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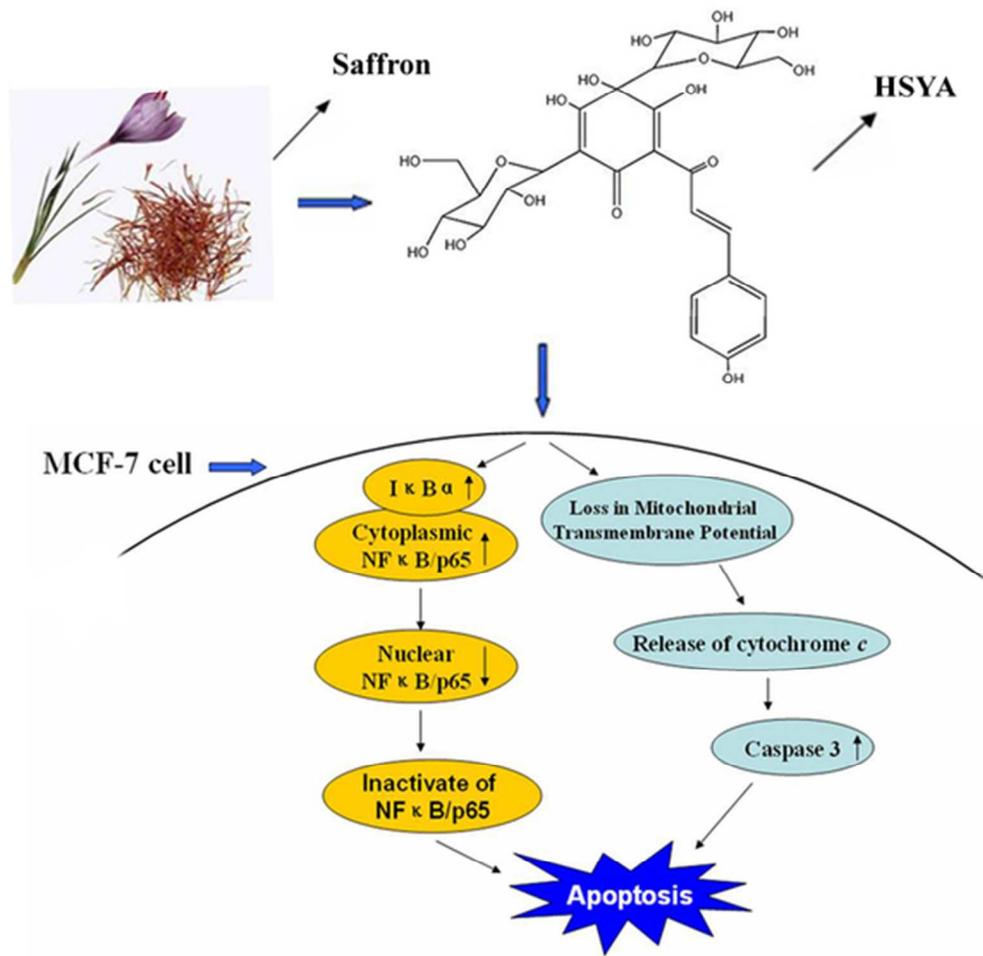


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24x23mm (600 x 600 DPI)

1 **Hydroxysafflor yellow A induces apoptosis in MCF-7 cells by**  
2 **blocking NFκB/p65 pathway and loss in mitochondrial**  
3 **transmembrane potential**

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9 The molecular mechanisms and the possible effects of hydroxysafflor yellow A (HSYA) on the  
10 induction of apoptosis in the human breast cancer MCF-7 cells was investigated. The MTT assay  
11 showed that HSYA could specifically inhibit the growth of several solid tumor cells in a  
12 dose-dependent manner, especially in MCF-7 cells. Analysis by flow cytometry indicated that the  
13 apoptosis of MCF-7 cells increased after treatment with HSYA. Moreover, the ROS level  
14 increased and cell cycle was blocked when the MCF-7 cells were treated with HSYA.  
15 HSYA-induced apoptosis involved Bax and p53 up-regulation, Bcl-2 and CyclinD1  
16 down-regulation, release of cytochrome *c* from the mitochondria to the cytosol, activation of  
17 caspase-3, and disruption of the mitochondrial transmembrane potential ( $\Delta\psi_m$ ). In addition, HSYA  
18 could inhibit the NFκB/p65 pathway by blocking the NFκB/p65 nuclear translocation. We  
19 concluded that HSYA could induce the apoptosis in MCF-7 cells and promote apoptosis through  
20 the mitochondrial apoptotic pathway.

21 **Keywords:** HSYA, Apoptosis, NFκB/p65, Mitochondrial transmembrane potential

22 **Introduction**

23 Safflower, a widely used traditional Chinese herbal medicine, is the dried flower of *Carthamus*  
24 *tinctorius* L. (Compositae). Safflower consists of mixed chalcone glycosides with the  
25 pharmacological activities for the treatment of a variety of cardiovascular and cerebrovascular

26 diseases. In addition, safflower can treat numerous cycle disorders; it possesses anticancer effects  
27 when used alone or in combination with other herbal components.<sup>1,2</sup>

28 The extracts from *C. tinctorius* contain several pigments, such as hydroxysafflor yellow A  
29 (HSYA), safflower yellow B, safflomin A, safflomin C, and other prevalent pigments.<sup>3,4</sup> Numerous  
30 studies have demonstrated that safflower yellow (SY) has various physiological and  
31 pharmacological activities, including anti-thrombotic and anti-hypertensive effects. HSYA, which  
32 is the main chemical component of the safflower yellow pigments, has been demonstrated to have  
33 anti-oxidative activities and myocardial and cerebral protective effects.<sup>5</sup>

34 HSYA has a chalcone structure and contains a number of phenolic hydroxyl groups. Moreover,  
35 its effects may be related to the antioxidant activities of these phenolic hydroxyl groups. HSYA  
36 could inhibit the proliferation of human umbilical vein endothelial cells in vitro, as well as the  
37 formation of new blood vessels.<sup>4,6</sup> However, the effective monomeric composition of safflower  
38 and its role in the anticancer mechanism is not well known. Therefore, more studies are needed to  
39 fully understand the mechanism.

40 Many physiological processes, such as cell proliferation, cell cycle, and cell apoptosis have  
41 significant roles in the occurrence and development of breast cancer cells. Cell apoptosis and cycle  
42 arrest are cell-initiated death courses that are controlled by the changes in gene expression and  
43 activation. In addition, they are the important mechanisms that maintain the stability of an  
44 organism.<sup>7</sup> For cell apoptosis, there are two major signaling pathways: the extrinsic pathway,  
45 which acts through ligand-mediated activation of death receptors on the cell surface, and the  
46 intrinsic pathway, which acts through the mitochondria. Mitochondria play a critical role in the  
47 regulation of various apoptotic processes including drug-induced apoptosis.<sup>8</sup>

48 The signaling pathways that govern cell proliferation, survival, and oncogenesis are of prime  
49 interest in cancer biology. Since the discovery of the mammalian Rel/NF- $\kappa$ B factors, studies have  
50 focused on their transcriptional and biological functions, as well as on the mechanisms that control  
51 their activities.<sup>9</sup> In normal cells, NF- $\kappa$ B bound to its inhibitor I $\kappa$ B, sequesters the NF- $\kappa$ B-I $\kappa$ B  
52 complex in the cytoplasm. Upon stimulation, I $\kappa$ Bs are phosphorylated by upstream kinases and  
53 degraded through the ubiquitination– proteasome pathway, thus releasing the active NF $\kappa$ B dimer  
54 into the nucleus to regulate gene transcription.<sup>10</sup>

55 Reactive oxygen species (ROS), such as superoxide anion ( $O_2^{\cdot-}$ ), hydrogen peroxide ( $H_2O_2$ ),

56 and hydroxyl radical (HO•), consist of radical and nonradical oxygen species formed by the partial  
57 reduction of oxygen. Cellular ROS are generated endogenously as in the process of mitochondrial  
58 oxidative phosphorylation, or they may arise from interactions with exogenous sources such as  
59 xenobiotic compounds.<sup>11</sup> ROS can damage DNA, activates apoptosis induced by p53, and plays a  
60 key role in the process of the apoptosis occurrence.<sup>12</sup>

61 In this study, we initially assessed and compared the antitumor effects of HSYA in different  
62 human solid tumor cell lines (EC9706, HepG2, HeLa, C6, QBC939, SGC7901, SW480, and  
63 MCF-7). Furthermore, we focused on the molecular mechanisms of HSYA on cell cycle and  
64 apoptosis against breast cancer MCF-7 cells. Moreover, the ROS level and mitochondrial  
65 transmembrane depolarization of MCF-7 cells were assayed after treating the cells with HSYA.  
66 The present work will provide important insights on safflower's antitumor mechanism, which can  
67 be used as the experimental basis in the full utilization of plant flavonoids.

