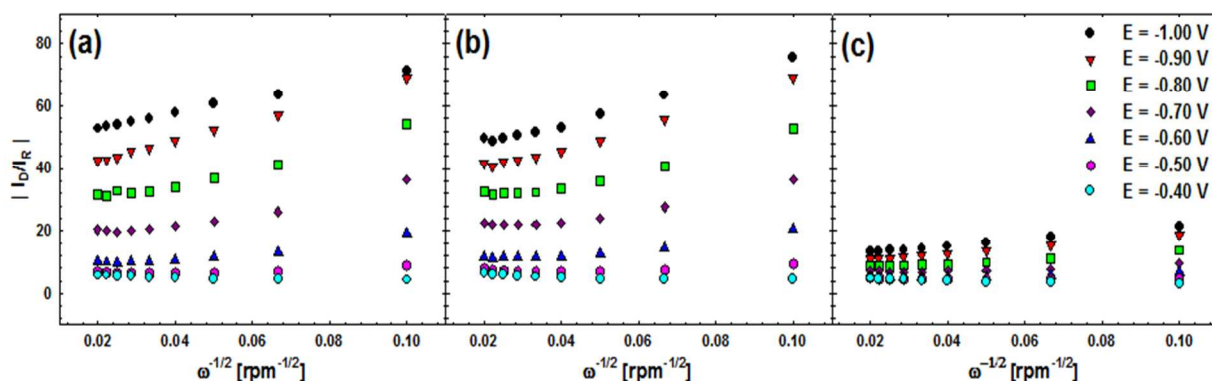
The overall ORR activity of the doped ruthenia catalysts is lower than that of the non-doped ruthenia. The ORR activity as reflected in the disk currents (*i<sub>D</sub>*) generally decreases for the Co- and Ni-doped samples. There is no apparent effect of the actual dopant concentration of the oxygen reduction disc currents. The corresponding ring currents (*i<sub>R</sub>*) are, however, higher than that of the non-doped ruthenia, particularly at high overpotentials (i.e. at potentials negative to 0.4 V vs. RHE). This shows a pronounced tendency of Co and Ni-doped materials to produce H<sub>2</sub>O<sub>2</sub> namely



**Fig. 4** Potential dependence of the average number of electrons transferred during oxygen reduction on RuO<sub>2</sub> and Ru<sub>1-x</sub>M<sub>x</sub>O<sub>2</sub> (M = Ni, Co, Zn) electrodes. Presented data were calculated using Koutecky-Levich equation

at high overpotentials (*n* ranging between 3.0 and 3.4). In contrast, the Zn-doped materials show a preference for the 4-electron reduction pathway with *n* values ranging between approximately 3.6 to 3.8 and an activity comparable to that of the non-doped ruthenia. Also the selectivity of the doped ruthenia in oxygen reduction is controlled rather by the doping process itself rather than by the actual dopant content.

The observed behavior reflects the surface sensitivity of oxygen reduction on oxide surfaces, which can be related to the surface local structure. Quantitative visualization of this behavior is shown in Figure 4, which plots the potential dependence of the



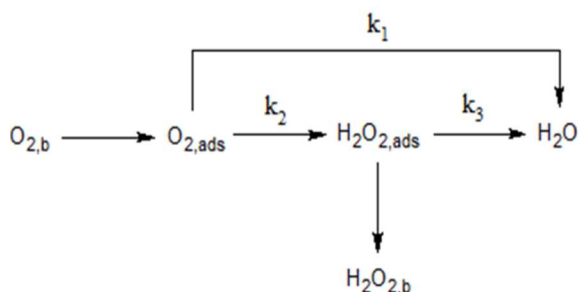
**Fig. 5**  $|I_D/I_R|$  vs.  $\omega^{-1/2}$  plots for (a) RuO<sub>2</sub>, (b) Ru<sub>0.80</sub>Zn<sub>0.20</sub>O<sub>2</sub>, and (c) Ru<sub>0.90</sub>Ni<sub>0.10</sub>O<sub>2</sub>. Presented data were extracted from RRDE experiments carried out in O<sub>2</sub> saturated 0.1 M NaOH.



