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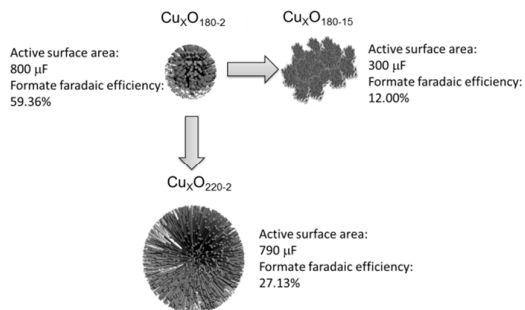
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The Cu_xO₁₈₀₋₂ catalyst obtained with 3D hierarchical nanosphere structures could catalyze CO₂ reduction in aqueous solution with very high catalytic activity and superb selectivity of produced formate, significantly outperforming Cu_xO catalysts without such special morphology.

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3 **Aqueous CO₂ reduction on morphology controlled Cu_xO nanocatalysts at low**
4 **overpotential**

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1 **Aqueous CO₂ reduction on morphology controlled Cu_xO nanocatalysts at low**
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3

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5 **Abstract:** Various Cu_xO catalysts with different special microstructures were
6 synthesized using a simple one-step hydrothermal method by controlling the reaction
7 time and temperature conditions. Scanning electron microscopy (SEM) and
8 high-resolution transmission electron microscopy (HR-TEM) was used to observe the
9 morphologies of received catalysts. The 3-dimension (3D) hierarchical nanospheres
10 (500 nm) comprised of secondary structured nanorods (50 nm) are formed at 180°C
11 for 2 hours. However, when increasing the hydrothermal reaction temperature to
12 220°C, solid microspheres with large size of 2.5 μm begin to appear instead of flabby
13 hierarchical nanospheres. To further investigate the effect of morphologies on the
14 activity and production selectivity of Cu_xO catalysts, cyclic voltammetry (CV) was
15 used to evaluate the onset potential and current density of catalyzed CO₂ reduction
16 combining linear sweep voltammetry (LSV) in 0.5 M KHCO₃ solution. The effect of
17 catalyst loading was also tested by applying the gas diffusion layer (GDL) to make up
18 a working electrode for CO₂ electroreduction. The results indicate that the synthesized
19 temperature at 180°C for 2h is the optimal condition for Cu_xO nanospheres and, the
20 optimal loading is about 3 mg cm⁻², under which the onset potential for CO₂
21 electroreduction reaches -0.55 V vs. SHE. By ion chromatography measurement, the
22 Faradaic efficiency and production rate of produced formate was found to be 59%,
23 which is much higher than most reported Cu-based catalysts at the same electrolysis
24 conditions, indicating the high selectivity of the Cu_xO nanospheres due to its
25 controlled special surface morphology.

26 **Keywords:** CO₂ electroreduction, copper oxide nanocatalysts, morphology control,
27 secondary structure, formate production, selectivity

28

1. Introduction

Extra emission of carbon dioxide (CO₂) into the atmosphere, induced by the depletion of non-renewable fossil fuels and excess human industrial activities, has been considered one of the primary causes in possible global warming due to the greenhouse effect, and also becoming an increasing concern in recent years. Electrochemical reduction of CO₂ to form useful chemicals or fuels is a potentially efficient method of CO₂ utilization and recycling.¹ However, in the process of CO₂ electroreduction, there is a problem of the slow kinetic of CO₂ electroreduction, leading to wastage of energy and the insufficient utilization of sources.^{2,3} Overcoming the challenges of CO₂ reduction under mild conditions would enable development of a broader portfolio of fuel-producing device. Therefore, the focal point is to develop efficient catalysts which can increase the activity and selectivity, especially for room-temperature CO₂ reduction in aqueous solutions.⁴⁻⁶

In the past few decades, researchers found that many metal oxide nanopowders could be the perfect catalysts in supercapacitors and/or lithium ion batteries for their controllable various morphologies.⁷⁻¹⁰ This is because that different morphologies of the metal oxides might give different surface structures and surface areas, which then further influence the performance of electrochemical reaction. On the other hand, in the research field of electrochemical reduction of CO₂, Prakash reported¹¹ that taking Sn metal powder as the catalyst could give high current density and show high faradaic efficiency for produced formate in 0.5 M NaHCO₃ solution. Li¹² and Tang¹³ *et al.* find that the nanostructured surface of Cu metal plate could give more positive onset potential and higher current efficiency for CO₂ reduction in 0.5 M NaHCO₃ and 0.1 M KClO₄ aqueous solution, respectively. It is believed that the special morphology on the surface could provide abundant undercoordinated sites, which are more likely to be the active sites for CO₂ reduction.^{6,12,13} As yet, the large overpotential was required for CO₂ reduction for many metal electrodes, resulting from the barrier associated with the initial electron transfer to form a CO₂•⁻ intermediate that is poorly stabilized by the metal electrode surfaces.¹⁴ Most recently, it has been proposed that metastable metal oxides (e.g., SnOx) can participate in the

1 CO₂ reduction pathway on metal electrodes (e.g., Sn) by providing chemical
2 functionality that stabilizes the incipient negative charge on CO₂ or by mediating the
3 electron transfer directly, therefore the catalytic activity for CO₂ electroreduction was
4 greatly enhanced relative to a bare metal electrode.¹⁵ Although the potentials required
5 for CO₂ reduction are past the standard reduction potentials for these oxides,
6 metastable metal oxides are known to persist on electrode surfaces during cathodic
7 reactions.¹⁶ This suggests us a clue that metal oxides might be promising candidates
8 for CO₂ reduction. Unfortunately, less is concern on the directly synthesized metal
9 oxide nanocatalysts with different morphologies in CO₂ reduction, although the
10 control of different special morphologies is very important on both activity and
11 production selectivity in CO₂ reduction.

12 In this work, we report the synthesis of Cu_xO nanocatalysts with controlled
13 different special morphologies by one-step hydrothermal method, which have the
14 merits of low-cost, large-scale production and facile manipulation. By controlling the
15 hydrothermal reaction conditions, various Cu_xO nanocatalysts with novel
16 morphologies are received, such as hierarchical nanospheres with an average diameter
17 of about 500 nm and solid microspheres in a large size of about 2.5 μm. In order to
18 further investigate the effects of hydrothermal reaction time and temperature on the
19 morphology and catalytic activity of as-prepared Cu_xO nanoparticles for CO₂
20 electroreduction, cyclic voltammetry (CV) study was utilized combining linear sweep
21 voltammetry (LSV). For all electrochemical measurements, the Cu_xO nanoparticles
22 were coated on the gas diffusion carbon paper to form target electrodes, in this way,
23 easy diffusion path lengths can be achieved for substrates to access, leading to faster
24 kinetics. At the same time, H₂ as byproduct which could impede the reduction reaction
25 between CO₂ and electrode, could also be inhibited effectively.¹¹ The effect of catalyst
26 loading was also tested by applying the gas diffusion layer (GDL) to make up a
27 working electrode for CO₂ Electroreduction. The morphology effect on selectivity of
28 formate production was studied systematically through faradaic efficiency combining
29 production rate, which was examined by ion chromatography technique.

