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## Nano-granular Sn impregnated NiTi alloy matrix anode for high voltage Li-ion pouch cells

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## Abstract

Well characterized nano-granular Sn impregnated inactive NiTi alloy matrix has been synthesized through a viable and facile *mill-heat* method as suitable anode matrix for Li-ion batteries. These poly crystalline grains of Sn in NiTi alloy matrix exhibit an average size of ~ 25 nm. Distinct advantages of Sn active site(s) hosting intercalated Li species are better electronic properties, favorable elastic features in particular Poisson ratio akin to Sn-NiTi matrix and cycling stability facilitating EV, HEV applications. The optimum anode composition Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub> alloy matrix *vs* LNi<sub>1/3</sub>Mn<sub>1/3</sub>Co<sub>1/3</sub>O<sub>2</sub>:LNi<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>2</sub> composite cathode (wt. % of 75:25) when used in the rolling in smart-design Li-ion pouch cell fabricated in the lab yields high voltage (> 4.2 V) along with the demonstration of running a toy–car with a load 1.1 Wh on a coarse bitumen road.

Keywords: Alloy matrix anode; Poisson ratio; Intercalation; Smart-design; Li-ion pouch cell.

## 1. Introduction

Since the introduction of first electrochemical power sources based applications, Li-ion battery community<sup>1-3</sup> has been endeavoring to realize optimum performance in terms of cell capacity, voltage, structural/cycling stability and safety aspects eventually meriting applications in electric vehicles (EVs) and hybrid electric vehicles (HEVs) through judicious choice/combination of anode and cathode systems.<sup>1,4-5</sup> In the last two decades, intense research on cathode materials has culminated in composite cathode system yielding impressive

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electrochemical performance (> 230 mAh  $g^{-1}$ )<sup>6-8</sup> replacing the celebrated LiCoO<sub>2</sub> material.<sup>9-13</sup> In spite of the remarkable success achieved, incompatibility of the graphite anode *viz.*, pronounced

structural instability of lithiated graphite during (de)intercalation process is a challenging task deserving importance. This makes imperative intense research on appropriate anode system to realize complete exploitation of the improved Li-ion cell performance.

There are numerous reports on anode research based on  $Li_4Ti_5O_{12}$ , <sup>14</sup>  $TiO_2$ <sup>15</sup> and  $Co_3O_4$ , <sup>16</sup> anode systems. However, undesirable high working voltage and low theoretical capacity of these systems make them unsuitable anode systems for applications.<sup>14-15</sup> Although these shortcomings can be partly overcome with the choice of alloy anode systems viz., Si-M-C and Sn-M-C carbonaceous composite alloy anodes (M= Fe, Sb, Ni, Co, M is an inactive metal element to Li).<sup>17-25</sup> The concept of composite alloy anode systems based on active/inactive nano composite concept with carbon anode system has gained importance for applications in electronic devices but not in EVs and HEVs owing to imminent Li trapping in the amorphous carbon and solid electrolyte interface (SEI) formation on the carbon surface.<sup>22-30</sup> The inherent poor scope for flexibility (to accommodate Li<sup>+</sup> ions during cycling required) of the carbon paved way for other appropriately inactive systems based on inactive NiTi matrix such as Si-NiTi<sup>31</sup> and Sn-NiTi<sup>32-33</sup> alloy matrix anode to improve the cycling performance. In this context, it is important to take note of reports employing Si as active site for accommodating intercalated Li<sup>+</sup> ions with scope for volume expansion with NiTi alloy matrix as inactive base.<sup>31</sup> However, there are many aspects weighing against the use of non-metallic Si as active site in the anode composition viz., unduly large volume expansion (> 300% than Sn), low electrical resistivity (Si - 2.52E<sup>-12</sup> M $\Omega$  cm<sup>-1</sup> compared with Sn - 0.0917 M $\Omega$  cm<sup>-1</sup>) and also poor semi-conductive charge transportation (10<sup>-5</sup> S cm<sup>-1</sup> compared with graphite 10<sup>3</sup> S cm<sup>-1</sup> and Sn) eventually hindering the electronic diffusion