average number of electrons transferred to an oxygen molecule on different doped ruthenium dioxide materials as calculated from the Koutecky-Levich equation<sup>38</sup>:

$$\frac{1}{i} = \frac{1}{i_k} + \frac{1}{0.62nFAD\frac{2}{3}v^{-\frac{1}{6}}C_{O_2}^*\omega^{\frac{1}{2}}} \quad (2)$$

where  $F$  is Faraday's constant,  $A$  is the geometric area of the electrode,  $D$  is the diffusion coefficient ( $1.90 \times 10^{-5} \text{ cm}^2 \text{ s}^{-1}$ ),  $v$  is the kinematic viscosity ( $8.70 \times 10^{-3} \text{ cm}^2 \text{ s}^{-1}$ ), and  $C$  is the bulk concentration of  $O_2$  ( $1.22 \times 10^{-6} \text{ mol cm}^{-3}$ )<sup>39</sup>.



**Fig. 6** Phenomenological mechanism of oxygen reduction according to reference<sup>40</sup>.

10

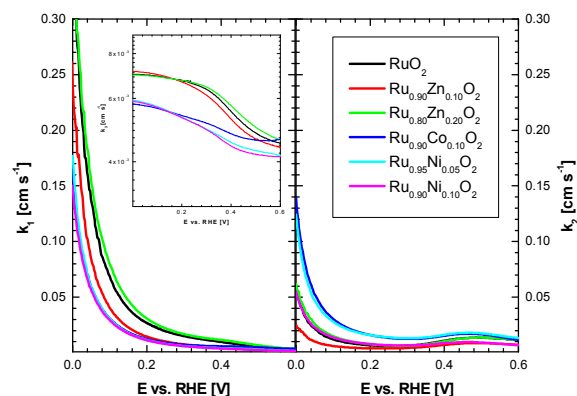
It has to be stressed that in contrast to the behavior known for metal electrocatalysts in acid media, the oxygen reduction on ruthenium based catalysts apparently forms primarily hydrogen peroxide, namely at low overpotentials. The observed selectivity of ruthenium-based catalysts in ORR shows a more complex potential dependence which can be treated either by a phenomenological or a local structure sensitive approach.

Assuming a general phenomenological model of the oxygen reduction mechanism as proposed previously (See Fig. 5)<sup>40, 41</sup>, oxygen can be reduced to water (4-electron pathway) either directly or sequentially with  $H_2O_2$  as the main adsorbed intermediate.

In principle,  $H_2O_2$  either desorbs and can be detected on the ring or can be further reduced to water in the second 2-electron reduction process. The measured disk current summarizes the current contributions from the complete 4-electron reduction to  $H_2O$  and the 2-electron reduction to  $H_2O_2$  while the recorded ring current is proportional only to the amount of oxygen reduced to  $H_2O_2$ . In this respect a ratio of  $i_D/i_R$  can be used as an indicator of the actual mechanism which should yield a straight line proportional to  $k_1/k_2$  when plotted against  $\omega^{-1/2}$  (See Fig. 6)<sup>40</sup>.

The actual  $i_D/i_R$  data deviate from linearity (see Fig. 6) as can be expected since the formalism incorporated in the scheme depicted in Fig. 5 disregards the nature of the individual reaction steps composing both 2- and 4-electron reduction pathways and their

35



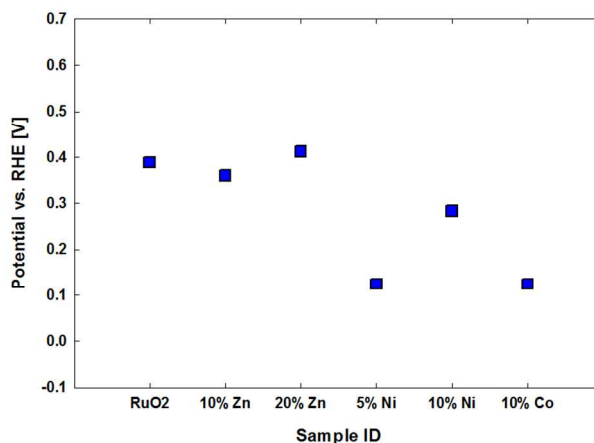
**Fig. 7** Potential dependence of the rate constants for the reduction of  $O_2$  to  $H_2O$  ( $k_1$ ), of  $O_2$  to  $H_2O_2$  ( $k_2$ ), and  $H_2O_2$  to  $H_2O$  ( $k_3$ ) on nanocrystalline ruthenium based catalysts. The presented data correspond to experiments carried out in  $O_2$  saturated 0.1 M NaOH at 1600 rpm.

different dependence on the electrode potential.