## 68 **Materials and methods**

### 69 **Reagents and antibodies**

70 3-(4, 5-Dimethylthiazol-2-yl)-2, 5-diphenyltetrazolium bromide (MTT) was purchased from  
71 Sigma (St. Louis, MO, USA). Dulbecco's modified eagle's medium (DMEM, high glucose) was  
72 purchased from Hyclone (Logan, UT, USA). Fetal calf serum (FCS) was purchased from the  
73 Institute of Hematology (Hang Zhou, China). Annexin V-FITC apoptosis detection kit was  
74 purchased from Pharmingen-Becton Dickinson (San Diego, CA, USA). Antibodies against NFκB  
75 /p65 and p-IκBα were purchased from Santa Cruz Biotechnology, Inc. (CA, USA). IκBα, COX-IV  
76 antibodies were purchased from Biogot Biotechnology, Co., Ltd. (Shanghai, China). Bcl-2, Bax,  
77 Caspase-3, p53, p21, Cyclin D1 antibodies, and ROS (Reactive Oxygen Species) assay kit, nuclear  
78 and cytoplasmic protein extraction kit and JC-1 fluorescent probe were purchased from Beyotime  
79 Institute of Biotechnology, Inc. (Nanjing, China). HistoneH3A, GADPH and β-Tublin antibodies  
80 were purchased from Sangon Biotech Co., Ltd. (Shanghai, China). Enhanced chemiluminescence  
81 (ECL) kit was purchased from Engreen Biosystem Co., Ltd. (Beijing, China). Total RNA isolation  
82 kit was from Takara Biotechnology Co., Ltd. (Dalian, China).

83

#### 84 **Preparation of HSYA**

85 100 g of *C. tinctorius* soaked in 1000 mL of distilled water after 30 min and 60 °C water bath  
86 extraction for 45 min. The extracts were filtered and concentrated under reduced pressure, and the  
87 residue was suspended in 300 mL water and separated by polyacrylamide column. The column  
88 was washed with the water, 30 % ethanol, and 50 % ethanol, respectively. The elution was purified  
89 by MDS column, the column was washed with the water, 5 % ethanol, and 10 % ethanol gradient  
90 elution. The eluate fractions were filtered and concentrated under reduced pressure, freeze-drying.  
91 Next, the purity of HSYA was confirmed by HPLC at 95 %. The structure of HSYA was identified  
92 by nuclear magnetic spectra. The structure of HSYA (3,3',4',5,7- pentahydroxyflavone),<sup>14,15</sup> which  
93 has a molecular formula of C<sub>27</sub>H<sub>32</sub>O<sub>16</sub> and relative molecular mass of 612 Da are shown in **Fig. 1**.  
94 HSYA was dissolved in sterile dimethyl sulfoxide (DMSO) and stored at -20 °C. The final DMSO  
95 vehicle concentration did not exceed 0.1 % (v/v) both in the control and in the treated samples in  
96 all experiments. HSYA in DMSO was diluted to various concentrations with the culture medium  
97 before each experiment.

#### 98 **Cell lines and culture**

99 Human esophagus cancer cell line EC9706 (was kindly presented by Prof. Ming-rong Wang of  
100 Institute of Tumor, Chinese Academy Medical of Sciences, Beijing, China), Human cervical  
101 carcinoma cell line HeLa, The human breast cancer cell line MCF-7, human cholangiocarcinoma  
102 cell line QBC939, the human hepatoma cell line HepG2, human glioma cell line C6, human  
103 gastric carcinoma cell line SGC-7901, and human colorectal cancer cell line SW480 were  
104 purchased from the Cell Bank of the Chinese Academy of Sciences (Shanghai, China). EC9706,  
105 HeLa, and SW480 cells were cultured in RPMI 1640 medium containing 10 % (v/v)  
106 heat-inactivated FCS with 8 U mL<sup>-1</sup> gentamicin sulfate and 15 mM 4-(2-hydroxyethyl)-1-  
107 piperazineethanesulfonic acid (HEPES) under humidified 5% CO<sub>2</sub> atmosphere. MCF-7, QBC939,  
108 C6, SGC-7901, and HepG2 cells were cultured in DMEM with 10% fetal bovine serum (FBS),  
109 100 U mL<sup>-1</sup> penicillin, and 100 U mL<sup>-1</sup> streptomycin and then incubated at 37 °C with 5 % CO<sub>2</sub>.

#### 110 **Cell growth inhibition assays**

111 The cells were plated in 96-well microtiter plates at a density of 1 × 10<sup>5</sup> cells mL<sup>-1</sup> in a volume of

112 100  $\mu\text{L}$  and were permitted to adhere for 24 h before treatment. Various concentrations (50, 100,  
113 and 200  $\mu\text{g mL}^{-1}$ ) of HSYA were added to the wells, and the plates were incubated for the  
114 indicated times. After cells were incubated with 20  $\mu\text{L}$  MTT (5  $\text{mg mL}^{-1}$ ) for 4 h at 37  $^{\circ}\text{C}$ . After  
115 removing the medium and MTT, 150  $\mu\text{L}$  of DMSO was added into each well, and then placed on a  
116 plate shaker for 5 min at room temperature. The absorbance at 570 nm for each well was  
117 measured using a microtiter plate ELISA reader (Bio-Rad model 550). The mean values for three  
118 parallel experiments were calculated. Percentage of inhibition =  $1 - (\text{mean experimental}$   
119  $\text{absorbance}/\text{mean control absorbance}) \times 100 \%$ .

#### 120 **Staining of apoptotic cells with DAPI**

121 MCF-7 cells from exponentially growing cultures were seeded in six-well plates and allowed to  
122 attach for 24 h before treatment. The cells were treated with 100  $\mu\text{g mL}^{-1}$  HSYA for 24 h. After  
123 treatment, cells were washed with phosphate-buffered saline (PBS), and re-suspended in a fixation  
124 solution (4 % paraformaldehyde) at 4  $^{\circ}\text{C}$  for 10 min. The cells were stained with 4',  
125 6-diamidino-2-phenylindole (DAPI) (2  $\text{mg mL}^{-1}$ ) for 5 min at room temperature, and the apoptotic  
126 cells were evaluated under a laser confocal microscope (FV1000; Olympus, Tokyo, Japan). The  
127 apoptotic cells were identified through nuclear condensation and fragmentation.

#### 128 **Flow cytometric analysis**

129 MCF-7 cells ( $1 \times 10^5 \text{ mL}^{-1}$ ) were seeded in a six-well plate containing complete medium and  
130 allowed to attach for 24 h. The cells were treated with 25, 50, or 100  $\mu\text{g mL}^{-1}$  HSYA for 24 h and  
131 then washed twice with cold PBS. Afterward, the cells were centrifuged at 1,500  $\times g$  for 10 min to  
132 collect the cells. The cell apoptosis, cell cycle, and ROS level were analyzed by flow cytometry  
133 (FACSCalibur, B-D, USA), respectively. Data analysis was performed using the Cell Quest  
134 program.

135 Apoptosis analysis: Flow cytometric analyses of annexin V-FITC and PI-stained cells were  
136 performed using an apoptosis detection kit according to the manufacturer's protocol. The cells  
137 were re-suspended in 500  $\mu\text{L}$  of binding buffer, containing 5  $\mu\text{L}$  of fluorescence-conjugated  
138 annexin V-FITC, and 1  $\mu\text{L}$  of PI. The suspension was then incubated at room temperature for 30  
139 min in the dark. Afterward, the cells were analyzed using flow cytometry.

