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The field-enhanced effect due to the oxygen vacancy distribution improves the memory performance in the  $TiO_2$ -based RRAM device.

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1	Electric Field Effect Dominated Bipolar Resistive Switching through
2	Interface Control in Pt/TiO <sub>2</sub> /TiN Structure
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10	KEYWORDS: RRAM, titanium dioxide, oxygen vacancy, electric field effect, ionic movement
11 12	To investigate the reproducibility and I-V non-linearity characteristics in resistive-switching
13	random-access memory (RRAM), we studied the switching characteristics through $Pt/TiO_2$ interface
14	control using a non-stoichiometric $TiO_{2-x}/TiN$ interface formation in a resistive switching Pt/TiO <sub>2</sub> /TiN
15	stack. Using the $TiO_{2-x}/TiN$ interface instead of the $TiO_2/TiN$ interface induced nearly forming-free
16	switching, decreased the reset current, suppressed the gradual reset process, and resulted in faster
17	switching by electric pulse. These results indicate that the $Pt/TiO_2$ interface experienced reduced
18	oxygen-vacancy-mediated switching. The discrepancy between the reduced oxygen-vacancy-
19	mediated switching and the initially large number of oxygen vacancies can be resolved via the oxygen
20	vacancy distribution dependent field effect. To clarify this process, we performed reaction-diffusion-
21	drift model simulations. The drift velocity, which was calculated using the vacancy distribution,
22	described the dynamic movement, and the simulation results supported the experimentally observed
23	faster switching response. The field effect, which provided successive feedback between the drift
24	velocity and vacancy distribution, can potentially be exploited to generate vacancy-designed devices.

# 1

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### 2 I. Introduction

The switching mechanisms of RRAM have been intensively explored and proposed.<sup>1-11</sup> 3 Besides ionic-motion-based models<sup>12, 13</sup>, electronic-<sup>13, 14</sup>, magnetic-<sup>15</sup>, ferroelectric-<sup>16</sup>, and 4 microstructural change-based<sup>17</sup> resistive switching phenomena are examined. Among the 5 several kinds of resistive switching materials,<sup>2, 8, 18, 19</sup> such as oxides, chalcogenides, and 6 7 nitrides, titanium dioxide is frequently and widely investigated as a standard material due to its variety of functionalities, including unipolar resistive switching (URS)<sup>20, 21</sup>, bipolar 8 resistive switching (BRS)<sup>22, 23</sup>, complementary resistive switching<sup>24, 25</sup> (CRS), programmable 9 metallization cell<sup>26, 27</sup> (PMC) as an electrolyte, and spike timing dependent plasticity<sup>28, 29</sup> 10 11 (STDP).

Meanwhile, various layered-oxide systems recently show better device performance<sup>30, 31</sup>, 12 and experimental results in TiO<sub>2</sub>/TiO<sub>2-x</sub> systems<sup>3, 5, 25, 32-34</sup> reveal the importance of oxygen-13 deficient-layer TiO<sub>2-x</sub> related with forming-free operation and sufficiently large numbers of 14 15 oxygen vacancy sources. In these systems, the switching mechanism is understood as anionic 16 motion of the oxygen ion (equivalently, positive charged oxygen vacancy) when an electrochemically inert metal electrode such as Pt is used.<sup>22, 35-38</sup> The forming-free operation 17 in the  $TiO_2/TiO_{2-x}$  system can be achieved by fabricating an initially off-state device<sup>5</sup>, where 18 19 the initial resistance is controlled by thickness of  $TiO_{2-x}$  layer. Compared with a single  $TiO_2$ 20 system under low current compliance, which shows CRS under limited oxygen vacancy conditions due to the low current compliance,<sup>25, 39, 40</sup> the TiO<sub>2</sub>/TiO<sub>2-x</sub> system shows similar 21 behavior to the single TiO2-x system with sufficient oxygen vacancy sources. Moreover, the 22 23 oxygen vacancy content can be controlled by the current compliance during electroforming.<sup>25</sup> 24 Although achieving forming-free operation in the oxygen-deficient layer inserted system implies the possibility of consistent on/off states due to the absence of irreversible breakdown 25

processes,<sup>5</sup> the sufficiently large number of oxygen vacancies cannot directly explain the
device performance improvement.

3 Interestingly, our electrical measurement results commonly indicate fewer residual oxygen 4 vacancies at the Pt/TiO<sub>2</sub> interface in the Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN structure during switching, 5 compared with those at the Pt/TiO<sub>2</sub> interface in the Pt/TiO<sub>2</sub>/TiN structure. Furthermore, 6 nearly forming-free switching, which initially exhibits a nearly off-state (non-typical on-7 state) without the initial-to-off state transition, in the Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN structure implies 8 less oxygen vacancy generation near the Pt/TiO<sub>2</sub> interface. However, the large number of 9 oxygen vacancies can possibly be involved in generating a stronger conduction path due to 10 the initially abundant oxygen vacancy sources of TiO<sub>2-x</sub>. Thus, the discrepancy between few 11 residual vacancies at the Pt/TiO<sub>2</sub> interface and the initially sufficient oxygen vacancy sources 12 should be resolved.

13 Although the physical origin of the filamentary conduction path has been determined to 14 some extent, formation and de-formation of the path are extremely complex. For example, 15 oxygen vacancies (equivalently, oxygen ions) play an important role in resistive switching in various anionic oxide systems<sup>41-44</sup>, but the set and reset operations can be achieved in various 16 17 ways. In anionic motion-based switching, the field effect is antipodal with the thermal effect due to the unique properties of the electric field effect.<sup>8</sup> The field-dominating memory effect 18 19 is bipolar, low power consuming, and has non-linear I-V characteristics, while the thermal-20 dominating memory effect is unipolar, high power consuming, and has linear I-V 21 characteristics. However, because the electric field and thermal effects are simultaneously 22 involved in resistive switching, they are difficult to separate. The field effect is largely 23 preferred because of its low power consumption and non-linear I–V characteristics, which are 24 essential for high-density crossbar array architectures. Ionic movement induced by the 25 electric field effect is thought to be a primary factor governing the switching process in BRS

systems, indicating that the dependence of switching characteristics on the electric field effect
should be analyzed.