in the electrode redox process.<sup>34</sup> Also, other significant advantages of using Sn over Si which should be considered in the full cell design are: better electrical contact over cycling, higher thin coating during electrode fabrication and reasonable cycling stability suitable for EVs and HEVs application. The capacity loss can be contained by averting the irreversible reactions with the choice of an inactive NiTi matrix to Li and electrolyte during cycling. In view of this, the replacement of carbon through Sn alloyed with NiTi alloy matrix is more favorable to Si and also in terms of higher Poisson's ratio (v).<sup>35-36</sup> Close matching of the elastic properties in particular Poisson's ratio of Sn ( $v_{Sn} = 0.33$ ) with NiTi alloy matrix system ( $v_{Ni} = 0.3$ ,  $v_{Ti} = 0.305$ ) suggests a clear advantage in terms of flexibility to accommodate Li<sup>+</sup> ions during cycling in contrast to Si  $(v_{Si} = 0.17)$  based system having only half of the NiTi  $(v_{Ni} = 0.3, v_{Ti} = 0.305)$  alloy matrix. Unique advantage of NiTi matrix exhibiting super-elasticity characteristics facilitating resilience to absorb large stress coming from the large volume expansion of Sn and also affording excellent electrical contact between the active material and the current collector during cycling.<sup>31-33</sup> It is pertinent to note that Sn having ample scope for volume expansion during cycling to accommodate Li<sup>+</sup> ions during (de)intercalation process has clear advantage to ensure structural stability coupled with superior electrochemical performance.

These points in mind serving as the motivation of the present investigation, a more feasible Sn alloyed with NiTi inactive matrix anode synthesized through a simple mill-heat process is proposed in this work. Also, major daunting challenge concerning the design of Li–ion batteries is unduly heavy metallic cell casings which when piled-up in large numbers limit the scope for application in devices such as EVs and HEVs. The issue of heavy casings can be overcome through alternative design based on aluminum pouches.<sup>37</sup> In this scenario, the design of Li–ion batteries on high voltage energy storage system *viz.*, Li–ion pouch cell fabricated with

the  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  (Sn-NiTi) alloy matrix anode *vs*  $LNi_{1/3}Mn_{1/3}Co_{1/3}O_2$ : $LNi_{0.5}Mn_{0.5}O_2$  (wt. % of 75:25) composite cathode material<sup>38</sup> for high voltage (> 4.2 V) Lithium-ion batteries are presented in detail.

## 2. Experimental aspects

In the present investigation, Sn-NiTi alloy matrix anode has been synthesized through a facile mill-heat process comprising two steps. (i) high energy wet ball milling of Sn, Ni and Ti metal powders followed by (ii) high temperature calcination of this blend in an argon atmosphere and the composition of the anode has been optimized. Required amounts (weight %) of Sn (–100 mesh, 99.85%, metal basis, Alfa Aesar), Ni (100 mesh, 99.5%, S D Fine) and Ti (98.5%, Himedia) metal powders were mixed together and high energy wet ball milled for 50 h (in toluene medium) using FRITSCH pulverisette 7 pulverizer at 500 rpm followed by heating at 900 °C for 5 h in Ar atmosphere. In this work following alloy matrix having compositions (with relative wt. %) have been tried: 60:25:15 (Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub>); 70:20:10 (Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub>); 80:15:05 (Sn<sub>0.80</sub>Ni<sub>0.15</sub>Ti<sub>0.05</sub>) and 100:00:00 (Sn<sub>1.00</sub>Ni<sub>0.00</sub>Ti<sub>0.00</sub>) as representative examples (Fig. 1). In these trials, the latter two compositions with higher Sn content were not used in the further study for the reason that the homogeneous alloy formation was not observed in these samples due to the pronounced segregation of Sn particles (Fig. 1c, d).

Phase formations of these alloy matrix samples *viz.*,  $Sn_{0.60}Ni_{0.25}Ti_{0.15}$  (SnNiTi), Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub> (Sn-NiTi) have been ascertained through powder X–ray diffraction (XRD) studies using a Bruker D8 Advance X–ray diffractometer with a Cu K $\alpha$  X–ray source ( $\lambda$ =1.5418 Å, with corundum Al<sub>2</sub>O<sub>3</sub> as the internal standard) and the measurements were recorded in the 2 $\Theta$ range 10 – 80°. During crystallite size estimate for these granular samples has been made using Debye-Scherrer formula with the consideration of XRD line width data. Particle morphologies of

the alloy matrix samples *viz.*, Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub>, Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub> were analyzed through field– emission scanning electron microscopy (FE–SEM) MODEL ZEISS SUPRA<sup>TM</sup> 55VP and also transmission electron microscope (TEM) Hitachi S–3000H. The corresponding selected area electron diffraction patterns (SAED) of the SnNiTi and Sn-NiTi alloy matrix samples have also been recorded. In order to have insights on relative compositions of these elements (Sn:Ni:Ti), elemental mapping studies have been made using FE-SEM based on energy dispersive X-ray analysis.