140 Cell cycle analysis: The cells were fixed in 70 % cold ethanol, washed with PBS, and then  
141 stained with 100  $\mu\text{L}$  PI (1 mM) and 50  $\mu\text{L}$  RNase A (1 mM) in PBS at room temperature for 30  
142 min in the dark. The cell distribution at the different phases of the cell cycle was analyzed through  
143 flow cytometry.

144 ROS level analysis: The cells were incubated with 1 mL of DCFH-DA (10  $\mu\text{M}$ ) at 37  $^{\circ}\text{C}$  for 20  
145 min in the dark. Then, the cells were washed twice and kept in 300  $\mu\text{L}$  of PBS. ROS generation  
146 was assessed through flow cytometry.

#### 147 **Measurement of mitochondrial transmembrane potential (MMP, $\Delta\psi\text{m}$ )**

148 JC-1 is a fluorescent carbocyanine dye, which accumulates in the mitochondrial membrane in two  
149 forms (monomers or dimers), depending on mitochondrial membrane potential. Cells with normal  
150 polarized mitochondrial membranes emit green-orange fluorescence, and the percentage of cells  
151 that emit only green fluorescence is attributable to depolarized mitochondrial membranes. For  
152 analyses of mitochondrial membrane potential,  $\psi\text{m}$ , the cells were collected by centrifugation at  
153  $1000 \times g$  for 5 min. Briefly,  $2 \times 10^5$  cells were washed twice with cold PBS and incubated in 500  
154  $\mu\text{L}$  JC-1 ( $10 \mu\text{g mL}^{-1}$ ) for 30 min at 37  $^{\circ}\text{C}$ . After 30 min of incubation in the dark at 37  $^{\circ}\text{C}$ , the  
155 cells were analyzed using the flow cytometer (FACSCalibur, B-D, USA). Data analysis was  
156 performed using the Cell Quest program.

#### 157 **Preparation for cytosolic and mitochondrial fractions**

158 The cells were prepared for staining according to the cytochrome c-releasing apoptosis assay kit  
159 purchased from BioVision (Mountain View, CA, USA). Briefly,  $1 \times 10^6$  cells were pelleted and  
160 washed once with ice-cold PBS. The cells were re-suspended in Cytosol extraction buffer mix  
161 containing DTT and protease inhibitors and incubated on ice for 10 min. The lysate was then  
162 centrifugated at  $1000 \times g$  at 4  $^{\circ}\text{C}$  for 10 min. The supernatants were centrifuged again at  $10,000 \times$   
163  $g$  at 4  $^{\circ}\text{C}$  for 30 min. Afterward, the supernatants were collected as cytosolic fractions, and the  
164 pellets were resuspended in a mitochondrial extraction buffer mix containing DTT and protease  
165 inhibitors for 10 s and used as mitochondrial fractions.

#### 166 **Western blot analysis**

167 To prepare the whole-cell extract, cells were washed twice with cold PBS and lysed in cold

168 radioimmunoprecipitation assay extraction buffer (1 × PBS, 0.5 % deoxycholic acid sodium salt,  
169 1% Triton X-100, 0.1 % SDS, 1 mM PMSF, 1 % leupeptin, and 1 % aprotinin) for 30 min on ice.  
170 The lysates were centrifuged at 12,000×g for 10 min at 4°C, and then the supernatant was  
171 collected. To separate cytoplasmic and nuclear fractions of proteins, a nuclear and cytoplasmic  
172 protein extraction kit was immediately used for extraction after cell collection. Briefly, cells were  
173 mixed with cytoplasmic protein extraction buffer, vortexed at maximum speed, and then incubated  
174 for 15 min on ice. After centrifugation at 12,000 ×g for 5 min at 4 °C, the suspension, including  
175 the cytoplasmic protein, was collected. Nuclear protein extraction buffer was added into the pellet,  
176 and then the resulting mixture was vigorously shaken. After incubation for 30 min on ice, the  
177 suspension was centrifuged at 12,000×g at 4 °C for 5 min. The resulting supernatant was the  
178 nuclear fraction. Protein concentrations were measured using the BCA protein assay kit (Beyotime  
179 Institute of Biotechnology). Total protein samples were transferred onto PVDF membrane after  
180 electrophoretic separation in 10 % SDS polyacrylamide gel. After blocking with 5 % non-fat milk  
181 in tris-buffered saline containing Tween-20 (0.1 %) (TBST) for 2 h at room temperature, the  
182 membranes were incubated at 4 °C overnight with the primary antibody and then washed five  
183 times with TBST (0.05 %). Afterward, the membranes were incubated with horseradish  
184 peroxidase-conjugated secondary antibodies at room temperature for 2 h. The membranes were  
185 washed thrice in TBST, incubated with an enhanced chemiluminescence western detection system  
186 (Engreen), and exposed to an X-ray film.

### 187 **RNA isolation and qRT-PCR**

188 Total RNA was isolated from cells using RNAiso Plus according to the manufacturer's  
189 instructions. Afterward, RNA was reverse transcribed to cDNA using the Primescripted RT reagent  
190 kit with gDNA eraser (Takara Biotechnology, Dalian, China). qRT-PCR amplification and  
191 detection were performed using the SYBR Premix Ex Taq™ (QIAGEN, China) according to the  
192 manufacturer's protocol. PCR reaction was performed on RT-PCR Machine 7500 Fast (Applied  
193 Biosystems) at 95 °C for 5 min for denaturation, followed by 40 cycles at 95 °C for 10 s and 60 °C  
194 for 1 h. The reaction products were analyzed using software provided by the onboard software  
195 from the RT-PCR machine. We used GADPH as the reference gene, and the relative expression  
196 levels were analyzed using the  $2^{(-\Delta\Delta CT)}$  method. Table 1 lists the primer sequences used. The

197 primers in our experiments were synthesized by Invitrogen Biotechnology Co., Ltd. (Shanghai,  
198 China).

### 199 **Statistical analysis of data**

200 The data were presented as mean  $\pm$  SEM. Statistical analysis was performed using ANOVA  
201 followed by Dunnett *t*-test,  $P < 0.05$  was considered statistically significant. All the figures shown  
202 in this paper were obtained from at least three independent experiments.

## 203 **Results**

### 204 **HSYA inhibited the proliferation of tumor cells**

205 In this study, MTT assay was used to detect the proliferation inhibition of HSYA on several tumor  
206 cells in vitro. The result showed (Fig. 2) that HSYA could significantly inhibit the proliferation of  
207 several tumor cells in a dose-dependent manner. Within concentrations of 50 to 200  $\mu\text{g mL}^{-1}$ ,  
208 HSYA significantly inhibited the proliferation of MCF-7, SGC-7901, SW480, and HeLa cells.  
209 Moreover, the inhibition increased with increasing drug concentration. When the cells were treated  
210 with 200  $\mu\text{g mL}^{-1}$  HSYA for 24 h, the proliferation inhibition rate of the MCF-7, SGC-7901,  
211 SW480, and HeLa cells were 57.6 %, 51.7 %, 53.5 % and 50.2 %, respectively. Meanwhile, HSYA  
212 was found little effect on normal cells HL7702 and almost no effect on human normal colorectal  
213 cells FHC (data not shown). Thus, the growth inhibition effects of HSYA on MCF-7 cells were  
214 most significant at the same concentrations, and the data for each group show considerable  
215 differences compared with that of the control group.

### 216 **HSYA induced apoptosis in MCF-7 cells**

217 To determine whether the HSYA-mediated growth inhibition of MCF-7 cell lines is associated  
218 with apoptosis, we used DAPI to investigate the changes in the cells' nuclei. As clearly shown in  
219 Fig. 3A the stained nuclei in the control group were uniform and had low-intensity uniform  
220 fluorescence. By contrast, when cells were treated with 100  $\mu\text{g mL}^{-1}$  HSYA, the apoptotic cells  
221 showed irregularly stained nuclei because of chromatin condensation and nuclear fragmentation,  
222 as well as irregular shapes and small amounts of apoptotic bodies.