30

2. Experimental

2.1 Materials and catalyst synthesis

In this work, Copper(II) acetate monohydrate ($\text{Cu}(\text{Ac})_2$) was used as precursor for Cu-oxide nanocatalyst preparation, which was provided by Sinopharm Chemical Reagent Co. (SCR) with 99% purity and, 2,5-dimethoxyaniline purchased from Ourchem Information Consulting Co. with 99% purity was used as the reducing agent in dilute aqueous solutions under hydrothermal conditions. In detail, 0.04 M $\text{Cu}(\text{Ac})_2$ solution (40 mL) was mixed with 0.02 M 2,5-dimethoxyaniline solution (10 mL) till the mixture became dark green. During this process, a small amount of HAc (99%) was always added into $\text{Cu}(\text{Ac})_2$ solution for avoiding the hydrolysis of $\text{Cu}(\text{Ac})_2$. Then such mixture was transferred to a 100 mL Teflon-lined stainless steel autoclave. The autoclave was sealed and maintained at 180°C for 1-15 hours or at 160-220°C for 2 hours, respectively, in order to clarify the optimal reaction condition. The final powder was washed by ethanol and dried overnight. In this way, Cu_xO nanocatalysts with different morphologies were synthesized as shown in Fig. 1. For a convenient discussion, the resulting catalysts were labeled as $\text{Cu}_x\text{O}_{T-\tau}$, where T indicates the temperature (°C) used for hydrothermal reaction and τ the time (h) of hydrothermal reaction. As an example, the Cu_xO catalyst synthesized at 180°C for 2 hours was expressed as $\text{Cu}_x\text{O}_{180-2}$ and so on.

2.2 Electrode preparation and electrochemical test

For all electrochemical measurements, the Cu_xO nanoparticles were coated on the gas diffusion carbon paper to form target electrodes. For catalyst ink preparation, Cu_xO nanocatalyst (15 mg) was suspended in 200 μL isopropyl alcohol (Sinopharm Chemical Reagent Co.) and 5 wt% Nafion[®] solution (100 mg) was dropped in order to improve adhesion of catalyst ink. For exploring the effect of catalyst loading on catalytic activity, 10 mg, 15 mg and 20 mg catalysts were dispersed in 200 μL isopropyl alcohol to form different loadings of catalyst inks (2 mg cm^{-2} , 3 mg cm^{-2} and 4 mg cm^{-2} , about 80% of the catalyst powders were coated on the electrode),

1 respectively. A gas diffusion layer (GDL) (4 cm² Toray carbon paper, TGP-H-090)
2 with catalysts coated on was used as the working electrode and tested in a
3 conventional three-electrode electrochemical H-type cell, in which a piece of Nafion[®]
4 117 cation exchange membrane (H⁺ form) as a separator, a platinum foil electrode as
5 the counter electrode and a saturated calomel electrode (SCE) as the reference
6 electrode. All measured potentials were referenced to a standard hydrogen electrode
7 (SHE). A CH Instruments 600E was used for all electrochemical experiments. An
8 aqueous electrolyte (0.5 M KHCO₃) was used as the measurement solution, which
9 was bubbled with 1.0 atm CO₂ gas (99.99%) for 30 minutes for the CO₂ reduction
10 measurements. The electrocatalytic activity and kinetics of the working electrode was
11 tested using cyclic voltammetry (CV) and linear sweep voltammetry (LSV) at a scan
12 rate of 100 mV s⁻¹ and 5 mV s⁻¹, respectively, in the potentials ranging from 1.25 V to
13 -1.25 V. All these tests were carried out at ambient temperature and pressure.

14

15 *2.3 Physical characterization and reduction product measurement*

16 The scanning electron microscopy (SEM) images of samples were taken by Zeiss
17 ultra plus thermal field emission SEM instrument (Carl Zeiss SMTAG, Germany).
18 High-resolution transmission electron microscopy (HR-TEM) analyses were
19 performed with a high-resolution Hitachi JEM-2100F operating at 200 kV to obtain
20 information of the average particle size and the morphology difference of catalysts.
21 The crystal-phase X-ray diffraction (XRD) patterns of typical Cu_xO catalysts were
22 obtained using a Philips PW3830 X-ray diffractometer equipped with Cu-Kα radiation
23 (λ=0.15406 nm). The intensity data were collected at 25°C in the 2θ range from 0° to
24 90° with a scan rate of 1.20°min⁻¹. Brunauer-Emmett-Teller (BET) specific surface
25 area was characterized by nitrogen adsorption in a Micromeritics ASAP 2020 nitrogen
26 adsorption apparatus (USA). The product solution was filtered with filter membrane
27 (0.22 μm) and the HCOO⁻ concentration was determined by ion chromatography
28 (ICS-90, Dionex, USA) using an AS14 4 mm × 250 mm separation column at a flow
29 rate of 1 ml/min. The mobile phase was a mixed aqueous solution of Na₂CO₃ (4.5 mM)
30 and NaHCO₃ (0.8 mM), and a H₂SO₄ (20 mM) aqueous solution was used as a

1 regenerator.

2

3 **3. Results and discussion**

4

5 *3.1 Effect of hydrothermal reaction condition on the morphology of Cu_xO* 6 *nanocatalysts*

7 To observe the morphology changes under different hydrothermal conditions, Fig.
8 1 shows SEM images of the Cu_xO nanocatalysts synthesized at 180°C for 2, 5, 10 and
9 15 hours, respectively. It can be seen that $\text{Cu}_x\text{O}_{180-2}$ catalyst indicates a clear
10 3-dimensioned (3D) hierarchical nanosphere structure with an average diameter of
11 about 500 nm (Fig. 1(a)). After a careful observation, it was found that such
12 nanospheres are comprised of secondary structures which are made up of laminated
13 small nanosheets with an average diameter of about 50 nm. With increasing the
14 synthesis time to 5 hours, however, a little deformation of the secondary structure was
15 observed for $\text{Cu}_x\text{O}_{180-5}$ catalyst, where the slender nanorods with the diameter of
16 about 20 nm and 50 nm are formed. Although the morphology with hierarchical
17 nanosphere structure is still maintained, the diameter of the nanosphere increased to
18 about 800 nm (Fig. 1(b)). Further increasing the hydrothermal reaction time to 10
19 hours induces the formation of inner hollow nanosphere structure for $\text{Cu}_x\text{O}_{180-10}$, ie.,
20 the 3D hierarchical nanosphere structure tends to break at this stage (Fig. 1(c)). Finally,
21 when the reaction time was further increased to 15 hours, the 3D structure of
22 $\text{Cu}_x\text{O}_{180-15}$ catalyst completely collapsed. During this process, large amounts of
23 nanoparticles with a diameter of 50 nm clustered together and, most of the
24 nanoparticles were wrapped by amorphous substance which was inherited from the
25 precursors as shown in Fig. 1(d). Normally, the fabrication process of these
26 nanostructures in Fig. 1(a-c) can be explained by a self-transformation process of the
27 metastable aggregated particles accompanied by the Ostwald ripening¹⁷⁻¹⁹, where the
28 time of hydrothermal reaction plays a key role in obtaining the special morphology of
29 the catalyst.

30 It is interesting to find that when the temperature for hydrothermal reaction was

1 increased from 180°C to 220°C while still maintaining the reaction time for 2 hours,
2 dense microspheres are formed for $\text{Cu}_x\text{O}_{220-2}$ catalyst with a large size in diameter of
3 2.5 μm (Fig. 1(e)), which is very different from the morphology of $\text{Cu}_x\text{O}_{180-2}$ catalyst
4 (Fig. 1(a)). Further from the high-resolution SEM image of $\text{Cu}_x\text{O}_{220-2}$ (Fig. 1(f)), it
5 was found that the surface of these large microspheres are comprised of small
6 nanorods with an average diameter of 25 nm, which are densely arrayed with sporadic
7 cavities in the intervals of these nanorods. These morphology changes may greatly
8 influence the catalytic activity of the catalysts for CO_2 electroreduction, which will be
9 discussed thoroughly in the following section.