Concerning electrochemical characterization of these samples, cyclic voltammograms (CV) were recorded at a scan rate of 0.1 mV s<sup>-1</sup> in the voltage range 0.005 to 2.4 V under CR2032 cell configuration using VMP3Z (Biological) Multi-Channel Potentiostat/Galvanostat electrochemical workstation. Charge-discharge galvanostatic studies were carried out in the voltage range of 0.005 - 2 V using Arbin multichannel cycler instrument (BT2000). Coin cells corresponding to test cell were assembled using lithium foil (thickness: 0.75 mm) and assynthesized Sn-NiTi alloy matrix sample coated on Cu foil as current collector. Also, Li-ion pouch cell with Celgard<sup>®</sup> 2340 separator (rolling in smart-design) has been fabricated using a double side coated Sn-NiTi alloy matrix negative electrode and composite positive electrode enclosed a laminated pouch. In this trial, active materials of the negative and positive electrodes used having composition of  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$ were the typical and LNi<sub>1/3</sub>Mn<sub>1/3</sub>Co<sub>1/3</sub>O<sub>2</sub>:LNi<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>2</sub> (wt. % 75:25) respectively along with 1 M solution of LiPF<sub>6</sub> in 1:1 (v/v) EC–DMC mixture was used as the electrolyte. The synthesis details of the composite cathode materials used in this study is the same as described earlier.<sup>38</sup>

Turning to the describe the fabrication of Li-ion pouch cell through rolling in smartdesign: crucial design parameters are double sided electrode having width, length, thickness

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(with current collector) and weight of the anode/cathode 5 cm, 25 cm,  $\sim 135/143 \mu$  and 1.8/3.7 g for the compositions  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  and  $LNi_{1/3}Mn_{1/3}Co_{1/3}O_2$ : LNi<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>2</sub>. Furthermore, the cathode fabricated doctor blade-coating slurry of 80% was using а LNi<sub>1/3</sub>Mn<sub>1/3</sub>Co<sub>1/3</sub>O<sub>2</sub>:LNi<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>2</sub>, 15% SP-carbon (Timcal) and 5% PVdF in NMP coated over aluminum foil (15 µm). The anode was fabricated using 80% Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub>, 15% SP-carbon (Timcal) and 5% PVdF in NMP slurry coated over copper foil (9 µm) and dried at 85 °C for 12 h in a vacuum oven. The area, thickness and weight of the as-synthesized alloy matrix electrodes were 1.54 cm<sup>2</sup>, ~ 68  $\mu$  (with current collector) and 6.16 mg for the active material (SnNiTi) under CR2032 cell configuration. Both coin and pouch cells were assembled in an argon-filled glove box (mBRAUN MB200G) with oxygen and moisture levels less than 0.1 ppm. The electrochemical performance of the thus fabricated Li-ion pouch cell has been investigated in terms of charge-discharge studies and also demonstration of its application in kits such as electronic toy-car.

## 3. Results and discussion

Clear advantage of Sn-NiTi alloy matrix as anode<sup>32-33</sup> paves way for its application in EVs and HEVs. With the set goal of synthesizing this anode through a more feasible and controllable physicochemical route, we propose a facile mill-heat process, although there are reports on the synthesis of Sn-NiTi alloy matrix.<sup>32-33</sup> Details on the characterization of the anode system has been can be outlined in the following:

## 3.1. XRD analysis of Sn nano-grain impregnated into NiTi alloy matrix

Chemical phase analysis based on powder XRD patterns can generate vital clues on the phase formation-segregation, integration of alloy constituents for eventual optimization of anode performance. It can be seen from Fig. 2 that the diffraction patterns of Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub> and

Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub> compositions can be well matched with both the tetragonal Sn (JCPDS# 00-04-0673) and also crystalline Sn in the NiTi alloy matrix (JCPDS# 03-065-0991) phases.<sup>32-33</sup> However, the relative intensities of these lines have profound dependence on Sn content in the alloy composition. In the case of  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  alloy matrix, the obvious peaks observed at 30.8, 32.1, 44 and 45° (20) can be assigned to tetragonal Sn, suggesting presence of Sn in the inactive NiTi alloy matrix (indicated using red \* mark).<sup>32-33</sup> Additional peaks observed at 26.1, 30.5 and 42.7° can be attributed to the formation of SnNiTi alloy matrix as indicated using rose colored \* mark in Fig. 2.<sup>32-33</sup> But, for the composition having Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub>, the presence of crystalline Sn is less in the NiTi alloy matrix compared with Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub> composition. Also, crystallite size estimated using Debye-Scherrer XRD line broadening data for the alloy matrix indicated a value of  $\Phi_{ave} = 76$  nm and 115 nm for  $Sn_{0.60}Ni_{0.25}Ti_{0.15}$  and  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$ respectively. Furthermore, X-ray diffraction patterns of these Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub> and Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub> compositions show some more peaks of lower intensity which may correspond to the Ni<sub>3</sub>Sn<sub>4</sub> intermetallic phase (JCPDS# 00-04-0845, blue \* mark) resulting from the prolonged milling followed by a part of Sn reacted with Ni during calcination.<sup>33</sup> Also, there are no additional detectable peaks corresponding to the intermetallic phases viz., Sn-Ni, Sn-Ti and Ni-Ti in line with earlier reports.<sup>32-33</sup>

It turns out that on closer examination of the XRD pattern of the sample with composition  $Sn_{0.60}Ni_{0.25}Ti_{0.15}$  yields a pattern showing perfect match with that of SnNiTi alloy both in terms of d spacing and relative intensity pattern. This readily indicates the complete integration of nano-grains of Sn in to the NiTi base and hence the formation of SnNiTi alloy whilst the composition  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  leads to interesting results. In this situation, the XRD pattern lines match well with both the tetragonal Sn (JCPDS# 00-04-0673) and also crystalline

Sn in the NiTi alloy inactive base matrix (JCPDS# 03-065-0991). Indeed, this is the most desirable physicochemical phase condition yielding optimum electrochemical results. Of the two anode compositions  $Sn_{0.60}Ni_{0.25}Ti_{0.15}$  and  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  investigated, the former composition leads to complete SnNiTi alloy formation which is not at all preferred for the optimal electrochemical performance. On the other hand the latter composition results in the formation of preferred nano-grain Sn impregnated into NiTi inactive alloy matrix. It is pertinent to mention that nano-grains of Sn impregnated into the inactive alloy base serve as active sites for (de)intercalation thus explaining good electrochemical performance realize in this system.<sup>32-33</sup> Indeed, results of the present investigation also have confirmed this hypothesis.

## 3.2. Morphological and microstructure features using FE-SEM, TEM and SAED studies

It is established that morphology and microstructure features of both cathode and anode nano particles play a crucial role in determining the electrochemical performance of Li-ion batteries.<sup>39</sup> Inspection of FE-SEM micrograph (Fig. 3) of samples with compositions  $Sn_{0.60}Ni_{0.25}Ti_{0.15}$  and  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$ , we have that the former exhibits a spongy product with pronounced agglomeration lacking clear definition of particle morphology (Fig. 3a) while the latter results in Sn impregnated, defined granular fine particles of size < 50 nm (Fig. 3b) warranting closer examination at higher magnification using TEM coupled with SAED analysis.

From the TEM images, it can be confirmed that Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub> again leads to highly agglomerated spongy morphology (Fig. 4a,b) while the second sample having the composition Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub> invariably leads to impregnated fine particles of disparate morphologies (Fig. 4d,e).<sup>31-33</sup> Closer examination of Fig. 4e reveals occurrence of dark polyhedral grains distributed over spongy gray matrix background. Apparently, this might suggest distribution of Sn particles over inactive NiTi alloy matrix.<sup>31-33</sup> Results of the SAED patterns are consistent with this

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hypothesis. That is, the scope for occurrence of free standing nano-grains Sn impregnated into the inactive NiTi alloy matrix seems to be more pronounced as revealed from brighter spotty SAED rings (Fig. 4f) in  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  system is more dominant to account for better electrochemical performance over  $Sn_{0.60}Ni_{0.25}Ti_{0.15}$  (Fig. 4c). Furthermore, clear quantitative insights on relative individual elemental constituent of these two alloy matrix samples at the given spot become possible with the FE-SEM elemental mapping images supported with energy dispersive X-ray analysis data *viz.*,  $Sn_{0.60}Ni_{0.25}Ti_{0.15}$  (Fig. 5) and  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  (Fig. 6).