The individual rate constants  $k_1$ ,  $k_2$  and  $k_3$  were evaluated from ORR data assuming that all three processes proceed simultaneously and that the values of  $k_1$ ,  $k_2$ , and  $k_3$ , corresponding to reversed reactions, are negligible. The adsorption of oxygen on the electrode surface is also assumed to proceed sufficiently fast. The potential dependence of the rate constants for all considered catalysts in the overall oxygen reduction mechanism is shown in Fig. 7.

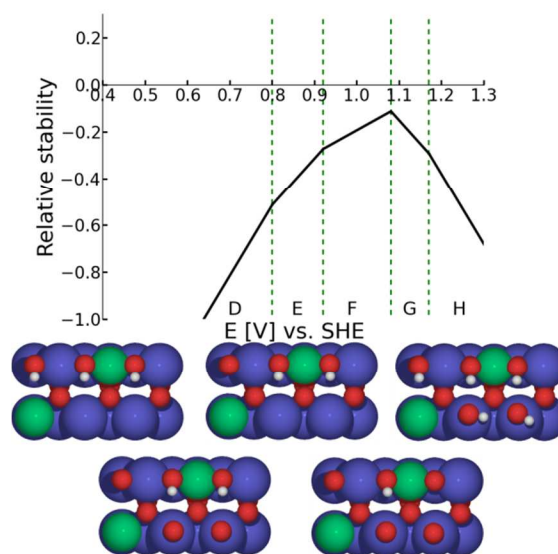
It seems that the conversion of  $\text{H}_2\text{O}_2$  to  $\text{H}_2\text{O}$  through the series pathway ( $k_3$ ) is negligible on all electrode surfaces at high overpotentials. It has to be noted, that although the  $k_3$  values are negligible with respect to  $k_1$  and  $k_2$  at higher overpotentials, there is a significant difference between  $k_3$  of the Zn-doped and non-doped samples and those obtained for the Ni- and Co-doped samples. The values of  $k_3$  observed for Ni- and Co-doped samples are approximately one order of magnitude lower and seem to correspond to decreased tendency of these materials to reduce oxygen through the 4-electron pathway.

As follows from Fig. 7, the conversion of  $\text{O}_2$  to  $\text{H}_2\text{O}_2$  appears to be the dominant process on ruthenium based oxides at low overpotentials. In this respect the reduction behavior of the ruthenium differs significantly from that of metals which prefer the 4-electron reduction at low overpotentials. The role of the chemical composition in selectivity of doped catalysts towards 2- and 4-electron reduction pathways can be visualized by the potential at which the catalytic system shows the same preference for the 4-electron and 2-electron reaction pathways, i.e. potential at which  $k_1/k_2 = 1$  (see Fig. 8).



**Fig. 8** The potential of equal rate in 2- and 4-electron reduction for different ruthenium based catalysts.

A fundamental description of the oxygen reduction on oxide surfaces can be based on the thermodynamic analysis of the



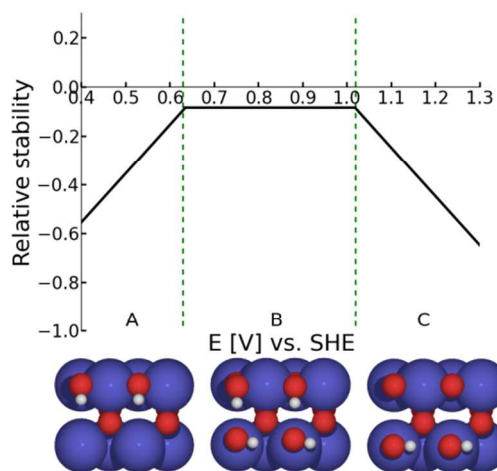
**Fig. 9** Surface Pourbaix diagram for  $\text{RuO}_2$ . Detailed description of the diagram construction is given in the supplementary information.

observed trend, which highlights the enhanced tendency of the Ni- and Co-doped materials to form hydrogen peroxide compared with non-doped and Zn-doped ruthenium and apparently reflects the local structure of the doped ruthenium oxides.