223 Simultaneous staining with annexin V-FITC and PI distinguished between healthy, early

224 apoptotic, late apoptotic and dead cells. After 24 h of treatment with or without different  
225 concentrations of HSYA, apoptosis in human MCF-7 cells was analyzed by flow cytometry. Fig.  
226 3B showed that the percentages of apoptotic cells (including early- and late-apoptotic cells) were  
227 5.0 % (3.3 % and 1.7 %) when the cells were not treated with HSYA (control group), but were  
228 32.1 % (15.1 % and 17.0 %) and 68.2 % (46.7 % and 21.5 %) when cells were treated with 50 or  
229 100  $\mu\text{g mL}^{-1}$  HSYA, respectively. The apoptosis rate was significantly higher than that of the  
230 control group. These results suggest that HSYA significantly induces apoptosis in MCF-7 cells.

### 231 **HSYA could inhibit cell growth by blocking the cell cycle**

232 As cell proliferation is regulated by the cell cycle, the Sub-G1 phase of the cell cycle can also be  
233 used to determine the apoptosis. Flow cytometry was used to analyze HSYA effect on MCF-7 cell  
234 cycle distribution. Fig. 4 showed that the cell count in Sub-G1 phase was 3.57 % when the cells  
235 were not treated with HSYA (control group), but were 6.17 % and 13.77 % when the MCF-7 cells  
236 were treated with 50 or 100  $\mu\text{g mL}^{-1}$  HSYA for 24 h, respectively. These data provide evidence for  
237 apoptosis. Meanwhile, the cell counts in *G0/G1* and *G2/M* phase were 80.47 % and 2.08 %,   
238 respectively, when the cells were not treated with HSYA (control group). However, the cell counts  
239 were 80.46 % and 67.61 % in the *G0/G1* phase, and were 7.04 % and 17.37 % in the *G2/M* phase  
240 when MCF-7 cells were treated with 50 or 100  $\mu\text{g mL}^{-1}$  HSYA for 24 h, respectively. The cell  
241 count significantly increased in the *G2/M* phase, but the cell count decreased in the *G0/G1* phase.  
242 These results indicate that HSYA could inhibit cell growth by blocking the cell cycle at the *G2/M*  
243 phase.

### 244 **HSYA induced ROS generation in MCF-7 cells**

245 DCFH-DA can be deacetylated by intracellular esterase to nonfluorescent DCFH, which can be  
246 oxidized by ROS, resulting in the formation of fluorescent compound DCF. The fluorescence  
247 intensity of DCF is proportional to the amount of ROS produced by the cells.

248 The data in Fig. 5 indicated that the fluorescence intensity was 279.78 in the control group.  
249 The values were 619.80 and 639.01 when the MCF-7 cells were treated with 50 or 100  $\mu\text{g mL}^{-1}$   
250 HSYA for 24 h, respectively. Therefore, HSYA could significantly enhance the ROS level in  
251 MCF-7 cells. The ROS trigger can change the balance of cell oxidation–reduction, which is the

252 key target of intracellular oxidative stress that promotes cell apoptosis.<sup>15</sup>

253 **Mitochondrial membrane depolarization and cytochrome c release during HSYA-induced**  
254 **apoptosis**

255 Mitochondrial transmembrane potential (MMP,  $\Delta\psi_m$ ) and mitochondrial permeability changes  
256 during apoptosis play an important role in the process.<sup>16</sup> Cytochrome c release from mitochondria  
257 is a critical step in the apoptotic cascade as this activates downstream caspases. Cytochrome c is  
258 located in the space between the inner and outer mitochondrial membranes. An apoptotic stimulus,  
259 such as a change in MMP, triggers the release of cytochrome c from the mitochondria into the  
260 cytosol.<sup>7</sup> Mitochondrial membrane depolarization and cytochrome c release have both been  
261 proposed as early irreversible events in the initiation of the cell death program. Permeability  
262 transition pore (PTP), a complex composed of several polypeptides at the membrane of  
263 mitochondria, changes a dissipation of the inner mitochondrial transmembrane potential and an  
264 increase in the matrix volume that induces the mechanical disruption of the outer mitochondrial  
265 membrane, leading to cytochrome c release.<sup>17</sup>

266 To determine the involvement of the mitochondrial mediated pathway in the HSYA -induced  
267 apoptosis of tumor cells, we investigated the changes in MMP ( $\Delta\psi_m$ ) using the JC-1 fluorescent  
268 probe. After HSYA treatment of MCF-7 cells, the mitochondrial membrane potential dye, which  
269 was analyzed via flow cytometry, showed that R2:R1 was 37.95 % in the control group, whereas  
270 R2:R1 was 57.54 % and 74.87 % when MCF-7 cells were treated with 50 or 100  $\mu\text{g mL}^{-1}$  HSYA.  
271 The data resulted in a rapid dissipation of  $\Delta\psi_m$  in a dose-dependent manner (Fig. 6A). A collapse  
272 of the  $\Delta\psi_m$  was detected as indicated by loss of red fluorescence as early as 24 h after 50 or 100  
273  $\mu\text{g mL}^{-1}$  of HSYA treatment. This change reached its maximum after treatment with 100  $\mu\text{g mL}^{-1}$   
274 HSYA treatment.

275 To examine cytochrome c release in HSYA-treated MCF-7 cells, we conducted Western blot  
276 assay of the cytosolic and mitochondrial fractions. The mitochondria and cytoplasm of cells were  
277 extracted and analyzed through SDS-PAGE electrophoresis and Western blot assay. As shown in  
278 Fig. 6B, the increased in cytochrome c levels occurred in the cytosolic fraction and was observed  
279 24 h after 50 or 100  $\mu\text{g mL}^{-1}$  HSYA treatment, but more significantly when treated with 100  $\mu\text{g mL}^{-1}$   
280 HSYA. Meanwhile, along with a concurrent decrease in mitochondrial cytochrome c, suggesting

281 the involvement of mitochondria in HSYA -induced apoptosis.

## 282 **HSYA regulated apoptosis and cycle factor expression**

283 Cell apoptosis is regulated by the expression of several genes, and one of the most typical is the  
284 Bcl-2 family. The mitochondria-mediated intrinsic apoptotic pathway is also controlled by the  
285 members of the Bcl-2 family. The Bcl-2 family can be divided into two categories: pro-apoptosis  
286 and anti-apoptotic genes. Bcl-2 is an anti-apoptotic gene that can regulate the apoptosis pathway  
287 and cell death.<sup>18,19</sup> In this study, MCF-7 cells were treated with 50 or 100  $\mu\text{g mL}^{-1}$  HSYA, and the  
288 total RNA was extracted. Oligo (dT) was used as a primer for the reverse transcription, and the  
289 newly synthesized cDNA was amplified through qRT-PCR. The result in Fig. 7A showed that the  
290 level of pro-apoptotic gene *Bax* significantly improved, whereas the anti-apoptotic gene *Bcl-2*  
291 levels decreased when MCF-7 cells were treated with 50 or 100  $\mu\text{g mL}^{-1}$  HSYA. These results  
292 suggested that HSYA-induced MCF-7 cell apoptosis might be involved in the regulation of the  
293 Bcl-2 family.

294 The accumulation of p53 triggered apoptosis and led to the release of cytochrome c from  
295 mitochondria to cytosol. Caspase-3 played key roles in the post-mitochondrial apoptotic pathway  
296 including the activation of several caspases which are the hallmarks of apoptosis.<sup>20,21</sup> The tumor  
297 characteristics include uncontrolled cell growth and abnormal cell proliferation, differentiation,  
298 and apoptosis. Moreover, cell cycle disorder is the main mechanism involved.<sup>22</sup> Cyclin D1 could  
299 induce cell growth by promoting the cell cycle, and is known as an oncogene. Cyclin D1  
300 overexpression is an important factor in the malignant transformation of tumor cells. Combined  
301 with an inactivated p53, cyclin D1 overexpression may lead to uncontrolled cell proliferation and  
302 even occurrence of tumor.

