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## ARTICLE

# Simple fabrication and electrochemical performance of porous and double-shell macroporous CuO nanomaterials with a thin carbon layer

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### Abstract

In this work, the different types of CuO particles with thin carbon layer were fabricated and tested as anode materials for lithium ion batteries. The pH-responsive hydrogel microspheres are utilized as a template for morphological and structural changes of composite particles. The structure of CuO particles and a thin carbon layer have an effect to improve the electrochemical performances of the lithium ion cells.

### Introduction

In recent years, lithium ion batteries (LIBs) have been commonly used as energy storage devices in digital cameras, smart phones, laptop/tablet computers, wireless home appliances, and other electric devices. However, in order to develop next-generation LIBs for more sustainable mobile devices, electric vehicles, and energy storage systems, electrode materials with higher capacity and superior stability must be developed. Transition metal oxides (TMOs: M=Fe, Cu, Co, Ni, and Zn) have garnered interest as alternative anode materials for next-generation LIBs instead of graphite, because conversion reaction-based anodes show much higher theoretical capacity than graphite anodes [1]. However, these materials cannot be deployed on a commercial scale because of their poor capacity retention during continuous cycling. Since TMOs have intrinsically low electrical conductivity, and their volume variation during lithiation-delithiation causes poor cell cyclability [2], nanostructured [3] and carbon composite TMObased [4] anodes have been investigated by many research groups in an attempt to improve conductivity. Nanostructured materials, such as nanoparticles [5], nanorods [6], and nanofibers [7], exhibit improved cyclability, efficiency, and better rate capabilities, even at high current rates, due to the short diffusion lengths of their electroactive components and their large active sites [8]. However, despite these attractive advantages of TMO nanomaterials, some disadvantages remain to be addressed. These include poor capacity retention caused by low electrical conductivity, enormous volume variation, and severe aggregation caused by high surface free energy [9]. The application of a carbon coating is one method to overcome the

poor capacity retention of these materials. Since carbon can deter intense structural conversion and improve the electrical conductivity of active materials, various carbon composite anode materials have recently been reported [10]. Carbon composite anode materials have been synthesized through various synthetic methods such as chemical vapor deposition (CVD), pyrolysis, and hydro/solvothermal synthesis [11]. Another effective way to improve the electrochemical properties is by introducing void space, such as meso and macro pores, to serve as a buffering space that accommodates the volume expansion [10, 12]. Our research group previously confirmed that the introduction of void space can improve cyclability, as experimentally demonstrated by comparing the capacity retention of hollow, structured Fe<sub>3</sub>O<sub>4</sub> submicron spheres and Fe<sub>3</sub>O<sub>4</sub> nanoparticles [12]. Furthermore, we reported that the spherical hydrogel template used to prepare rattle structured a-Fe<sub>2</sub>O<sub>3</sub> submicron spheres can contribute to formation of a thin carbon layer on the surfaces of α-Fe<sub>2</sub>O<sub>3</sub> nanograins. This thin carbon coating is formed during the decomposition of the polymer chains when they are heated in a certain gaseous atmosphere [13]. The rattle-type structure of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> submicron spheres is formed by Ostwald ripening, which contributes to the good capacity retention at high current rates; the internal void space and thin carbon layer reduce the chargetransfer resistance of the cells.

Herein, we report the simple fabrication of porous CuO spheres and three-dimensionally ordered macroporous CuO with a double shell and a thin carbon layer (porous CuO/C and 3DOM-CuO/C), without the need for an extra carbon-coating process. Some papers related to the high-performance CuO

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anodes with carbon and conducting polymer have been reported [14]. In our study, however, the experimental system to coat the carbon layer and to control the structures is quite unlike above researches. The three-dimensional structure of CuO is generated by a simple synthetic method that uses spherical hydrogel particles as a template to accommodate large volume variation and desirable Li ion kinetics. In addition, the thin carbon layer formed on the inner/outer shell surfaces not only alleviates the mechanical stress of macroporous CuO during continuous cycling, but also reduces the charge-transfer resistance of the cells. The internal structure and the surface area of 3DOM-CuO/C can be adjusted by changing the pH during the synthetic process. After fabrication of porous CuO/C and the double-shelled 3DOM-CuO/C, thin carbon layers coated on the surfaces of the inner portions of the particles were characterized by HR-TEM and EDX line profiles. Additionally, 3DOM-CuO/C was tested as an anode material for lithium ion batteries and characterized electrochemically to determine the effects of the ordered 3D structure and the thin carbon layer on device performance.