3 In this study, we investigate the I–V characteristics of films with an oxygen-vacancy-4 abundant layer inserted in the Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN structure. The difference in behavior 5 observed due to the presence of a high concentration of oxygen vacancies, such as fewer 6 residual oxygen vacancies at the Pt/TiO<sub>2</sub> interface during switching, the consequent fast 7 switching response, and an improvement in I-V non-linearity, clearly showed the 8 significance of the electric field effect. We also discuss the switching process in terms of the 9 oxygen vacancy distribution dependent field effect and qualitatively examine the possibility 10 of oxygen vacancy-designed devices using a reaction-diffusion-drift model simulation. 11 Although several  $TiO_x$ -based papers have been reported with  $TiO_x$  acting as a prototypical 12 oxide for resistive switching, topics related to the formation and evolution of the non-13 stoichiometric region in the oxide have not yet been completely investigated. This is the 14 reason why there are still many reports of studies related to this topic. For example, Yoon et 15 al. recently reported on the evolution of the shape of the conducting channel in  $Pt/TiO_2/TiO_2$ . <sub>x</sub>/Pt.<sup>25</sup> I. Salaoru et al. studied the origin of off-state variability based on the non-uniform 16 17 displacement of ionic species, which contributes to the formation of conductive filaments in TiO2.<sup>45</sup> M. Noman et al. reported on the mechanism of localized electrical conduction at the 18 onset of electroforming in TiO<sub>2</sub> based resistive switching devices.<sup>46</sup> In this study, we focus on 19 20 the role of the oxygen deficient layer in the forming behavior, evolution of the conduction 21 path, and stability of resistance state.

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23 II. Results and discussion

To investigate the effect of modifying the concentration of oxygen vacancies in detail, we prepared a sample with oxygen vacancies controlled in the depth direction. In the sample,

the oxygen content of TiO<sub>2-x</sub> was increased stepwise from the bottom TiN electrode to the

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2	top Pt electrode, resulting in a high concentration of oxygen vacancies near the TiN electrode
3	and stoichiometric $TiO_2$ beneath the Pt electrode. The preparation and confirmation of the
4	fabricated samples are discussed separately in the methods section and in the supporting
5	information. We denote the resulting device structure as $Pt/TiO_2/TiO_{2\rightarrow 2-x}/TiN$ (or simply
6	Pt/TiO <sub>2</sub> /TiO <sub>2-x</sub> /TiN), where the subscript $2\rightarrow 2-x$ indicates the decreasing amount of oxygen
7	(or increasing amount of oxygen vacancies). Schematic diagrams of the prepared samples are
8	depicted in Fig. 1(a) for the reference Pt/TiO2/TiN sample and in Fig. 1(b) for the
9	$Pt/TiO_2/TiO_{2-x}/TiN$ sample. In the figures, the interfaces are denoted as a large Schottky
10	diode, small Schottky diode, and a resistor. The large Schottky diode indicates the rectifying
11	Pt/TiO <sub>2</sub> interface <sup>3, 47-49</sup> in both samples. The small Schottky diode and the resistor indicate the
12	$TiO_2/TiN$ and $TiO_{2-x}/TiN$ interfaces, respectively. <sup>50</sup> Rectification of the Pt/TiO <sub>2</sub> interface is
13	reflected in the low voltage I-V characteristics shown in Fig. 1(c). The low current at a
14	negative voltage for both samples is due to Schottky barrier formation, which prohibits
15	electrons crossing the interface from Pt to $\text{TiO}_2$ . <sup>51</sup> For for Pt/TiO <sub>2</sub> /TiN , the slightly higher
16	current at a positive voltage than that at a negative voltage is due to relatively weak barrier
17	formation at the $TiO_2/TiN$ interface than that at the Pt/TiO <sub>2</sub> interface. Moreover, the very
18	high current at a positive voltage for $Pt/TiO_2/TiO_{2-x}/TiN$ is due to the absence of a barrier at
19	the TiO <sub>2-x</sub> /TiN interface. <sup>50</sup> Under a low voltage sweep ( $-1$ V to $+1$ V), which did not induce
20	switching, the interface characteristics of the pristine states were clearly distinguishable.

Generally, resistive switching devices require initiation of the device, which is referred to as electroforming (or simply forming).<sup>5, 52</sup> Although a symmetric device structure, such as Pt/TiO<sub>2</sub>/Pt that possibly exhibits corresponding (ideally and experimentally) symmetric pristine I-V characteristics<sup>37</sup>, can be identically electroformed regardless of the voltage