10 For further clarifying the morphology differences of Cu_xO catalysts, HR-TEM
11 (Fig. 2) combining XRD patterns (Fig. 3) were used to investigate $\text{Cu}_x\text{O}_{180-2}$,
12 $\text{Cu}_x\text{O}_{180-15}$, $\text{Cu}_x\text{O}_{220-2}$, which are representatives in all Cu_xO catalysts. From Fig. 2(a),
13 it can be seen clearly that $\text{Cu}_x\text{O}_{180-2}$ catalysts are flabby nanospheres which are made
14 up of small nanosheets. The corresponding fast Fourier transformation (FFT) pattern
15 (inset of Fig. 2(a)) exhibited rings with several obviously brighter dots, indicating
16 their polycrystalline characteristics. The HR-TEM image shown in Fig. 2(b) combining
17 XRD patterns in Fig. 3(a) provides more detailed structural information of the
18 $\text{Cu}_x\text{O}_{180-2}$ catalyst. The lattice fringes showed a lattice spacing of 0.27 nm (Fig. 2(b)),
19 corresponding to the $\{-111\}$ planes of CuO . Fig. 2(c) shows the TEM image of
20 $\text{Cu}_x\text{O}_{180-15}$, which correlates well with the SEM image (Fig. 1(d)), where the
21 $\text{Cu}_x\text{O}_{180-15}$ catalysts did not show any special morphology, i.e., with the increase of
22 synthesis time high up to 15 hours, the nanosphere structure was destructed
23 completely, and the morphology of $\text{Cu}_x\text{O}_{180-15}$ turned to scattered 1-dimension
24 nanoparticles which were covered by amorphous substance. Therefore the FFT pattern
25 shown in the inset of Fig. 2(c) does not show obvious brighter dots. However, in Fig.
26 2(d), by HR-TEM and calculation of XRD pattern, the lattice fringes on scattered
27 nanoparticles were related to $\{110\}$ planes of Cu_2O . The morphology of $\text{Cu}_x\text{O}_{220-2}$
28 shown in Fig. 2(e) also has a well consistent result with the SEM image as shown in
29 Fig. 1(e) and (f). The FFT pattern (inset of Fig. 2(e)) combining HR-TEM (Fig. 2(f))
30 indicates that the $\text{Cu}_2\text{O}_{220-2}$ was also polycrystalline copper and the lattice spacing is

1 0.21 nm (Fig. 2(f)), indicating a relationship with {200} planes of Cu_2O . All the clear
2 crystalline information of $\text{Cu}_x\text{O}_{180-2}$, $\text{Cu}_x\text{O}_{180-15}$ and $\text{Cu}_x\text{O}_{220-2}$ was shown in Fig. 3.

3 In Table 1, all the BET specific surface areas are summarized related to the
4 different catalysts' morphology, their average particle size and electrochemically
5 active surface area. One can see that $\text{Cu}_x\text{O}_{180-2}$ catalyst has the largest specific surface
6 area of $63.50 \text{ m}^2 \text{ g}^{-1}$, $\text{Cu}_x\text{O}_{180-15}$ has the specific surface area of $53.62 \text{ m}^2 \text{ g}^{-1}$, while
7 $\text{Cu}_x\text{O}_{220-2}$ has the smallest specific surface area of $22.16 \text{ m}^2 \text{ g}^{-1}$, which are ascribed to
8 their structural difference. Based on the observations, it is reasonable to conclude that
9 the largest surface area of $\text{Cu}_x\text{O}_{180-2}$ results from the loose and hierarchical
10 nanosphere structure. For $\text{Cu}_x\text{O}_{180-15}$, the nanosphere structure was destroyed, and the
11 catalyst was made up of clustered nanosheets, thus the BET surface area of $\text{Cu}_x\text{O}_{180-15}$
12 decreased correspondingly. However, for $\text{Cu}_x\text{O}_{220-2}$, the small surface area comes
13 from the large size of microsphere structure which was densely arrayed by small
14 nanorods.

15 The electrochemically active surface area was examined by the double layer
16 capacitance in N_2 -saturated 0.1 M HClO_4 . The CV was measured in a potential range
17 without Faradaic process occurred and, the capacitance, C (F), was calculated by the
18 equation $C = \text{current density}/\text{scan rate}$. As shown in Table 1, the $\text{Cu}_x\text{O}_{180-2}$ with the
19 special laminated nanosphere structure showed the best capacitance, and the
20 capacitance of $\text{Cu}_x\text{O}_{220-2}$ (microsphere structure) was just a little minor than that of
21 $\text{Cu}_x\text{O}_{180-2}$. Although the BET surface area of $\text{Cu}_x\text{O}_{220-2}$ is the smallest in the tested
22 three catalyst samples, the 3D sphere morphology of $\text{Cu}_x\text{O}_{220-2}$ and the results of the
23 electrochemically active surface area indicate that the sphere nanostructure might be
24 competitive to give more active sites in CO_2 electroreduction, as will be demonstrated
25 below. These results could explain that why the catalytic activity of $\text{Cu}_x\text{O}_{180-2}$ and
26 $\text{Cu}_x\text{O}_{220-2}$ is high for CO_2 electroreduction from one hand. However, for the
27 $\text{Cu}_x\text{O}_{180-15}$ catalyst without any special morphology, the capacitance is only 300,
28 which is about one-third smaller than that of $\text{Cu}_x\text{O}_{180-2}$. These results are in line with
29 the conclusions in Fig.1-3.

30

1 3.2 Electrochemical activities of catalyzed CO₂ reduction

2 For clarifying the effects of hydrothermal conditions including reaction time and
3 temperature on the catalysts' activities of catalyzed CO₂ electroreduction, Fig. 4 shows
4 CV responses of CO₂ saturated 0.5 M KHCO₃ solution on four catalysts coated on
5 GDL, i.e., Cu_xO₁₈₀₋₁, Cu_xO₁₈₀₋₂, Cu_xO₁₈₀₋₅, Cu_xO₁₈₀₋₁₀ and Cu_xO₁₈₀₋₁₅, respectively.
6 From Fig. 4 (a), it can be seen that the Cu_xO₁₈₀₋₂ catalyst resulted in the earliest onset
7 potential (about -0.55 V vs. SHE) in all tested catalyst samples and then the Cu_xO₁₈₀₋₅
8 catalyst if the catalytic current obtained from Cu_xO to its reduction state (at the end of
9 reduction peak at the negative potential direction in Fig. 4 was ignored. However, for
10 either Cu_xO₁₈₀₋₁ or Cu_xO₁₈₀₋₁₀ and Cu_xO₁₈₀₋₁₅ catalysts, their onset potentials appear at
11 about -0.85 V vs. SHE, which are more 300 mV negative shift compared to that of
12 Cu_xO₁₈₀₋₂. Moreover, at the most negative potentials, the cathodic current density is
13 much larger for Cu_xO₁₈₀₋₂ catalyst than the catalysts synthesized at 180°C for longer
14 times. This suggests that hydrothermal reaction at 180°C for 2 hours is the optimal
15 condition for Cu_xO catalyst, under which the morphology of 3D hierarchical
16 nanospheres with secondary structures for Cu_xO₁₈₀₋₂ can be well formed. Such special
17 morphology may provide larger active surface area and more active sites for CO₂
18 electroreduction, thus the improved catalytic activity of Cu_xO₁₈₀₋₂ catalyst toward
19 CO₂ reduction. As described in Fig. 2(a-d), when the hydrothermal reaction time was
20 increased while maintain the synthesis temperature unchanged (at 180°C), such
21 hierarchical structure was destroyed and no more 3D structure left at last, instead, the
22 Cu_xO₁₈₀₋₁₅ is only consisted of clustered nanosheets. Obviously, the decreased
23 catalytic activity of Cu_xO₁₈₀₋₁₀ and Cu_xO₁₈₀₋₁₅ might be partly resulted from the
24 destroyed hierarchical nanosphere structure.²⁰ It should be mentioned that the
25 Cu_xO₂₂₀₋₂ catalyst also shows a comparably better performance for CO₂ reduction
26 (Fig. 4(b)). The onset potential of Cu_xO₂₂₀₋₂ catalyst is very similar to that of
27 Cu_xO₁₈₀₋₂ catalyst under the same the measuring conditions. Additionally, the current
28 density at the most negative potential for Cu_xO₂₂₀₋₂ catalyst is even larger than that for
29 Cu_xO₁₆₀₋₂ and Cu_xO₂₀₀₋₂ catalysts, while is only slightly less than that of Cu_xO₁₈₀₋₂.
30 These results imply that the microsphere morphology of Cu_xO₂₀₀₋₂ could not be