### Experimental

### Materials

Copper (II) sulfate pentahydrate (CuSO<sub>4</sub>·<sub>5</sub>H<sub>2</sub>O, Yakuri Pure Chemical Co., Ltd.), methacrylic acid (MAA, Junsei Chemical), ethylene glycol dimethacrylate (EGDMA, Tokyo Kasei Kogyo),  $\alpha$ , $\alpha$ '-azobis-(isobutyronitrile) (AIBN, Junsei Chemical), acetonitrile (DeaJung Chemicals & Metals Co., Ltd.), sodium hydroxide (NaOH, Sigma Aldrich), an ammonium hydroxide solution (DeaJung Chemicals & Metals Co., Ltd.), anhydrous ethyl alcohol (ethanol, DeaJung Chemicals & Metals Co., Ltd.), and distilled water (D. I. water) were purchased and used as received.

### Preparation of poly(MAA/EGDMA) microspheres

Poly(MAA/EGDMA) microspheres were synthesized by distillation-precipitation polymerization [17]: D.I. water (24.0 g) and acetonitrile (136.0 g) were mixed in a round-bottom flask used as a reactor. AIBN, used as an initiator, was dissolved in a monomer mixture consisting of MAA (15.0 g) and EGDMA (5.0 g). The monomer mixture was poured into the reactor and polymerized at 88°C until a certain amount of the medium was evaporated from the reactant. The precipitates were then obtained by centrifugation. The hydrogel particles were washed several times with ethanol and D.I. water and dried under vacuum.

### Preparation of porous CuO/C and double-shelled 3DOM-CuO/C

In a four-neck flask, 0.3 g of poly(MAA/EGDMA) microspheres were dispersed and stirred at a speed of 200 rpm in 300 ml of two alkaline aqueous solutions with different pHs adjusted with 3 M NaOH with nitrogen purging at room temperature for 4 h. Then, 300 ml of a CuSO<sub>4</sub> solution (2.6 mM) was poured into the reactor and stirred continuously for 12 h. Next, 27 ml of an NH<sub>4</sub>OH solution was added into the reactor, and the mixture was centrifuged after 2 h. The obtained

products were washed several times with ethanol and freezedried. The as-prepared Cu precursors/poly(MAA/EGDMA) microspheres were heated to 500°C at 5°C/min and maintained for 2 h at 500°C under a gaseous mixture condition (mainly air) in order to obtain porous CuO spheres (porous CuO/C) and three-dimensionally ordered macroporous CuO with a thin carbon layer (3DOM-CuO/C).

### Characterization

All products were characterized with X-ray diffraction (XRD), Fourier-transform infrared spectroscopy (FT-IR, Nicolet Magna IR-550), scanning electron microscopy (SEM, JSM-6300, JEOL), focused-ion beam scanning electron microscopy (FIB-SEM, Hitachi S-4800), transmission electron microscopy (TEM, JEOL, JEM-2000EX), high-resolution transmission electron microscopy (HR-TEM, JEM-2100F), optical microscopy (OM, Olympus, BX51), and a zeta-potential analyzer (Zetasizernano ZS, Malvern Instruments).



Figure 1 Schematic illustration of the fabrication process for porous submicron spheres and double-shelled three-dimensionally ordered macroporous CuO with a thin carbon layer.

### **Electrochemical Measurements**

Electrochemical characteristics of the porous CuO/C and 3DOM-CuO/C as anode materials were investigated using 2032 coin-type cells. The electrode slurries were fabricated by mixing 3DOM-CuO/C powder (70 wt.%), super-P as a conductive agent (15 wt.%), and carboxymethyl cellulose (CMC, 7.5 wt.%): polyacrylic acid (PAA, 7.5 wt.%) as binders. The mixed slurry was plastered onto a Cu foil via doctor blading. The electrode was dried for 20 min in a 110°C oven and roll-pressed. The coin cells were assembled in an argonfilled glove box. Li metal foil was used as a counter electrode, and ethylene carbonate/diethyl carbonate (EC/DEC, 1:1 by volume, provided by Techno Semichem Co. Ltd., Korea) was used as an electrolyte. The cell performance was tested galvanostatically and charged and discharged in the voltage range between 0.02 and 3.0 V at room temperature. Electrochemical impedance spectroscopy (EIS) measurements were performed using a Zahner Elektrik IM6 at frequencies ranging from 5 mHz to 100 kHz with an amplitude of 50 mV.