1 polarity, an asymmetric device structure due to different metal electrodes, such as 2 Pt/TiO<sub>2</sub>/TiN that exhibits corresponding intrinsically asymmetric pristine I-V characteristics<sup>53</sup>, 3 can be electroformed differently with respect to the sequence of voltage application. The 4 forming-on process sets the initial state to the on-state, as shown in Fig. 2(a), while the 5 forming-off process sets the initial state to the off-state, as shown in Fig. 2(b). The following 6 operation is the switching-off (reset) in the forming-on process and the switching-on (set) in 7 the forming-off process. The forming-off process contrasts markedly with forming-on 8 process in that the Schottky-like interface (Pt/TiO<sub>2</sub>), which is the most important switching 9 region in this asymmetric metal(Schottky-like)/TiO<sub>2</sub>/metal(ohmic-like) structure, is less deformed.<sup>5</sup> For this reason, we used the forming-off process to investigate the Pt/TiO<sub>2</sub> 10 11 interface. For an initially high pristine current device such as  $Pt/TiO_2/TiO_{2,x}/TiN$ , the 12 forming-off process could show the switching behavior shown in Fig. 2(c). Although the 13 switching sequence is as same as that shown in Fig. 2(b), the current of the forming-off 14 process is very high, as shown in Fig. 3(c). The current level is higher than the possible 15 switching-on (set) current compliance, but the device is still in the off-state. In this high 16 current forming-off device, the off-state is close to the initial state, so the forming-off process 17 could not induce a remarkable change in current. In this way, a forming-free switching 18 sequence is possible. This implies that the set process (rather than the electroforming) can 19 cause significant changes, such as Coulomb attraction of the oxygen vacancy from the TiO<sub>2-x</sub> 20 electrode to the Pt electrode according to the anionic motion-based switching mechanism, in 21 the Pt/TiO<sub>2</sub> interface region in Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN.

Sequential operations for the forming-off, switching-on, and switching-off processes are shown for  $Pt/TiO_2/TiN$  and  $Pt/TiO_2/TiO_{2-x}/TiN$  in Figs. 3(a) and 3(b), respectively. Even though the first positive voltage with a different current compliance can also be a forming-off process in that the next negative voltage sweep with sufficiently high voltage and current

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1 compliance possibly induces the on-state, we defined forming-off as a sufficiently high 2 positive voltage (such as +10 V) with adequate current compliance that induced switching-on 3 within -2 V with a 10 mA set current compliance during the next voltage sweep. In other 4 words, we wanted to compare the difference between the initially almost off-state of 5 Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN and the off-state of Pt/TiO<sub>2</sub>/TiN formed after the forming-off process with analogous set operations by comparing Pt/TiO<sub>2</sub>/Pt and Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/Pt.<sup>5</sup> Considering 6 7 the current compliance during the forming-off process, the significantly higher current in 8  $Pt/TiO_2/TiO_{2-x}/TiN$  indicated the presence of more oxygen vacancies than necessary for a set 9 operation. Moreover, due to the hard breakdown, the infeasibility of the forming-off process 10 in Pt/TiO<sub>2</sub>/TiN using the same high current compliance required for Pt/TiO<sub>2</sub>/TiO<sub>2</sub>/TiN 11 indicates not only quantitative but also qualitative differences in the oxygen vacancy 12 distribution between Pt/TiO<sub>2</sub>/TiN and Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN. Thus, the comparison can be 13 rationalized by the switching scheme, which considers the initial difference in the oxygen 14 vacancy distribution as different requirements for the same set operation.

15 In our reference sample of Pt/TiO<sub>2</sub>/TiN, the forming-off current compliance at which the 16 set process can be triggered varies over a wide range (50  $\mu$ A–1 mA) due to the random nature 17 of the initial device state (data not shown). However, the forming-off current compliance is 18 smaller than the typical set current compliance (10 mA). The switching sequence and 19 conditions were as follows: low-voltage sweeping from 0 V to +1 V to read the initial current 20 before the switching operation, positive forming-off with a compliance current of 50  $\mu$ A, 21 negative bias up to -2 V with a compliance current of 10 mA, and then positive bias up to +222 V without current compliance as shown in Fig. 3(a). The switching curves in Fig. 3(a) are 23 similar to those shown in Fig. 2(b).

The  $Pt/TiO_2/TiO_{2-x}/TiN$  devices underwent a voltage-sweeping scheme similar to that applied to the reference  $Pt/TiO_2/TiN$  devices, except for the forming-off current compliance.

1 The initial current level was over 50 µA, and the forming-off current compliance for the 2  $Pt/TiO_2/TiN$  sample at +1 V is shown (blue highlighted line #1) in Fig. 3(b). At the 0.5-mA 3 sweep (#2), no significant changes were observed, such as the slight current decrease 4 typically generated during the electroforming process. Even when the current compliance was 5 increased up to a 10-mA sweep (#3), no significant changes were observed. The next sweep 6 (#4) exhibited a counter-clockwise loop. To confirm the consistency of the loop, the sweep 7 (#5) was repeated. The counterclockwise loop was unchanged (#6-#9) until the forming-off 8 current compliance was over 10 mA, which implies that no significant changes occurred even 9 in the high current of 10 mA. When the forming-off current compliance was 30 mA, the first 10 set was observed within -2 V. At that time, the same set current compliance of 10 mA was 11 applied during the set process. We compared the fabricated samples under this scheme with a 12 reduced deforming forming-off process and the same set conditions.

13 To compare switching behaviors, a number of I–V curves were generated (see Fig. 4). In 14 this figure, the first set and reset curves are distinguished from the following set and reset 15 curves. We observed a clear difference in the current-decreasing features of the reset process 16 between samples. The reset process in the vacancy-abundant sample became sharp without an 17 additional gradual process (see circled areas in Figs. 4(a) and (b)). Although the memory 18 window changed after the first set and reset, the difference in the sharpness of the reset 19 remained consistent; gradual changes were observed in the Pt/TiO<sub>2</sub>/TiN film, whereas no 20 gradual changes were observed for the Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN film. This result can be explained 21 by the high current forming-off process depicted in Fig. 2(c) (red vertical arrow and symbol 22 'x'). In contrast, Figs. 2(c) and 4(b) commonly show suppression of the additional gradual 23 processes due to the high current off-state at a positive voltage. Strikingly, the maximum 24 reset current (I<sub>Reset</sub>) changed from a value over the set current compliance (I<sub>Compliance</sub>) to a 25 value below the set current compliance after insertion of the oxygen-vacancy-abundant layer.