303 The qRT-PCR result (Fig. 7A) demonstrated that in the apoptosis MCF-7 cells treated with 50  
304 or 100  $\mu\text{g mL}^{-1}$  HSYA, the expression of cycle regulators *p53* and *p21* increased, and the level of  
305 *Cyclin D1* decreased. These results suggest that HSYA may induce MCF-7 cell apoptosis by  
306 inhibiting MCF-7 cell growth through the down-regulation of *bcl-2* and *cyclin D1*, up-regulate the  
307 expression of *p53* and *p21*, and lead to the over-expression of *Bax*.

308 The Western blot result in Fig. 7B showed that, the expression of pro-apoptosis protein Bax in  
309 the apoptosis cells induced by HSYA significantly increased, whereas the expression of

310 antiapoptotic Bcl-2 proteins decreased and that of caspase-3 increased. These results indicated that  
311 the regulation of the Bcl-2 family may be involved in the apoptosis induced by HSYA. The  
312 increased caspase-3 activity coupled with apoptosis induction indicates that HSYA probably  
313 induced apoptosis through the caspase-3 signaling pathway in the MCF-7 cells. In addition, in Fig.  
314 7C showed that, the expression level of p53 and that of the cell cycle regulation factors  
315 significantly increased, whereas the CyclinD1 protein expression decreased. These results were  
316 consistent with the results of qRT-PCR. Therefore, HSYA inhibited the proliferation of breast  
317 cancer cells and induced apoptosis; it was also closely linked with cell cycle arrest.

### 318 **HSYA inhibited the activation of NF- $\kappa$ B/p65 signaling pathway in MCF-7 cells**

319 The eukaryotic transcription factor NF $\kappa$ B exists in a variety of cells, and is involved in the  
320 transcriptional regulation of numerous genes that express cytokines, cytokine receptors, and cell  
321 adhesion, as well as inflammatory and immune response factor-mediated apoptosis, cell cycle, cell  
322 differentiation, cell migration, as well as cell resistance to chemotherapy and proteins of other  
323 regulatory processes. NF- $\kappa$ B activation can regulate the expression of anti-apoptotic and  
324 pro-apoptotic proteins, as well as the expression of the cell cycle proteins, including p53 and  
325 cyclin D1.<sup>23, 24</sup> I $\kappa$ B $\alpha$  has the most crucial role as the molecular switch in the activation of the  
326 NF- $\kappa$ B pathway, in which the phosphorylation of I $\kappa$ B $\alpha$  is a key.<sup>25</sup>

327 When MCF-7 cells were treated with 50 or 100  $\mu$ g mL<sup>-1</sup> HSYA for 24 h, the cytosolic fractions  
328 and nuclear extracts were separated. The Western blot assay results (Fig. 8) showed that the  
329 expression of p65 protein significantly increased in the cytoplasm, but decreased in the nucleus. In  
330 addition, the expression of phosphorylated I $\kappa$ B $\alpha$  significantly decreased and then increased the  
331 expression of I $\kappa$ B $\alpha$ , these results suggested that HSYA may induce apoptosis and block the cell  
332 cycle by inhibiting the NF- $\kappa$ B/p65 signaling pathway.

### 333 **Discussions**

334 Safflower is an important traditional Chinese medicine that affects blood circulation and blood  
335 stasis, and relieves pain. Safflower has low toxicity in vivo, and is an abundant natural resource.  
336 Safflower has antitumor effects against a variety of cancers, such as liver, cervical, stomach,  
337 esophageal, and breast cancers.<sup>26, 27</sup> Safflower yellow pigment is extracted from petals with

338 natural red pigments, which are composed of a variety of flavonoids, and have substantial  
339 pharmacological activity. HSYA (hydroxysafflor yellowA) is considered to be the main and most  
340 effective ingredient of safflower yellow pigment, could clear a hydroxyl group, inhibition of lipid  
341 peroxidation, protecting cell membranes.<sup>28,29</sup> However, the effects of HSYA on breast cancer cells  
342 and its antitumor mechanism are still not well understood. Moreover, only a limited number of  
343 studies on the active ingredients of saffron and their antitumor mechanisms have been conducted.  
344 In our previous study, we have separated and obtained hydroxysafflor yellow A. Moreover, we  
345 have simultaneously investigated the anticancer activity of the extract using MTT assay and the  
346 proliferation of MCF-7 cells treated with HSYA. The results showed that HSYA could  
347 significantly inhibit the proliferation of MCF-7 cells in a dose-dependent manner. Moreover,  
348 HSYA caused chromatin condensation and nuclear fragmentation. Thus, we had concluded that  
349 HSYA induces MCF-7 cell apoptosis.

350 Apoptosis, one of the most fundamental biological processes in eukaryotes is a well-defined  
351 cell-death process. There are two main pathways that lead to apoptosis, “extrinsic” and  
352 “mitochondrial” pathway. The mitochondrial apoptotic pathway begins when an injury occurs  
353 within the cell. Intrinsic stresses such as oncogenes, hypoxia, survival factor deprivation, and ROS  
354 can activate the intrinsic apoptotic pathway.<sup>30</sup>

355 Numerous studies have confirmed that almost all apoptosis stimulating factors can cause  
356 structural damage and mitochondrial dysfunction.<sup>31</sup> Mitochondrial transmembrane potential (MMP,  
357  $\Delta\psi/m$ ) and mitochondrial permeability changes during apoptosis play an important role in the  
358 process.<sup>16</sup> Apoptosis can occur via the caspase-independent apoptotic pathway. Caspase-3, a key  
359 effector caspase, can be activated by several activated initiator caspases such as caspase-9, whose  
360 activation is achieved within an apoptosome that consists of a large caspase-activating complex  
361 formed by apoptotic protease-activating factor 1, cytochrome c, and dATP.<sup>17</sup>

362 Cytochrome c release from mitochondria is a critical step in the apoptotic cascade as this  
363 activates downstream caspases. Cytochrome c is located in the space between the inner and outer  
364 mitochondrial membranes. An apoptotic stimulus, such as a change in MMP, triggers the release of  
365 cytochrome c from the mitochondria into the cytosol where it binds to Apaf-1. The cytochrome  
366 c/Apaf-1 complex activates caspase-9, which then activates caspase-3 and other downstream  
367 caspases.<sup>32,33</sup> The present study proved that HSYA caused the loss of mitochondrial membrane

368 potential ( $\Delta\psi/m$ ), release of cytochrome c from the mitochondrial intermembrane space into the  
369 cytosol and proteolytic activation of caspase-3.

370 The mitochondria-mediated intrinsic apoptotic pathway is also controlled by the members of  
371 the Bcl-2 family. Many studies have shown that the ratio between bax/bcl-2 proteins decides the  
372 strength of apoptosis inhibition by key factors; therefore, bax is a very important pro-apoptotic  
373 gene.<sup>34</sup> This study focused on bcl-2 and bax proteins. The tumor suppressor protein p53 is a key  
374 regulator of multiple cellular processes, and evidence suggests that apoptosis is critical for its  
375 tumor suppressor function. P53 can increase the expression of Bax levels, and reduce the Bcl-2  
376 expression to promote cell apoptosis.<sup>35</sup>

377 The susceptibility of proliferating cells to certain apoptosis inducement often depends on the  
378 cell cycle. The damage level of physical and chemical stimuli to the cells depends on the balanced  
379 regulation of each cell cycle regulatory factor.<sup>36</sup> CyclinD1 is a promoter of the cell cycle, and can  
380 be used with a variety of oncogenes to promote mutual transformation between the cells.  
381 CyclinD1 can inhibit p21 activity, resulting in cell cycle arrest and blocking cell proliferation.<sup>37</sup>  
382 The results of our study indicate that HSYA could down-regulate the expressions of CyclinD1 and  
383 Bcl-2 and promote the up-regulation of Bax and p53, thereby blocking the cell cycle in the G2/M  
384 phase to induce apoptosis of MCF-7 cells.

385 In addition, we studied the reactive oxygen species (ROS) when MCF-7 cells were treated  
386 with 50 or 100  $\mu\text{g mL}^{-1}$  HSYA. ROS is produced by oxidative stress and DNA damage, plays a  
387 key role in the process of the apoptosis occurrence. The antiapoptotic proteins Bcl-2 can regulate  
388 and maintain intracellular antioxidant activities, and is mainly found in regions where ROS is  
389 produced. These regions include the mitochondrial membrane, endoplasmic reticulum membrane,  
390 and nuclear membrane and so on.<sup>38</sup> In response to death stimuli, ROS accumulation and  
391 alterations in response to death stimuli are considered early signals of apoptosis.<sup>39</sup> Our study  
392 showed that HSYA could induce ROS generation, in a dose dependent manner.