## 3.3. CV results of SnNiTi/nano-granular Sn in NiTi alloy matrices

CV studies have been carried out for these Li cells (Li vs Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub> and Li vs  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$ ) at a scan rate of 0.1 mV s<sup>-1</sup> in the voltage range 0.005 to 2.4 V as depicted in Fig. 7. From these results, it can be seen that the peak position of the Sn-NiTi alloy matrix for five cycles remains constant and corresponds to that of pure Sn electrode consistent with earlier reports.<sup>21,32,40</sup> Obviously, the presence of cathodic peaks (Li vs Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub>/ Li vs  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$ ) at 0.62/0.57, 0.45/0.44 and 0.26/0.25 V can be attributed to Li intercalation into the nano-grains of Sn forming different Li-Sn alloy phase(s) while the peaks at 0.64, 0.74 and 0.80 V for the deintercalation of the Li from Li-Sn phase(s).<sup>21,32,40</sup> In view of these results, the nano-grains of Sn are expected to be predominant active phase for the Li in the SnNiTi and Sn-NiTi alloy matrices. Also comparing the CV results of SnNiTi (Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub>) and Sn-NiTi (Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub>) alloy matrices, definition of peaks is better in the case of sample  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  (Fig. 7b) as compared to  $Sn_{0.60}Ni_{0.25}Ti_{0.15}$  (Fig. 7a). Hence, it can be expected that (de)intercalation of the Li into  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  alloy matrix is more favorable and confirms the nano-grains Sn impregnated into the inactive alloy matrix for the good electrochemical performance as discussed in the subsequent section.

## 3.4. Charge-discharge studies on SnNiTi and Sn-NiTi alloy matrices

For the eventual application of anode matrices thus synthesized, characterization through galvanostatic charge-discharge studies at 30 mA  $g^{-1}$  in the voltage range 0.005 – 2 V for 50 cycles are significant (Figs. 8, 9). Voltage vs capacity profile clearly shows the pronounced voltage profile and increased charge-discharge capacity for the composition Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub> alloy matrix (Fig. 8b) as compared to Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub> (Fig. 8a). This is in good agreement with the CV results for the nano-grains of Sn impregnated into the NiTi inactive alloy matrix during cycling. Also, the initial discharge/charge capacities for compositions Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub> and  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  of the alloy matrix were found to be 482/412 mAh g<sup>-1</sup> and 700/566 mAh g<sup>-1</sup> respectively. From these, we have a typical composition Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub> alloy matrix delivered the good charge capacity of 566 mAh  $g^{-1}$  in the voltage range 0.005 - 2 V in the 1<sup>st</sup> cycle (Fig. 8b). It can also be seen that voltage drop and polarization of Li vs Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub> cell at 50<sup>th</sup> cycle was less compared with Li vs Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub> for the same 50<sup>th</sup> cycle as depicted in Fig. 8a-b. Also, the galvanostatic cycling stability of the Li cells with the composition Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub> and Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub> through the mill-heat process are shown in Fig. 9. In terms of stability results, it can be seen that alloy matrix composition corresponding to Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub> vielded remarkable capacity coupled with good capacity retention results during cycling 1-50<sup>th</sup> cycle as depicted in Fig. 9. Cycle performance details of the high performance  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$ alloy matrix anode can be summarized as the initial discharge/charge capacity (700/566 mAh g<sup>-</sup> <sup>1</sup>) which showed large irreversible capacity 134 mAh  $g^{-1}$  (~ 19%). But this drop could be reduced from the second cycle onwards (discharge/charge capacity, 573/518 mAh  $g^{-1}$ ) the irreversible capacity is reduced to 55 mAh  $g^{-1}$  (~ 9%). Of these two alloy matrix compositions Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub> and Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub>, the latter yielded higher capacity. For this reason, rate

capability studies at 60 mA  $g^{-1}$  and 90 mA  $g^{-1}$  have also been investigated for the Li *vs*  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  cell. However, there seems to be marked capacity fade at these rates for the alloy matrix sample with the composition  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$ . The pronounced drop observed during the starting of the lithiation process in the 1<sup>st</sup> cycle can be attributed to traces of Ni<sub>3</sub>Sn<sub>4</sub> phase and traces of oxides formed during milling leading to irreversible capacity because Li cannot be extracted from Li<sub>2</sub>O during electrochemical reactions.<sup>33,41</sup>

