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1 **ABSTRACT**

2

3 The geometry, bonding, electronic and magnetic properties of Fe4N/Oxides (MgO, 4 BaTiO₃ and BiFeO₃) interfaces with different configurations are performed using the 5 first-principles calculations. The *n*- and *p*-type doping of MgO are induced in 6 Fe^IFe^{II}/MgO and $(Fe^{II})_2$ N/MgO interfaces, respectively. The metallic characters are induced in BaTiO₃ by contact with $\text{Fe}^{\text{I}}\text{Fe}^{\text{II}}$ termination, followed by *p*-type doping in $(Fe^{II})_2$ N/BaO interface and *n*-type doping in $(Fe^{II})_2$ N/TiO₂ interface. The interfacial 9 dipole due to charge rearrangement may induce the Fermi level pinning in Fe₄N/MgO 10 and $(Fe^{II})_2$ N/BaTiO₃ systems. The deposition of Fe₄N on BiFeO₃ leads to a metallic 11 character of BiFeO₃ with the total magnetic moments of 0.33-1.54 μ_B . The different 12 electronic and magnetic characters are governed by interfacial bonding between Fe4N 13 and oxides. These findings are useful for the future design of Fe4N/oxides based 14 spintronics devices.

15

16 **Keywords:** Fe4N; Oxides; Electronic Structure; Magnetic Properties

17 **PACS:** 73.20.-r, 73.21.Ac, 74.20.Pq, 75.70.Cn

1. INTRODUCTION

Spintronics has the potential to give rise to the new-generation memory devices due to the possibility to manipulate the electron spin and charge degrees of freedom simultaneously.¹ From both fundamental and applications points of view, most of the interest currently focuses on systems like magnetic multilayers and dilute magnetic 7 semiconductors, and so on. $2-5$ Among them, the large tunneling magnetoresistance (TMR) observed in Magnetic tunnel junctions (MTJs) has attracted great interest due to its practical applications in spintronics devices, such as the magnetic sensors and 10 magnetic random access memories.^{6,7} MTJs are composed of two ferromagnetic electrodes separated by a thin insulating barrier layer. The ferromagnetic electrode serves as a spin-polarized injection source. The first understanding of TMR is the 13 well-known Julliere's formula of TMR= $2P^2/(1+P^2)$, where *P* is the spin polarization of the ferromagnetic electrodes. Therefore, an electrode with a larger spin polarization can result in a larger TMR. However, the potential barrier between the ferromagnetic electrodes and insulator barrier can affect the magnitude and TMR sign, i.e., TMR also strongly depends on the mechanism of interfacial bonding. Therefore, it is important to study the electronic transport properties of the ferromagnet/insulator interfaces. Herewith, we focus on Fe4N as an electrode because it has a large saturation magnetization, low coercivity and high chemical stability. The spin 21 polarization and Curie temperature (T_C) of bulk Fe₄N are almost –100% and 761 K.^{8,9} A TMR of –18.5% and –75% has been reported in the Fe4N/MgO/CoFeB MTJs

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1 fabricated by sputtering.^{10,11} A negative spin polarization is observed in the monolayer 2 MoS₂ by contact with Fe^{I}/Fe^{II} termination and *p*-type doping by contact with N termination of Fe₄N.¹² Therefore, Fe₄N is considered as a promising material for the 4 practical application in spintronics devices.

5 Giant TMR has been observed in the CoFeB/MgO/CoFeB junctions with a TMR 6 of 260% and 355% at room temperature,^{13,14} which accelerates the application 7 development for the MgO-based MTJs, because of their suitability to mass production. 8 Besides the need for a large TMR, electrically controllable functionally is also highly 9 desirable. The magnetoelectric coupling between the ferroelectricity and 10 ferromagnetism allows the electric (magnetic) field control of magnetic (electric) 11 properties. Since a prototype single-phase multiferroic material suitable for industrial 12 applications has not been emerged so far, the composite multiferroics, where a 13 ferroelectric or multiferroic layer is interfaced with a ferromagnetic layer, has been 14 intensively studied.^{15,16} BaTiO₃ is a prototypical perovskite-like ferroelectric oxide,¹⁷ 15 which shows a high ferroelectric T_C of 400 K. The magnetoelectric effect between 16 ferromagnet and BaTiO₃ has been studied.¹⁸⁻²¹ Otherwise, bulk BiFeO₃ is the only 17 known single-phase multiferroic material with a high ferroelectric T_C of 1103 K and 18 high G-type antiferromagnetic Néel temperature of 643 K.^{22,23} Béa and Ju have used the multiferroic BiFeO₃ epitaxial films as a tunnel barrier in MTJ.^{24,25} The magnetic 20 configuration in MTJ can be changed from parallel to antiparallel as an electric field 21 is applied due to the strong ferroelectricity with a large spontaneous electric 22 polarization in BiFeO₃. Therefore, it is interesting to combine these materials to form

