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1 **Accuracy on the analysis of free vancomycin concentration by**
2 **ultrafiltration in various disease states**

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20 **ABSTRACT**

21 In the present study, accuracy on the analysis of free vancomycin (VCM) by
22 ultrafiltration in various disease conditions was assessed. With VCM as a
23 representative drug, we used clinical plasma samples to investigate the effect of
24 plasma conditions on volume ratio of ultrafiltrate to sample solution (V_u/V_s) and the
25 consequential effect on measured free drug concentration (f_c). Our results
26 demonstrated that plasma conditions had a significant impact on V_u/V_s by centrifugal
27 ultrafiltration (CF-UF). The V_u by CF-UF ranged from 97 μ L to 279 μ L among
28 different individuals under the same centrifugation conditions. Total protein levels
29 and the osmotic pressure of plasma were the main influence factors of V_u/V_s in disease
30 states. In contrast, the V_u/V_s by hollow fiber centrifugal ultrafiltration (HFCF-UF)
31 were less influenced by plasma conditions. As a consequence, the results of f_c
32 determined by HFCF-UF were more accurate than that by CF-UF for patients with
33 different disease states. HFCF-UF displayed great advantages in clinical samples for
34 accurate analysis of f_c . It has been successfully applied to monitor free VCM in
35 clinical plasma samples in routine therapeutic drug monitoring (TDM).

36 **Keywords:** Free vancomycin; Therapeutic Drug Monitoring; Ultrafiltrate volume;
37 Plasma conditions

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48 1. Introduction

49 It is well known that only the free fraction of a drug is able to penetrate to the
50 target site and is pharmacologically active.^{1,2} Especially in severe or confounding
51 disease states (e.g., uremia, renal failure or hypoalbuminemia), the suboptimal
52 treatment or toxic effect of drugs may occur at the total concentration remaining
53 within the therapeutic range.¹⁻³ Therefore, the analysis of free drug concentration (f_c)
54 in plasma is more accurate for TDM.¹⁻⁴

55 In recent years, centrifugal ultrafiltration (CF-UF) is frequently used for the
56 analysis of f_c in clinical laboratories.⁵⁻⁹ However, the V_u/V_s by CF-UF was not well
57 controlled and affected the accurate monitoring of f_c .^{9,10} Constant and tiny V_u/V_s ratios
58 are critical for real representation of f_c in patients.¹⁰ Therefore, the control of V_u/V_s is a
59 challenge in the clinical laboratories when large batches of samples need to be
60 monitored simultaneously. The dependency of V_u/V_s on both centrifugation force and
61 centrifugation time has been demonstrated in pooled normal plasma.⁷⁻¹⁰ Therefore,
62 some reports attempted to control centrifugation time and centrifugation force to
63 obtain uniformity and appropriate V_u/V_s when CF-UF was applied.^{7,8} Sometimes the
64 results of precision for the validation of CF-UF were unsatisfactory.^{5-8,11} Some
65 authors demonstrated that the V_u/V_s by HFCF-UF could be controlled by the inner
66 diameters of both the glass tube and hollow fiber.¹⁰ However, those studies were all
67 carried out in pooled plasma from healthy volunteers, and were rarely assessed in
68 clinical plasma samples.

69 It should be noted that plasma conditions (e.g., protein levels, viscosity,
70 cholesterol levels, osmotic pressure, etc.) of the patients vary with individuals^{12,13} and
71 are significantly different from that of healthy subjects,^{1,14,15} whose pooled plasma is
72 usually used for the development and validation of assay method. The pooled plasma
73 of normal subjects has consistent plasma conditions, and therefore acceptable V_u/V_s
74 can be realized through strictly controlled centrifugation time and centrifugation force
75 under the same centrifugation conditions. Using pooled plasma samples with same
76 plasma conditions could give compromised results for the validation of the assay

77 method. Nevertheless, the adequacy of the method for analysis of clinical samples
78 from patients in various disease states may be suspected. The main populations of
79 TDM are critically ill patients, whose plasma conditions differ greatly. It is unclear
80 whether the uniformity and appropriate V_u/V_s can be controlled under the same
81 centrifugation conditions for those samples. However, no studies have investigated
82 the effect of plasma conditions on V_u/V_s and the related effect on monitoring of f_c in
83 clinical samples.

84 Vancomycin (VCM) is a drug which has been the cornerstone of treatment in
85 critically ill patients with serious bacterial infections (Coagulase-negative
86 staphylococci, *Staphylococcus aureus*, enterococci and methicillin-resistant
87 *S.aureus*).^{16,17} The physiological and pathological conditions (liver cirrhosis, chronic
88 renal disease, hypoproteinemia) of those patients was very complex which made the
89 plasma conditions such as protein levels, bilirubin levels, cholesterol levels, plasma
90 osmotic pressure (etc.) appear significantly different. To date, published data on the
91 unbound fraction of VCM (f_u) in patient samples exhibits high variability, with ranges
92 from 3.7% to 82%.^{11,18-25} Numerous studies have been put forward in an attempt to
93 investigate the wide range of f_u , and the large variations were related to the large
94 inter-individual variability.^{20,21} CF-UF was commonly used for analysis of free VCM
95 in plasma.^{11,18,23} None of them considered the associated factors of methodology due
96 to the effect of V_u/V_s resulting from plasma conditions of the patients on the
97 monitoring of free VCM, which may likewise have an impact on this high variability.

98 In our present study, we used plasma samples of critically ill patients treated with
99 VCM to explore the effect of plasma conditions on V_u/V_s and the related effect on
100 measured free VCM. Furthermore, we validated an accurate method that was less
101 affected by plasma conditions and it was successfully applied to monitor free VCM in
102 clinical plasma samples in routine TDM.

103 **2. Experimental and methods**

104 *2.1. Chemicals and Materials*

105 Vancomycin standard was purchased from the National Institute of Control of
106 Pharmaceutical and Biological Products (Beijing, China). Methanol of HPLC grade

107 was obtained from DIKMA (Lake Forest, CA). Deionized water was prepared using
108 the Milli-Q50 water purification System (Millipore, Bedford, MA). Blank plasma was
109 collected from The Second Hospital of Hebei Medical University (Hebei, China). All
110 other chemicals and reagents were of analytical grade. The hollow fiber (molecular
111 cut-off was 10kDa, wall thickness was 150 μ m, inner diameter was 1000 μ m) was
112 obtained from Kaijie Membrane Separation Technology (Hangzhou, China). The slim
113 glass tubes (7cm of height and 3cm of inner diameter) were purchased from Yongda
114 Instrument and Chemical Company (Tianjin, China). The ultrafiltration devices (UFC
115 501096; 0.5mL, cut-off 10kDa) were purchased from Millipore Corp (Billerica, MA)

116 2.2. Apparatus and instruments

117 Analysis was performed on a HPLC system consisting of an L-6200A ternary
118 pump (Hitachi, Japan) and a 785A UV detector (Applied Biosystems, USA). The data
119 were collected by a HW-2000 chromatograph data workstation (Qianpu. Corp,
120 Nanjing, China). A temperature controllable centrifuge from Baiyang (Shanghai,
121 China) was used, XW-80 Vortex mixer (Shanghai medical university Instrument
122 Co.,Shanghai, China) was applied.