1 perfect as the special hierarchical nanosphere of $\text{Cu}_x\text{O}_{180-2}$ because of the large size
2 and dense structure of the former when compared to the fluffy structure of the latter,
3 of which the dense microsphere morphology of $\text{Cu}_x\text{O}_{200-2}$ may not easily offer more
4 active sites for catalytic CO_2 reduction than $\text{Cu}_x\text{O}_{180-2}$, however, because of the 3D
5 nanosphere structure of $\text{Cu}_x\text{O}_{200-2}$, the activity of $\text{Cu}_x\text{O}_{200-2}$ is still higher than that of
6 $\text{Cu}_x\text{O}_{180-15}$ (without special morphology). Note that the overpotential of CO_2
7 electroreduction of the optimal $\text{Cu}_x\text{O}_{180-2}$ was found to be at -0.55 V vs. SHE, which
8 is much lower than those for metal Cu electrodes (about 450 mV positive shift)
9 reported elsewhere.²¹⁻²³ Even for those Cu_xO nanocatalysts (e.g., $\text{Cu}_x\text{O}_{180-10}$ and
10 $\text{Cu}_x\text{O}_{180-15}$) with comparably lower activities in this work, their overpotentials of
11 -0.85 V vs. SHE is still nearly 150 mV more positive shift than the reported ones.²¹⁻²³

13 3.3 Faradaic efficiency of the produced formate

14 To further confirm that the morphology structures could have effect on the
15 catalytic activity and production selectivity towards CO_2 reduction, the produced
16 formate was determined and analyzed as a target by ion chromatography (IC), after
17 applying a constant potential of -0.7 V vs. SHE in CO_2 saturated 0.5 M KHCO_3
18 electrolyte for 60 minutes. The faradaic efficiency was calculated using the following
19 equation:²⁴

$$20 \quad \eta_{\text{formate}} = \frac{n_{\text{formate}} 2F}{C} \quad (1)$$

21 Where n_{formate} is the mole number of produced formate, F is the faradaic constant,
22 and C is total coulomb of electrons passed across the electrode during the electrolysis.
23 Fig. 5(a) and (b) show the total reduction current density as a function of operation
24 time for all tested catalyst samples, which was measured at -0.7 V vs. SHE. As
25 expected, the $\text{Cu}_x\text{O}_{180-2}$ catalyst gives the highest reduction current density when
26 compared with other Cu_xO catalysts synthesized at different hydrothermal conditions.
27 Further from Fig. 6, it can be clearly seen that the highest faradaic efficiency obtained
28 in this work reached high up to ~59% for the $\text{Cu}_x\text{O}_{180-2}$ hierarchical nanospheres,

1 which is remarkably improved at low overpotential (-0.55 V vs. SHE) compared to
2 those reported elsewhere.^{12,21,25,26} However, the faradaic efficiency sharply decreased
3 along with the longer hydrothermal reaction time regardless of the synthesis
4 temperature applied. This demonstrates further that the catalytic activity for CO₂
5 electroreduction strongly depends on the surface morphology of the catalysts
6 as-prepared. For a good comparison, all the detailed results of faradaic efficiency are
7 presented in Table 2. It can be seen that only the two catalysts of Cu_xO₁₈₀₋₂ and
8 Cu_xO₁₈₀₋₅ have the faradaic efficiencies exceeding 30%, which is in a well agreement
9 with CV results as shown in Fig. 4. As discussed above, these two catalysts, particular
10 to Cu_xO₁₈₀₋₂, possess the novel morphologies which may offer large surface area and
11 more active sites in catalyzed CO₂ reduction (Table 1), thus the large enhancement in
12 catalytic performance for CO₂ electroreduction. Further from Table 2, it was noted the
13 Cu_xO₁₈₀₋₂ has the best selectivity for formate among all the Cu_xO catalysts
14 synthesized. This result, along with the CV results as shown in Fig. 4 further suggests
15 that catalyst's morphology is a major factor that cannot be ignored in CO₂ reduction,
16 and the special hierarchical nanosphere structure is more effective for electrochemical
17 reduction of CO₂ in this work.

18 Production rate combining the faradaic efficiency and current density could also
19 be used to contrast the performance of different Cu_xO catalysts. Fig. 7 combining Table
20 2 shows the detailed production rate for each Cu_xO catalyst. Evidently, the Cu_xO₁₈₀₋₂
21 has the best performance compared to Cu_xO catalysts made by other conditions. From
22 Fig. 7, the production rate of Cu_xO₁₈₀₋₂ is about one order higher than the other Cu_xO
23 catalysts and follows the order: Cu_xO₁₈₀₋₂ >> Cu_xO₁₈₀₋₅ > Cu_xO₁₈₀₋₁₀ > Cu_xO₁₈₀₋₁₅,
24 and Cu_xO₁₈₀₋₂ >> Cu_xO₂₂₀₋₂ > Cu_xO₂₀₀₋₂ > Cu_xO₁₆₀₋₂, which are synthesized for Cu_xO
25 both at a longer reaction time or at a higher temperature of 220°C and lower
26 temperature of 160°C (Table 2). All of these results of formate production rate are
27 consist well with the results of faradaic efficiency. Based on the above observations
28 we could give a schematic of the structures of the typical Cu_xO₁₈₀₋₂, Cu_xO₁₈₀₋₁₅
29 Cu_xO₂₂₀₋₂ and the morphology effect on the active surface area and formate faradaic
30 efficiency. This could help us to have a more clearly mechanistic understanding on

1 why and how the structures are affecting the electrocatalytic activities. As seen in Fig.
2 8, both the $\text{Cu}_x\text{O}_{180-2}$ and $\text{Cu}_x\text{O}_{220-2}$ were consisted of secondary structures which
3 provide them larger active surface area and higher faradaic efficiency than $\text{Cu}_x\text{O}_{180-15}$
4 with only 1-dimensional structure. However, the diameter of $\text{Cu}_x\text{O}_{220-2}$ was fairly
5 large and catalyst sphere was 4 times bigger than that of $\text{Cu}_x\text{O}_{180-2}$. That is why the
6 faradaic efficiency of $\text{Cu}_x\text{O}_{220-2}$ was not that perfect as $\text{Cu}_x\text{O}_{180-2}$. Therefore, the
7 morphology is a key factor in determining the activity and selectivity of catalysts and,
8 the synthesis reaction time and temperature could effectively turning the catalysts'
9 morphology and thus their catalytic performance.