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2. CALCULATION DETAILS AND MODEL

Our first-principles calculations are based on density functional theory²⁶ and

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one axial O1 atom at (0.5, 0.5, –0.12)*a* and two equatorial O2 atoms at (0.0, 0.5, 0.34)*a* and (0.5, 0.0, 0.34)*a*. The antiferromagnetic character of G-type or C-type has not been clear in the tetragonal phase film. In this work, we only consider the G-type 4 antiferromagnetic tetragonal BiFeO₃, where the Fe atoms are coupled ferromagnetically within the (111) planes and antiferromagnetically between adjacent 6 planes. 37

7 The Fe4N/oxides interfaces are simulated by building up supercells consisting 8 Fe₄N(001) layers on the top of oxide(001) layers. For the lattice mismatch, we adopt 9 the average lattice constant of Fe4N and oxides for the *ab*-plane of our supercells. For 10 Fe₄N/BaTiO₃ interface, the in-plane lattice constant is 3.902 Å, which is smaller than 11 the theoretical lattice constant of the cubic phase of BaTiO₃ (4.010 Å). By analogy 12 with Fe/BaTiO₃ multilayers, BaTiO₃ in our calculations is also assumed to have a 13 tetragonal structure, which is well known experimentally to be stable at room 14 temperature.^{18,38,39} We choose $Fe^{I}Fe^{II}$ and $(Fe^{II})_2N$ surface terminations of $Fe_4N(001)$ 15 at interfaces, respectively. As for oxides, the MgO, BaO, TiO₂, BiO and FeO₂ 16 terminations are considered. For Fe4N/MgO supercells: models a and b contain the 17 interface of $Fe^{I}Fe^{II}$ -MgO and $(Fe^{II})_2N$ -MgO, respectively. For $Fe_4N/BaTiO_3(BiFeO_3)$ 18 supercells: model a contains the $Fe^I\text{Fe}^I\text{-BaO(BiO)}$ interface; model b contains the 19 $\text{Fe}^{\text{II}}\text{-}\text{TiO}_2(\text{FeO}_2)$ interface; model c contains the $(\text{Fe}^{\text{II}})_2\text{N-BaO(BiO)}$ interface; 20 model d contains the $(Fe^{II})_2$ N-TiO₂(FeO₂) interface, see Fig. 1.

21 The most stable structure among the different interfaces is determined by calculating the cohesive energy between Fe₄N and oxides, $E_{coh} = E_{comb} - E_{Fe_4N} - E_{oxide}$,

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3. RESULTS AND DISSCUSIONS

20 For bulk Fe₄N, we obtain a lattice constant of 3.795 Å, which agrees well with 21 the experimental value.⁸ The calculated average magnetic moments of Fe^{I} , Fe^{II} and N 22 are 2.95, 2.31 and $0.02 \mu_B$, respectively, which are in accordance with previous

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Usually, the appearance of Fe magnetic moments in Fe4N is attributed to the symmetry breaking: N is located at the body-centered position of the nonmagnetic face-centered cubic Fe. Therefore, the enhancement of Fe magnetic moments should also be related to the symmetry. Since the magnetic moment mainly originates from the unpaired *d* electrons and the hybridization between Fe and other atoms can reduce the amount of unpaired *d* electrons, it is the hybridization that determines the spin