### DFT analysis of oxygen reduction

A fundamental description of the oxygen reduction on oxide surfaces can be based on the thermodynamic analysis of the process utilizing the DFT modeling. Reverting to the formalism used for the oxygen evolution reaction we can describe the overall reduction process as a sequence of four consecutive concerted electron/proton transfers if one aims for the complete 4-electron reduction or of two consecutive electron/proton transfers if hydrogen peroxide is considered as the reaction product. The results of the DFT investigations of ORR reduction on ruthenium dioxide based catalysts are summarized in Figures 9-12.

A systematic description of the stable surface structures at different potentials represents a prerequisite step in the theoretical investigation of oxygen reduction on an oxide surface, which in



**Fig. 10** Surface Pourbaix diagram for Ni-doped  $\text{RuO}_2$ . Detailed description of the diagram/s construction is given in the supplementary information.

this case is the [110] rutile surface of ruthenium. This procedure results in computational Pourbaix diagrams where the stable

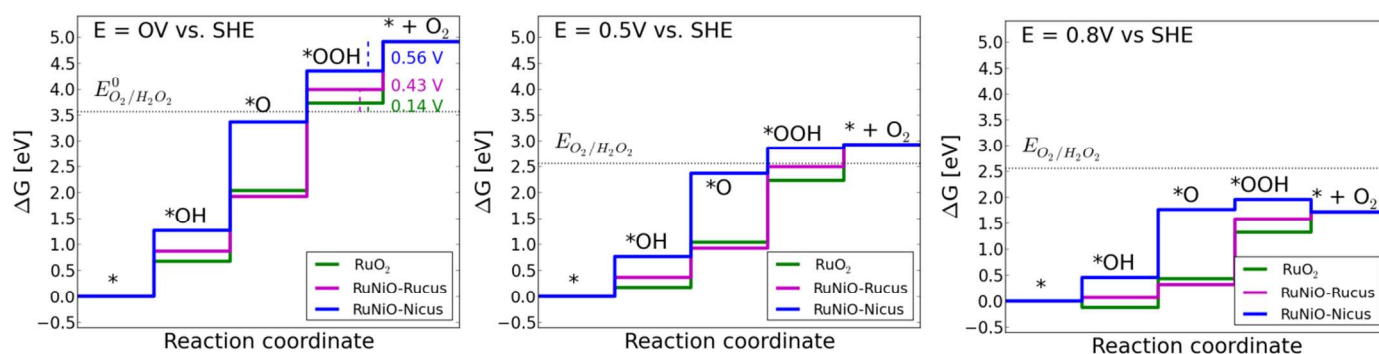
surface at any given potential features the highest stabilization (i.e. the most negative surface energy) of the system (see Supporting information for details of the Pourbaix diagram construction).

Bearing in mind that the [110] oriented surface of a rutile type oxide features the transition metal cations in two local environments, *cus* and *bridge*, one can visualize the surface of non-doped ruthenium dioxide as changing from the surface structure characterized by protonated oxygen on *cus* sites and deprotonated oxygens in *bridge* sites (region C in Fig. 9) to the surface featuring vacant *cus* and protonated oxygens in *bridge* sites (region A in Fig. 9). Since the ORR was not observed at potentials positive to 0.7 V (vs.RHE) one can restrict the DFT investigations of the oxygen reduction on conventional  $\text{RuO}_2$  to the surface stable in the region (A). In the case of doped ruthenium (as shown in the case of the Ni doped material presented in Fig. 10) one needs to consider the complexity arising from the chemical composition when both types of transition metal cations enter the *cus* and *bridge* positions. This variability in the chemical composition also increases the number of distinctive oxygen atoms available at the surface, the binding energy of which depends on their nearest neighbors. Although the electrode potential dependent variability of the surface structure is more pronounced in this case, the stable structures predicted from the potential range in which the ORR proceeds is qualitatively the same and corresponds to vacant *cus* sites complemented by protonated oxygen atoms connecting the *bridge* sites (see structure D in Fig. 10).