123 2.3. Chromatographic conditions

124 Separations of VCM were accomplished on a Diamonsil C₁₈ column (150
125 mm \times 4.6mm, 5 μ m, Dikma, China) under an elution with methanol/0.05mol \cdot L⁻¹
126 potassium dihydrogen phosphate buffer solution (pH=3.2) at 20/80 (v/v) at a
127 programmed flow-rate of 1mL/min at room temperature. The injection volume was
128 20 μ L and the detection of VCM was carried out at the wavelength of 236nm.

129 2.4. Preparation of solutions, quality controls (QC_S) and calibration standards (C_S)

130 The stock solution of VCM was prepared in phosphate buffered saline (PBS)
131 (KH₂PO₄ (67mmol \cdot L⁻¹) and NaCl (9g \cdot L⁻¹) adjusted to pH 7.4 with NaOH) at a
132 concentration of 2000 μ g \cdot mL⁻¹. A series of VCM working standards at appropriate
133 concentrations were prepared by diluting stock solution with PBS. All the stock
134 solution and working standards were kept at 4 $^{\circ}$ C.

135 Total VCM QC_S were prepared by spiking appropriate aliquots of the
136 above-mentioned solutions of VCM into human blank plasma at three concentration

137 levels of 1.00, 10.0, 50.0 $\mu\text{g}\cdot\text{mL}^{-1}$. Free VCM QC_s were prepared at concentrations of
138 0.25, 2.00, 20.0 $\mu\text{g}\cdot\text{mL}^{-1}$ in PBS to ensure a minimum percentage of non-specific
139 binding (NSB) to filter materials. The C_s for the determination of free (0.25, 0.5, 1, 2,
140 5, 10, 20, 50 $\mu\text{g}\cdot\text{mL}^{-1}$) and total VCM (0.5, 1, 2, 5, 10, 20, 50, 100 $\mu\text{g}\cdot\text{mL}^{-1}$) were
141 prepared in the same way using PBS and human blank plasma, respectively. Those
142 concentrations were selected based on the concentration profiles from patients
143 administered intravenously with VCM of test article.

144 2.5. Sample preparation

145 2.5.1. Total VCM sample preparation

146 Plasma was thawed at room temperature, added with 20 μL of 10% Zinc Sulfate
147 to 200 μL of plasma sample in a 2mL Eppendorf tube, the mixture was vortexed for 5
148 min and then centrifuged at $4.0 \times 10^3\text{g}$ for 10min at 37°C. 20 μL of the supernatant
149 was injected into HPLC for analysis.

150 2.5.2. CF-UF for Free VCM

151 500 μL plasma was incubated in a water bath (37°C) for 10min and was subjected
152 to CF-UF using a Centrifree tube at $2.0 \times 10^3\text{g}$ for 10min at 37°C. 20 μL ultrafiltrate
153 was injected into HPLC for analysis.

154 2.5.3. HF-CF-UF for Free VCM

155 For the first step, hollow fiber was sonicated in 50% methanol for 10min to
156 remove any contaminants and dried then in air. For the second step, it was manually
157 cut into 15cm segments and placed into the tube, then 500 μL plasma was transferred
158 into the glass tube and the tube was incubated in a water bath (37°C) for 10min, After
159 centrifugation at $1.25 \times 10^3\text{g}$ for 10min at 37°C, the ultrafiltrate (about 50 μL) was
160 pushed out from the lumen of the hollow fiber using a syringe. Finally, 20 μL of the
161 ultrafiltrate was injected into HPLC for analysis.

162 2.6. Study design and Clinical samples

163 This study is a prospective non-interventional cohort study. All patients were
164 admitted to The Second Hospital of Hebei Medical University (Hebei, China). The
165 patients who were receiving VCM by continuous infusion for documented
166 Gram-positive infection and required therapeutic drug monitoring were considered for

167 inclusion. The protocol was approved by the Ethics Committee of the Second Hospital
168 of Hebei Medical University, each subject or their guardians gave written informed
169 consent to participation. Patient data were collected by a review of the medical
170 records and data collection was conducted by trained staff at The Second Hospital of
171 Hebei Medical University. The medical history (diabetes mellitus, hypoproteinemia,
172 hypertension and chronic renal disease), total protein, albumin, globulin, prealbumin,
173 total bilirubin, direct and indirect bilirubin, osmotic pressure of plasmas, total
174 cholesterol and triglycerides were available for each patient.

175 Approximately 3mL blood from each patient was collected in a centrifuge tube
176 containing heparin before VCM infusion, and immediately centrifuged. The obtained
177 plasmas were then stored at -80°C immediately until analysis. Free and total VCM
178 concentrations were measured according to validated HFCE-UF method. These results
179 were evaluated and reported in medical record of the patients. For the purposes of
180 present study, for the plasma samples with sufficient volume, free VCM was
181 monitored both by CF-UF and HFCE-UF, respectively. The ultrafiltrate volume (V_u)
182 was also calculated at the same time. Any replicate measurements from patients with
183 multiple samples were treated as single events. No study interventions were
184 undertaken.

185 2.7. The evaluation of ultrafiltrate volume with CF-UF and HFCE-UF

186 The CF-UF device consists of sample reservoir and filtrate collection cup. Firstly,
187 the weights of sample reservoir and filtrate collection cup were recorded as W_1 and W_2 ,
188 respectively. A volume of $500\mu\text{L}$ (V) plasma was added to the sample reservoir, and
189 the weight was recorded as W_{1+v} . So the plasma density (ρ) was calculated by means
190 of Eqs. (1):

$$191 \quad \rho = \frac{W_{1+v} - W_1}{V} \quad (1)$$

192 Then the CF-UF device was centrifuged at $2.0 \times 10^3\text{g}$ for 10min at 37°C . The filtrate
193 collection cup was weighted again as W_{2+u} , and the ultrafiltrate volume (V_u) was
194 calculated by means of Eqs. (2):

$$V_u = \frac{W_{2+u} - W_2}{\rho} \quad (2)$$

HFCF-UF device consists of a slim glass tube and a U-shaped hollow fiber. Firstly, a volume of 500 μ L plasma was added to the slim glass tube and the device was centrifuged at 2.0×10^3g for 10min at 37°C. The ultrafiltrate in the lumen of the hollow fiber was transferred into an eppendorf tube. The weight of eppendorf tube was recorded as W_3 and W_{3+u} before and after transfer, so the ultrafiltrate volume (V_u) was calculated by means of Eqs. (3):

$$V_u = \frac{W_{3+u} - W_3}{\rho} \quad (3)$$

2.8. Method validation

As part of standard clinical practice, the results of TDM for free VCM determined by HFCF-UF were reported in our hospital. Therefore, in order to meet the requirement for biological specimen and ensure accurate monitoring of free VCM in plasma with HFCF-UF, the method was validated according to FDA guidelines for bioanalytical method validation.²⁶ Specificity, linearity, accuracy, precision and stability of the analyte at various test conditions and recovery were all evaluated.

The specificity of the method was evaluated by comparing chromatograms of blank plasma sample, VCM standard solution, blank plasma spiked with VCM and plasma sample from patients for the test of endogenous interferences. Potential chromatographic interference by combined drugs and other commonly administered drugs (meropenem, biapenem, ambroxol, tienam, ceftazidime, etc.) was also studied.