1 Comparable I<sub>Reset</sub> and I<sub>Compliance</sub> values indicate that the switching was mostly driven by the electric field over the narrow filament ruptured region<sup>20</sup>, which likely exists in the Pt/TiO<sub>2</sub> 2 3 interface region of  $Pt/TiO_2/TiO_{2-x}/TiN$ . Thus, some of the oxygen vacancies could participate 4 the switching, while other vacancies could act like a virtual electrode to enhance the electric 5 field effect at the top electrode region. Furthermore, although the strengthened conduction 6 path and high current requiring (Joule heat dominating) reset process are expected at the 7 Pt/TiO<sub>2</sub> interface due to the large amount of oxygen vacancies in Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN, the actual I<sub>Reset</sub> value, which is compatible with or even less than the I<sub>Compliance</sub> value, reveals the 8 narrow conduction path and possibly lower oxygen vacancy participation.<sup>39</sup> The combination 9 10 of this enhanced field effect and lower oxygen vacancies participation should mediate faster 11 switching and non-linearity improvements due to the rectifying Pt/TiO<sub>2</sub> interface after reset 12 with fewer oxygen vacancies.

13 To evaluate whether there was an improvement in switching speed, switching behaviors 14 were examined using electric pulses of various widths. This operation is similar to a voltage 15 sweep: a positive pulse was used for forming, a negative pulse was used for the set, and a 16 positive pulse was used for the reset. Additionally, the width of the positive pulse for the reset 17 was decreased, while the width of the negative pulse for the set was left unchanged. In pulse-18 induced switching, forming-off, which originates from a stronger Coulomb repulsion rather 19 than the oxygen vacancy supply at the  $Pt/TiO_2$  interface, did not occur. In other words, weak 20 Coulomb repulsion due to the narrow pulse width did not form an off-state. Thus, the first 21 positive pulse with a high voltage and narrow width induced a state of resistance (typically 22 around 200  $\Omega$  for all the samples) in our devices although the initial resistance varied from  $5 \times 10^4 - 5 \times 10^6 \Omega$ . This could be an on-state in that the 2 V and 10 mA set voltage sweep 23 24 implies a resistance of 200  $\Omega$ . Because the pulsed forming process exhibits a field effect

(rather than a thermal effect) during the short time interval, pulse-width-dependent resistance
changing behavior can show the difference in the agility of oxygen vacancy movement
induced by the electric field effect enhancement.

The forming process (#1\*) could generally be induced by a high narrow pulse (+10 V and 50 ns) in Pt/TiO<sub>2</sub>/TiN, as shown in Fig. 5(a). This forming process typically requires a single pulse or a few pulses. Then, to induce an off-state, a +2.5-V intentionally long (8 s) pulse was applied. The resistance increased by about one order of magnitude. This type of pulse was adequately high and wide compared with the voltage sweep reset of 0 to +2 V for a few seconds. The increased resistance decreased back to the on-state level after applying a single 50-ns pulse of -5 V.

11 The repeated switching continued as the reset pulse width decreased. The numbered 12 regions are divided by different pulse conditions, and the relative height and width of the 13 pulses are depicted at the bottom of Fig. 5(a) and (b). In particular, in region 5, the resistance 14 window gradually narrowed as the width of the pulse decreased from 1 s to 1 µs. The 15 immediate increase in the reset pulse width to 10  $\mu$ s (#6) could not maintain the stable on-16 and off-states. A similar operation was performed for  $Pt/TiO_2/TiO_{2-x}/TiN$ , as shown in Fig. 17 5(b). The forming process was triggered by a single 50-ns pulse or a few 50-ns pulses of  $\pm 10$ 18 V, leading to an on-state of about 200  $\Omega$ . Although the number of pulses required to induce 19 electroforming differed according to the initial conditions, even for the same Pt/TiO<sub>2</sub>/TiO<sub>2</sub>. 20 <sub>x</sub>/TiN sample, the difference between Pt/TiO<sub>2</sub>/TiN and Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN in terms of 21 resistance recovery behavior from a similar low resistance (about 200  $\Omega$ ) in the on-state after 22 forming can explain the differences in the reset process between these two film types. We 23 attempted to form the on-state using a 10-V pulse with narrow widths of 100 ns, 200 ns, and 24 300 ns, but there was no significant change. Rather, a -5 V and 50-ns pulse formed the on-25 state, which is similar to the nearly forming-free voltage sweep behavior. Consistently, a

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+2.5-V, 8-s pulse was used to turn the oxygen-vacancy-abundant device to the off-state and significantly increased its resistance (over  $10^4 \Omega$ ) over that of the reference device. For direct comparison, we applied a single -5-V and 50-ns pulse to set the device to the on-state and then applied a single +2.5-V pulse with various widths to reset the device to the off-state. The resistance states were slightly unstable, but the set/reset window was relatively wide. This onand off-state switching continued until a 1-us pulse width. However, the resistance of the offstate decreased immediately when the pulse width was reduced to 1 µs. Considering that the electric field-driven ionic motion is the driving force behind the reset process and that the thermal effect significantly or slightly (according to some conditions such as the operation current level) affects the switching behavior, +2.5 V is too low to induce sufficient field and/or Joule-heat effects for Pt/TiO<sub>2</sub>/TiN, even though it is sufficient to induce Coulomb repulsion for Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN. The requirement of a relatively low, sufficiently wide pulse for a high resistance state indicates drift of the oxygen vacancies rather than a thermal effect. This argument is supported by subsequent resistance changing behaviors in response to another pulse. Interestingly, an immediate resistance increase was observed when the pulse width was changed back to 10  $\mu$ s in Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN, as shown in Fig. 6(a). Alternating set (-5 V and 50 ns) and reset  $(+2.5 \text{ V} \text{ and } 10 \text{ } \mu\text{s})$  pulses resulted in stable set/reset states. In contrast, the reset resistance in Pt/TiO<sub>2</sub>/TiN was improved by applying positive high narrow pulses (+10 V and 100 ns) for reset, as shown in Fig. 6(b). The reset resistance increased slowly after applying the high narrow pulses, even under the same alternating switching conditions (-5 V and 50 ns for set, +2.5 V and 10 µs for reset). The high voltage requirement for an increase in reset resistance suggested the presence of a large number of oxygen vacancies, which requires a stronger electric field or Joule heat effect for reset, at the  $Pt/TiO_2$ interface before application of the high voltage pulse. The high current requirement for the

voltage sweep reset and the high voltage requirement for the voltage pulse reset imply that
more oxygen vacancies are involved in switching in Pt/TiO<sub>2</sub>/TiN.