10

11 *3.4 Loading effect on the activity and selectivity for CO₂ catalytic reduction*

12 For low-cost Cu-based catalyst, increasing the catalyst loading obviously could
13 be a way to improve its catalytic performance. Loading effect has been widely
14 discussed in the field of fuel cell,²⁷⁻²⁹ however, for CO₂ electroreduction, the effect of
15 catalyst loading has never been reported yet. Based on this consideration, the loading
16 effect on catalytic activity of CO₂ electroreduction is investigated by CV curves, with
17 the most efficient $\text{Cu}_x\text{O}_{180-2}$ catalyst as a typical candidate. As shown in Fig. 9 (a),
18 both the onset potential and the current density at the most negative potential are
19 greatly enhanced with increasing catalyst loading from 2 mg cm⁻² to 3 mg cm⁻² (with
20 300 mV positively shifted onset potential and 2.5 times increased current density).
21 When the loading is further increased to 4 mg cm⁻², both onset potential and current
22 density are retreated to the level of 2 mg cm⁻² loading. From what has been discussed
23 above, it could be concluded that 3 mg cm⁻² is the optimal catalyst loading for CO₂
24 electroreduction.

25 To further verify the loading effect on the performance of catalytic CO₂ reduction,
26 Fig. 9(b-d) combing Table 2 exhibit the current density, faradaic efficiency and
27 production rate for different catalyst loadings. It can be obviously seen that the current
28 density for catalyst loading of 3 mg cm⁻² is much higher than for other two, and
29 2.2-fold of 4 mg cm⁻² loading and 3.7-fold of 2 mg cm⁻² loading, respectively (Fig.
30 9(b)). Furthermore, both the faradaic efficiency (Fig. 9(c)) and production rate (Fig.

1 9(d)) of formate reach the maximum under the loading of 3 mg cm^{-2} which are in
2 accord with what concluded in Fig. 9 (a) that the loading of 3 mg cm^{-2} is the optimal
3 one.

4 Regarding the catalyst loading, there has not a clarified mechanism on its
5 prompting and/or prohibiting effect. However, it is reasonable to be viewed that this
6 loading effect could provide more active sites available for reducing initial electron
7 transfer to form a $\text{CO}_2^{\bullet-}$ intermediate that is poorly stabilized by the metal electrode
8 surfaces, that is, increasing the catalyst loading may lead to an enhanced electron
9 pathway in CO_2 reduction process. Another explanation may be considered, that is, at
10 low loading, the catalyst layer is so thin that the generated $\text{CO}_2^{\bullet-}$ intermediate have
11 no enough time to stay to find neighboring active site for next reduction process,
12 while at high catalyst loading, $\text{CO}_2^{\bullet-}$ intermediate could have longer time to remain
13 in catalyst layer, thus more chance for the reduction of $\text{CO}_2^{\bullet-}$ intermediate further to
14 formate. However, too high loading will cause the catalyst layer too thick, leading to
15 the easy cracking and falling off of the catalyst from the electrode as well as larger
16 electric resistance, thus resulting in lower catalytic activity. And this has been well
17 demonstrated for cathodic catalyst for oxygen reduction reaction in fuel cells.²⁷⁻²⁹

18

19 **4. Conclusions**

20

21 The effects of hydrothermal conditions on the morphology formation of Cu_xO
22 nanocatalysts and their influences for catalytic performance in CO_2 electroreduction
23 were investigated. It was found that hydrothermal reaction at 180°C for 1-15 or at 160
24 -220°C for 2 hours could induce the formation of sphere-like nanostructure. However,
25 the special 3D hierarchical nanospheres ($\sim 500 \text{ nm}$) comprised of secondary structures
26 could only be received at 180°C for 2 hours. This condition performs best for Cu_xO
27 catalysts in CO_2 catalytic reduction in terms of both catalytic activity and selectivity,
28 under which the faradaic efficiency of formate could realize $\sim 59\%$, and the production
29 rate is one order increased than Cu_xO synthesized under other conditions. However,
30 the special hierarchical nanospheres were destroyed with increasing the hydrothermal

1 reaction time, and at the same time, the reduction activity and production faradaic
2 efficiency decreased greatly, suggesting that surface morphology control of the
3 catalyst is a significant factor, which determines the active sites and surface area and
4 even pathway for CO₂ reduction reaction. The catalyst loading effects on CO₂
5 electroreduction have also been investigated in this paper. It was concluded that under
6 the loading of 3 mg cm⁻², the Cu_xO₁₈₀₋₂ performs the high catalytic activity and, the
7 overpotential of CO₂ reduction is -0.55 V vs. SHE, which is nearly 450 mV positive
8 shift than previous works.

9

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1 **Figure captions**

2 **Fig. 1** SEM images of (a) $\text{Cu}_x\text{O}_{180-2}$, (b) $\text{Cu}_x\text{O}_{180-5}$, (c) $\text{Cu}_x\text{O}_{180-10}$, (d) $\text{Cu}_x\text{O}_{180-15}$, (e)
3 $\text{Cu}_x\text{O}_{220-2}$. (f) High-resolution SEM image of $\text{Cu}_x\text{O}_{220-2}$.

4

5 **Fig. 2** TEM images and corresponding FFT pattern (inset) of typical (a) $\text{Cu}_x\text{O}_{180-2}$, (c)
6 $\text{Cu}_x\text{O}_{180-15}$, (e) $\text{Cu}_x\text{O}_{220-2}$. High-resolution TEM images of (b) $\text{Cu}_x\text{O}_{180-2}$, (d)
7 $\text{Cu}_x\text{O}_{180-15}$, (f) $\text{Cu}_x\text{O}_{220-2}$.

8

9 **Fig. 3** XRD patterns of typical (a) $\text{Cu}_x\text{O}_{180-2}$, (b) $\text{Cu}_x\text{O}_{180-15}$, (c) $\text{Cu}_x\text{O}_{220-2}$.

10

11 **Fig. 4** Current-potential curves of CO_2 reduction measured in CO_2 -saturated 0.5 M
12 KHCO_3 solution on Cu_xO nanocatalysts synthesized at (a) 180°C for 1-15 hours and
13 (b) $160-220^\circ\text{C}$ for 2 hours. Potential scan rate: 100 mV s^{-1} . Catalyst loading: 3 mg
14 cm^{-2} .

15

16 **Fig. 5** Current-time curves for Cu_xO nanocatalysts synthesized at (a) 180°C for 2-15
17 hours and (b) $160-220^\circ\text{C}$ for 2 hours. Catalyst loading: 3 mg cm^{-2} .

18

19 **Fig. 6** Faradaic efficiency of produced on Cu_xO nanocatalysts synthesized at (a)
20 180°C for 2-15 hours and (b) $160-220^\circ\text{C}$ for 2 hours. Catalyst loading: 3 mg cm^{-2} .

21

22 **Fig. 7** Production rate of Cu_xO nanocatalysts synthesized at (a) 180°C for 2-15 hours
23 and (b) $160-220^\circ\text{C}$ for 2 hours. Catalyst loading: 3 mg cm^{-2} .

24

25 **Fig. 8** Schematic structures of typical $\text{Cu}_x\text{O}_{180-2}$, $\text{Cu}_x\text{O}_{180-15}$, $\text{Cu}_x\text{O}_{220-2}$ and, the
26 structure effect on the active surface area and formate faradaic efficiencies.

27

28 **Fig. 9** (a) Current-potential curves of CO_2 electroreduction for different catalyst
29 loadings. Potential scan rate: 100 mV s^{-1} . (b) Current-time curves for various catalyst
30 loadings. (c) Faradaic efficiency and (d) Production rate of different catalyst loadings.

1 The Cu_xO catalyst is synthesized at 180°C for 2 hours ($\text{Cu}_x\text{O}_{180-2}$), and catalyst
2 loading: $2\sim 4 \text{ mg cm}^{-2}$.