393 The activity of NF- $\kappa$ B/Rel transcription factors can down-modulate apoptosis in normal and  
394 neoplastic cells of the hematologic and of other origins.<sup>40</sup> It was reported that nuclear transcription  
395 factor- $\kappa$ B is a very potential targets in the treatment of breast cancer; NF $\kappa$ B is widely involved in  
396 the regulation of BC initiation, proliferation, angiogenesis and metastasis. The overexpression of  
397 NF $\kappa$ B subunits enhances the expression of NF $\kappa$ B responsive genes that contribute to BC

398 progression. The use of NF $\kappa$ B inhibitor is a potentially important therapy.<sup>41</sup> In other words, the  
399 role of phosphorylation and the interaction between proteins determine the specificity of the  
400 NF- $\kappa$ B activity. This study showed that HSYA might inhibit the NF $\kappa$ B/p65 signaling pathways,  
401 thereby inducing MCF-7 cell apoptosis.

402 In summary, the potential anticancer activity of HSYA against human MCF-7 cells was  
403 investigated. HSYA exhibited a strong inhibitory effect against the growth of MCF-7 cells in vitro.  
404 The anticancer activity of HSYA could be attributed, in part, to its induction of apoptosis in cancer  
405 cells by involving Bax and p53 up-regulation, Bcl-2 and Cyclin D1 down-regulation, inducing  
406 ROS generation, causing mitochondrial release of cytochrome c into the cytosol, loss in  $\Delta\psi_m$  and  
407 caspase-3 activation. Moreover, HSYA could inactivate the NF $\kappa$ B/p65 pathway in MCF-7 cells by  
408 significantly decreasing the expression of phosphorylated I $\kappa$ B $\alpha$ , increasing the expression of I $\kappa$ B $\alpha$ ,  
409 and blocking NF $\kappa$ B/p65 nuclear translocation. These effects proved the role of HSYA in inducing  
410 cell apoptosis. The findings in the present study provided new ideas for the use of safflower and  
411 other natural herbs in anti-tumor applications.

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486 **Figure legends**

487 **Figure. 1. The chemical structure of hydroxysafflor yellow A** HSYA, the molecular formula  
488 was determined to be  $C_{27}H_{32}O_{16}$ , the relative molecular masses is 612 Da.

489 **Figure. 2. Inhibition of HSYA on the proliferation of in the human solid tumor cells**

490 Different cells were incubated with various concentration of HSYA for 24 h, respectively. The  
491 color intensity was measured using a microtiter plate reader (Bio-Rad model 550) at 570 nm. The  
492 data were represented as mean values  $\pm$  standard of three independent experiments. \* $p < 0.05$ ,  
493 \*\* $P < 0.01$  vs control.

494 **Figure. 3. Effect of HSYA on MCF-7 cell apoptosis** (A) Control (0.1% DMSO, 24 h); 100  $\mu\text{g}$   
495  $\text{mL}^{-1}$  HSYA treated MCF-7 cells for 24 h. MCF-7 cells were fixed with 4% paraformaldehyde  
496 and stained with DAPI (2  $\mu\text{g mL}^{-1}$ ) for 15 min, detected the morphological features of nucleus by  
497 fluorescence microscopy(400 $\times$ ). (B) Control group (0.1% DMSO, 24 h); MCF-7 cells were treated  
498 with 50 or 100  $\mu\text{g mL}^{-1}$  HSYA for 24 h, respectively, collected and washed with cold PBS (pH 7.6),  
499 re-suspended in 500  $\mu\text{L}$  of binding buffer, containing 5  $\mu\text{L}$  of fluorescence-conjugated annexin  
500 V-FITC and 1  $\mu\text{L}$  of PI, then incubated for 30 min in the dark at room temperature. Following this,  
501 the cells were analyzed by flow cytometry.

502 **Figure. 4. Effect on cell cycle distribution of MCF-7 cells of HSYA by flow cytometric**  
503 **analysis**

504 (A, B) Control group (0.1 % DMSO, 24 h); MCF-7 cells were treated with 50 or 100  $\mu\text{g mL}^{-1}$   
505 HSYA for 24 h, respectively, collected and washed with cold PBS (pH7.6), suspended in 100  $\mu\text{L}$   
506 of binding buffer, stained with PI (10  $\text{mg mL}^{-1}$ ), incubated for 30 min at 4  $^{\circ}\text{C}$ . The cell cycle was  
507 analyzed using flow cytometry. (C, D) The result showed the cell cycle distribution of every  
508 independent experiment by flow cytometry.

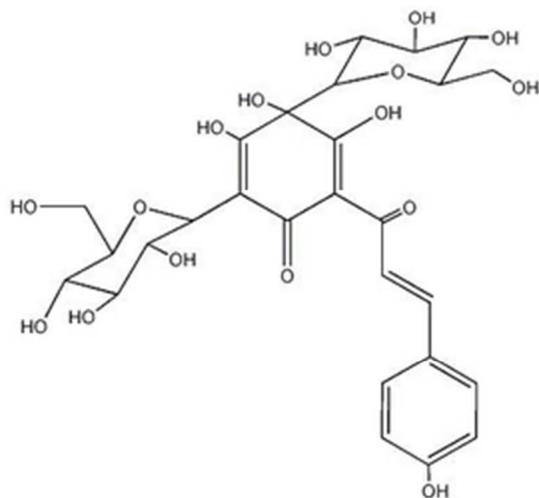
509 **Figure. 5. Flow cytometric analysis of intracellular ROS.** Control group (0.1 % DMSO, 24 h);

510 Cells were incubated with 50 or 100  $\mu\text{g mL}^{-1}$  HSYA for 24 h. The cells were incubated with 100  
511  $\mu\text{M}$  DCFH-DA for 20 min at 37  $^{\circ}\text{C}$  and washed with serum-free medium to remove extracellular  
512 DCFH-DA. Then the ROS level was analyzed by flow cytometry, the result showed the relative  
513 ROS level of every independent experiment by flow cytometry.

514 **Figure. 6. The Effect of rBTI on the changes in mitochondrial membrane potential (A)**  
515 Control group (0.1 % DMSO, 24 h); Cells were incubated with 50 or 100  $\mu\text{g mL}^{-1}$  HSYA for 24 h.  
516 Mitocapture was added to the cells, and incubated at 37 °C in CO<sub>2</sub> incubator for 15-20 min. Cells  
517 were analyzed immediately by flow cytometry. (B) MCF-7 cells were exposed to 50 or 100  $\mu\text{g}$   
518  $\text{mL}^{-1}$  HSYA for 24 h, after which cytosolic and mitochondrial fractions were prepared. The levels  
519 of cytochrome c in these fractions were examined by Western blot. In a parallel gel of cytosolic  
520 fraction, GADPH and COX-IV were used as loading controls. The experiments were repeated  
521 three times with similar results. (C) The amount of every protein was quantified by the integrated  
522 density (Image J) of each band.

