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COMMUNICATION

DNA-mediated phase transfer of DNA-functionalized quantum dots using reverse micelles

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We report selective phase transfer of DNA-functionalized CdTe quantum dots (DNA-QDs) from an aqueous phase to an organic phase using reverse micelles and a DNA surfactant. The DNA surfactant recognized DNA tethered to QDs and transferred the DNA-QDs to an organic phase via the DNA hybridization. Extraction efficiency strongly depended on the nucleotide complementarity, the number of DNA oligonucleotides tethered to a QD and the DNA-QDs size.

Metal^{1, 2} and semiconductor nanoparticles^{3–5} exhibit unique optical and electronic properties beyond their bulk analogs. They have great application potential in electronic devices, sensors, diagnostics, and biological visualization. Their unique properties are strongly influenced by the nanoparticle size and size distribution. To date, much effort has been made to synthesize nanoparticles with a narrow size distribution in colloidal solution. However, most of the approaches adopted for nanoparticle synthesis are based on nucleation and growth mechanisms that result in fluctuation and heterogeneity in solution, generating variable nanoparticle size distributions. Several research groups reported the size-selective separation of nanoparticles via precipitation,6, 7 supercritical fluids,8,9 gas pressurization,10 highperformance liquid chromatography,^{11, 12} and size-exclusion chromatography.¹³ However, Sweeney et al. pointed out the difficulty in the separation of water-soluble gold nanoparticles using these techniques.¹⁴ In the last decade, there have been a few reports on the size-selective separation of water-soluble nanoparticles using membrane separation and size-exclusion chromatography.15, 16 These techniques, however, are limited to throughput and chemical composition owing to interactions between the nanoparticles and membrane matrices or column supports (e.g., membrane fouling or irreversible adsorption). Additionally, these approaches do not recognize the surface properties of nanoparticles or allow separation based on the surface chemistry of nanoparticles.

Liquid–liquid extraction (solvent extraction) is a powerful technique, which can be scaled up, for separation and purification of molecular



Aqueous phase

Scheme 1. Schematic illustration of phase transfer of DNA-QDs using reverse micelles and a DNA surfactant.

substrates. Phase transfer of molecules is a key step in liquid-liquid extraction. Energetic efforts have been made using solubility control and molecular recognition to achieve high selectivity for separation in the extraction. To our knowledge, however, there are no reports on liquid-liquid extraction of solid nanoparticles based on size- or surfaceselective separation without chemical transformation (e.g., ligand exchange). In the present study, we examined phase transfer of semiconductor nanoparticles in surface- and size-selective manners using reverse micelles and a DNA surfactant (Scheme 1). A reverse micelle, which is a nano-scaled water pool surrounded by surfactant molecules in an organic solvent, can be combined with liquid-liquid extraction to transfer water-soluble molecules from an aqueous solution into an organic solvent without chemical transformation.¹⁷ DNA duplex is maintained in the water pool of a reverse micelle.¹⁸ Our previous report demonstrated that reverse micelles could be used as nanocontainers to extract DNA oligonucleotides and that high selectivity was achieved in the reverse micellar extraction using a DNA surfactant.¹⁹ Here, we adopted DNA-functionalized CdTe quantum dots (DNA-QDs), which have been widely used in the biological field, as target solid nanoparticles. These were successfully applied in the liquid-liquid extraction of DNA-QDs from an aqueous phase to an organic phase in both surface- and size-selective manners (Scheme 1).

Water-soluble CdTe QDs were synthesized according to the literature.²⁰ The CdTe QDs were capped with mercaptopropionic acid. CdTe QDs were mixed with 5'-thiolated DNA oligonucleotide (12-mer) to prepare DNA-functionalized QDs (DNA-QDs).²¹ The details are given in the ESI file. The DNA–surfactant (DNA surfactant 1) was synthesized according to our previous reports.^{19, 22} An oleoyl group was introduced at the N-terminus of a single-stranded 5'-aminated DNA oligonucleotide (12-mer). The nucleotide sequences of the DNA surfactant and DNA-CdTe QDs are listed in Table S1.



Figure 1. (a) Fluorescence spectra of the organic and aqueous phases before and after the extraction of DNA-QDs. The excitation wavelength was 365 nm. (b) Effect of the concentration of DNA surfactant 1 on the extraction of DNA-QDs (QDs, 3 nm in diameter). The aqueous phase (tris-HCl buffer (pH 8, 25 mM), KCl (300 mM)) contained DNA surfactant 1 (400 nM) and DNA-QDs (100 nM); and the organic phase (2,2,4-trimethylpentane) contained DLPC (10 mM) and 1-hexanol (3 vol.%). The DNA/QD ratio was set at 4 in the DNA-QD synthesis. The extraction and fluorescence measurements were performed at 25 °C.