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### **Results and discussion**

In this study, porous CuO submicron spheres and threedimensionally ordered macroporous CuO with a thin carbon layer (porous CuO/C and 3DOM-CuO/C) were synthesized using poly(MAA/EGDMA) microspheres as a sacrificial template and the diffusion of Cu ions. This method does not require extra processing steps for shell formation or carbon-coating. Our strategy is based on the electrostatic interaction between the Cu precursors and the ionized carboxyl groups of the polymer backbone. Since the inner structure of the CuO particles varies depending on the pH of the reaction medium, the poly(MAA/EGDMA) microspheres swelled in alkaline aqueous solutions with different pHs. Ionized Cu ions from copper (II) sulfate pentahydrate can exist as  $Cu^{2+}$  and  $Cu(OH)_2$  in an alkaline aqueous solution. For convenience, we refer to these species as "Cu precursors" in this work. After swelling the poly(MAA/EGDMA) microspheres, Cu precursors of two types were allowed to diffuse into the swollen templates based on the presence of a concentration gradient and electrical charge attraction. Then, the obtained Cu precursors/poly(MAA/EGDMA) composite microspheres underwent heat treatment after freeze-drying in order to obtain porous CuO spheres with a thin carbon layer and different macroporous structures. These are formed through polymer decomposition and simultaneous CuO crystal growth, as schematically illustrated in Figure 1.

The poly(MAA/EGDMA) microspheres were synthesized by distillation-precipitation polymerization. During fabrication of the poly(MAA/EGDMA) microspheres, the change of medium solvency induced by the evaporation of the solvent resulted in the precipitation of propagating polymer chains caused by hydrophobic interactions. The poly(MAA/EGDMA) microspheres have a narrow size distribution because they were stabilized by the electrostatic repulsion produced by the carboxylic acid groups during polymerization, as shown in Figure S1b. Figure S1a shows the FT-IR spectrum of poly(MAA/EGDMA) microspheres. One strong peak around 1700 cm<sup>-1</sup> is attributed to the stretching vibrations corresponding to OH and C=O of the carboxyl group in the cross-linked polymer chains. The average diameter of poly(MAA/EGDMA) microspheres was 0.92 µm after freezedrying (SEM image, Figure S1b). This value increased to 1.27 µm and 1.45 µm in alkaline aqueous solutions pHs of 6.44 and 9.05, respectively (OM image, Figures S1c, d). When dispersed in the reaction medium, carboxyl groups (-COOH) lost their protons and were converted to carboxylate ions (-COO<sup>-</sup>), causing the repulsion between polymer chains. When a CuSO<sub>4</sub> solution was added to the aqueous solution containing the swollen polymer microspheres in each experimental condition, the translucent white stationary reaction system consisting of poly(MAA/EGDMA) microspheres and aqueous solution of each pH immediately turned light blue. After adding an ammonia solution, the color of the stationary reaction system immediately changed to a deep blue.

These color changes resulted from the following chemical reaction:

$$Cu^{2^+} + 2OH^- \rightarrow Cu(OH)_2$$
  
 $Cu(OH)_2 + 4NH_3 \rightarrow [Cu(NH_3)_4]^{2^+} + 2OH^-$ 



Figure 2 SEM images of Cu precursors/poly(MAA/EGDMA) composite microspheres synthesized under aqueous solutions with a lower (a) and a higher (b) pH at low and (inset) high magnification, (c) and thermal gravimetric analysis curves of poly(MAA/EGDMA) and Cu precursor/poly (MAA/EGDMA) composite microspheres.

When copper (II) hydroxide reacts with ammonia, it forms a chelate consisting of  $[Cu(NH_3)_4]^{2+}$  complex ions known as Schweizer's reagent. Accordingly, Cu<sup>2+</sup>, Cu(OH)<sub>2</sub> and  $[Cu(NH_3)_4]^{2+}$  are formed after addition of ammonium solution. These species can diffuse into the poly(MAA/EGDMA) microspheres by electrical attraction and the presence of a concentration gradient. After stirring for 12 h and washing and drying the particles, variation is produced with regard to the shade of blue. Therefore, we assumed that the Cu precursors in poly(MAA/EGDMA) microspheres were Cu<sup>2+</sup> and Cu(OH)<sub>2</sub>. The SEM images in Figures 2a and 2b show that the particle size and morphologies of Cu precursors/poly(MAA/EGDMA) composite microspheres were similar to those of the poly(MAA/EGDMA) composite particles before introduction of the Cu precursors. Figure 2c shows that the loss amounts of polv(MAA/EGDMA) and two types of Cu precursors/poly(MAA/EGDMA) composite microspheres prepared under different pHs were 3.79%, 17.09%, and 23.61%, respectively. These TGA curves show different residual masses and thermal degradation processes. While the first weight loss of poly(MAA/EGDMA) microspheres occurred at 380°C, the Cu precursors/poly(MAA/EGDMA) composite microspheres decomposed rapidly before the temperature reached 200°C, because the decomposition temperature of Cu(OH)<sub>2</sub> is 185°C. Furthermore, during the decomposition process of Cu(OH)<sub>2</sub> at high temperatures, Cu<sup>2+</sup> ions acted as a catalyst for polymer degradation. Transition metal oxides cause side reactions, generate intermediates, and cause thermal degradation of several polymers by catalyzing polymer chain scission at high temperatures [15]. Since Cu<sup>2+</sup> ions were present in almost every portion of the poly(MAA/EGDMA) chains, the overall decomposition process curve of Cu precursors/poly(MAA/EGDMA) composite microspheres was different from that of poly(MAA/EGDMA) microspheres. Based on the TGA curves, we concluded that the Cu precursors were successfully diffused into the chain networks of poly(MAA/EGDMA) microspheres.