The linearity was evaluated on five consecutive days by constructing freshly prepared calibration samples over the concentration range of 0.25-50 μ g·mL⁻¹ for free VCM and 0.5-100 μ g·mL⁻¹ for total VCM, respectively. The linearity of the relationship between peak area and concentration was determined by the correlation coefficient (R) using a $1/c^2$ weighted linear least-squares regression model. The relative standard deviation was calculated for all calibration curves (n=5). The limit of detection (LOD) and limit of quantification (LOQ) were defined as the concentration

222 of the compound at which the signal versus noise ratio (S/N) was equal to 3 and 10,
223 respectively.

224 The intra-day, inter-day accuracy and precision of the method for free VCM
225 were evaluated from quintuplicate analysis of each QC_S sample concentration in one
226 day and repeated for five days. The accuracy was obtained by calculating the bias (%)
227 and the precision by calculating CV (%).

228 Recovery of the method for free VCM (ratio percentage) was investigated using
229 QC_S at three concentration levels. The Recovery was calculated by comparing the
230 peak area obtained from the QC_S after preparation with HF₂CF-UF to the peak area
231 obtained from those of corresponding standard solutions at the same concentration.

232 Six aliquots of QC_S samples at each level of three concentrations were prepared
233 to investigate the stability of samples. Stability of total VCM in plasma and free VCM
234 in PBS (short-term and long-term storage, freeze/thaw cycles, post-processing) were
235 established. Short-term stability was evaluated by maintaining the samples at room
236 temperature for 24h before analysis and the concentrations were compared to those
237 obtained for freshly prepared samples. Long-term stability was assessed by comparing
238 the concentration of QC_S samples kept at the storage temperature (-70°C) for 3
239 months with that of QC_S newly prepared. For the analysis of Freeze-thaw stability the
240 samples were subjected to freezing for 24 h at -20°C and thawing at room temperature
241 for three cycles, then the concentrations were compared with that of newly prepared
242 QC_S. The post-processing stability of VCM at room temperature was also studied by
243 analyzing the QC_S samples over a period of 12h and the results were compared to
244 those obtained for freshly prepared samples. The stability was evaluated by the
245 calculated accuracy: accuracy (%) = $(C_{\text{found}} - C_{\text{initial}}) / C_{\text{initial}} \times 100$. C_{found} is the
246 concentration of found in the QC_S spiked VCM and C_{initial} is the theoretical
247 concentration in the QC_S spiked VCM. The value of accuracy should be within $\pm 15\%$.

248 2.9. Statistical analysis

249 Statistical analysis was performed using SPSS Statistics 17.0. Clinical
250 characteristics were reported by their median and range. Unbound VCM fraction was
251 calculated as the ratio of unbound to total drug concentrations as reported in the

252 literature. A comparison between unbound VCM fraction as determined by CF-UF
253 and HFCF-UF method was done by using a paired Student *t* test. Linear regression
254 analysis was used to evaluate the impact of different plasma conditions on the
255 ultrafiltrate volume as determined by CF-UF. Scatter plots were used to elucidate the
256 relationships between the dependent variability and ultrafiltrate volume. Two-sided α
257 error < 0.05 were considered to indicate a statistically significant difference and
258 reported as *P*-values.

259 **3. Results and Discussions**

260 *3.1. Method validation*

261 *3.1.1. Specificity*

262 No interference was detected in the plasma sample at retention times of the VCM.
263 Representative chromatograms are presented in Fig. 1A-D.

264 *3.1.2. Linearity, LOD and LOQ*

265 The linear relationship between peak area and free concentration of VCM were
266 described by the calibration equation: $A=50865C+1288.1$ ($R^2=0.9999$) in the range of
267 $0.25\text{-}50\mu\text{g}\cdot\text{mL}^{-1}$. The linear relationship between peak area and total concentration of
268 VCM in the range of $0.5\text{-}100\mu\text{g}\cdot\text{mL}^{-1}$ were described by the calibration equation:
269 $A=28296C+4660.7$ ($R^2=0.9995$). The LOD and LOQ were $0.1\mu\text{g}\cdot\text{mL}^{-1}$ and
270 $0.25\mu\text{g}\cdot\text{mL}^{-1}$ at the signal-to-noise ratios (S/N) of 3 and 10, respectively (n=5).

271 *3.1.3. Accuracy, precision and recovery*

272 The accuracy of the method ranged from 96.7% to 100.7% at three concentration
273 levels. All RSD of intra-day, inter-day precision were less than 1.62%.

274 Recovery was all about 100% for free VCM at three levels of QC_s (n=5) with
275 RSD less than 4.8%, showing good consistency.

276 *3.1.4. Stability*

277 The stability of total VCM in plasma and free VCM in PBS was investigated
278 under a variety of storage and processing conditions. VCM was stable at room
279 temperature for 24h, at room temperature for 12h post-processing and -70°C for 3
280 months. VCM was also stable after three freeze-thaw cycle. All of the values for the

281 stability samples were within $\pm 15\%$ indicating that there is no need to worry the
282 stability of the analyte during the whole analysis (the analyte were stable during the
283 whole analysis).

284 3.1.5. Application to clinical study

285 A total of 102 samples from 43 patients were collected for TDM as part of
286 patients' routine care. The mean (range) of total and free VCM plasma concentrations
287 were $8.33\mu\text{g}\cdot\text{mL}^{-1}$ ($1.68\text{-}30.6\mu\text{g}\cdot\text{mL}^{-1}$) and $4.86\mu\text{g}\cdot\text{mL}^{-1}$ ($1.17\text{-}12.9\mu\text{g}\cdot\text{mL}^{-1}$). The mean
288 unbound VCM fraction (f_u) was 46.6% (39.8-69.7%). The CV for inter-individual
289 variability of f_u was 28.4%. The effective trough concentrations of VCM range from
290 $15\mu\text{g}\cdot\text{mL}^{-1}$ to $20\mu\text{g}\cdot\text{mL}^{-1}$ for total concentration and $7.5\mu\text{g}\cdot\text{mL}^{-1}$ to $10\mu\text{g}\cdot\text{mL}^{-1}$ for free
291 concentration based on the protein binding ratio (approximately 50%). Out of 102
292 observations, 72 total VCM concentrations were within the therapeutic range of
293 $15\text{-}20\mu\text{g}\cdot\text{mL}^{-1}$. However, there are approximately 21 which free VCM concentrations
294 were out of the therapeutic range ($7.5\text{-}10\mu\text{g}\cdot\text{mL}^{-1}$) among those 72 "therapeutic" total
295 VCM concentrations. Consequently, in clinical practice the free VCM concentration
296 should be used as the surrogate marker of VCM efficacy.