3 Because the number of oxygen vacancies at the Pt/TiO<sub>2</sub> interface is related to the change 4 in the rectifying character of the interface, the quantitative change in the oxygen vacancies at 5 the interface can be obtained from I–V behaviors. Therefore, the I–V non-linearity of the on-6 state and off-state were examined. In Fig. 7, guide lines (black solid) indicate Ohmic-like 7 contacts  $(\log(I) \text{ is linearly proportional to } \log(V))$ ; the positive and negative voltage regions 8 were combined and both axes were logarithmically scaled. All data were normalized with 9 respect to the non-zero lowest current value of the on-state. The Pt/TiO<sub>2</sub>/TiN sample showed 10 characteristic behavior, i.e., linearity was observed (black arrows) in the low voltage region 11 of both the on- and off-states, while non-linearity appeared (single black arrow) in the high 12 voltage region of both the on- and off- states (Fig. 7(a)). The Pt/TiO<sub>2-x</sub>/TiN sample 13 showed different behavior; linearity was maintained (black arrows) even in the high voltage 14 region in the on-state, while non-linearity appeared early (low voltage deviation from the 15 single black arrow) in the low voltage region of the off-state (Fig. 7 (b)). In the inset of Fig. 7, 16 the current levels of the first set and reset at 0.01 V differed between the Pt/TiO<sub>2</sub>/TiN and 17 Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN samples. The current level of Pt/TiO<sub>2</sub>/TiN was consistent before and after 18 the reset process (purple circle), while that of  $Pt/TiO_2/TiO_{2-x}$  /TiN was not (separate red and 19 blue circles indicate the off-state at negative and positive voltages, respectively). Because of 20 the rectifying character of the Schottky-like barrier, the absence of residual oxygen vacancies 21 near the Pt electrode can result in rectifying I–V characteristics. Thus, the inconsistent current 22 level in the Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN case can be understood as limited oxygen vacancy 23 generation; this initial presence of fewer oxygen vacancies at the Pt/TiO<sub>2</sub> interface affected 24 the non-linearity in the off-state current.

1 The expected voltage-sweep switching processes are compared in Figs. 8(a) and (b). The 2 first column indicates the initial differences in the oxygen vacancy distribution: no initial 3 vacancies compared to a continuous increase in oxygen vacancies from inside the oxide to the 4 bottom TiN electrode. The second column depicts the forming process, showing different 5 oxygen vacancy supplies, Coulomb repulsion, and consequently residual oxygen vacancies. 6 After the forming process, the concentration of oxygen vacancies near the Pt electrode in 7 Pt/TiO<sub>2</sub>/TiN is expected to be higher than that in Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN due to large oxygen 8 vacancy supply and/or insufficient Coulomb repulsion, indicated by the difference in their 9 rectifying behavior, as shown in the inset of Fig. 7. Each state is expected to be similar to the 10 off-state due to the forming-off scheme. The third column describes the set process resulting 11 from the effects of Coulomb attraction, i.e., vacancies are attracted to the Pt electrode. 12 Although the first set and reset show different behaviors in each case, the set processes can be 13 described as repeated behaviors, except for the one-shot first set and reset processes. At this 14 time, the vacancy concentration near the Pt electrode is expected to be higher in Pt/TiO<sub>2</sub>/TiN 15 than in Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN after the set process due to the high current requirement of the 16 voltage-sweep reset. The fourth column explains the reset process, which can be conversely 17 thought of as Coulomb repulsion. Because the concentration of vacancies is low near the Pt 18 electrode in Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN, the electrostatic force is sufficient to repulse the vacancies in 19 a short amount of time, leaving no (or sufficiently fewer) residual vacancies near the Pt side. 20 In contrast, in Pt/TiO<sub>2</sub>/TiN, residual vacancies can remain near the Pt side due to the high 21 vacancy concentration. In this case, it takes significantly more time to repulse the vacancies. 22 Thus, an additional gradual decrease in current is observed during repulsion at the  $Pt/TiO_2$ 23 interface (black circle in Fig. 4(a)).

To investigate the behavior of oxygen vacancies in detail according to the localized oxygen vacancy distribution, we qualitatively examined oxygen vacancy behavior using the

1 reaction-diffusion-drift equation-based model simulation. The equation describes oxygen vacancy behavior as a combination of three different processes: generation<sup>5</sup>, physical 2 diffusion<sup>54</sup>, and electric field-driven movement<sup>55</sup> of oxygen vacancies (or equivalently 3 4 oxygen ions). The originality of this simulation, distinguished from other similar model simulations<sup>38, 44, 56, 57</sup>, comes from incorporating the reaction terms such as vacancy 5 6 generation during forming, and from the treatment of the drift term as not only vertical but 7 also horizontal movement. Meanwhile, physical diffusion, which is induced by a gradient of 8 concentrations, was considered, except for thermal migration. Although the thermal effects 9 should be investigated, the field-enhanced effect due to the oxygen vacancy distribution has 10 not been investigated prior to this study.