## 3.5. Flexibility of anode active site versus Poisson ratio during Li (de)intercalation

The Li<sup>+</sup> ion species generated during the course of cycling have to be essentially accommodated on active metallic site(s) M of anode through intercalation ( $Li_{44}M$ , M = Si, Sn) process.<sup>22,42</sup> Invariably this necessitates suitable space to accommodate the intercalated Li species followed by restoring to original size of the active site upon de-intercalation. This implies imperative requirement on flexibility for the active site. Another daunting issue that needs to be addressed is wearing out of electronic contact between particles and the current collector in the charge-discharge cycles. It turns out that in the design of suitable anode based on active and inactive site concept the choices have to be necessarily between either Si or Sn (M =Si, Sn). As outlined earlier Sn as the active site has an edge over the Si. Furthermore, the concept of the Poisson ratio v (describing the ratio of flexibilities in the lateral versus longitudinal directions) can be invoked for this system.<sup>35-36</sup> Comparing the elastic properties in particular Poisson's ratio of metals viz., Si ( $v_{Si} = 0.17$ ), Sn ( $v_{Sn} = 0.33$ ), Ni ( $v_{Ni} = 0.3$ ) and Ti ( $v_{Ti} = 0.305$ ) the Sn-NiTi alloy matrix system suggests a clear advantage in terms of flexibility to accommodate Li<sup>+</sup> ions during cycling in contrast to Si based system having lower Poisson ratio  $v_{Si} = 0.17$  which is only half of the NiTi alloy matrix ( $v_{Ni} = 0.3$ ,  $v_{Ti} = 0.305$ ). Unique advantages of NiTi alloy matrix exhibiting super-elasticity (with the respective Poisson ratio being quite

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comparable to Sn) characteristics is that it can absorb large stress coming from the mammoth volume expansion of the active Sn site upon intercalation. The NiTi alloy is found to be an appropriate second phase alloy matrix and also being inactive with Sn<sup>32-33</sup> which is excellent flexibility features can be schematized as in Fig. 10. Obviously, Sn species having two fold higher value of Poisson ratio stands better placed as active site to accommodate the intercalated  $Li^+$  ions over the Si.<sup>35-36</sup> Hence, it is reasonable to generalize that the Sn-NiTi alloy matrix exhibiting a superior long term cycling to accommodate  $Li^+$  ions during (de)intercalation process (Sn  $\leftrightarrow Li_{4,4}$ Sn) has clear advantage to ensure electronic contact and structural stability coupled with superior electrochemical performance.

## 3.6. High voltage (> 4.2 V) Li-ion pouch cell: features and performance

Considering the forgoing salient features of the anode system in the Li cells of the present study and also the extensive application scope of this anode system reported<sup>32-33</sup> it is much worthwhile to employ this anode in the design of Li-ion pouch cell having clear distinct advantages viz., light weight, low cost, high energy density. Eventually, this might facilitate application of this Li-ion pouch cell in most preferred for EVs and HEVs applications over other type of Li-ion cells.<sup>43</sup> Accordingly, high energy storage Li-ion pouch cell fabricated in our lab with rolling in smart-design employing  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$ anode and  $LNi_{1/3}Mn_{1/3}Co_{1/3}O_2$ :  $LNi_{0.5}Mn_{0.5}O_2$  cathode with rated a capacity scale of ~ 500 mAh (Figs. 9a, 10a). After an aging of 24 h, the open circuit voltage of the Li-ion pouch cell was measured at 0.652 V (Fig. 11a). This OCV is higher than the earlier reports on OCV of Li-ion pouch cell with mesocarbon microbeads (MCMB) anode.<sup>44</sup> Turning to the electrochemical performance of Liion pouch cell, it is essential to pass through the initial-formation cycle at 30 mA (constant current) upto 4.6 V.<sup>45</sup> The initial-formation cycle (at 30 mA) and cycling performance (at 50

mA) of Li-ion pouch cell is shown in Fig. 11b (voltage *vs* time, current *vs* time). Also it is important to scrutinize the performance of voltage *vs* cell capacity of this Li-ion pouch cell having immense futuristic application scope (Fig. 11c). To assess the cycling stability of the Li-ion pouch cell fabricated in our lab studies were extended to evaluate cell capacity in the voltage range 3 - 4.6 V up to 100 cycles (at 50 mA) as given in Fig. 11d.