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1 the strong hybridization with O in layer I in the energy range from -7.61 to -5.65 eV. 2 A large overlap can be seen in the energy range from –2.34 to 6.70 eV, as shown in Figs. 2(a) and (b). Smaller/larger Fe^{II} magnetic moments in layer II are the 4 consequence of stronger/weaker hybridization with N in layer II in the energy range 5 from –3.66 eV to E_F . The hybridization induces a small magnetic moment of 0.02 μ_B 6 in MgO for model a. For model b, the hybridization appears between N in layer I and \overline{O} O in layer I, whereas \overline{Fe}^H has hardly any hybridization with O because of the large 8 distance. The reduced Fe^I magnetic moment in layer II is due to the appearance of 9 hybridization with N in layers I and III in the energy range from –2.81 to 4.44 eV. As 10 N in layers I and III strongly hybridize with Fe^{II} in layer II in the energy range from – 11 6.77 to -3.36 eV, Fe^{II} magnetic moment is slightly smaller than bulk.

Fig. 3 gives the band structures for the spin-unpolarized MgO and the supercells, demonstrating the changes of the electronic states in MgO upon interface formation. The red color represents the contribution of MgO in the supercells. We observe that the band gap of MgO decreases to 3.93 and 3.53 eV for models a and b, respectively. 16 The *E*_F of the supercell always lies in the band gap of MgO, resulting in the formation of a Schottky barrier at the interface. The barrier height is defined by the energy difference between the metal's Fermi level and the semiconductor's VB maximum (*p*-type barrier) or CB minimum (*n*-type barrier). Figs. 3(b)-(e) show that the *n*-type (Φ*B,n*) and *p*-type (Φ*B,p*) Schottky barrier heights, which are calculated to be 1.02 and 0.76 eV for models a and b, respectively. A classical Schottky junction fulfills $\Phi_{B,n} = \Phi_M - \chi_s$, $\Phi_{B,n} = E_g - \Phi_{B,n}$, where E_g and χ_s are the band gap and electron

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The charge transfer at the interfaces is quantified by charge density difference 15 calculations (see Fig. 1), showing that charge is lost from the Fe^H and O atoms, while 16 it accumulates in the Fe^{II} -O bond region, reflecting the covalent bonding across the interface for model a. It is worth noting that some extra charge accumulates around N. For model b, the depleted charge from N and O mainly concentrates at the N-O region. The plane-averaged charge difference analysis is performed to zoom into the details at the interfacial region. Both the charge depletion and accumulation can be observed at the interface, suggesting the interfacial charge redistribution, that is, the formation of the interfacial electric dipole. Therefore, the formation of Fermi level pinning may be

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as a result of the presence of interfacial dipole effect.⁵⁰ The metal induced gap states⁵¹ 2 and surface states⁵² also may have contributions to the Fermi level pinning. 3 Furthermore, the charge redistribution in model a is much more pronounced than 4 model b, resulting in a stronger interaction. 5 6 **3.2 Fe4N/BaTiO3 Interfaces** 7 8 The cohesive energies and bond lengths in four models of the $Fe₄N/BaTiO₃$ 9 interfaces are listed in Table II. The stability order is found to be $bc < a < d$, see Fig. 1. 10 The energetically favorable case is d, i.e., the interfacial $\text{Fe}^{\text{II}}(N)$ located on the top of 11 O(Ti). The large E_{coh} =-2.31 eV is from the strong Ti-N bonds with a bond strength of 12 2.03 Å. 13 Table III gives the magnetic moments of Fe_4N and $BaTiO_3$ in the $Fe_4N/BaTiO_3$ 14 systems, the Fe4N magnetic moments are subject to reductions of 0.63%, 3.65% and 15 4.69% as compared to bulk Fe₄N for models b-d, respectively. However, the Fe₄N 16 magnetic moment in model a is slightly enhanced by 2.23%. The Fe magnetic 17 moments in layers I are different from layer III, reflecting the interfacial coupling 18 with BaTiO3. Except for model a, the Fe magnetic moments in layer I are slightly 19 enhanced as compared to bulk, as previously demonstrated that in the $Fe/BaTiO₃$ 20 superlattice and $Fe₃O₄/BaTiO₃$ heterostructure.^{18,19} The Fe magnetic moment in layer 21 II is smaller than bulk due to the enhanced hybridization with the nearest N.