The DFT models predict that the entire process begins with oxygen adsorption at coordination unsaturated (*cus*) cationic sites. The behavior of both the non-doped and the doped ruthenia catalysts is controlled by the local structure and differs depending on the nature of the cation residing in the *cus* site as well as on the electrode potential. In the case that the *cus* site is occupied by a ruthenium cation (which are present on all investigated catalysts) the first electron reduction forms a rather strongly bound \*OOH intermediate, which is more stable than the hydrogen peroxide at most reasonable electrode potentials (see Figure 11). Consequently, the further reduction of the \*OOH intermediate located on Ru *cus* site cannot form hydrogen peroxide unless one uses an rather strong external electric field to

region with low total surface coverage, i.e. to relatively high over-potentials. It needs to be noted though, that the formation of hydrogen peroxide from \*OOH confined on a heteroatom occurs at much more positive potentials than in the case of \*OOH confined to Ru-containing *cus* sites and further reduction of the \*OOH intermediate can proceed via the 4-electron or 2-electron reduction pathway with approximately the same probability.

Figure 12 shows the dependence of the electrode potential needed to drive the oxygen reduction on oxide based surfaces either via the 4-electron (red) or 2-electron (blue) reaction pathway as a function of the reaction descriptor - i.e. adsorption energy of the \*OOH intermediate. It needs to be noted that in a similar manner



**Figure 11.** Free energy diagrams for the reduction of  $O_2$  on three catalytic active sites, the Ru *cus* site on conventional ruthenia (green), the *cus* Ru site on Ni doped  $RuO_2$  (magenta) and the *cus* Ni site on Ni doped  $RuO_2$  (blue). The dotted line represents the equilibrium potential of the reduction  $O_2$  to  $H_2O_2$ . The key difference is the binding of O on the Ni *cus* site compared to the Ru *cus* sites.

weaken the \*OOH binding to the surface. The actual potential(s) at which hydrogen peroxide formation becomes thermodynamically allowed are given in the legend of the Figure 11.

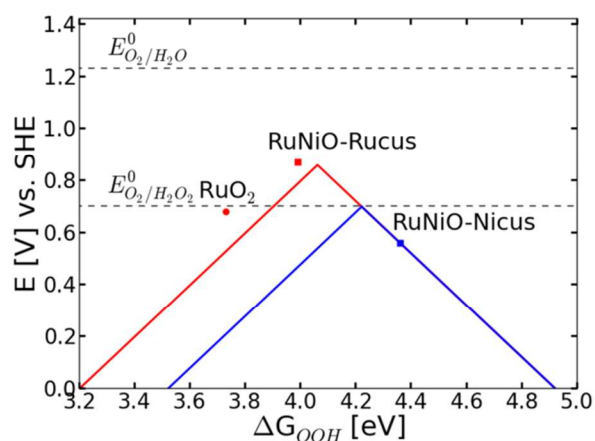
In the case that the *cus* site is occupied by a heteroatom, e.g. Ni or Co, (see Figure 11) one observes a significantly weaker binding of the \*OOH and \*O compared with the Ru occupied *cus* sites. This fact decreases the potential at which the reduction on  $Ni_{cus}$  starts to contribute to the overall reduction process. The weak interaction of the \*OOH with the heteroatom-containing *cus* site restricts the presence of such an adsorbate in the potential

one may describe the reaction with the adsorption of OH due to the interdependence of the adsorption energies of the intermediate formed in the first and third charge transfer step<sup>3, 33</sup>.

This dual volcano plot has been used with great success in literature<sup>42, 43</sup>. The volcano curves presented in Fig. 12 clearly show a quantitative prediction of the thermodynamic preference of the 4-electron reduction pathway over the 2-electron reduction on strongly adsorbing *cus* sites. As follows from Fig. 11, oxygen reduction on Ni-doped ruthenia should proceed at slightly more positive potentials compared with the non-doped ruthenia as long as the *cus* sites are occupied with Ru cations. The reduction

process on Ru occupied *cus* sites should show a pronounced preference for 4-electron reduction and the formation of hydrogen peroxide should be excluded for potentials positive of 0.14 V or 0.43 V (vs. RHE) for non-doped ruthenia and the Ni-doped material, respectively (see Fig. 11). The easier formation of hydrogen peroxide on the Ni-doped material should be compensated by an earlier onset of the oxygen reduction process as predicted for Ni-doped material. In the case of weakly adsorbing sites, e.g., in the case of Ni *cus* sites - there is no apparent thermodynamic preference for either the 4- or 2-electron reduction pathway. The DFT model predicts the onset of the oxygen reduction process to occur at potentials comparable with the ORR on non-doped ruthenia. The formation of hydrogen peroxide is possible at significantly more positive potentials (see Fig. 11)