An organic phase (1 mL) was contacted with an aqueous phase (1 mL) for reverse micellar extraction of DNA-QDs. The aqueous phase (tris-HCl buffer (pH 8, 25 mM), KCl (300 mM)) contained DNA-QDs (75 nM), and DNA surfactant 1 (300 nM). The organic phase (2,2,4-trimethyl pentane) contained dilauroyl phosphatidylcholine (DLPC, 15 mM) and 1-hexanol (4.5 vol.%). DLPC was used as a zwitterion surfactant, and 1-hexanol was employed as a co-surfactant for the formation of reverse micelles. After the two phases were gently stirred at room temperature for 3 h, Kirl–Fisher analyses revealed that 1.5 wt.% water was present in the organic phase, suggesting the formation of reverse micelles in the organic phase. Dynamic light scattering measurements showed that the diameter of the reverse micelles was ~8 nm. This result was similar to that of the previous report.¹⁹

The fluorescence of the DNA-QDs in each phase was measured to determine the percentage extraction of the DNA-QDs. After contacting the two phases for 3 h, the fluorescence of the DNA-QDs in the aqueous phase decreased by 20% and the correspondent fluorescence was observed in the organic phase (Fig. 1a). We found that ~20% of the DNA-QDs was extracted from the aqueous phase to the organic phase. It should be noted that the fluorescence intensity and spectrum of the DNA-QDs in the reverse micelles were comparable to those in the aqueous solution. In the absence of the DNA surfactant **1**, DNA-QDs were not extracted.

We hypothesized that DNA surfactant **1**, which was complementary to the nucleotide sequence of the DNA-QDs, facilitated extraction of the DNA-QDs via DNA hybridization (Scheme 1). To validate the hypothesis, we examined the liquid–liquid extraction of the DNA-QDs by varying the concentration of DNA surfactant **1** (Fig. 1b). The percentage extraction of DNA-QDs increased with increase in the DNA–surfactant **1** concentration, thus supporting our hypothesis. The use of DNA–surfactant **1** at 800 nM, which was twice the concentration of DNA tethered to the QDs (DNA/QD = 4; QD concentration, 100 nM QD), gave the highest percentage extraction (22%). The melting temperature (T_m) of 12-mer DNA (used for the DNA–surfactant 1) was 36.0 °C under the present buffer conditions, and was sufficiently high for the DNA hybridization.



Figure 2. Effect of the molar ratio of DNA/QDs (QDs, 3 nm in diameter) on the extraction of DNA-QDs. The aqueous phase (tris-HCl buffer (pH 8, 25 mM), KCl (300 mM)) contained DNA surfactant 1 (400 nM) and DNA-QDs (100 nM); and the organic phase (2,2,4-trimethylpentane) contained DLPC (10 mM) and 1-hexanol (3 vol.%). The extraction and fluorescence measurements were conducted at 25 °C. Experiments were performed in triplicate. Error bars represent the standard deviations.

One of the featured characteristics of DNA is the specificity in the duplex formation with its complementary strand. We then synthesized DNA–surfactant **2**, featuring a different nucleotide sequence that was not complementary to the DNA tethered to the QDs (Table S1). The use of the mismatched DNA surfactant did not lead to extraction of the DNA-QDs. These results suggested that the DNA surfactant recognized the DNA strand tethered to the QDs and enabled extraction of the DNA-QDs.

We then investigated the effect of extraction time on the percentage extraction of the DNA-QDs (Fig. S1). As the extraction time increased, the percentage extraction increased. After 1 h, the percentage extraction reached a plateau (at 20%). Extraction of the DNA-QDs to an organic phase was relatively long because of the gentle stirring used and the low diffusion velocity of the QDs.



Figure 3. Effect of the diameter of QDs (3, 4, 5, and 5.5 nm) on the extraction of DNA-QDs. The aqueous phase (tris-HCl buffer (pH 8, 25 mM), KCl (300 mM)) contained DNA surfactant 1 (300 nM) and DNA-QDs (75 nM); and the organic phase (2,2,4-trimethylpentane) contained DLPC (15 mM) and 1-hexanol (4.5 vol.%). The DNA/QD ratio was set at 4. The extraction and fluorescence measurements were conducted at 25 °C. Experiments were performed in triplicate. Error bars represent the standard deviations.