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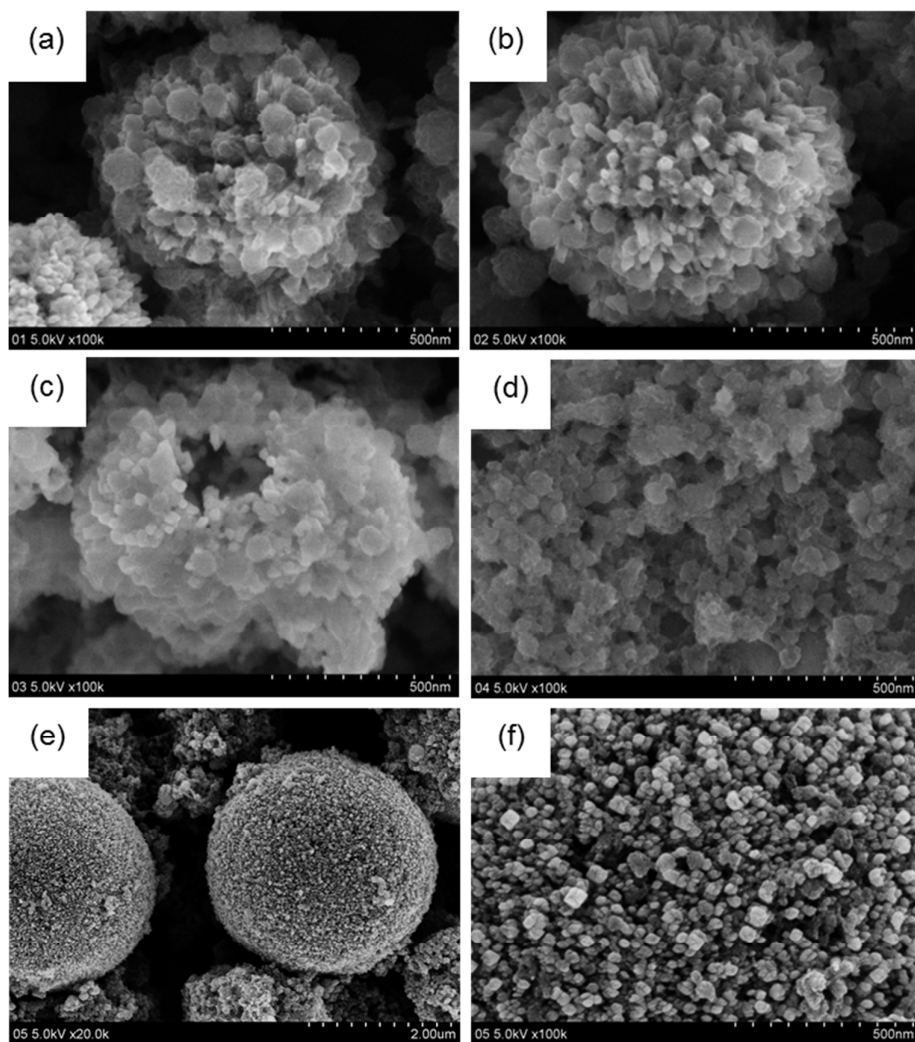