11 To simulate the resistive switching process, we added conditions to the original reaction-12 diffusion-drift simulation. The general results of the simulations are explained in the 13 supporting information. When simulating the Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN case, a high oxygen 14 vacancy concentration was configured as the initial condition. Similar to what we fabricated 15 experimentally, the oxygen vacant regions formed a stepped structure, although the linearly 16 configured vacancy condition produced a similar simulation result (data not shown). 17 Although the results of XPS and TEM measurements (supporting information) were not able 18 to show the stepped structure, the initial difference in oxygen content can be distinguished. 19 Thus, the different oxygen vacancy distribution is significantly effective for the switching 20 behavior in experiment and simulation. Figure 9(a) shows a side-view of the initial vacancy 21 concentration for Pt/TiO<sub>2</sub>/TiN and Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN. Although the reaction rate had the 22 same values in both cases, fast vertical movement was observed in the Pt/TiO<sub>2-x</sub>/TiN case. 23 This movement can be explained by the virtual electrode effect of the oxygen-deficient region  $(TiO_{2,x})^{58}$ , which caused a steep potential gradient near the top electrode. The 24 25 simulation was stopped when the minimum concentration of the central path exceeded the

threshold concentration<sup>38</sup> (green dotted line) as the final condition in Fig. 9(b). This 1 2 simulation process mimics the electroforming process. In the forming process, each horizontal center (a point in the vertical center line), which maintains the horizontal 3 4 maximum concentration, is vertically interconnected (filamentary conduction path). The vacancy concentration was lower beneath the top electrode in the Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN case 5 6 than in the Pt/TiO<sub>2</sub>/TiN case. Furthermore, the location of the vertical minimum region 7 (indicated by red circles) far from the top electrode in the Pt/TiO<sub>2</sub>/TiN case indicated that, in 8 the simulation, a longer time was required to satisfy the threshold condition compared to the 9  $Pt/TiO_2/TiO_{2-x}/TiN$  case. Before the cycle test, forming-off states were obtained by allowing 10 the voltage bias to remain for some time after satisfying the threshold condition without reaction, which is known as the overshooting phenomena in voltage-driven switching.<sup>58</sup> Then, 11 12 the set and reset operations were treated as repetitions of the diffusion-drift process with 13 respect to the alternating sign of the voltage, and 20 cycles of switching were performed. 14 The set process was treated as exceeding the threshold concentration at the top interface 15 where the least number of vacancies are located. For consistent simulations, the applied 16 voltage was maintained for the same period of time (voltage-applying time without reaction 17 during forming) after the vacancy concentrations exceeded the threshold concentration at the 18 top interface. Similarly, the applied voltage was maintained for the same period of time after 19 the vacancy concentrations decreased below the threshold concentration at the top interface 20 during the reset process. The results after 20 cycles of set and reset are shown in Fig. 9(c). A 21 low concentration of vacancies was maintained near the Pt/TiO2 interface in the 22 Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN case. Figure 9(d) shows the vacancy distribution after 20 set/reset cycles. 23 This simulation, based on reaction-diffusion-drift, described how the concentration of oxygen 24 vacancies changed. The oxygen vacancy concentration remained low, especially in the region 25 beneath the top electrode, in the Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN case. As a result, the simulation provides 15

possible field-enhanced oxygen vacancy movement exhibiting lower oxygen vacancy participation. Although the residual oxygen vacancy was not observed in this simulation, the residual vacancy effect can originate from the thermal effect. Because the reported residual vacancy effect was simulated on the assumption of cylinder-like filamentary conduction path for the only reset process, a combination of the field effect (governing the forming and the set process as well as the reset in this simulation) and the reported thermal effect needs to be investigated.<sup>56</sup>

8 Among bilayer oxide systems, the fast speed and high endurance reported for 9 Ta<sub>2</sub>O<sub>5-x</sub>/TaO<sub>2-x</sub> resistive switching structures highlight the effect of the inserted oxygendeficient layer.<sup>31</sup> These improvements are consistent with our experimental results of a fast 10 11 pulse response and I-V non-linearity. Although the improved performance of the device 12 could be a result of nano-ionic transport of oxygen vacancies, the role of the  $TaO_{2-x}$  layer is 13 still not completely understood. Our experimental and corresponding simulation results 14 analogically indicate field effect enhancement at the insulating Ta<sub>2</sub>O<sub>5-x</sub> layer (similar to the 15  $TiO_2$  layer in this study), which enables switching with a small number of oxygen vacancies, 16 confers a small reset current, enhances I–V non-linearity, and results in a fast reset process. 17 Even though the large number of oxygen vacancies is not directly involved in the switching 18 process, the distribution of oxygen vacancies can affect the switching behavior.

19

20 III. Conclusions

The Pt/TiO<sub>2</sub>/TiN structure is well known for asymmetric Schottky barrier formation in RRAM devices due to the serial contact between the Pt/TiO<sub>2</sub> and TiO<sub>2</sub>/TiN interfaces. Asymmetric barriers result from the higher barrier height of the Pt/TiO<sub>2</sub> interface than the TiO<sub>2</sub>/TiN interface. The increasing concentration of oxygen vacancies at the Pt/TiO<sub>2</sub> interface during switching, which exhibits changes in the rectifying behavior and resistance,