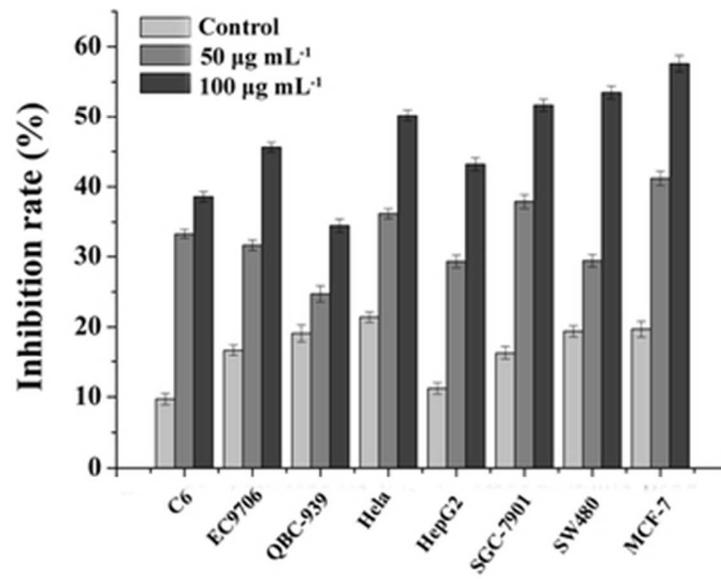
523 **Figure. 7. Expression of the regulation factor in MCF-7 cells** (A) MCF-7 cells were treated  
524 with HSYA at the concentration of 50 or 100  $\mu\text{g mL}^{-1}$  for 24 h. The qRT-PCR was carried out for  
525 each condition with 3 biological repeats and 3 experimental repeats; the average fold changes on  
526 mRNA level of cell apoptosis and cycle genes are shown. The graph displayed the mean of three  
527 independent experiments, \*,  $p < 0.05$ , \*\*,  $p < 0.01$  statistically significant. (B, C) MCF-7 cells  
528 were treated as (A). Cells were lysed and equal amounts of proteins were separated by SDS-PAGE,  
529 transferred to PVDF membrane, and immunoblotted with antibodies against Bax, Bcl-2,  
530 Caspase-3, p53, Cyclin D1, respectively.  $\beta$ -Tubulin was used as a loading control. And the amount  
531 of every protein was quantified by the integrated density (Image J) of each band.

532 **Figure. 8. Expression level of NF- $\kappa$ B regulation factors were detected by western blot**  
533 **analysis** (A, C) MCF-7 cells were treated with HSYA at the concentration of 50 or 100  $\mu\text{g mL}^{-1}$   
534 for 24 h. Cytoplasmic and nuclear extracts representing equal numbers of cells were analyzed by  
535 Western blotting using the indicated antibodies. After transferring onto the membrane the blots  
536 were probed with antibodies against p65, I $\kappa$ B $\alpha$ , p-I $\kappa$ B $\alpha$ . HistoneH3A and  $\beta$ -Tubulin were used as  
537 loading controls. (B, D) The amount of every protein was quantified by the integrated density  
538 (Image J) of each band.

**Figure 1**

12x14mm (600 x 600 DPI)

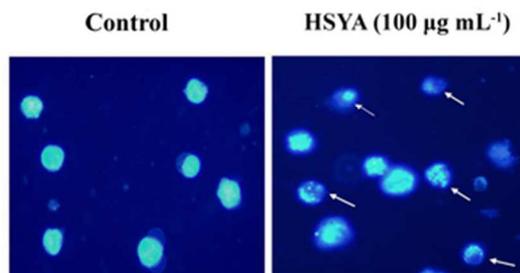
Figure 2



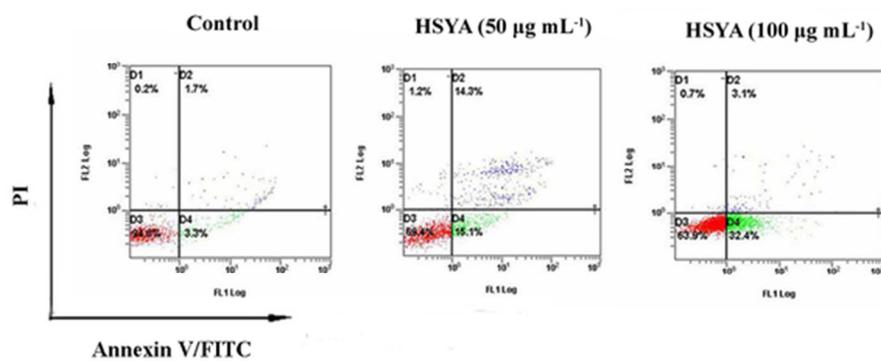
16x15mm (600 x 600 DPI)

Figure 3

A

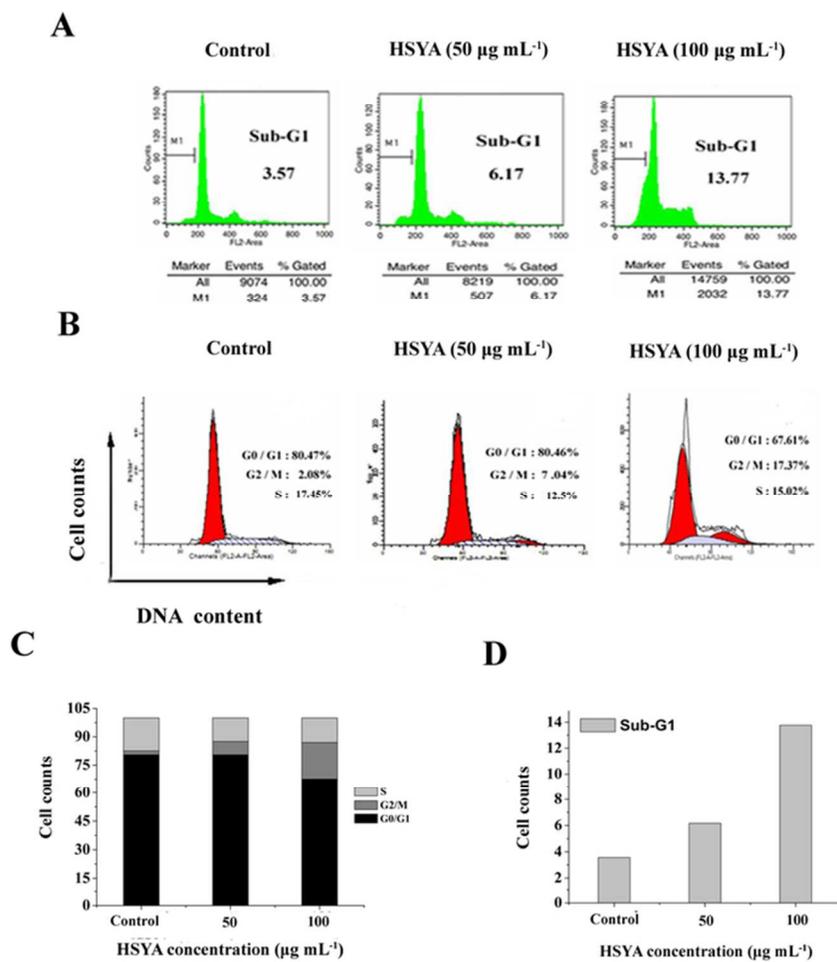


B



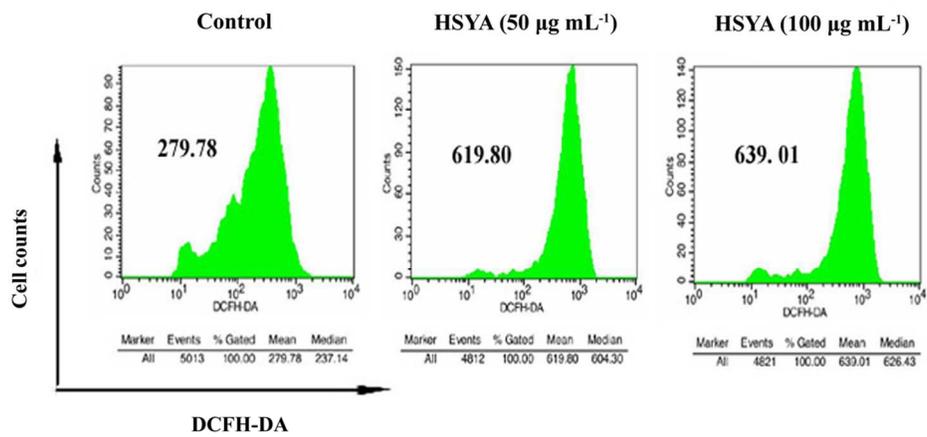
27x22mm (600 x 600 DPI)

Figure 4



34x37mm (600 x 600 DPI)