Figure 3 X-ray diffraction patterns of porous CuO/C (black) submicron spheres and double-shelled 3DOM-CuO/C (red) after heat treatment.

X-ray diffraction (XRD) patterns of the heat-treated samples only show peaks that indicate monoclinic CuO; there were no impurity peaks (Figure 3). Figure 4 shows (a, b, e and f) SEM, (e and g) cross-sectional and (d and h) TEM images of porous CuO/C submicron spheres and 3DOM-CuO/C with double shell.



Figure 4 SEM images of porous CuO/C submicron spheres at (a) low and (b) high magnification, and their (c) cross-sectional image and (d) TEM image. SEM images of double-shelled 3DOM-CuO/C at (e) high and (f) low magnification, (g) cross-sectional image and (h) TEM image of double-shelled 3DOM-CuO/C.

Figure Cu 4 shows the morphologies of each precursors/poly(MAA/EGDMA) composite microsphere after heat treatment for 2 h at 500°C under a gaseous mixture (mainly air). The morphologies of the obtained porous CuO submicron spheres and 3-DOM CuO were investigated by SEM, FIB-SEM, and TEM. Porous spheres were obtained under the lower pH condition and had a narrow size distribution, as shown in Figures 4a-d. The average diameter of the porous CuO submicron spheres was 488 nm. We obtained 3DOM-structured CuO under the higher pH condition, and the submicron spheres of the bulk particles had a double-shell structure (Figures 4e-h). The cross-sectional image shows that the average diameters of the outer- and inner-shells were 449 nm and 213 nm, respectively.

In a previous study, we fabricated hollow and rattle-type spherical  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> particles with a thin carbon layer using poly(MAA/EGDMA) microspheres under different pHs. The formation of thin carbon layers on the inner and outer surfaces were investigated and were demonstrated to enhance the electrochemical performance of the obtained rattle-type  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> submicron spheres as anode materials for LIBs. In this work, in order to identify the formation of a thin carbon layer on the surface of porous and 3DOM-structured CuO with



Figure 5 The EDX line profiles of (a) porous CuO/C and (b) 3DOM-CuO/C submicron spheres (Cu, O, and C), (c) HR-TEM images of the (d) outer and (e and f) inner surfaces of double-shelled 3DOM-CuO/C.

double shells, high-resolution transmission electron microscopy (HR-TEM), energy-dispersive X-ray spectroscopy (EDX) line profiles, and X-ray photoelectron microscopy (XPS) were used, as shown in Figure 5 and Figure S3. The chemical components of the amorphous thin layer were clearly verified by EDX line profiling (Figures 5a-b) using STEM images. The EDX spectra show the detection locations of Cu (blue line), O (red line), and C (green line). These spectra show that carbon existed discontinuously on the pores and in the inner and outer shells of porous CuO/C and double-shelled 3DOM-CuO. The HR-TEM images in Figures 5c-f are highly magnified images of the inner portion of the shell and two segments of the outer portion of the shell, which is marked by a square, low magnification TEM image of the double shell CuO submicron sphere (Figure 5c). A thin, amorphous layer (about 1.5 nm thick) was observed on the surfaces of the inner and outer shell portions, as shown in Figures 5d-f. The carbon amounts in the porous CuO/C and double-shelled 3DOM-CuO are 4.57 and 5.50 wt. %, respectively (Figure S2).