22 Fig. 4 gives the PDOSs of models a-b. For model a, as can be seen from Figs. 4(a)

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1 moment smaller than bulk. The hybridization between the Fe^{I}/Fe^{II} and Ti appears in 2 the energy range from -0.84 to E_F and causes a charge redistribution between 3 majority- and minority-spin states, giving rise to a large antiparallel magnetic moment 4 on the interfacial Ti atom (–0.25 μ_B). The induced O magnetic moment (0.05 μ_B) is 5 relatively small because of less hybridization with Fe^{I}/Fe^{II} in the same energy range. 6 The *P* is found to be -9.6% .

7 Fig. 5 gives the PDOSs of models c-d. For model c, it is clear that the N 8 electronic states are similar in layers I and III due to the weak interactions with 9 BaTiO₃. The Fe^{II} magnetic moment in layer I slightly decreases as compared to layer 10 III, which can be attributed to the hybridization with O in layer I in the energy range 11 from -2.57 to 0.22 eV, as shown in Figs. 5(a) and (b). The Fe^I magnetic moment in 12 layer II decreases because of the enhanced hybridization with N in layer I in the 13 energy range from –3.02 to 3.02 eV. The weak interfacial bonding induces a small O 14 magnetic moment of 0.01 μ_B . For model d, the interfacial bonding is similar to model 15 b. One can see the hybridization between Fe^H in layer I and O in layer I in the energy 16 range from –8.05 eV to E_F , as shown in Figs. 5(c) and (d). The hybridization induces 17 an O magnetic moment of 0.04 μ B. The induced magnetic moment of Ti atom is –0.03 18 μ_B , which is ascribed to the hybridization with Fe^{II} in the energy range from –1.66 to 19 0.67 eV. In the energy range from –7.84 to –0.78 eV, the hybridization appears 20 between N in layer I and Ti in layer I. The magnetic moments obtained for $BaTiO₃$ in 21 models a-d are 0.08, -0.19 , 0.01 and 0.07 μ B, respectively.

22 Fig. 6 shows the spin-polarized band structures of the $Fe₄N/BaTiO₃$ interfaces.

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14 The charge density differences for the $Fe₄N/BaTiO₃$ interfaces are also shown in 15 Fig. 1. For model a, Fe^{II} in layer I and Ti in layer II lose charge, while a slight but significant accumulation around the interfacial O atom and some extra charge is also 17 found to accumulate around interfacial Fe^{I} atom, which indicates that the interfacial 18 bonding between the $\text{Fe}^{\text{I}}\text{Fe}^{\text{II}}$ and BaO surface takes on the ionic nature. As for model 19 b, charge is lost from Fe^{II} and Ti atoms, while it accumulates in the Fe^{II} -Ti bond region, reflecting a covalent bonding across the interface. The charge density difference plot in model c shows that the charge density distribution at the 22 $(Fe^{II})_2$ N-BaO interfacial layer is small, reflecting that the interfacial interaction is

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addressed in Fig. 7. For model a, as can be shown in Figs. 7(a) and (b) that the

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8 The band structures of the $Fe₄N/BiFeO₃$ systems are plotted in Fig. 9. The 9 majority and minority spin bands are asymmetric for $BiFeO₃$ in four models. For 10 models a, c and d, $BiFeO₃$ bands strongly hybridize with $Fe₄N$, inducing a metallic 11 character of BiFeO₃. For model b, the weak interaction between $Fe^{I}Fe^{II}$ and FeO_2 also 12 induces the metallicity of BiFeO₃. Therefore, BiFeO₃ cannot be used for the tunnel 13 barrier in MTJs with Fe₄N as an electrode.