297 3.2. The evaluation of non-specific adsorption

298 Non-specific adsorption is a universal phenomenon in membrane isolation
299 technique due to non-specific binding (NSB) to filter materials of the tested
300 compounds. Therefore, the HFUF procedure was examined for NSB of VCM.
301 Four types of hollow fiber materials including polysulfone, polyvinyl chloride,
302 polyvinylidene difluoride and polypropylene were used to evaluate NSB. Five
303 replicates of VCM standard solutions were prepared in phosphate buffered saline at
304 three concentrations ($0.5, 5, 50\mu\text{g}\cdot\text{mL}^{-1}$), then the next operation was according to the
305 HFUF for free VCM. NSB was evaluated by analyzing and comparing the VCM
306 levels before and after passage of the filter units. The ratio of obtained concentrations
307 after and before HFUF was about $98\%\pm 5\%$ and RSD was less than 3.1%. So it
308 can be considered there is no significant NSB with HFUF. In present study,
309 hollow fiber of polyvinylidene difluoride was used to separate unbound VCM. The
310 CF-UF has been demonstrated no significant NSB existed.^{18,19}

311 3.3. *The results of clinical sample by HFCE-UF and CF-UF*

312 A total of 31 plasma samples (from 25 patients) with sufficient volume were
313 analyzed by both CF-UF and HFCE-UF. Of those patients, 2 had two samples
314 collected and 2 had three samples collected. Chronic renal disease was diagnosed in 11,
315 hypoproteinemia in 9, diabetes mellitus in 4, and hypertension in 5 patients. The
316 clinical characteristics and relevant medical history of the studied patients are shown
317 in Table 1A. As expected, the plasma conditions were different between patients for
318 various disease states.

319 As shown in Fig. 2A, the V_u by CF-UF was significantly different among
320 different individuals under the same centrifugation conditions, ranging from 97 μ L to
321 279 μ L. There was a larger V_u in patients with hypoproteinemia or chronic renal
322 disease than in patients with diabetes or hypertension (shown in Fig. 2B). In contrast,
323 the V_u by HFCE-UF was less affected by plasma conditions (about 50 μ L). Importantly,
324 a comparison between unbound fraction of VCM (f_u) as determined by CF-UF and
325 HFCE-UF was statistically significantly different ($p < 0.0001$, Fig. 3A). The f_u ranged
326 from 41% to 78% as determined by CF-UF and the f_u ranged from 42% to 58% as
327 determined by HFCE-UF, respectively. Comparing with HFCE-UF, there was a
328 general bias toward an overprediction of f_u when determined by CF-UF, especially for
329 patients with hypoproteinemia or chronic renal disease (Broken circle in Fig. 3B).
330 However, the results of f_u as determined by CF-UF and HFCE-UF were about the
331 same in patients with diabetes or hypertension (the circle of solid line in Fig. 3B). As
332 a whole, there was a higher variability of f_u when determined by CF-UF compared
333 with HFCE-UF.

334 Table 1B shows the results of the bivariate regression analysis for patient
335 characteristics or plasma conditions and V_u with CF-UF. The variables included in
336 regression analysis were total protein, albumin, globulin, osmotic pressure of plasmas,
337 total bilirubin, direct and indirect bilirubin, total cholesterol and triglycerides. Total
338 protein and the osmotic pressure of plasma may be the main influence factors of V_u .
339 Scatter plots of the two individual covariates retained are shown in Fig. 4A-B. As
340 observed, the V_u decreased with the increase of total protein and the osmotic pressure

341 of plasma.

342 3.4. The effect of ultrafiltrate volume on the free VCM concentration with CF-UF

343 For CF-UF, the centrifugation device was strictly controlled centrifugation time
344 and centrifugation force to obtain uniform and satisfactory V_u (about 70 μ L) in present
345 study. Despite the results for the validation of HFCF-UF and CF-UF using pooled
346 plasma samples with same plasma conditions were comparable. However, for clinical
347 samples, we observed the V_u by CF-UF was significantly different between patients
348 under the same centrifugation conditions in spite of the centrifugation device was well
349 controlled. Moreover, there was a significantly different of unbound VCM fraction
350 between that determined by CF-UF and HFCF-UF. Therefore, we further evaluated
351 the effect of V_u/V_s on the free VCM concentration using CF-UF method. Briefly, total
352 QC_S at three concentration levels of 1.00, 10.0, 50.0 μ g \cdot mL⁻¹ were prepared with
353 human blank plasma. 500 μ L plasma was subjected to CF-UF for different durations (2,
354 5, 10, 20, 30min). V_u was calculated at the same time.

355 Table 2 clearly shows free VCM concentrations significantly increased with the
356 V_u increasing by CF-UF, a clinical significant 25.5% increase in free VCM when the
357 V_u increased from 53 μ L to 329 μ L. Therefore, it can be concluded that free VCM
358 concentration is influenced by the V_u .

359 The reasons for different ultrafiltrate volume by CF-UF and HFCF-UF are the
360 different shape of the filters and different centrifugal mechanisms of the two
361 ultrafiltration methods. As shown in Fig. 5A, in CF-UF device, the ultrafiltration
362 membrane is a flat membrane. The plasma sample solution and the ultrafiltrate are
363 separated in CF-UF, and the ultrafiltrate is forced to enter the filtrate collection cup.
364 The amount of ultrafiltrate increases with the centrifugation force and centrifugation
365 time, so the CF-UF is a non-equilibrium separation.²⁷ In addition, the plasma proteins
366 during ultrafiltration are forced to deposit on the membrane surface and may influence
367 the ultrafiltration rate. Therefore, the V_u with CF-UF could be influenced by many
368 factors based on the characteristics of its device and can not be well controlled.
369 However, in HFCF-UF device, as shown in Fig. 5B, the ultrafiltration membrane is a
370 hollow fiber membrane, and the direction of centrifugation force is completely

371 parallel to the hollow fiber membrane. The hollow fiber is immersed in sample
372 solution and the small drug molecules in plasma can pass through the membrane freely
373 under centrifugal force. The V_u by HFCF-UF can be controlled by the inner of both
374 glass tube and hollow fiber,¹⁰ and is not affected by the centrifugation force and
375 centrifugation time. So the HFCF-UF is an equilibrium separation. Moreover, the
376 plasma proteins during ultrafiltration will deposit in the bottom of the glass tube and
377 do not influence the ultrafiltration rate.

378 | 3.5. Discussions of results

379 For CF-UF, the dependency of the V_u on both centrifugation force and
380 centrifugation time has been demonstrated in pooled normal plasma.⁷⁻¹⁰ Therefore, the
381 centrifugation setting should be controlled with respect to time and force to obtain
382 uniform and a satisfactory V_u .^{7,8} Sometimes the results of precision for the validation
383 of CF-UF were unsatisfactory (e.g., Intra-day and inter-day precision were 14.8% and
384 15.4% for free VCM).^{5-8,11} Using pooled plasma samples with the same plasma
385 conditions could give compromised results for the validation of the CF-UF method by
386 strictly controlled process parameters in this study, but for clinical samples with
387 different diseases, we observe that the V_u by CF-UF is also affected by plasma
388 conditions. The osmotic pressure of plasma and total protein levels may be the main
389 influence factors of V_u in disease states. It has been reported that the protein levels
390 varied widely in patients (albumin 11.1-32.2g/L) and can change strikingly during
391 acute and convalescent periods.²⁴ In this study, the total protein levels ranged from
392 41g/L to 83g/L and the osmotic pressure of plasmas varied from 262.4 to
393 329.8mmol/L, resulting in a change of V_u ranging from 97 μ L to 279 μ L. It is generally
394 accepted that plasma conditions depend on the physiological and pathological
395 conditions of the patients and can change quickly for various disease states, such as
396 uremia, diabetes, renal failure or hypoalbuminemia.^{1,12-15} Protein levels of patients
397 with uremia, trauma and hypoalbuminemia were significantly lower than that of
398 normal subjects.¹² Plasma viscosity of patients with diabetes, hypertension or mixed
399 hyperlipidemia was obviously higher than normal subjects and patients with other
400 diseases.¹³ Colloid osmotic pressure was significantly lower in patients with