From this charge-discharge study, we have that the Li-ion pouch cell delivered a discharge capacity of ~ 500 mAh at 11<sup>th</sup> cycle in the voltage range 3 to 4.6 V and also it is found that the capacity fade is similar to that of the Li cell (Li *vs* Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub>). In order to demonstrate the application of the thus designed Li-ion pouch cell cycle studies were limited to 100 cycles. It is significant to note that even after 100 cycles, there is no change in the dimension, geometry of the Li-ion pouch cell as depicted in Fig. 12a and also the open circuit voltage under charged state was found to be 4.57 V (Fig. 12b). In order to demonstrate the usefulness of the Li-ion pouch cell in actual applications, this cell was used for running a toy–car with a load 1.1 Wh on a slanted flooring (Fig. 12c) and also on a coarse bitumen road (Fig. 12d,e) in CSIR–CECRI, India (*video demonstration for Li-ion pouch cell in supplementary S<sup>d</sup>*). During this demonstration, running of the electronic toy–car consumed current at the rate of 315 mA. The high voltage (> 4.2 V) Li-ion pouch cell realized may form the basis to merit application in futuristic energy storage systems for the EVs and HEVs.

## 4. Conclusions

Well characterized nano-granular Sn impregnated inactive NiTi alloy matrix anode has been synthesized through a more feasible *mill-heat* method. Poly crystalline grains of Sn in NiTi alloy matrix revealed an average size of  $\sim 25$  nm as suitable anode materials for Li-ion batteries. This Sn active site(s) matrix anode serving as host for intercalated lithium species has several

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clear advantages *viz.*, better electronic properties, favorable elastic features in particular Poisson ratio akin to the host Sn-NiTi matrix and cycling stability facilitating EV, HEV applications. Li cell assembled using this optimized alloy matrix anode (Li *vs* Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub>) delivered a charge capacity of 566 mAh g<sup>-1</sup> (1<sup>st</sup> cycle) in the voltage range 0.005 - 2 V. The cell fabrication studies were further extended with the design of Li-ion pouch cell (rolling in smart-design) using this optimized alloy matrix anode (Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub>) in conjunction with composite cathode (LNi<sub>1/3</sub>Mn<sub>1/3</sub>Co<sub>1/3</sub>O<sub>2</sub>:LNi<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>2</sub>, wt. % of 75:25). Smart-design Li-ion pouch cell fabricated yields an impressive high voltage of (4.2 V) with remarkable discharge capacity of ~ 500 mAh at 11<sup>th</sup> cycle in the voltage range 3 to 4.6 V coupled with cycling performance can be attributed to the unique combination of alloy matrix anode versus composite cathode. Furthermore, the demonstration of running a toy–car with a load 1.1 Wh on a coarse bitumen road in CSIR– CECRI (India) testifies the successful design of endurable high voltage Li-ion batteries in near

future.

### **Supporting Information**

Video demonstration for Li-ion pouch cell  $(S^{l})$ .

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**Fig. 1** Photographs depicting images of the as-synthesized alloy matrix samples as obtained from the furnace placed in agate mortar/ceramics boat having the compositions with relative wt. %: (a)  $Sn_{0.60}Ni_{0.25}Ti_{0.15}$  (60:25:15); (b)  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  (70:20:10); (c)  $Sn_{0.80}Ni_{0.15}Ti_{0.05}$  (80:15:05) and (d) 100:00:00 ( $Sn_{1.00}Ni_{0.00}Ti_{0.00}$ ) through mill-heat process. Segregation of Sn particles can be clearly seen in photographs (c) and (d).