14 The charge redistribution between $Fe₄N$ and $BiFeO₃$ is also shown in Fig. 1, 15 showing the formation of bonds. Since there is no obvious charge density 16 accumulation in Fe^I-Bi, Fe^{II}-O1, or Fe^{II}-Fe bonding regions, we obtain an ionic-like 17 bonding picture for modes a-b, with the charge transfer and polarization. In model c, a 18 depletion of Fe^{II} charge density on all the atomic layers is observed and the charge 19 from Fe^{II} atom in layer I is transferred more to Bi in layer I. For model d, clearly, 20 there is significant charge transfer from O2 in layer I to Fe^{II} in layer I.

- 21
- 22 **4. CONCLUSION**

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- (12JCZDJC27100), Program for New Century Excellent Talents in University (NCET-13-0409) and Scientific Research Foundation for the Returned Overseas
- Chinese Scholars, State Education Ministry of China.

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1 **TABLE NOTES**

2

TABLE I. The calculated magnetic moment $m(\mu_B)$ of per atom in the Fe₄N/MgO

4 supercell for models a and b as compared to bulk.

5

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1 **TABLE II.** Cohesive energy E_{coh} (eV) and optimized bond lengths d (Å) for the

2 Fe₄N/BaTiO₃ and Fe₄N/BiFeO₃ interfaces.

3

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1 **TABLE III.** The calculated magnetic moment $m(\mu_B)$ of per atom in the Fe₄N/BaTiO₃

2 supercell for models a-d as compared to bulk.

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1 **TABLE IV.** The calculated magnetic moment $m(\mu_B)$ of per atom in the Fe₄N/BiFeO₃

2 supercell for models a-d as compared to bulk.

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1 the Fe₄N contribution. E_F is set to zero. 2 3 **Fig. 7** PDOS obtained from fully relaxed models a and b in the Fe4N/BiFeO³ 4 interfaces, projected on different layers. (a) and (b) the PDOS of Fe4N and

5 BiFeO₃ for model a, respectively; (c) and (d) the PDOS of Fe₄N and BiFeO₃ 6 for model b, respectively. The shaded plots are the DOS of atom in bulk 7 crystal. Numbers in brackets denote the atoms at different site. E_F is indicated 8 by the vertical line and E_F is set at 0 eV.

9

10 **Fig. 8** PDOS obtained from fully relaxed models c and d in the Fe₄N/BiFeO₃ 11 interfaces, projected on different layers. (a) and (b) the PDOS of Fe₄N and 12 BiFeO₃ for model c, respectively; (c) and (d) the PDOS of Fe₄N and BiFeO₃ 13 for model d, respectively. Numbers in brackets denote the atoms at different 14 site. E_F is indicated by the vertical line and E_F is set at 0 eV.

15

16 **Fig. 9** (a) spin-unpolarized band structure of BiFeO₃; (b)-(e) the band structure along 17 high-symmetry direction in the two-dimensional Brillouin zone for models a-d 18 in the Fe₄N/BiFeO₃ interfaces, respectively; The top and bottom rows in (b)-(e) 19 planes represent the majority and minority spin band structure, respectively. 20 The red color indicates the BiFeO₃ contribution and gray denotes the Fe₄N 21 contribution. E_F is set to zero.

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 $\frac{1}{W}$ 10 $\frac{1}{\Gamma}$

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-10 L
W г

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1

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6 7 -10 $\frac{1}{\Gamma}$ X WK Γ LW

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6 7 Γ B W

-3

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Γ B W

-3

0

3

 Γ B W

B B Γ Γ

-3

0

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0

3

0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 1

4 **Figure 7, Nan Feng** *et al.*

Graphical Abstract and Research Highlights

Graphical Abstract

Highlights

(1) *n*- and *p*-type doping of MgO are induced in contact with $Fe^{I}Fe^{II}$ and $(Fe^{II})_2N$

terminations of Fe₄N, respectively.

- (2) The metallic characters are induced in BaTiO₃ by contact with Fe^IFe^{II} termination, whereas p-type doping in $(Fe^{II})_2$ N/BaO interface and *n*-type doping in $(Fe^{II})_2$ N/TiO₂ interface.
- (3) The interfacial dipole due to charge rearrangement may induce the Fermi level pinning in Fe_4N/MgO and $(Fe^{II})_2N/BaTiO_3$ interfaces.
- (4) The deposition of Fe₄N on BiFeO₃ can result in a metallic BiFeO₃.