Analyzing the experimental behavior of the ruthenium dioxide based catalysts for the oxygen reduction process in the light of the DFT results one can qualify the existence of two classes of catalysts – one favoring the 4-electron reduction (non-doped RuO<sub>2</sub> and Zn-doped RuO<sub>2</sub>) and another showing significant activity in hydrogen peroxide production (Ni- and Co-doped ruthenia). Realizing that the Zn present in the Zn-doped ruthenia is itself redox inactive one can assume that the catalysts in the first group have all active *cus* sites occupied by Ru regardless of the actual chemical composition. The confinement of the catalytic activity on Ru itself justifies the selectivity towards 4-electron reduction pathway as it is shown in Fig. 12. In the case of the Co- and Ni-doped ruthenia the significant amount of hydrogen peroxide formed in the process can be attributed primarily to the Ni *cus* sites although the Ru *cus* sites also contribute to the hydrogen peroxide formation at lower potentials. In contrast to the complementary



**Fig. 12** Volcano plot for the 2-electron (blue) and 4-electron (red) reduction of O<sub>2</sub> to H<sub>2</sub>O<sub>2</sub> and H<sub>2</sub>O, respectively, using the binding energy of OOH as a descriptor. The dotted lines represent the equilibrium potentials for the reduction products. In the case of the Ni-doped ruthenia the limiting over-potential for both possible reaction sites (Ru<sub>cus</sub> and Ni<sub>cus</sub>) are shown along with that of conventional ruthenia.

oxygen evolution process, the Ni (or Co) ions located in the bridge sites, which play crucial role in the complementary anodic process<sup>33</sup>, apparently have no effect on the oxygen reduction activity of these materials. A different role of the catalysts local structure in oxygen reduction is not entirely surprising given the irreversibility of oxygen evolution/reduction. The DFT calculations, however, fail to explain pronounced formation of the hydrogen peroxide on all ruthenium based catalysts at low overpotentials (0.55-0.40 V) when the hydrogen peroxide on Ru *cus* sites should be thermodynamically excluded. Given the relatively short timescale of the RRDE experiments one may therefore suggest that the system fails to reach the thermodynamically stable surface structure on the experimental timescale and the hydrogen peroxide is released from meta-stable intermediates not reflected in the DFT calculations.

## 50 Conclusions



Nanocrystalline ruthenia based electrocatalysts offer a convenient model for investigating the role of the local structure in the oxygen reduction on oxide electrodes. The oxygen reduction related activity of RuO<sub>2</sub> is comparable with that of the doped ruthenia. The selectivity of doped ruthenia catalysts differs from that of the RuO<sub>2</sub> in which the non-doped as well as Zn-doped catalysts prefer 4-electron oxygen reduction while the Ni- and Co-doped ruthenia produce significant amount of hydrogen peroxide. The observed selectivity trends can be rationalized using a thermodynamic analysis of the oxygen reduction process based on DFT calculations.

The DFT based analysis confines the oxygen reduction activity to *cus* sites the occupancy of which controls the selectivity of the oxygen reduction process. Oxygen reduction on non-doped ruthenium dioxide is controlled by the fourth electron transfer. Doping the ruthenium dioxide shifts the potential control to the first electron transfer. This trend can be attributed to decreasing occupancy of the *cus* sites with ruthenium. The strong adsorption of the \*OOH intermediate on the Ru *cus* site steers the reaction mechanism towards 4-electron reduction pathway. Incorporation of reactive transition metal cations into *bridge* sites makes the oxygen reduction reaction more facile, however, the effect is less pronounced than in the case of the oxygen evolution. A confinement of the reactive transition metal into *cus* sites weakens the adsorption of the reaction intermediates and opens the 2-electron reaction pathway at relatively low overpotentials.