Figure 2



**Fig. 2** Powder X–ray diffraction patterns of alloy matrix synthesized through mill-heat process in Ar (at 900 °C for 12 h) compared with standard patterns Sn (JCPDS# 00-04-0673), Ni<sub>3</sub>Sn<sub>4</sub> (JCPDS# 00-04-0845), SnNiTi (JCPDS# 03-065-0991), Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub> and Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub> composition.



**Fig. 3** Particle morphology micrographs using FE–SEM for alloy matrix with the composition: (a) Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub> and (b) Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub>.



**Fig. 4** Particle morphology micrographs and corresponding SAED patterns using TEM for alloy matrix with the composition: (a-c) Sn<sub>0.60</sub>Ni<sub>0.25</sub>Ti<sub>0.15</sub> and (d-f) Sn<sub>0.70</sub>Ni<sub>0.20</sub>Ti<sub>0.10</sub>.



**Fig. 5** The FE-SEM elemental mapping images of alloy matrix with the composition  $Sn_{0.60}Ni_{0.25}Ti_{0.15}$  (a) FE-SEM image corresponding to the quantified area, (b) energy dispersive X-ray analysis, (c) simultaneous occurrence of elements, (d) Sn in green, (e) Ni in red and (f) Ti in blue colors.



**Fig. 6** The FE-SEM elemental mapping images of alloy matrix with the composition  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  (a) FE-SEM image corresponding to the quantified area, (b) energy dispersive X-ray analysis, (c) simultaneous occurrence of elements, (d) Sn in green, (e) Ni in red and (f) Ti in blue colors.

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Fig. 7 Cyclic voltammograms of lithium cells made with the alloy matrix (a) Li vs  $Sn_{0.60}Ni_{0.25}Ti_{0.15}$ , (b) Li vs  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  in the voltage range from 0.005 to 2.4 V (1<sup>st</sup> – 5<sup>th</sup> cycles) at 0.1 mV s<sup>-1</sup> (1 M LiPF<sub>6</sub> in 1:1 EC–DMC solvents).

Figure 8



**Fig. 8** Voltage *vs* capacity profile of lithium cells made with the alloy matrix (a) Li *vs*  $Sn_{0.60}Ni_{0.25}Ti_{0.15}$ , (b) Li *vs*  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  in the voltage range from 0.005 - 2 V (1- 50 cycles) at 30 mA g<sup>-1</sup> (1 M LiPF<sub>6</sub> in 1:1 EC–DMC solvents).

Figure 9



Fig. 9 Charge-discharge studies of lithium cells made with the alloy matrix (a) Li vs  $Sn_{0.60}Ni_{0.25}Ti_{0.15}$  at 30 mA g<sup>-1</sup>, (b) Li vs  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  at 30 mA g<sup>-1</sup>, (c) Li vs  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  at 60 mA g<sup>-1</sup> and (d) Li vs  $Sn_{0.70}Ni_{0.20}Ti_{0.10}$  at 90 mA g<sup>-1</sup> in the voltage range from 0.005 - 2 V (1– 50 cycles) at 30 mA g<sup>-1</sup> (1 M LiPF<sub>6</sub> in 1:1 EC–DMC solvents).



**Fig. 10** Schematics illustrating the active Sn site and its expansion upon intercalation of Li<sup>+</sup> distributed over inactive NiTi matrix (explained on the basis of Poisson ration consideration) with the particle morphology in the inset using TEM.

Figure 11



**Fig. 11** The fabricated Li-ion pouch cell rolling in smart design (a) after an aging of 24 h the open circuit voltage measured at 0.652 V, (b) cycling performance corresponds to voltage vs time/current vs time, (c) voltage vs cell capacity and (d) cell capacity vs cycle number in the voltage range from 3 - 4.6 V (initial-formation cycle at 30 mA) and cycling performance at 50 mA for  $2^{nd}$  cycle onwards).



**Fig. 12** Li-ion pouch cell features and performance: photographs illustrating the (a) fabricated Li-ion pouch cell rolling in smart design (500 mAh); (b) after 100 cycles, the open circuit voltage measured at 4.57 V under charged state; (c) the usefulness of the Li–ion pouch cell in demonstration of running a toy–car with a load 1.1 Wh on a slanted flooring and (d,e) in coarse bitumen road indicated using yellow arrow at CSIR–CECRI, India

# **Graphical abstract**

Schematics illustrating flexibility of active Sn site(s) (with high Poisson ratio) accommodating  $Li^+$  species.