The surface binding energy spectra in Figure S3 also demonstrate that Cu, O, and C were presented on the surfaces of porous-CuO/C and 3DOM-CuO/C. The main peaks of the Cu2p spectra (Cu  $2p_{1/2}$  and Cu  $2p_{3/2}$ ) were 953.23 eV and 932.08 eV for porous CuO/C submicron spheres and 953.23 eV and 933.88 eV for double-shelled 3DOM-CuO/C, respectively. The main peak in Figure S3b was at 529.48 eV, corresponding to the O1s peak. Peaks were also observed at 529.1 and 530.7 eV, as shown in Figure S3e, and assigned to CuO and -OH, respectively. The binding energies of C1s were 288.58 eV and 283.18 eV for porous CuO/C submicron spheres and 284.5eV and 289 eV for double-shelled 3DOM-CuO/C. These peaks were attributed to carboxyl groups. The thin carbon layer was produced during the template removal step. Since Cu precursors were diffused into the cross-linked networks of poly(MAA/EGDMA), as mentioned above, the fragment of the polymer chain formed by the partial decomposition of poly(MAA/EGDMA) surrounded the growing CuO crystals as the temperature increased. During the temperature maintenance

period, where CuO crystal growth was continuous, the aggregated, decomposing polymer chains become a thin carbon layer on the surfaces of the nano-grains of porous-CuO and 3DOM-CuO. The size of the Cu(OH)<sub>2</sub> aggregates formed after the addition of CuSO<sub>4</sub> solution into the alkaline mixture solution containing polymer particles is an important parameter that influences particle morphology. As mentioned above, when the CuSO<sub>4</sub> solution was added to each aqueous solution, Cu<sup>2+</sup> ions and solid Cu(OH)2 were formed. Due to the different pH values of the aqueous solution at the two different experimental conditions, Cu(OH)<sub>2</sub> aggregates of different sizes were formed. [11] To confirm the effects of the size of Cu precursors, we performed a series of experiments. First, the crystal sizes were measured with a zeta-potential analyzer to investigate differences in size of the Cu(OH)2 crystals in aqueous solutions at different pH values. The pH values of the aqueous solutions after dispersion of poly(MAA/EGDMA) were 7.44 and 9.05, respectively. Therefore, the initial pH values of the aqueous solutions before dispersion of polymer templates were adjusted and varied between a pH of 6 to a pH of 11 using a 3 M NaOH solution in order to emulate the experimental conditions used during the polymer template dispersion step. When the CuSO<sub>4</sub> solution (2.6 mM, 10 ml) was poured into each aqueous solution (10 ml), only the solution with a pH of 10 became translucent. Additionally, visible, light blue aggregates were formed in the solution with a pH of 11, as shown in Figure S4a. The results of the crystal size measurements are displayed in Figure S4b. The size distribution graphs for crystal formation varied as the pH was changed. While the size distribution of Cu(OH)<sub>2</sub> formed under an aqueous solution with a pH of 8 was on the order of 1~2 nm, the size of Cu(OH)<sub>2</sub> crystals formed in an aqueous solution with a pH of 11 was much larger compared to those formed in the other pH conditions. The average size of Cu(OH)<sub>2</sub> increased as the pH value increased. Additionally, the Cu(OH)<sub>2</sub> crystals formed at a higher pH tended to be more aggregated. The Cu(OH)<sub>2</sub> aggregates formed at a lower pH might diffuse into the poly(MAA/EGDMA) chain networks more easily. During the diffusion of Cu precursor into the polymer gel, tiny Cu(OH)<sub>2</sub> crystals diffused into the poly(MAA/EGDMA) chain networks. After freeze-drying, the Cu(OH)<sub>2</sub> crystals formed porous CuO spheres during heat treatment. When the Cu precursor diffused at a higher pH, smaller crystals diffused into the cross-linked network of poly(MAA/EGDMA); however, larger crystals were located in the outer portions of the poly(MAA/EGDMA) microspheres. The Cu(OH)<sub>2</sub> crystals that were located on the surface formed the outer shell during heat treatment, and the outer shell interconnected with the continuously growing crystals on the surfaces of nearby particles. CuO crystal growth occurs on the surfaces of particles via Ostwald ripening and the simultaneous decomposition of polymer segments. During the formation of the outer shell, an interface between the outer shell and the decomposing polymer segments containing Cu(OH)<sub>2</sub> can be generated. As a result, the inner CuO shell can also form in the interior space via interfacial reactions between oxygen

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polymer. The inner CuO shell grows until the polymer is completely decomposed. This potential mechanism is displayed in Figure S5.