#### Acknowledgement:

This work was supported by the Grant Agency of the Czech Republic (contract P108-12-1889) and European Commission within the Initial Training Network ELCAT (Project No. 214936). The support of the Danish Ministry of Science,

Technology and Innovation through the CASE is also gratefully acknowledged.

#### Notes and references

- <sup>35</sup> <sup>a</sup> Department of Electrocatalysis, J. Heyrovský Institute of Physical Chemistry, Academy of Sciences of the Czech Republic, Dolejškova 3, 18223 Prague, Czech Republic. E-mail: Petr.Krtil@jh-inst.cas.cz
- <sup>b</sup> Department of Chemistry and Chemical Biology, Northeastern University, 360 Huntington Ave., MA 02115, Boston, USA
- <sup>40</sup> <sup>c</sup> Center for Atomic-Scale Materials Design, Department of Physics, Technical University of Denmark, Building 307, 2800 Kgs. Lyngby, Denmark.
- † Electronic Supplementary Information (ESI) available: [details of any supplementary information available should be included here]. See DOI: 10.1039/b000000x/
- ‡ Footnotes should appear here. These might include comments relevant to but not central to the matter under discussion, limited experimental and spectral data, and crystallographic data.
- G. Chen, S. R. Bare and T. E. Mallouka, *J. Electrochem. Soc.*, 2002, **A1092-A1099**.
  - Y. Zhang, C. Wang, N. Wan and Z. Mao, *Int. J. Hydrogen Energy*, 2007, **32**.
  - M. T. M. Koper, *J. Electroanal. Chem.*, 2011, 254-260.
  - I. C. Man, H.-Y. Su, F. Calle-Vallejo, H. A. Hansen, J. I. Martinez, N. G. Inoglu, J. Kitchin, T. F. Jaramillo, J. K. Nørskov and J. Rossmeisl, *Chem. Cat. Chem.*, 2011, **3**, 1159-1165.
  - S. Trasatti, *Electrochim. Acta*, 1984, **29**, 1503-1512.
  - M. Wohlfahrt-Mehrens and J. Heitbaum, *J. Electroanal. Chem.*, 1987, **237**, 251-260.
  - M. E. G. Lyons and S. Floquet, *Phys. Chem. Chem. Phys.*, 2011, **13**, 5314-5335.
  - S. Song, H. Zhang, X. Ma, Z. Shao, R. T. Baker and B. Yi, *Int. J. Hydrogen Energy*, 2008, **33**, 4955-4961.
  - S. Fierro, T. Nagel, H. Baltruschat and C. Comninellis, *Electrochem. Commun.*, 2007, **9**, 1969-1974.
  - A. J. Esswein, M. J. McMurdo, P. N. Ross, A. T. Bell and T. D. Tilley, *J. Phys. Chem. C*, 2009, **113**, 15068-15072.
  - C. C. Chang and T. C. Wen, *J. Appl. Electrochem.*, 1997, **27**, 355-363.
  - C.-C. Chang and T.-C. Wen, *J. Electrochem. Soc.*, 1996, **143**, 1485-1491.
  - F. Cheng, J. Shen, B. Peng, Y. Pan, Z. Tao and J. Chen, *Nature Chemistry*, 2011, **3**, 79-84.
  - M. Hamdani, R. N. Singh and P. Chartier, *Int. J. Electrochem. Sci.*, 2010, **5**, 556-577.
  - J. Suntivich, H. A. Gasteiger, N. Yabuuchi, H. Nakanishi, J. B. Goodenough and Y. Shao-Horn, *Nat Chem*, 2011, **3**, 546-550.
  - H. S. Horowitz, J. M. Longo and H. H. Horowitz, *J. Electrochem. Soc.*, 1983, **130**, 1851-1859.
  - R. G. Egdell, J. B. Goodenough, A. Hamnett and C. C. Naish, *J. Chem. Soc., Faraday Trans. 1*, 1983, **79**, 893-912.
  - M. Garcia-Mota, A. Vojvodic, H. Metiu, I. C. Man, H.-Y. Su, J. Rossmeisl and J. K. Nørskov, *Chem. Cat. Chem.*, 2011, **3**, 1607-1611.