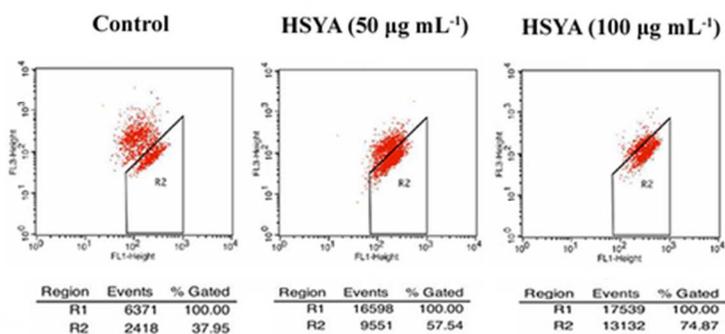
Figure 5



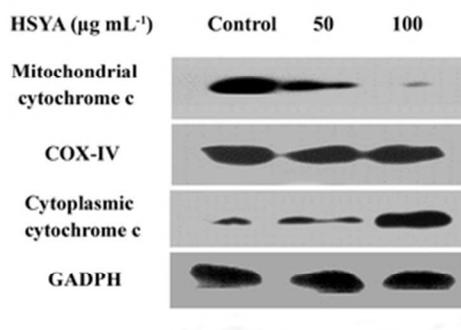
54x32mm (600 x 600 DPI)

Figure 6

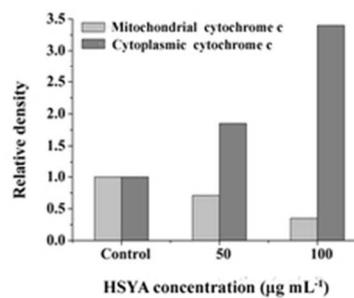
A



B

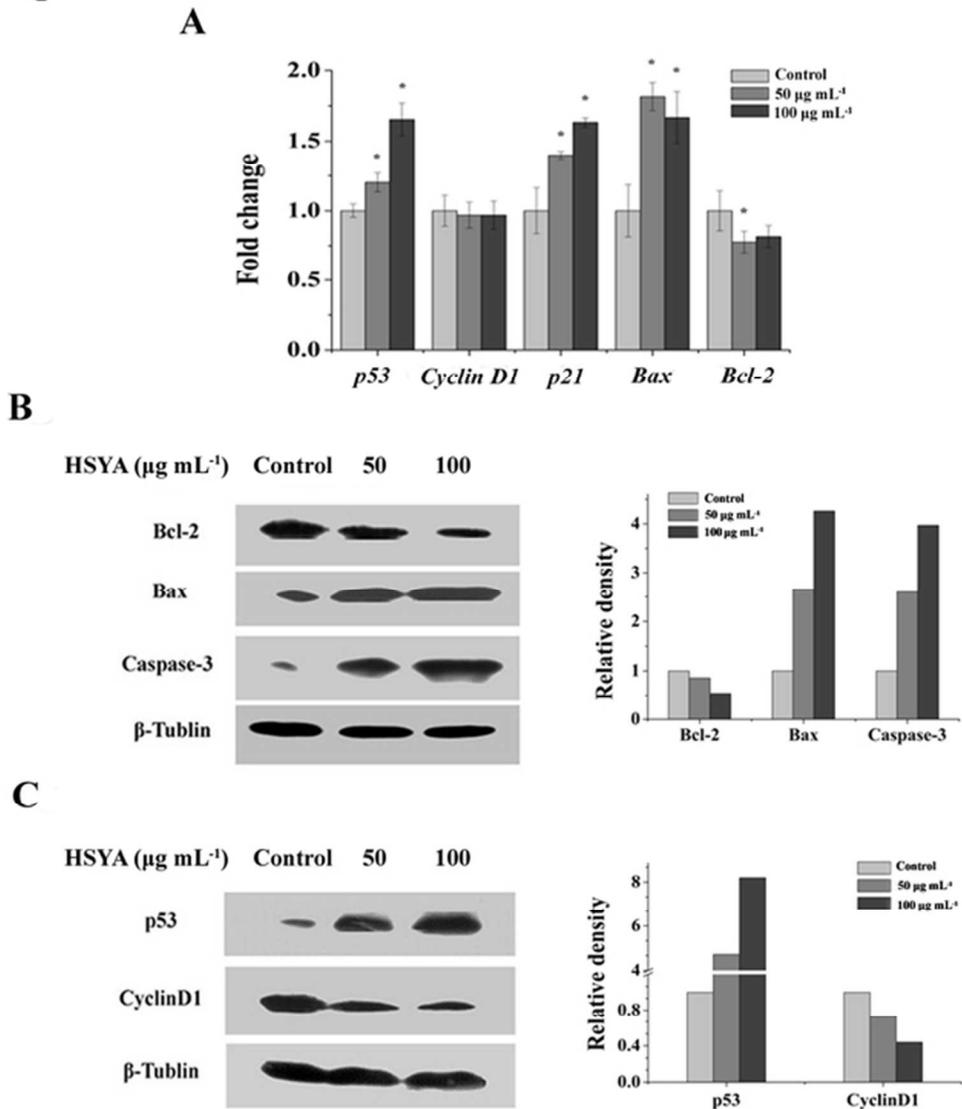


C



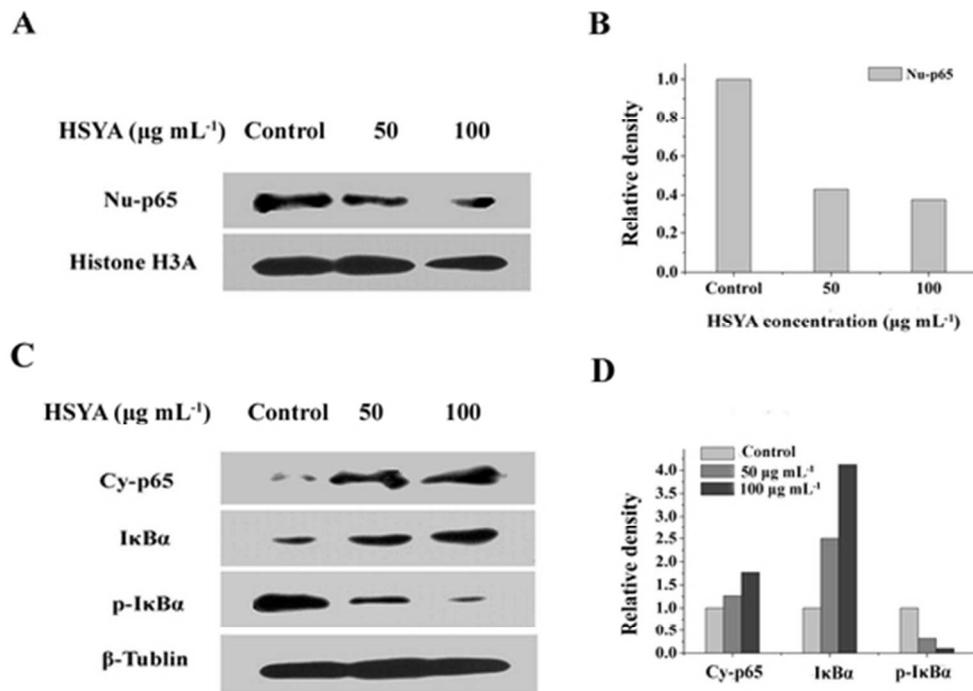
27x24mm (600 x 600 DPI)

Figure 7



27x32mm (600 x 600 DPI)

Figure 8



23x19mm (600 x 600 DPI)

1

2 **Table1 Primers used for Real-time qRT-PCR**

3 Gene	Forward primer (5'-3')	Reverse primer (5'-3')
4 <i>GADPH</i>	CCCATGTTTGTGTTGGTGTC	TCGTACCATGACTCA AGCTTG
5 <i>Bcl-2</i>	GGAGGATTGTGGCCTTCTTTGAG	TATGCACCCAGAGTGATGCAGGC
6 <i>Bax</i>	TGAACTGGACAACATGGAGC	GGTCTTGGATCCAGACAAACAGC
7 <i>p21</i>	TTGATTAGCAGCGGAACA	TACAGTCTAGGTGGAGAAACG
8 <i>p53</i>	GCGCACAGAGGA AGA GAA TC	GGCCAACTTGTTTCAGTGG AG
9 <i>CyclinD1</i>	CTGGATGCTGGA GGTCTG CGAGGA	CTGGCATTGTTGGAGAGGAAGTGTT

10