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Figure 6 SEM and cross-sectional images of (a and b) porous CuO/C submicron spheres and (c and d) macroporous CuO/C after heat treatment of two types of Cu-precursors/poly(MAA/EGDMA) composite microspheres prepared under different pHs.

To investigate the effect of solution pH on the morphological and structural changes of CuO/C particles after heat treatment, the diffusion process of Cu precursors was analyzed at a lower and a higher pH (6.55 and 11.21) compared to those used in the original experiment (7.44 and 9.05). The same amount of CuSO4 solution (2.6 mM) was added to each aqueous solution. After freeze-drying, the pH-controlled Cu precursorpoly(MAA/EGDMA) microspheres underwent heat treatment for 2 h at 500°C under a gaseous mixture (mainly air). The SEM and FIB-SEM images of the two types of Cuprecursor/poly(MAA/EGDMA) composite microspheres prepared under different pHs after heat treatment are shown in Figure 6. Porous and macroporous structures were observed in



**Figure 7** High-magnification STEM images of Cu-precursor/poly(MAA/EGDMA) composite microspheres prepared under two different pH conditions: (a) a pH of 7.44 and (b) a pH of 9.05.

Cu-precursor/poly(MAA/EGDMA) composite microspheres prepared under different pHs: a pH of 6.55 (Figures 6a, b) and a pH of 11.21 (Figures 6c, d), respectively. The interconnected

molecules and the Cu(OH)<sub>2</sub> on the surface of the decomposing

shell of macroporous CuO/C prepared under the highest pH condition (11.21) was thicker than that of 3DOM-CuO/C prepared at a pH 9.05, and the inner shell was rarely observed. These results support the conclusion that large Cu(OH)<sub>2</sub> aggregates formed under the strongly alkaline aqueous solution and were located on the particle surface. These aggregates were responsible for the formation of the interconnected shell and the macroporous structure after heat treatment. The existence of the inner shell in the macroporous CuO prepared under the highest pH condition (Figure 6d inset) indicates that the Cu precursors can diffuse into the center of the poly(MAA/EGDMA) microspheres even in strong alkaline conditions. The Cuprecursor/poly(MAA/EGDMA) composite microspheres prepared under different pH conditions were characterized with HR-TEM and STEM to determine the size and distribution of the Cu precursors introduced into the polymer microspheres. The STEM images in Figure 7 and Figure S6 demonstrate that the nanoscale Cu-precursors were successfully diffused into poly(MAA/EGDMA) microspheres under all experimental conditions. The size of the Cu-precursors was very small, and the size and distribution of Cu precursors in the polymer chain networks with pHs of 7.44 and 9.05 were not distinguishable in STEM images. As shown in Figures S6 (a-i), neither crystals nor aggregates were observed on the surfaces of Cuprecursor/poly(MAA/EGDMA) composite microspheres prepared at a pH of (a, b, and c) 6.55, (d, e, and f) 7.44, or (g, h, and i) 9.05. At a pH of 11.21, however, some aggregates were observed on the surface of the composite microsphere, as shown in Figures S6 (m, n, and o). The Cu precursors were severely aggregated in the aqueous solutions with pHs of 10 and 11 in the absence of poly(MAA/EGDMA), as displayed in Figure 3S. However, when CuSO<sub>4</sub> solution was added into the aqueous solution with swollen polymer microspheres, Cu<sup>2+</sup> ions and Cu(OH)<sub>2</sub> diffused into the polymer networks by electrostatic attraction, and a severe concentration gradient was produced before aggregation. During the heat treatment, the aggregates adsorbed onto the surfaces of the interconnected, thick shell and the macroporous structures (Figure 6d).



**Figure 8** Galvanostatic charge-discharge voltage profiles of (a) porous CuO/C and (b) double-shelled 3DOM-CuO/C composite electrodes at 0.2 C. (c) Cycling profiles of the porous CuO/C and double-shelled 3DOM-CuO/C composite electrodes at a constant current and (d) at various charge rates (0.1 – 10 C) at a fixed discharge rate of 0.2 C. (d) The long cycling performance of the (black empty square) porous CuO/C, (red empty circle) double-shelled 3DOM-CuO/C composite electrodes, and the (blue empty triangle) bare CuO electrode containing commercial CuO nanoparticles.