1	potentially degrades the memory performance due to the irregular concentration of oxygen
2	vacancies. Therefore, to improve the oxygen vacancy movement related performance such as
3	switching speed and I-V non-linearity, the agility of oxygen vacancy movement at the
4	Pt/TiO <sub>2</sub> interface should be carefully controlled. This can be achieved by fabricating an
5	oxygen-deficient $TiO_{2-x}$ layer. In the $Pt/TiO_2/TiO_{2-x}/TiN$ structure, the necessary
6	minimization of mobile oxygen vacancy and sufficient recovery of the rectifying property at
7	the Pt/TiO <sub>2</sub> interface are observed during the switching. Thus, the TiO <sub>2-x</sub> /TiN interface can
8	be used to finely control the reset process described by Coulomb repulsion at the $\ensuremath{Pt/TiO_2}$
9	interface. Systematic I-V measurements and simulations clarified the distinct roles of the
10	$Pt/TiO_2$ and $TiO_{2-x}/TiN$ interfaces. Though some oxygen vacancies (including those created
11	during forming) are an unavoidable requirement for switching, the proposed structure can be
12	used to reduce the degradation of $Pt/TiO_2$ interface region through the oxygen vacancy-
13	supplied $TiO_{2-x}/TiN$ interface. As a result, the switching speed and resistance control can be
14	improved by confining the movement of oxygen vacancies. This offers the possibility of an
15	oxygen-vacancy-designed device to overcome reliability problems associated with
16	conventional RRAM devices.

17

18 IV. Methods

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9 1. Sample preparation and measurement

A 100-nm-thick TiN bottom electrode was deposited on a  $SiO_2/Si$  substrate at room temperature by DC sputtering. The deposited TiN was cleaned sequentially using acetone and ethanol and rinsed with deionized water. The 40-nm-thick  $TiO_2$  or  $TiO_{2-x}$  layers were deposited on the TiN at 300°C using pulsed laser deposition (PLD) by controlling the oxygen gas flow. A 100-nm-thick Pt layer was fabricated for the top electrode by DC sputtering at room temperature, and the patterned electrode was formed by the lift-off method using a

square mask with a side length of 40 µm. The device structure was confirmed using x-ray photoelectron spectrometry (XPS) and transmission electron microscopy (TEM), as described in the supporting information. The resistive switching characteristics were measured using an Agilent B1500A semiconductor characterization analyzer by applying voltage to the Pt electrode and by grounding the TiN terminal.

6

7 2. Model simulation

8 To examine the movement of the oxygen vacancies according to the oxygen vacancy 9 distribution, we simulated the switching process using the reaction-diffusion-drift equation,

$$\frac{\partial N_{v}}{\partial t} = \nabla \cdot (D \nabla N_{v} + \mu N_{v} \nabla \varphi) + R - - - - - - - (1)$$

10

where  $N_v$  is the oxygen vacancy concentration, t is time, D is the diffusion coefficient,  $\mu$ 11 12 is the mobility of vacancies in the oxide,  $\varphi$  is the electric potential, and **R** is the reaction 13 rate. The potential gradient  $\nabla \varphi$  governs the direction of the vacancy movement. Moreover, 14 because the oxygen vacancy is a pathway for electronic conduction,  $\nabla \varphi$  could have a form 15 similar to that of a metallic material in an insulator. However, because the vacancies are 16 mobile, the electrostatic calculations were approximately extended to electrodynamic 17 calculations through alternating iterations of the electrostatic and the reaction-diffusion-drift 18 calculations. Because the oxygen vacancy movement generally required more time than the 19 time to reach electrostatic equilibrium, we solved the continuity equation describing the 20 electrostatic situation:

 $\nabla \cdot \sigma \nabla \phi = 0 - - - - - (2)$ 

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where  $\sigma$  is the electrical conductivity and depends on the vacancy concentratio,  $\sigma$  has

2 spatial dependence due to the spatial dependence of the vacancy concentration, and this is the 3 most significant feature of the simulation. 4 Equation (2) must be solved prior to equation (1), and the finite-difference method of the 5 second-order central difference scheme is used on a 51  $\times$  201 2-D square grid. Dirichlet 6 boundary conditions are used. The top boundary is maintained at a positive or negative value, 7 and the other boundaries are kept at zero. In this case, the calculation becomes accurate as the 8 iterations increase, and the solution becomes saturated. Thus, the number of iterations was set 9 to 2000. From this calculation, the potential  $\varphi(\mathbf{x}, \mathbf{y})$  can be obtained at a given  $\sigma(\mathbf{x}, \mathbf{y})$ . 10 Equation (1) is solved through the finite-difference time-domain method using the Crank-11 Nicolson stencil for the same grid as Equation (2). The Dirichlet and Robin boundary 12 conditions are used. The right and left boundaries always have zero concentration, while the 13 top and bottom boundaries do not allow incoming or outgoing vacancies by diffusion or drift. 14 A sufficiently short interval of time was selected to avoid diversion of the calculation. All parameters are adequately fixed according to the reported data<sup>38, 44, 56, 57</sup> and normalized on 15 16 the basis of qualitative examination. The entire calculation was performed using MATLAB 17 software. The simulation details are explained in the supporting information. 18

