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REVIEW TYPE

Ruthenium complexes as precursor of Chemical Vapour- Deposition (CVD)

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Ruthenium and its compounds are often used as thin films and can be deposited by chemical vapour deposition. The quality of the films strongly depend on the inorganic precursors their evaporation behaviour and thermochemistry. This is an area where different aspects of inorganic chemistry and chemical engineering must fit together to provide good thin films. It was noticed that providing first hand
10 information at one place especially for a learner of this area of research, collection of reports on different types of ruthenium complexes as CVD precursors would be timely. Thus, in this review a bird's eye view of ruthenium complexes vulnerable for CVD technology, together with the presentation of different precursors, their synthesis, evaporation, decomposition and film formation is presented. A brief summary of the CVD technique is also presented with future-design, synthesis and usefulness of CVD precursors.

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1. Introduction

Over the past few decades, there is a continuous advancement in the design and synthesis of new materials with enhanced functionalities for eventual application in devices for microelectronics and optoelectronics. Despite this progress, it continues to be difficult and challenging among material scientists to design selective and highly efficient new materials for thin film technology especially in microelectronic applications. The unique chemical and physical properties of ruthenium trigger a variety of applications in thin film technology,¹ alkane hydrogenolysis,² surface science,³ hydrogenation reactions,⁴ and Fischer-Tropsch reactions.⁵ It is well known that elemental ruthenium is found to be a very promising candidate in thin film technology because of its high work function (4.7 eV), thermal stability even at higher temperature (~800°C), low bulk resistivity (7 μΩ cm) and low specific electrical resistivity even in its oxidized state.⁶ In particular, ruthenium has been shown to force TiO₂ films grown on it into the high-k rutile phase, making it attractive for capacitors in microelectronics applications.⁷ Ruthenium and its conducting thermodynamically stable oxide phase (RuO₂) have been used as metallic contact to high dielectric materials such as strontium titanate (SrTiO₃), barium strontium titanate [(Ba,Sr)TiO₃],⁸ tantalum pentoxide (Ta₂O₅)⁹ and lead zirconate titanate [Pb(Zr,Ti)O₃, PZT]¹⁰ and may be used for the preparation of the next-generation gigabit-scale dynamic random access memories (DRAMs).^{11,12} Ruthenium thin films are also advantageous diffusion barrier as compared to over TaN based on diffusion barriers owing to its low resistivity, chemical stability and low solubility in Cu and thus they become a possible alternative for thin film technology.¹³ Ruthenium thin films have also been used as seed and barrier layers for copper and silicon deposition due to its high conductivity and chemical inactivity with copper and silicon.¹⁴ Ruthenium is a promising candidate for developing a deeper understanding of chemical vapor deposition and precursor design for catalytic films due to much individual molecular behavior in surface science and catalysis. In early stage, ruthenium films were prepared by different techniques such as reactive sputtering,¹⁵ physical vapor deposition,¹⁶ spray pyrolysis,¹⁷ pulsed laser deposition (PLD),¹⁸ molecular-beam epitaxy (MBE),¹⁹ cathodic electrosynthesis²⁰ and by a sol-gel process.²¹ But these alternative processes create problems such as low conformal coverage, poor crystallinity, high stress levels etc in the thin films obtained. To overcome these problems the chemical vapor deposition (CVD) method is promising. It often offers excellent coverage, relatively soft conditions, and a compatibility for large scale processing.²² Ruthenium chemical vapor deposition and precursor design is of particular interest because of the outstanding film properties²³ such as low resistivity, high thermal stability, good etching ability, good barrier properties against oxygen diffusion, high resistance against capacitor shorting due to the formation of hillocks, severe polarization fatigue and aging and so are useful in electronic applications.²⁴ Ruthenium is also a suitable substrate for growing periodically rippled graphene,²⁵⁻²⁷ which is attracting great interest for its periodically inhomogeneous electronic properties.²⁸ One of the

current frontiers is growing graphene on Ru thin films on sapphire and SiO₂,²⁹⁻³¹ which is to date problematic for the low crystalline quality of Ru films on insulator substrates. The realization of this goal would allow exotic applications of graphene as a curved mirror for He-atom microscopy^{32,33} or for graphene/metal contacts in plasmonics.³⁴⁻³⁶