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The diameter of the prepared reverse micelles was 8 nm. The length of 12-mer DNA oligonucleotide on the QDs was ~4 nm. Although both a micelle and a DNA strand are flexible, DNA functionalization would increase the physical size of the DNA-QDs and influence the extraction efficiency. The combined effect of the number of DNA oligonucleotides tethered to a QD and size of the reverse micelles is expected to play a key role in the extraction. We then investigated the effect of molar ratio of the tethered DNA/QD on extraction (Fig. 2). The molar ratio of tethered DNA/QD was controlled by varying the concentration of 5'-thiolated DNA during the DNA-QDs synthesis. With increasing amounts of DNA tethered to a QD, the percent extraction of DNA-QDs increased. When the molar ratio of the tethered DNA/QD exceeded 4, the percent extraction decreased. These results suggested that the number of the DNA oligonucleotides tethered to a QD was essential for the extraction of DNA-QDs. The increase in the hydrophobic tail (derived from DNA surfactant 1) enabled phase transfer of a water-soluble QD to the organic phase. However, excessive amounts of DNA oligonucleotides on a QD resulted in lower extraction probably because of the resulting larger size of the DNA-QDs and electrostatic repulsion between the excess DNA oligonucleotides tethered to the QDs and DNA surfactant 1.23

Figure 3 shows the effect of particle diameter of CdTe QDs on extraction. We synthesized CdTe QDs with a range of 3–5.5 nm in diameter by controlling the reflux time during QD synthesis. The DNA/QD ratio was set at 4. As observed, larger CdTe QDs resulted in lower percentage extraction. CdTe QDs (5.5 nm in diameter) were barely extracted probably because the size of the resulting DNA-QDs would be too large for encapsulation in the reverse micelles.

These results imply that the physical size of the nanoparticles was important for the extraction yield in the reverse micellar extraction. Although we also investigated the various extraction conditions (cosurfactant concentration, salt concentration, buffer type and temperature), the percentage extraction was still ~20%. The increase of the size of reverse micelles might improve the percentage extraction. The appropriate selection of a surfactant for reverse micelles, alternate to DLPC, would produce reverse micelles large enough for the extraction of the QDs. We are currently studying the conditions for preparing large reverse micelles.



Figure 4. (a) Fluorescence spectra of DNA-QDs (QDs, 4 and 5.5 nm in diameter) in a tris-HCl buffer (pH 8, 25 mM) solution containing KCl (300 mM). (b) Fluorescence spectra of the aqueous and organic phases after extraction. Before extraction, the aqueous phase contained DNA surfactant **1** (300 nM) and two different types of QDs (diameter: 4 and 5.5 nm; 75 nM). The organic phase (2,2,4-trimethylpentane) contained DLPC (15 mM) and 1-hexanol (4.5 vol.%). The extraction and fluorescence measurements were performed at 25 °C.

We finally investigated the size-dependent extraction of the DNA-QDs from a mixture of DNA-QDs with different diameters. DNA-QDs with two different diameters (4 and 5.5 nm) displayed maxima fluorescence at 580 and 675 nm, respectively, in an aqueous solution (Fig. 4a). These two types of DNA-QDs (75 nM) were added to an aqueous phase containing DNA surfactant 1 (300 nM), followed by the addition of an organic phase containing DLPC and 1-hexanol. Following gentle stirring for 3 h, the fluorescence of the CdTe QDs was measured. Only the fluorescence at 580 nm was observed in the organic phase (Fig. 4b). The percentage extraction values were 8.0% (QD, 4 nm) and 1.6% (QD, 5.5 nm). Thus, DNA-QDs with QDs of size 4 nm were preferentially extracted over DNA-QDs with QDs of size 5.5 nm, which were barely extracted. Thus, the present system affords size-selective extraction of DNA-QDs from a mixture of DNA-QDs to an organic phase.

Conclusions

We employed reverse micelles coupled with a DNA surfactant and succeeded in the DNA-mediated phase transfer of DNA-functionalized CdTe QDs from an aqueous phase to an organic phase for the first time. The present study reveals that precise molecular recognition of DNA can be used in liquid/liquid extraction for the selective separation of nano-scaled solid materials (QDs) and that the reverse micellar system can discriminate between differently sized QDs during extraction. The present approach using reverse micelles might be extended to the separation of nanometer-sized metal particles and rods. Due to the size limitation of reverse micelles, the available size of nanomaterials will be a few nanometers. Liquid–liquid extraction using an extracting agent has potential for the separation of solid nanomaterials in addition to its widespread use in the separation of molecular substrates.

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