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20	Figure	e captions		
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22	Figure	e 1. Schematic diagrams of the prepared samples of (a) $Pt/TiO_2/TiN$ and (b)		
23	Pt/Ti(	$D_2/TiO_{2-x}/TiN$ . (c) Comparison between the pristine I-V characteristics of (a) and (b).		
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Figure 2. Categorization of the electroforming process and concomitant switching sequence.
(a) Forming-on process: Initial-On-Off-On sequence, (b) Forming-off process: Initial-Off-
On-Off sequence, and (c) Forming-off process: Initial-Off ( $\approx$ initial)-On-Off sequence.
Figure 3. The forming-off process for electroforming and the concomitant forming-on,
forming-off process are sequentially demonstrated and explained for (a) Pt/TiO <sub>2</sub> /TiN and (b)
Pt/TiO <sub>2</sub> /TiO <sub>2-x</sub> /TiN.
Figure 4. A number of I-V curves are linearly displayed for (a) Pt/TiO <sub>2</sub> /TiN and (b)
$Pt/TiO_2/TiO_{2-x}/TiN$ . The first set and reset are distinguished from the subsequent set and reset
curves. The differences in the current-decreasing feature and maximum reset current of the
reset process are indicated.
Figure 5. Recovery of the reset state is examined by electric pulse. During the reset, the reset state is
well recovered for the pulse widths of up to 10 $\mu$ s in (a) Pt/TiO <sub>2</sub> /TiO <sub>2-x</sub> /TiN while the reset state is
not well recovered by applying repeated 8s-width pulses in (b) $Pt/TiO_2/TiN$
Figure 6. Resistance changing behavior according to the pulse conditions. The number of
pulses are counted immediately after each result in Fig. 5. (a) An immediate resistance
increase was observed by applying a 10-µs pulse, and the set/reset states are stable in
Pt/TiO <sub>2</sub> /TiO <sub>2-x</sub> /TiN. (b) The reset resistance was improved by applying positive, high, narrow
pulses for reset in Pt/TiO <sub>2</sub> /TiN (region #6).
Figure 7. The I–V non-linearity of each on-state and off-state is examined with reference to a

# 2 (a) Forming-on pro 3 On-Off sequence, a 4 5 Figure 3. The form 6 forming-off process 7 Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN. 8 9 Figure 4. A numb 10 Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN. curves. The different 12 reset process are inc 13 14 Figure 5. Recovery o 15 well recovered for the 16 not well recovered by 17 18 Figure 6. Resistance 19 pulses are counted 20 increase was obser Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN. 22 pulses for reset in P 23 24 Figure 7. The I-V n 25 guide line (black solid) indicating an Ohmic-like contact. The positive and negative voltage

25

1 regions are combined, and both axes are logarithmic scaled. Moreover, all data are 2 normalized with respect to the non-zero lowest current value of the on-state. (a) The 3 Pt/TiO<sub>2</sub>/TiN system shows characteristic behavior such that linearity is observed in the low 4 voltage region of both the on- and off- state, and non-linearity is enhanced in the high voltage 5 region of both the on- and off-state (black arrows). (b) The  $Pt/TiO_2/TiO_{2-x}/TiN$  system shows 6 a contrast characteristic behavior such that linearity is enhanced even in high voltage region 7 of the on-state, and non-linearity is enhanced early in low voltage region of the off-state. 8 (Inset) The current level of the first set and reset at 0.01 V showed a clear difference between 9 the Pt/TiO<sub>2</sub>/TiN and Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub>/TiN samples. The current level was consistent before and 10 after the reset process (purple circle) in Pt/TiO<sub>2</sub>/TiN, but it was not consistent (separate red 11 and blue circles indicating the off-state in negative and in positive voltage, respectively) in 12 Pt/TiO<sub>2</sub>/TiO<sub>2-x</sub> /TiN.

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14 Figure 8. Schematic diagram of the possible states (initial, forming, set, and reset) of resistive 15 switching process for the (a) TiO<sub>2</sub>/TiN and (b) TiO<sub>2 $\rightarrow$ 2-x</sub>/TiN cases. Considering the 16 combined interface effects, the strong or weak Schottky contact and high or low resistive 17 Ohmic contact are remarkable. The TiO<sub>2</sub>/TiN case has no initial vacancy, large oxygen 18 vacancy supply, attraction with excessive vacancy participation, and repulsion with residual 19 vacancy, while  $TiO_{2\rightarrow 2-x}/TiN$  case has initial vacancy, small oxygen vacancy supply, 20 attraction with low vacancy participation, and repulsion without residual vacancy. The 21 amount of vacancy participation could be the origin of the different rectifying characters.

22

Figure 9. Simulation results according to the experimental situation for different initial conditions. (a) Shortly after the simulation starts, the results show fast downward movement with considerable vacancy concentration as the initial condition from the bottom in the

1 TiO<sub>2-2-x</sub>/TiN (or simply TiO<sub>2-x</sub>/TiN) case. This fast movement is observed regardless of the 2 linear or stepped initial vacancy distribution. (b) After the minimum concentration increases 3 over the threshold concentration, the simulation is stopped. At this time, a relatively low 4 vacancy concentration is observed, and the simulation finishes early in the TiO<sub>2-x</sub>/TiN case. 5 (c) Without the reaction, the set and reset operations are simulated through Coulomb 6 attraction and repulsion, respectively. The low vacancy concentration is maintained during 7 the cycles. (d) The vacancy concentration result at the end of the cycle is displayed. 8 9 10 11 Figures 12 13 Figure 1 С а 40 µm, 250 Pt/TiO,/TiN TIO2-x/TIN Pt Pt/TiO,/TiO,/TiN 200 Current (µA) Pristine I–V 150 (-1 V to +1 V) b 100 40 µm. 50 Pt/TiO<sub>2</sub> TiO<sub>2</sub> 0 0.0 0.5 increasing -1.0 -0.5 1.0 Voltage (V) 14

15 Figure 2.









#	Voltage (V)	Current Compliance (mA)	significance
1	0 <u>→</u> 1	No	Initial state
2	0 10	0.05	Forming Off-state
3	$0 \rightarrow -2 \rightarrow 0$	10	First Set
4	0 → +2 → 0	No	First Reset



- 4
- 5
- 6

7 Figure 4.



2 Figure 5.

1



2V/10mA=200Ω

20 30 40 50 60 70

Pulses (n)



6 Figure 6.

4

5

10<sup>3</sup>

10<sup>2</sup>

+10V pulse profile --5V

10 0

6

7

8

9

10

-5 [50n]

2.5[4]

2.5[2]

2.5[500u]

2.5[50u]

2.5[1u]



7 Figure 8.



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Low N<sub>v</sub>

Low N<sub>v</sub>

9

fast 🦊

Pt/TiO2/TiO2-w/TiN