Accordingly, there is still demand for ruthenium CVD source reagents as found better in view of their advantages such as suitability for scale-up synthetic operation, higher thermal and oxidative stability during storage, higher volatility upon heating, and the capability to induce facile metal deposition under designated CVD conditions. In this account, we have discussed the chemical vapor deposition technology of ruthenium using different Ru containing complexes as precursors. To give a background, a brief summary of the CVD technique and its classifications are also presented with regard to future-design, synthesis and usefulness of CVD precursors.

2. A brief introduction to CVD

Chemical vapor deposition is a technique by which a material is synthesized as a thin film, by the reaction of vapor phase compounds containing the constituents of the film.³⁷ The solids are grown as polycrystalline, epitaxial or amorphous films depending on the materials and reactor conditions. Thus, the occurrence of chemical reactions is an essential characteristic of the CVD method. The kinetics and transport processes depend on surface and gas phase chemical reactions, parameters such as temperature, pressure, input concentration and rate of flow of the reaction are crucial. The CVD process and its reactor are selected by the requirements for substrate material, morphology and coating material, film thickness and uniformity, availability of precursors and cost.³⁸ CVD can be carried out in cold-wall reactors or hot-wall reactors at typical temperature ranging from 200-1600°C and often at below-atmospheric pressures with and without carrier gases.³⁹ A variety of enhanced CVD processes are established which involve the use of plasma, ions,⁴⁰ photons (also using lasers) hot filaments, or combustion reactions³⁸ to increase deposition rates and/or lower deposition temperatures. Direct analytical techniques applied to CVD studies are Raman spectroscopy, absorption spectroscopy and gas chromatography.⁴¹ Qualitative and quantitative analysis of vapor phase could be carried out by direct attachment of a mass spectrometer to a CVD reactor.^{42,43}

The principle of CVD process can be understood stepwise as:

1. Precursors are transported in the gas phase, gas phase reactions can take place;
2. Adsorption and transport of the precursors on the surface take place;
3. Supply of energy (thermal, plasma, light) for breaking the precursor molecules and film formation;
4. Transport on and desorption of the byproducts from the surface;
5. Gas phase transport and evacuation of by-products in the gas-phase.⁴⁴

Most often the reaction conditions are chosen in such a way that surface reaction is rate determining or limiting step, which is at relatively low temperatures or at higher temperatures. Chemical

vapor deposition can occur in two different mechanistic ways: either the precursor species polymerize or react in the gas phase forming reactive gaseous species and then adsorb to the surface and form a thin film or the precursor species adsorb first on the surface and react then on the substrate. The first mechanism generally creates poorer coating adhesion compared to the second one.⁴⁵

Thermodynamics predicts the feasibility of CVD process to occur under certain parameters and it can provide both qualitative and quantitative information about the process. Thus, one of the first steps in considering a CVD process is to perform the thermodynamical calculations to explore the general conditions required for the process to occur. Although, not always data for the free energy of formation of all vapors and condensed constituents of the system are available. Details regarding the thermodynamics of CVD can be found in an interesting review article by Kern and Ban.^{46,47}

Besides thermodynamics, the kinetics of the deposition process is as important. The nature of the rate-controlling step changes with temperature and can often be determined from an Arrhenius plot. It suggests that at lower temperatures the rate-controlling step is some surface process with a constant rate varying with $k = Ae^{-\Delta E/RT}$, A is frequency factor, ΔE is the activation energy-usually varies from 25-100 kcal/mole for surface processes. At higher temperatures, the rate-controlling step is generally the transport either by diffusion or convection of the reactants to the reacting surface. The temperature dependence of gas phase diffusion is mild and the deposition-rate follows a less steep slope. At even higher temperatures the precursor may react to particles and a decrease in growth rate at very high temperatures is observed.⁴⁸ For example, a steep dependence of the deposition rate is observed at lower temperatures where as a milder dependence is observed at higher temperatures in deposition of Si from various sources.⁴⁹ The deposition-rate can also depend on the crystallographic orientation of the substrate as the deposition rate of GaAs is three to four times faster on the (111) surface of the substrate as compared to growth on the (100) surface.⁵⁰ The effect of dopants on the semiconductor growth rate and existence of meta-stable phases could also be considered as kinetic effects.⁵¹