The electrochemical properties of the as-fabricated porous CuO/C and 3DOM-CuO/C composite electrodes were investigated using 2032 coin-type cells. The theoretical capacities of two types of CuO/C samples are calculated on the ratio of CuO and carbon contents. As mentioned in Figure S2, since the carbon amounts of porous CuO/C and double-shelled 3DOM CuO/C are 4.57 and 5.50 wt. %, the similar theoretical capacities of two types of samples are applied to the electrochemical measurements. The charge-discharge voltage profiles of the 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, 10<sup>th</sup>, 30<sup>th</sup>, and 100<sup>th</sup> cycles of porous CuO/C and 3DOM-CuO/C composite electrodes in the range of 0.02 - 3.0 V and at 0.2 C are displayed in figures 9a and 9b. The charge-discharge curves exhibit similar discharge behaviors to CuO-based anodes. The three plateaus near 1.8 V, 1.4 V, and 0.8 V at the first discharge correspond to the reductive reaction of the following equation:  $CuO + 2e^{-} + 2Li^{+}$  $\leftrightarrow$  Cu + LiO<sub>2</sub>. Additionally, the plateaus near 2.3 V and 1.5 V at the first charge curve are attributed to the following equation:  $2Cu + LiO_2 \leftrightarrow CuO + 2Li$ . The lithiation capacity of the porous CuO/C at the first cycle was 879 mAh g<sup>-1</sup>, and the reversible capacity was 558 mAh g<sup>-1</sup>. This corresponds to a Coulombic efficiency of 63% (Figure 8a). The 2nd, 3rd, 10th, and 30th cycle capacities were between 550 mAhg<sup>-1</sup> and 580 mAh g<sup>-1</sup>, but the capacity gradually increased and reached 681 mAh g<sup>-1</sup> at the 100th cycle. The double-shelled 3DOM-CuO/C also exhibited similar behavior with its cycling performance test at 0.2 C. The lithiation capacity of the double-shelled 3DOM-CuO/C composite electrode at the first cycle was 798 mAh  $g^{-1}$ , and the reversible capacity was 525 mAh g<sup>-1</sup>. This corresponds to a Coulombic efficiency of 65%. The 2nd, 3rd, 10th, and 30th cycle capacities were between 500 mAh g<sup>-1</sup> and 550 mAh g<sup>-1</sup>, and the capacity reached 650 mAh  $g^{-1}$  at the final cycle. Such capacity growth has been reported in several studies of anode materials based on metal oxides. The increased capacity might be attributed to the pseudo-capacitive polymeric gel-like film produced by decomposition of the organic electrolyte at low voltage [16]. Figure 8c shows the cycling performance of the porous CuO/C and double-shelled 3DOM-CuO/C composite electrodes at a current rate of 0.2C. Both electrodes showed high Coulombic efficiency (>99%) and similar capacity values (between 550 mAh g<sup>-1</sup> and 600 mAh g<sup>-1</sup>). The initial irreversible capacities of both electrodes are attributed to the formation of a solid electrolyte interface (SEI) layer on the surfaces of the active materials via a side reaction with the organic electrolyte. The stable capacities of the two electrodes reached 681 mAh g<sup>-1</sup> and 639 mAh g<sup>-1</sup> at the 100th cycle, with the same gradual capacity increase mentioned above. This maintained capacity is attributed to the robust particle structure to diffuse Li ions during lithiation-delithiation processes. After

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100 cycles, the structures of the electrodes were investigated using focused ion beam (FIB)-SEM. Both the porous and double-shell 3DOM structures were well-maintained after 100 cycles at a current rate of 0.2 C (Figure S7). As shown in Figure S7a, pores were observed throughout the porous spheres. The inner shells, outer shells, and void space of double-shelled 3DOM-CuO/C were also observed, as shown in cross-sectional SEM images of the electrodes (Figure S7b). Therefore, we assumed that the thin carbon layers of porous CuO/C submicron spheres and double-shelled 3DOM-CuO/C accommodated the transformation and volume expansion of CuO during lithiation. The rate performance of both electrodes, containing two types of CuO/C particles and commercial CuO nanoparticles, was evaluated at various current rates in the voltage range between 0.02 and 3.0 V (Figure 8d). The first discharge capacity of the commercial CuO electrode was the highest: 904 mAh g<sup>-1</sup>. The capacities of the three types of electrodes between 0.1 and 0.5 C are similar, but there is a noticeable gap in capacity at higher rates. In particular, the capacity of the composite electrode with the commercial CuO nanoparticles decreased significantly at the current rate of 10 C due to nanoparticle aggregation during continuous cycling. These different capacities at higher current rates are attributed to the different surface areas of porous CuO/C and doubleshelled 3DOM-CuO/C. The porous CuO/C and double-shelled 3DOM-CuO/C composite electrodes also showed good capacity retention with high Coulombic efficiency even at a higher rate (1 C) with long cycling, compared to commercial CuO nanoparticles, as shown in Figure 8e. While the discharge capacity of the porous CuO/C composite electrode was 398 mAh g<sup>-1</sup> at the 500th cycle, the double-shelled 3DOM-CuO/C composite electrode exhibited a relatively lower capacity of 334 mAh g<sup>-1</sup>. Initially, the discharge capacity of the commercial CuO electrode was greater than 450 mAh g<sup>-1</sup>, but the capacity decreased rapidly after the 400<sup>th</sup> cycle. Compared to other hierarchical CuO materials [18], the our CuO/C anodes exhibit better cyclability during very long cycles due to the robust structure and very thin carbon layer coated on the surface of CuO nanograins. In addition, the uniform particle size and macroporous structure can show fast reaction kinetics.