401 pulmonary edema and those who were critically ill.²⁸ The difference of plasma
402 conditions can cause alteration of the protein binding ratio, thereby changing the
403 unbound drug concentration.²⁹ Impact of creatinine clearance and total bilirubin on
404 unbound mycophenolic acid was reported in pediatric and young adult patients.³⁰
405 Plasma albumin and plasma urea concentrations were also identified as significant
406 covariates influencing the unbound phenytoin fraction.³¹ A Smits *et al* demonstrated
407 that the effect of albuminaemia, indirect bilirubinaemia and postmenstrual age on the
408 unbound cefazolin fraction.³² However, our study demonstrates that plasma conditions
409 also affect the V_u/V_s by CF-UF and that affects the monitoring of free drug
410 concentration. Our results indicated that there was a larger V_u in patients with
411 hypoproteinemia or chronic renal disease than in patients with diabetes or
412 hypertension. This may be due to the plasma from patients with hypoproteinemia and
413 chronic renal disease has lower protein level and higher osmotic pressure of plasmas
414 than that from patients with diabetes and hypertension. Therefore, for clinical samples,
415 the V_u by CF-UF cannot be well controlled under the same centrifugation conditions,
416 and only one sample with CF-UF at a time was performed under given plasma
417 conditions. However, it is inefficient and time consuming which is not suitable for
418 clinical routine TDM of large batches of samples. In contrast, the V_u by HFCF-UF can
419 be controlled by the inner of both glass tube and hollow fiber and was less influenced
420 by plasma conditions. Therefore, it could be a rapid and reliable method for TDM of
421 free concentration in the future.

422 To date, the clinical relevance of monitoring free concentrations for VCM in the
423 clinical routine has not yet been addressed, although the clinical significance of
424 monitoring free VCM has been realized. It is attributed to high variability of the VCM
425 unbound fraction (f_u) reported in the literature.^{11,18-23} In an attempt to account for the
426 wide range of reported f_u , considerable interest has been concentrated largely on the
427 factors of patient or plasma conditions on free VCM. Zokufa HZ *et al* demonstrated
428 that there is a significant correlation between f_u and albumin concentration in 10
429 patients with burn injuries.²¹ The f_u was also reported to be correlated with α_1
430 glycoprotein (AAG) in 10 MRSA-infected patients.²⁰ Moreover, in vitro study, f_u is

431 depended on both albumin and immunoglobulin A.²² None of them took the
432 associated factors of methodology for the monitoring of free VCM in patients into
433 consideration. Our observations indicate that the V_u/V_s by CF-UF exert a significant
434 effect on free VCM concentration. Unfortunately, it can be found that different
435 centrifugation force and centrifugation time for separation of the bound and unbound
436 VCM was applied when tracing previous studies.^{11,18-23} However, the V_u and V_u/V_s
437 were only mentioned in two studies (70 μ L and 200 μ L).^{24,25} It has been recommended
438 that the V_u should occupy less than one-fifth of the plasma volume during CF-UF,⁷ so
439 the obtained V_u that is more than 100 μ L is unreasonable in above studies (plasma
440 volume was 500 μ L). In conclusion, lack of standardized methodology for monitoring
441 free VCM concentrations with CF-UF partly explained the wide percent range free
442 VCM values reported in the literature. The present study validated an accurate and
443 reliable HFUF-UF method that can be the reference methodology for TDM of free
444 VCM. Future studies should be performed to explore the true extent of f_u in patients
445 and address the clinical relevance of monitoring free VCM concentration in clinical
446 routine.

447 **4. Conclusions**

448 In this work, accuracy on the analysis of free VCM by ultrafiltration in various
449 disease conditions was investigated. The results showed that plasma conditions
450 significantly affected V_u/V_s by CF-UF, Therefore, in order to avoid the overestimation
451 or underestimation of real free concentration of the patients, future studies should take
452 the factors into consideration when CF-UF is being applied. For HFUF-UF, the V_u/V_s
453 can be well controlled by the inner of both glass tube and hollow fiber and were less
454 affected by plasma conditions. The reported large variability of unbound VCM
455 fraction should be due in part of analytical issue with CF-UF rather than a real
456 interpatient variability of unbound fraction. The developed HFUF-UF achieved a
457 successful application in TDM of free VCM and can be a reliable alternative for
458 accurate monitoring of free VCM. As a whole, the HFUF-UF used for clinical
459 purposes can benefit from the development and validation of a standardized method
460 escaping from the controlling of centrifugation time and centrifugation force as well

461 as the influence of plasma conditions of patients, which provides more advantages
462 than CF-UF. It can be a very powerful and reliable future sample preparation
463 technique for monitoring of free drug level in routine TDM.

464 **Acknowledgments**

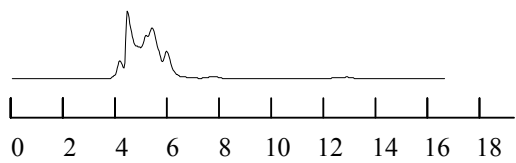
465 The authors gratefully acknowledge financial support from the Natural Science
466 Foundation of Hebei Province in China (Project No. H2012206043).

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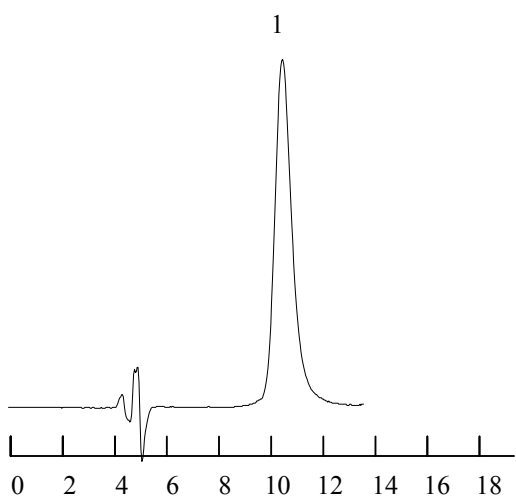
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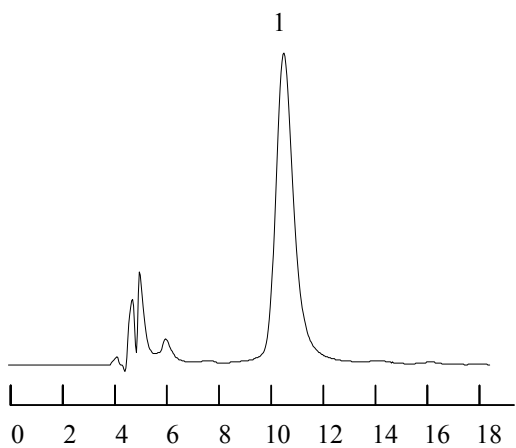
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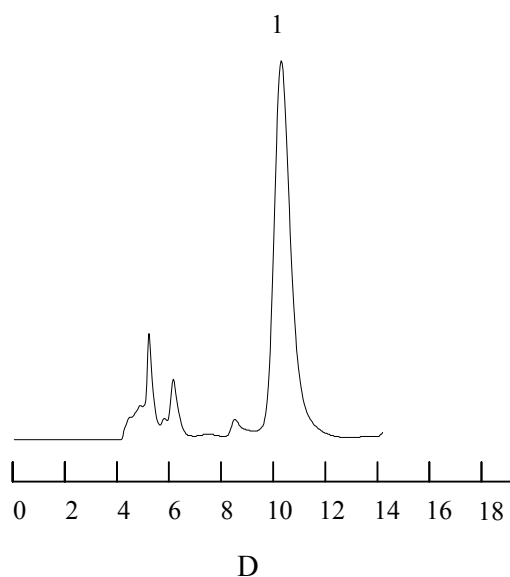