The substrate temperature, pressure and reaction gas flow rates determine the film formation mechanism and this may help to improve the knowledge about the rate limiting factor which controls the nucleation or growth rate (kinetics). In the context of the present topic, Ru shall be regarded: Substrates like SiO₂/Si were used mainly for pure Ru films deposition because of slow deposition rates on other substrates.⁵²

Hones *et al.* established the growth kinetic of thin ruthenium oxide films from [Ru(tfa)₃] in a mixture of oxygen and water which follows a unimolecular reaction of the Langmuir-Hinshelwood-type. The reaction pathways of precursor molecule fragmentation is depicted in Fig. 1.⁵³ From the growth kinetics of [Ru(tfa)₃], it is concluded that

- [Ru(tfa)₃] provides most of the oxygen in the RuO₂ film and the reaction is unimolecular.
- The growth rate varies exponentially with the temperature of the substrate. However, the growth rate of the film increases with the lowering of the partial pressure and it gets saturated at high partial pressures. This behaviour indicates that the reaction kinetics follows a Langmuir adsorption isotherm.
- For the deposition of ruthenium thin films, water vapor is required, because water molecules destabilize the precursor complex by partial protonation of the ligands.

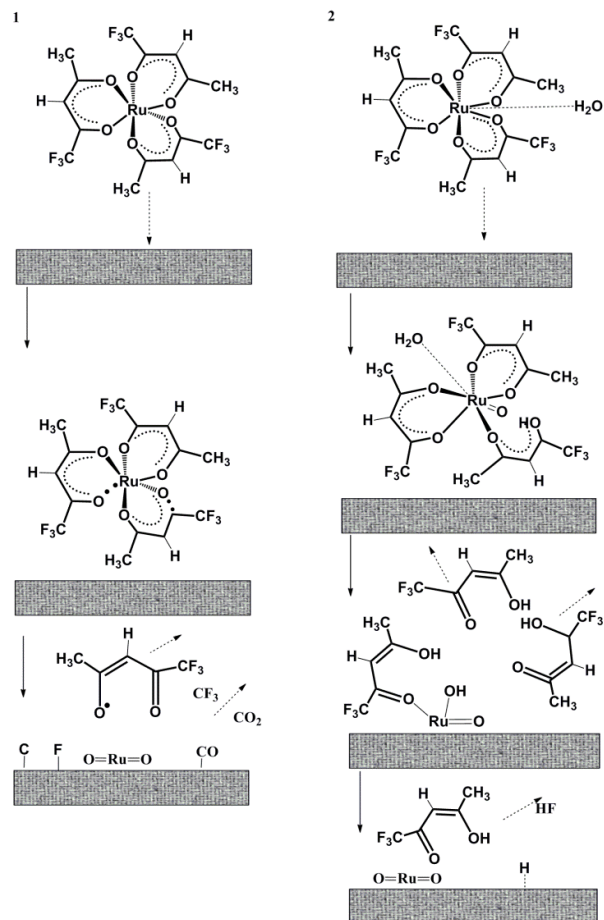


Figure 1 Reaction mechanism of the [Ru(tfa)₃] precursor molecule at the substrate surface. 1) Thermolysis with formation of highly reactive radicals, without water. 2) Volatile reaction by-products formation by protonation of the ligands in the presence of water. Adapted from ref. 53