Figure 9 Electrochemical impedance spectroscopy (EIS) of (a) porous CuO/C and (b) double-shelled 3DOM-CuO/C composite electrodes after various cycling rates (~0.5 C): before electrochemical tests (black square), after being discharged at a current rate of 0.2 C (red square), and after being discharged at a current rate of 0.5 C (blue square).

The kinetics of the charge transfer reaction of porous CuO/C and double-shelled 3DOM-CuO/C composite electrodes were investigated with electrochemical impedance spectroscopy (EIS).

Nyquist plots of the electrochemical impedance spectra obtained from the porous CuO/C and double-shelled 3DOM-CuO/C after cycling at different current rates (before cycling, 0.2 C, and 0.5 C) in the fifth cycle are shown in Figure 9. The radii of the semi-circles at high frequency changed drastically with the cycling current rate. The porous CuO/C composite electrode showed a larger semi-circle radius in the middle frequency range compared to the double-shelled 3DOM-CuO/C. These results suggest that the 3DOM-CuO/C particles had a lower charge transfer resistance (Rct) compared to the porous CuO/C submicron spheres due to their hollow structure. After the fifth discharge at a current rate of 0.2 C, the semi-circular sizes of both electrodes increased. The semi-circle of the porous CuO/C, in particular, showed a significant change. After the fifth discharge at a current rate of 0.5 C, however, the sizes of the semi-circles for the two samples were similar. Lithium ions might have been inserted into the CuO grains of the porous structured spheres more regularly at slower current rates compared with the bulk 3DOM structures. The charge transfer resistance of commercial CuO electrode is higher than those of two types of CuO/C samples, as shown in Figure S8. This result indicates that the thin carbon layer contributed to the long cycling ability and high rate capability as indicated by a decrease in the overall internal resistance of cells.

### Conclusions

**RSC Advances** 

In conclusion, we simply prepared porous CuO/C submicron spheres and 3DOM-CuO/C using ionizable polymer microspheres as a sacrificial template. The internal structure depended on the size of the Cu precursors in the mixture solution as the pH value changed. Diffusion of the Cu precursors into the poly(MAA/EGDMA) networks was investigated with TGA and STEM. After heat treatment, under a certain gaseous atmosphere, thin carbon layers (~2 nm thick) formed on the surfaces of the inner/outer shells. These layers were characterized using HR-TEM and EDX line profiles. As anode materials for lithium ion batteries, porous CuO/C and the double-shelled 3DOM-CuO/C composite electrodes show good capacity retention with high Coulombic efficiency, even at high current rates, compared with commercial CuO nanoparticles. At a rate of 1 C, both electrodes maintained their capacity up to the 500<sup>th</sup> cycle without any capacity fading. These enhanced electrochemical performances are attributed to the 3D structure, which includes a cavity space and a thin carbon layer. These factors accommodate volume variation during lithiationdelithiation processes and reduce the charge-transfer resistance. We expect that our experimental system can be expanded and generalized for the synthesis of a series of metal oxides with novel and varied structures.

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### Notes and references

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<sup>†</sup> Electronic Supplementary Information (ESI) available: FT-IR spectrum and OM images of poly(MAA/EGDMA) microspheres. The analyzed amounts of Cu, O and C in samples are displayed. XPS spectra of CuO/C composite particles. Digital photographs of bials and particle size distribution data of Cu precursors without poly(MAA/EGDMA) microspheres. Schematic illustration of the formation mechanism of two types of CuO composite particles. HR-TEM images of Cu precursors/poly(MAA/EGDMA) composite microspheres prepared under different pH conditions. Cross-sectional SEM images of composite electrodes containing two types of CuO/C composite particles after 100 cycles. EIS of fresh cells containing two types of CuO/C samples and commercial CuO nanoparticles. See DOI: 10.1039/b000000x/

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