Fig. 1

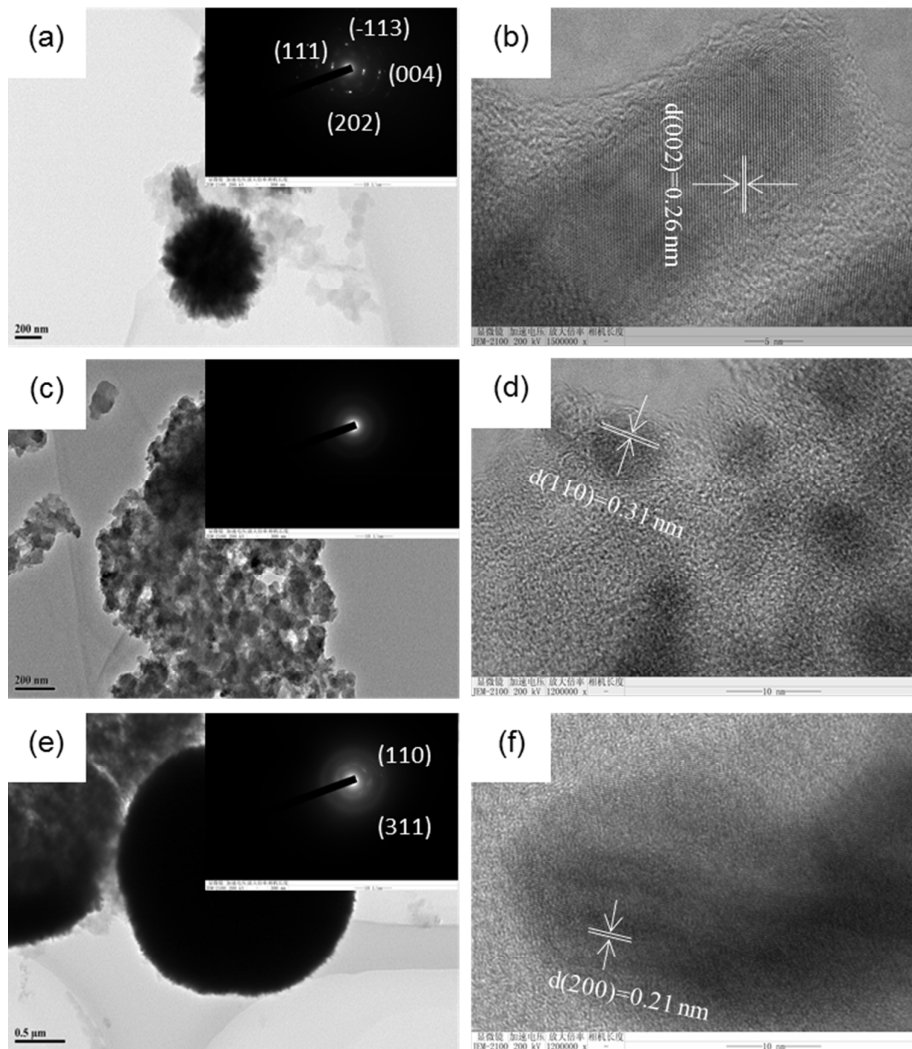


Fig. 2

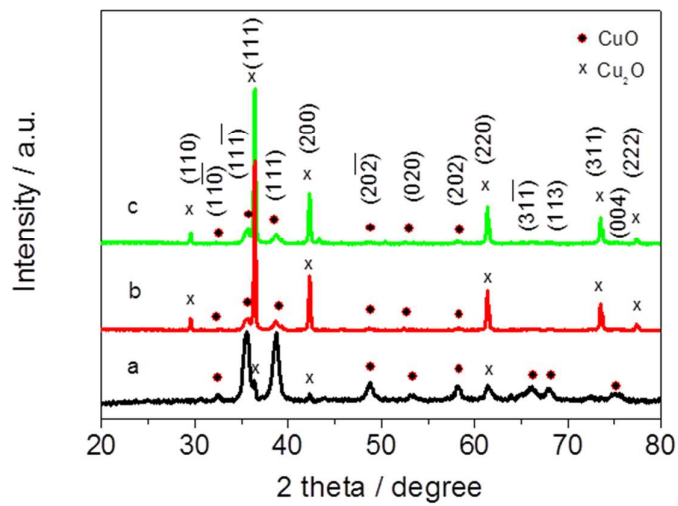


Fig. 3

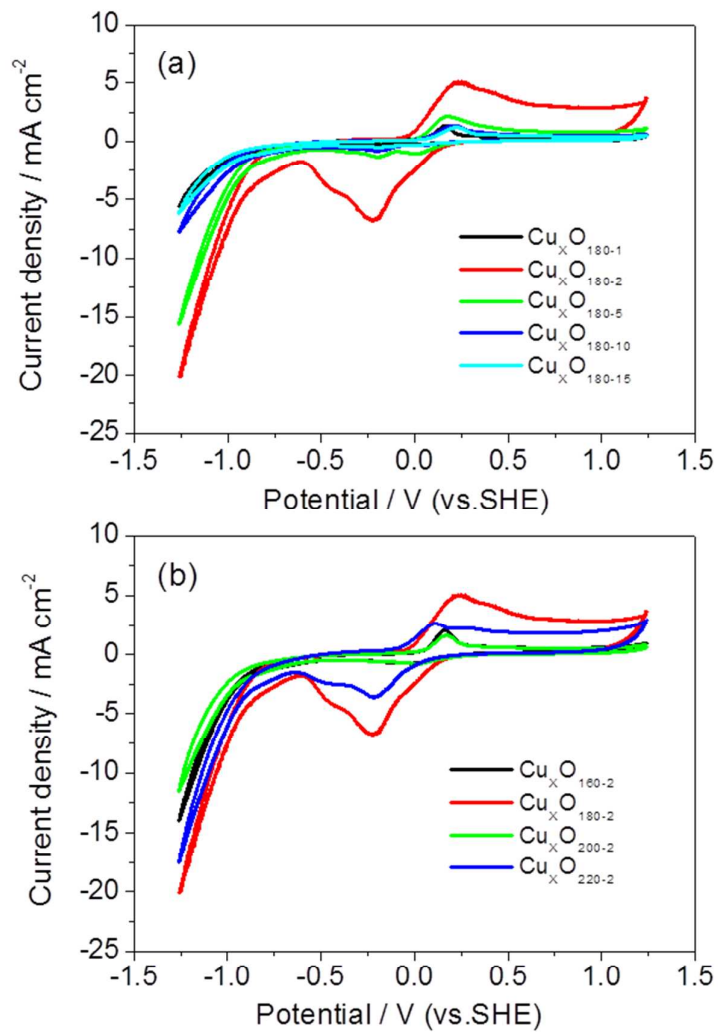


Fig. 4

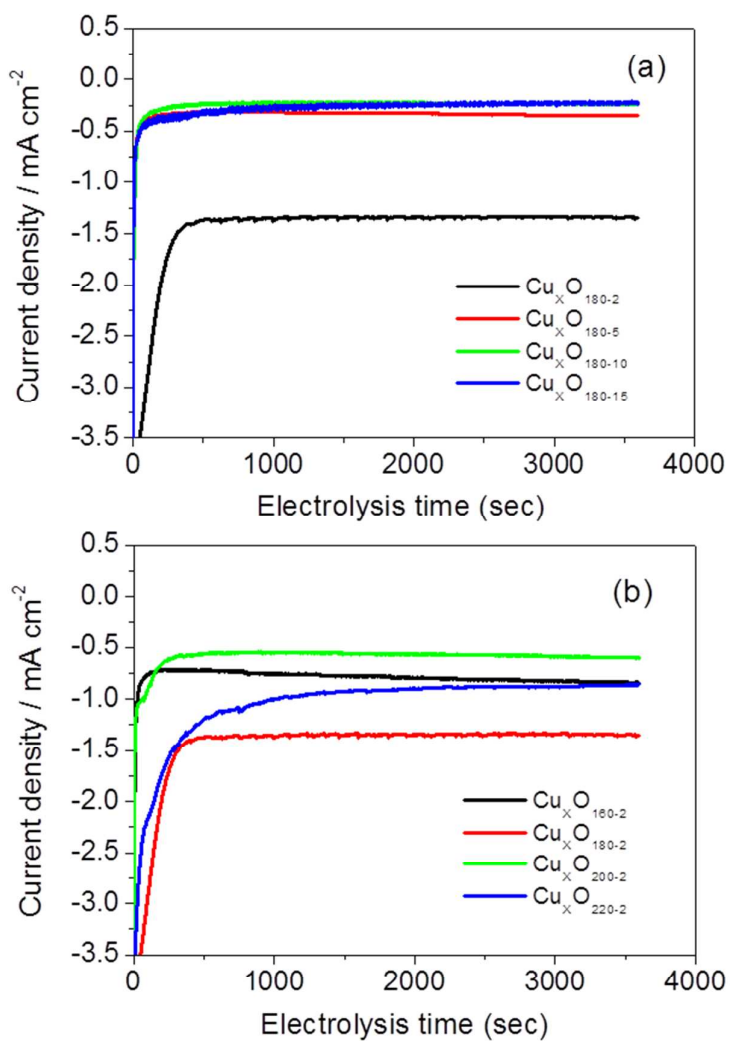


Fig. 5

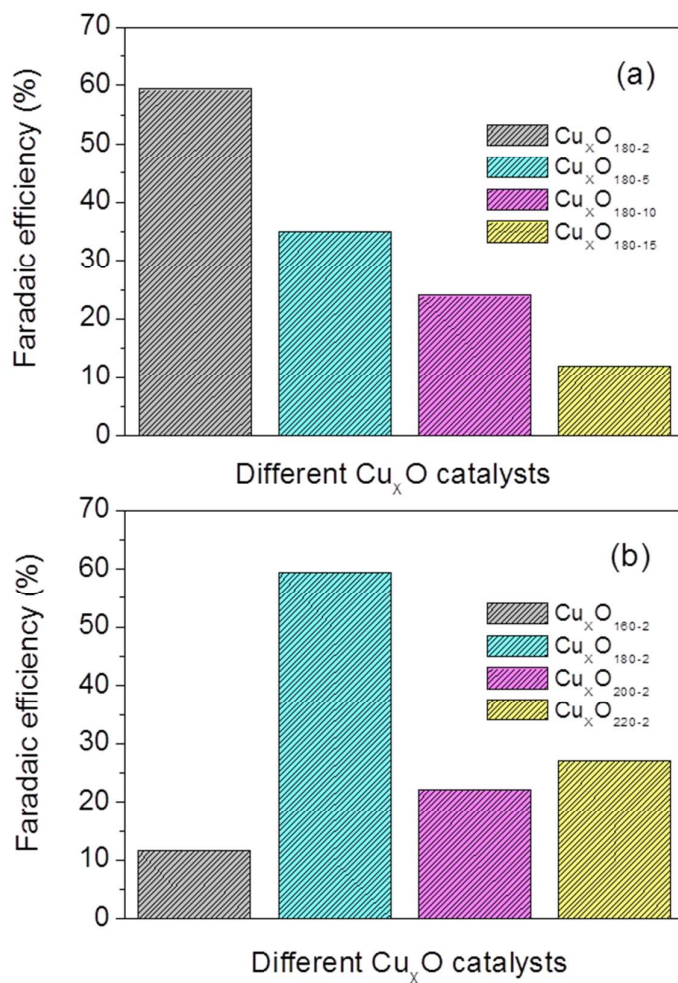


Fig. 6

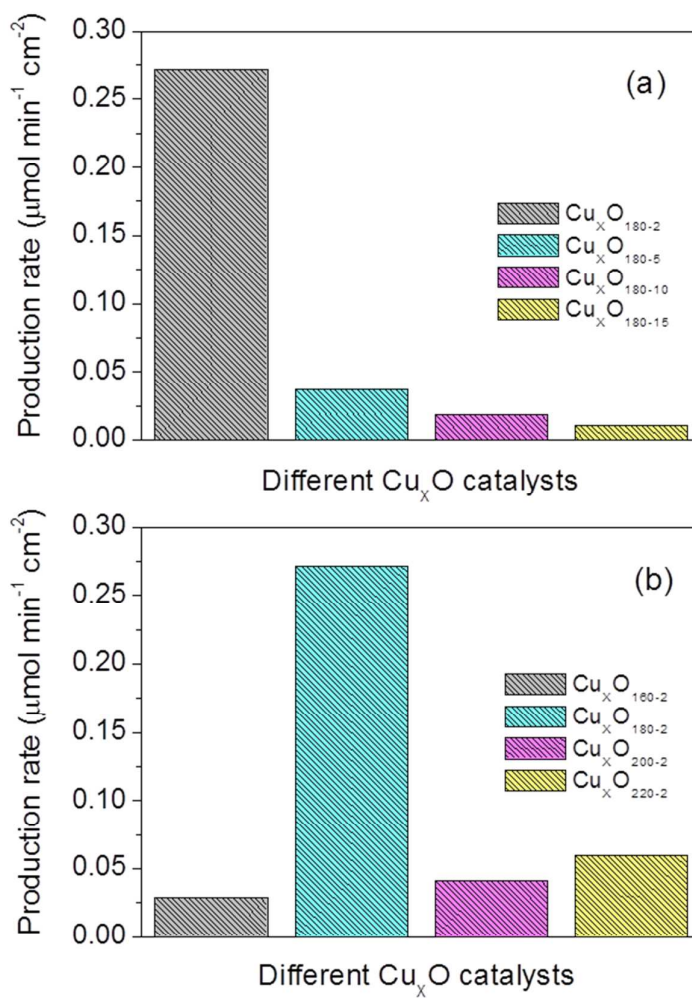


Fig. 7

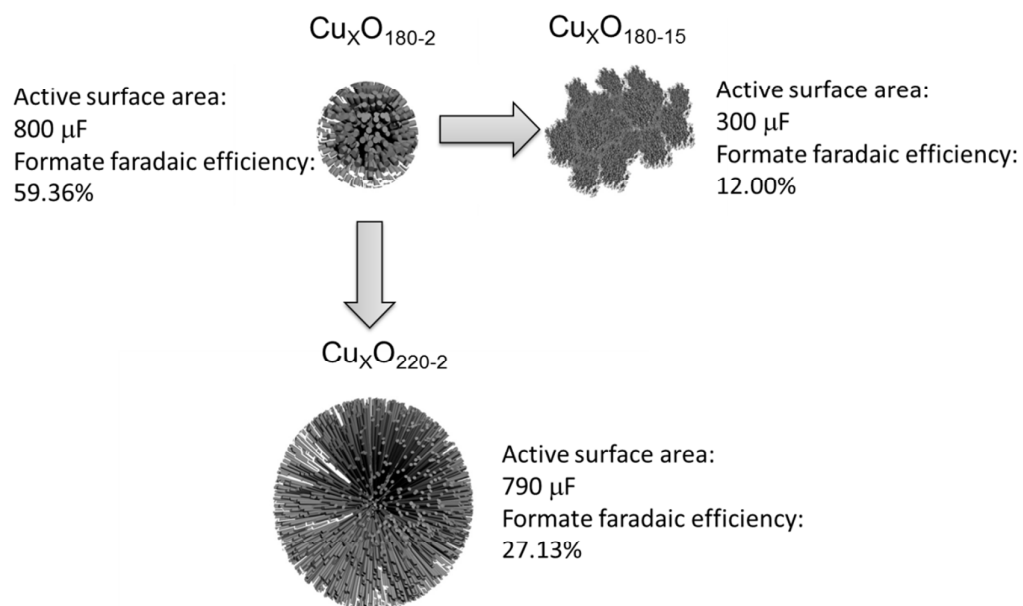


Fig. 8

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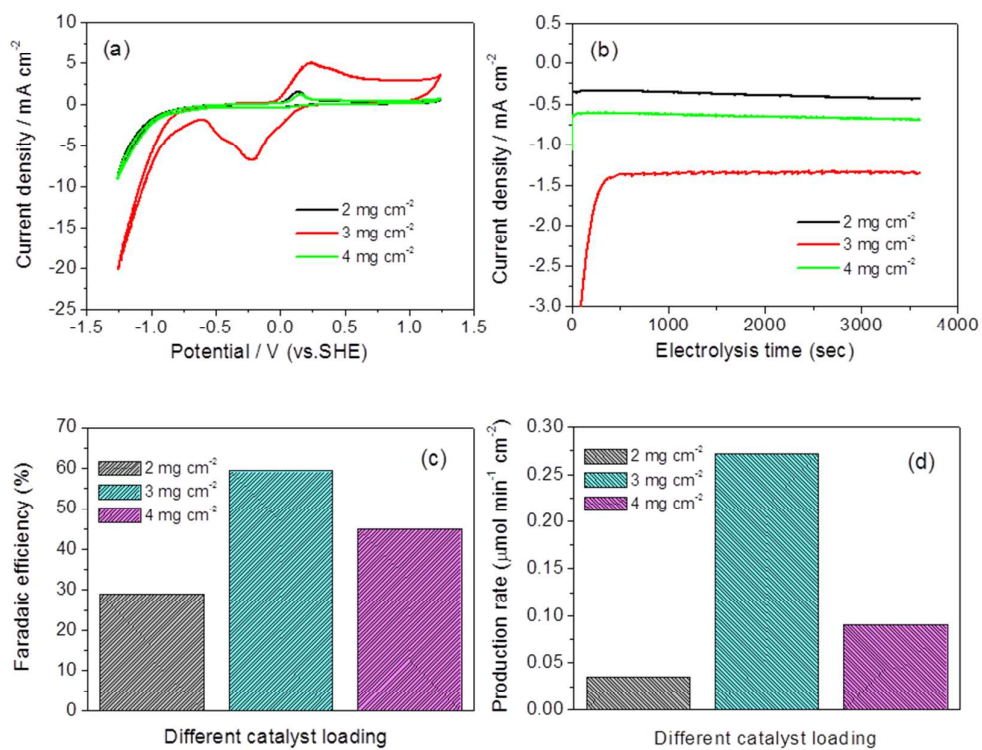


Fig. 9

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2**Table 1** Physical characterization parameters of Cu_xO catalysts.

Catalysts	Morphology	Average size	BET surface area	Electrochemically active surface area
	-	(nm)	(m ² g ⁻¹)	C (μF)
Cu _x O ₁₈₀₋₂	Hierarchical nanosphere	500	63.50	800