19. J. Rossmeisl, Z.-W. Qu, H. Zhu, G.-J. Kroes and J. K. Nørskov, *J. Electroanal. Chem.*, 2007, **607**, 83-89.
20. K. Macounova, M. Makarova, J. Jirkovsky, J. Franc and P. Krtil, *Electrochim. Acta*, 2008, **53**, 6126-6134.
21. V. Petrykin, Z. Bastl, J. Franc, K. Macounova, M. Makarova, S. Mukerjee, N. Ramaswamy, I. Spirova and P. Krtil, *J. Phys. Chem. C*, 2009, **113**, 21657-21666.
22. N. Krstajic and S. Trasatti, *J. Electrochem. Soc.*, 1995, **142**, 2675-2681.
23. N. Krstajic and S. Trasatti, *J. Appl. Electrochem.*, 1998, **28**, 1291-1297.
24. L. M. D. Silva, J. F. C. Boodts and L. A. DeFaria, *Electrochim. Acta*, 2000, **45**, 2719-2727.
25. L. M. D. Silva, J. F. C. Boodts and L. A. D. Faria, *Electrochim. Acta*, 2001, **46**, 1369-1375.
26. L. M. D. Silva, L. A. D. Faria and J. F. C. Boodts, *J. Electroanal. Chem.*, 2002, **532**, 141-150.
27. V. Petrykin, K. Macounová, M. Okube, S. Mukerjee and P. Krtil, *Catal. Today*, 2012, **202**.
28. K. Macounová, M. Makarova, J. Franc, J. Jirkovský and P. Krtil, *J. Electrochem. Soc.*, 2008, **11**, F27-F29.
29. V. Petrykin, K. Macounova, J. Franc, O. Shlyakhtin, M. Klementova, S. Mukerjee and P. Krtil, *Chem. Mater.*, 2011, **23**, 200-207.
30. V. Petrykin, K. Macounova, O. A. Shlyakhtin and P. Krtil, *Angew. Chem.*, 2010, **49**, 4813-4815.
31. Y. D. Tretyakov and O. A. Shlyakhtin, *J. Mater. Chem.*, 1999, **9**, 19-24.
32. U. A. Paulus, T. J. Schmidt, H. A. Gasteiger and R. J. Behm, *J. Electroanal. Chem.*, 2001, **495**, 134-145.
33. N. B. Halck, V. Petrykin, P. Krtil and J. Rossmeisl, *Phys. Chem. Chem. Phys.*, d.o.i 10.1039/c4cp00571f (2014).
34. S. R. Bahn and K. W. Jacobsen, *Comput. Sci. Eng.*, 2002, **4**, 56-66.
35. B. Hammer, L. B. Hansen and J. K. Nørskov, *Phys. Rev. B: Condens. Matter*, 1999, **59**, 7413-7421.
36. M. Pourbaix, *Atlas of Electrochemical Equilibria in Aqueous Solutions*, Pergamon Press, Oxford, U.K., 1966.
37. K. Macounová, I. Jirka, A. Trojánek, M. Makarova, Z. Samec and P. Krtil, *J. Electrochem. Soc.*, 2007, **154**.
38. A. J. Bard and L. R. Faulkner, *Electrochemical Methods: Fundamentals and Applications*, 2nd Edition edn., John Wiley & Sons, Inc., New York, 2001.
39. N. M. Markovic, H. A. Gasteiger and P. N. Ross, *J. Phys. Chem.*, 1996, **100**, 6715-6721.
40. K. L. Hsueh, D. T. Chin and S. Srinivasan, *J. Electroanal. Chem.*, 1983, **153**, 79-95.
41. A. Damjanovic, M. A. Genshaw and J. O. M. Bockris, *J. Phys. Chem.*, 1966, **45**, 4057-4059.
42. S. Siahrostami, V.-C. Arnau, M. Karamad, D. Deiana, P. Malacrida, B. Wickman, M. Escudero-Escribano, E. A. Paoli, R. Frydendal and T. W. Hansen, *Nat. Mater.*, 2013, **12**, 1137-1143.
43. V. Viswanathan, H. A. Hansen, J. Rossmeisl and J. K. Nørskov, *Phys. Chem. Lett.*, 2012, **3**, 2948-2951.

55