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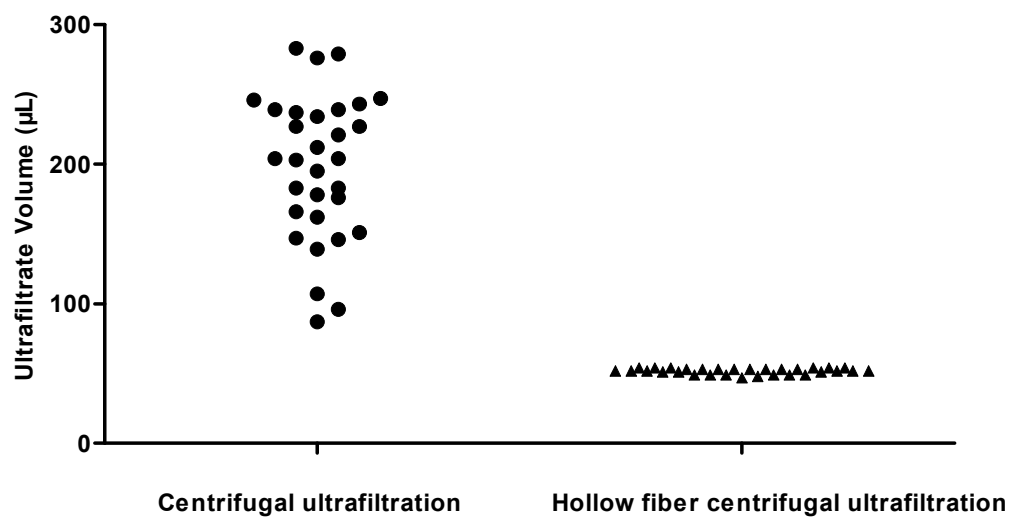
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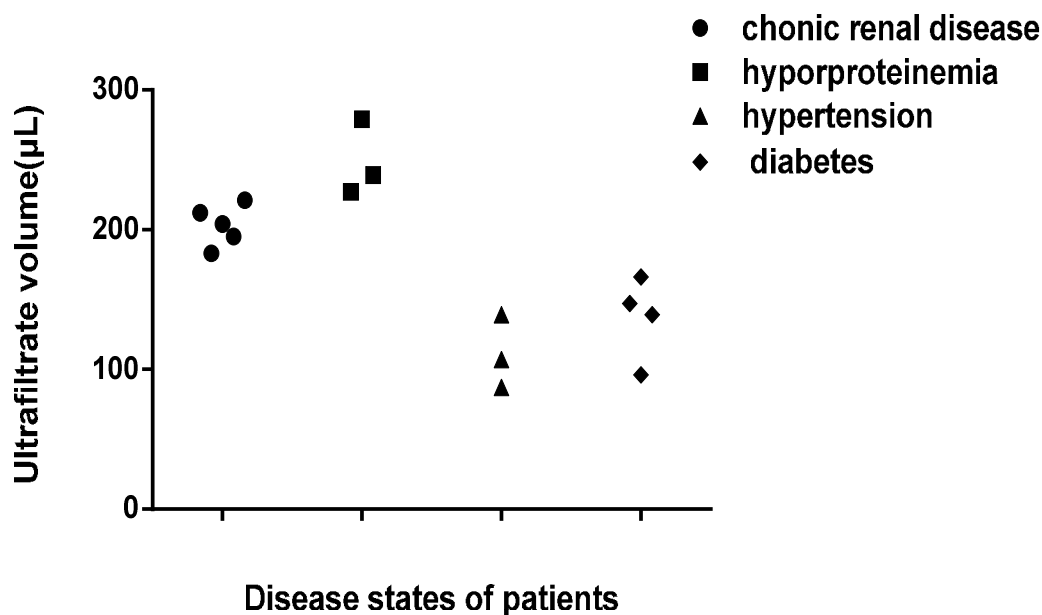
523 **Fig. 1.** Typical HPLC chromatograms of drug-free plasma (A), vancomycin standard
524 solution (B), Human blank plasma spiked vancomycin (C), Plasma sample collected
525 from a patient (D) (1 vancomycin).



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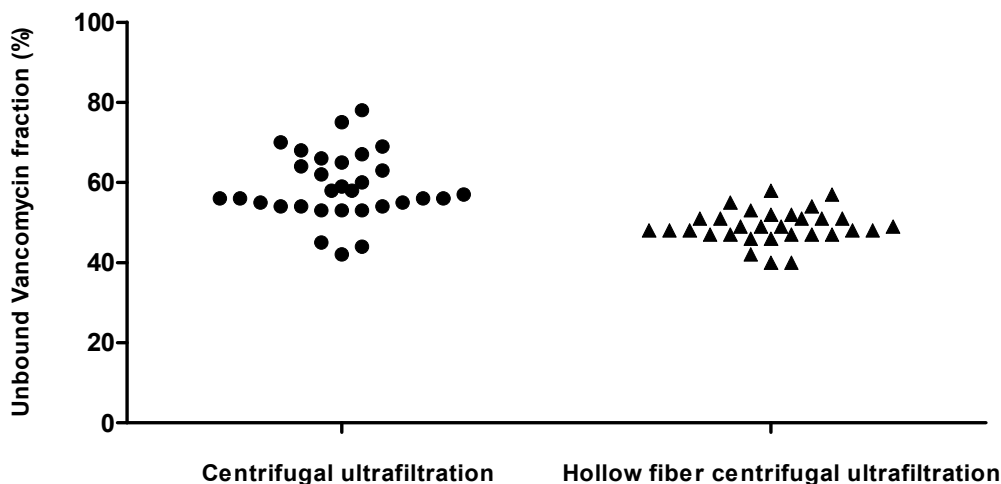
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528 **Fig. 2A.** Comparative ultrafiltrate volume as determined by CF-UF and HFCF-UF for
529 31 samples with sufficient volume to be analyzed by both methods (the V_u by CF-UF
530 ranged from 97µL to 279µL and the V_u by HFCF-UF remained constant for 31
531 samples under the same centrifugation conditions).