Cu _x O ₁₈₀₋₁₅	Non-sphere	-	53.62	300
Cu _x O ₂₂₀₋₂	Dense microsphere	2500	22.16	790

Table 2 Kinetic parameter, Faradaic efficiency and production rate of produced formate of CO₂ electroreduction.

Catalyst	Loading (mg cm ⁻²)	Onset potential (V vs. SHE)	Current density at potential = -1.25 V vs. SHE	Faradaic efficiency (%)	Production rate ($\mu\text{mol min}^{-1} \text{cm}^{-2}$)
			(mA cm ⁻²)		
Cu _x O ₁₈₀₋₂	3	-0.55	-20.5	59.36	2.7×10 ⁻¹
Cu _x O ₁₈₀₋₅	3	-0.80	-16.0	34.97	3.7×10 ⁻²
Cu _x O ₁₈₀₋₁₀	3	-0.85	-8.0	23.99	1.8×10 ⁻²
Cu _x O ₁₈₀₋₁₅	3	-0.85	-6.5	12.00	1.0×10 ⁻²
Cu _x O ₁₆₀₋₂	3	-0.85	-14.0	11.57	2.9×10 ⁻²
Cu _x O ₂₀₀₋₂	3	-0.90	-12.0	22.08	4.1×10 ⁻²
Cu _x O ₂₂₀₋₂	3	-0.60	-17.5	27.13	5.9×10 ⁻²
Cu _x O ₁₈₀₋₂	2	-0.85	-8.5	29.04	3.5×10 ⁻²
Cu _x O ₁₈₀₋₂	4	-0.85	-8.6	44.95	9.0×10 ⁻²