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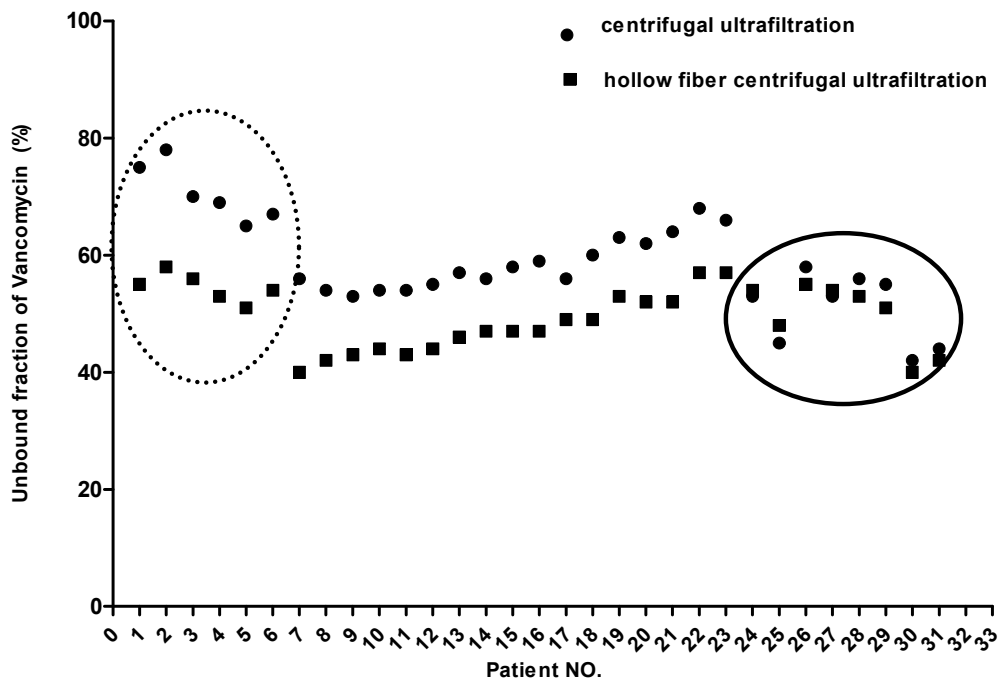
533 **Fig. 2B.** Variation of ultrafiltrate volume among patients with different main
 534 pathology (There was a larger V_u in patients with hyporproteinemia or chronic renal
 535 disease than in patients with diabetes or
 536 hypertensio



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539 **Fig. 3A.** Comparative unbound VCM fraction as determined using CF-UF and
 540 HFCF-UF for 31 samples with sufficient volume to be analyzed by both methods (The
 541 f_u ranged from 41% to 78% as determined by CF-UF and the f_u ranged from 42% to
 542 58% as determined by HFCF-UF, respectively. A comparison between unbound
 543 fraction of VCM (f_u) as determined by CF-UF and HFCF-UF was statistically
 544 significantly different ($p < 0.0001$)).



545

546 **Fig. 3B.** Comparative unbound VCM fraction of 31 samples as determined using
 547 CF-UF and HFCF-UF (Broken circle represents the f_u of patients with
 548 hypoproteinemia or chronic renal disease as determined by CF-UF and HFCF-UF had
 549 greater differences; the circle of solid line represents the f_u of patients with diabetes or
 550 hypertension as determined by CF-UF and HFCF-UF were about the same).

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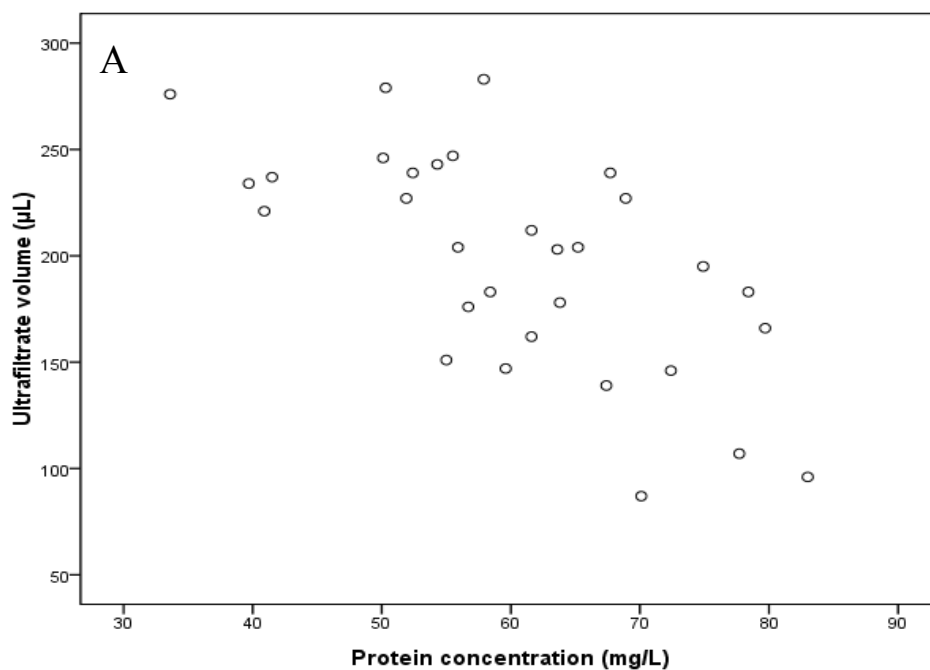
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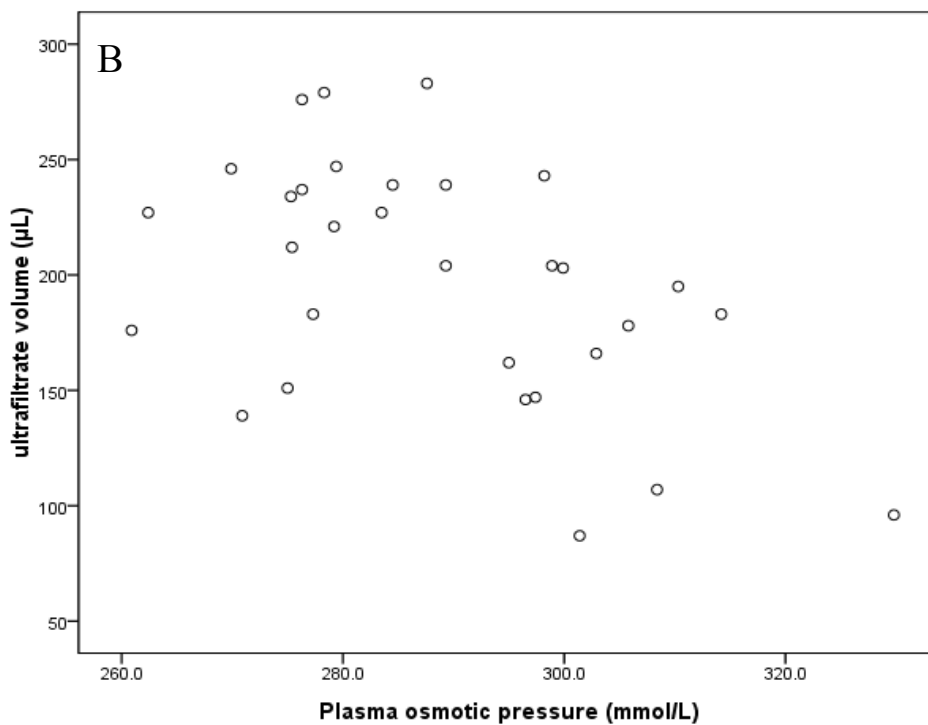
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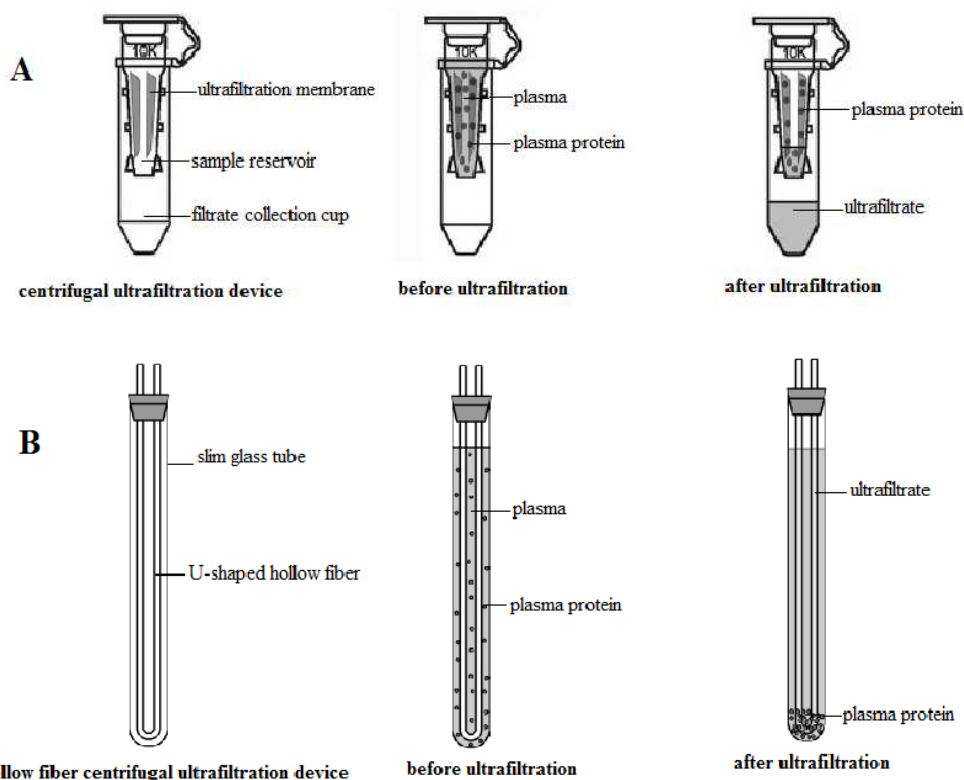
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Fig. 4. Linear regression of ultrafiltrate volume as a function of: (A) total protein levels; (B) plasma osmotic pressure (the V_u decreased with the increase of total protein and the osmotic pressure of plasma).



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583 **Fig. 5.** The schematic representation of centrifugal ultrafiltration (A) and hollow fiber
584 centrifugal ultrafiltration (B).

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588 **Table 1A**

589 Clinical characteristics of the included study patients (n=25), reported as the median
590 and range or number of cases.

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Age (years)	44 (19-78)
Sex (male/female)	12/10
Total protein (g/L)	52.3 (41-83)
Albumin (g/L)	29.6 (19.8-46.2)
Globulin(g/L)	25.4(18.8-39.5)
Total bilirubin (mg/dL)	5.6 (3.6-231.3)
Direct bilirubin (mg/dL)	3.4 (1-191.3)
Indirect bilirubin(mg/dL)	2.8 (0.5-46.9)
Plasma osmotic pressure (mOsm/kg)	293.2 (262.4-329.8)
Total cholesterol (mmol/L)	4.35 (1.54-6.78)
Triglycerides (mmol/L)	1.83 (0.61-5.8)
Diabetes mellitus (No. of patients)	4
Hypoproteinemia (No. of patients)	3
Hypertension (No. of patients)	3
Chonic renal disease (No. of patients)	5

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612 **Table 1B**613 Results of the Bivariate Regression Analysis for Patient Characteristics and
614 ultrafiltrate volume.

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Variable	β Coefficient	P Value
Total protein (g/L)	-0.685	0.004
Albumin (g/L)	-0.483	0.332
Globulin(g/L)	-0.284	0.386
Total bilirubin (mg/dL)	0.090	0.390
Direct bilirubin (mg/dL)	0.030	0.446
Indirect bilirubin(mg/dL)	0.063	0.485
Plasma osmotic pressure (mmol/L)	0.503	0.013
Total cholesterol (mmol/L)	-0.19	0.137
Triglycerides (mmol/L)	-0.11	0.181

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Added ($\mu\text{g}\cdot\text{mL}^{-1}$)	Time (min)	Ultrafiltrate volume(μL) (Mean \pm SD n=5)	Free concentration ^a ($\mu\text{g}\cdot\text{mL}^{-1}$)
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Table 2

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The results of effect of Ultrafiltrate volume on free VCM concentration with CF-UF.

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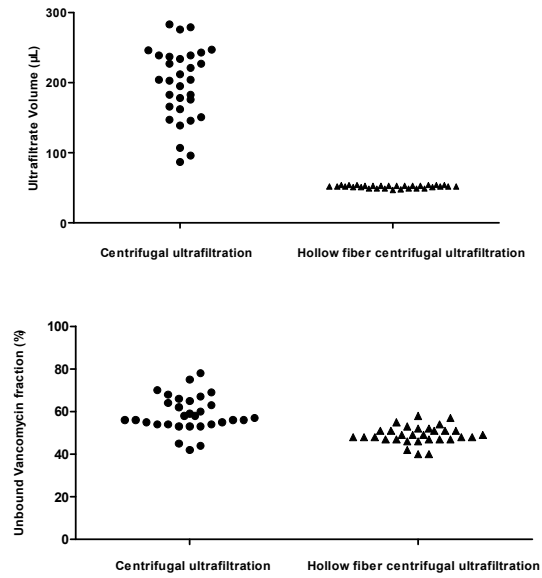
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	5	53(14.2)	4.45
	10	147(12.8)	5.43
10.0	15	208(13.4)	5.92
	20	256(9.7)	6.53
	30	329(8.9)	6.97
	5	56(13.2)	9.08
	10	153(11.9)	10.13
20.0	15	216(10.4)	10.68
	20	276(8.3)	11.05
	30	336(8.1)	11.56
	5	62(14.7)	22.68
	10	151(13.6)	23.59
50.0	15	219(12.9)	24.19
	20	268(9.3)	24.77
	30	329(9.0)	25.06

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666 a: Free VCM concentrations significantly increased with the V_u increasing by CF-UF,
 667 a clinical significant 25.5% increase in free VCM when the V_u increased from 53 μ L to
 668 329 μ L.



The different ultrafiltrate volume results in different unbound vancomycin fraction as determined by centrifugal ultrafiltration and hollow fiber centrifugal ultrafiltration.