As some substrates cannot withstand high temperatures, the use of a plasma or laser light may be a way to start chemical reactions at moderate temperatures. Also, CVD can be performed at different pressures. Many of these CVD variations were named separately as atmospheric pressure CVD (APCVD)⁵⁴ or low pressure CVD (LPCVD).⁵⁵ Similarly ultrahigh vacuum CVD (UHVCVD)⁵⁶ is sometimes used, then the process takes place below $\sim 10^{-8}$ mbar. Whereas, according to the activation used (by either thermally or plasma, laser light or hot wires or flames or others.),^{57,58} the processes are named as (c) plasma-enhanced CVD (PECVD) and (d) remote plasma-enhanced CVD (RPECVD) as well as (e) microwave plasma assisted CVD (MPCVD) (f) flame enhanced CVD.⁵⁹ Alternatively, some further CVD processes are named such as (g) atomic layer CVD in which successive layer of different substances deposits and produce the layered crystalline film, (h) combustion CVD is mainly for nanomaterials and based on flame-based technique (i) metal-organic CVD (MOCVD) is the interesting class to deposit the thin films of metal or their oxides, the present review will mainly focus on the latter. Several other classes of CVD processes are described in the literature.^{45,60}

The most common use of CVD is the manufacturing of conformal thin films. A variety of applications for such films play a crucial role in the production of integrated circuits (ICs),⁶¹ photovoltaic devices,⁶² high temperature resistant, corrosion-resistant and wear-resistant protective coatings⁶³ and formation of optical fibers,⁶⁴ synthetic diamond etc.³⁷ CVD is also used in the fabricating of optical storage media and is suitable for

manufacturing semiconductor devices.⁶⁵ These materials together with some optoelectronic materials like solid state diode lasers have revolutionized the communication technology and are obtained using CVD technique.

In this context, it is of worth to mention that even the optical component of the communications network, the fiberoptic cables are manufactured by CVD in order to achieve the desired refractive index profile.⁶⁶ The highly bright blue and green light emitting devices (LEDs) based on group-III nitride alloys, like InGaN, are grown on sapphire substrates also formed by CVD technology.⁶⁷ CVD plays a crucial role in the production of micro electro-mechanical structures (MEMS) which is mainly derived from the silicon microelectronics technology. Most MEMS devices are fabricated from polycrystalline silicon (polysilicon) films deposited on silicon wafers, with intermediate sacrificial SiO₂ layers that are later removed by chemical etching.⁶⁸ Most of the properties, like magnetic, optical and electrical properties of a particular composition of a CVD film depends on its structure. Therefore, factors controlling the structure are of importance. Nucleation and formation of polycrystalline, amorphous and epitaxial CVD films is briefly discussed here. Older concepts of nucleation are based on a thermodynamical approach whereas newer concept of atomistic nucleation are based on statistical mechanics taking into account the chemical bonding characteristics of the solid surface. Different solid surfaces do not have equal bonding characteristics. Those with stronger bonding characteristics favour nucleation.⁶⁹ The growing nuclei then come into contact and finally coalesce, forming a continuous film. After reaching a certain size, nuclei become energetically more favourable and growth dominates re-evaporation.⁷⁰

Regular, oriented growth of a polycrystalline substance on another one, is called epitaxy. Epitaxial growth is often a desirable feature in CVD films, mainly in microelectronics, as such films are found superior with regard to their very well ordered and smooth surfaces compared to both, polycrystalline and amorphous films, respectively.⁷¹ However, for many applications, polycrystalline or amorphous films are sufficient. These structural forms are more common in CVD as epitaxial films can only be obtained under specific condition like good lattice match between film and substrate, in a certain temperature range and at proper reactant concentrations. In the absence of these conditions, polycrystalline or amorphous modifications of the same material are obtained. The CVD technique has also been found useful in making films of insulating and semiconducting materials which have a wide range of applications specially in modern microelectronic technology and protective coating for high-temperature materials. However, most of the metal films including ruthenium follow a 3D, Vollmer-Weber growth mechanism owing to their high surface energy; this leads to the growth of polycrystalline, columnar films by CVD.⁷²⁻⁷⁴

3. Desirable properties of CVD precursors

Primarily, thin film formation by CVD requires that the precursor should be highly volatile,⁷⁵ soluble in inert solvents if spray evaporation is intended, as inert solvents prevent their own reactions with the precursors and they should be thermally stable at vaporization temperatures, so that no decomposition occurs during vaporization. Furthermore, they should have a preferential reactivity towards the substrate and the growing film. Other factors which determine the structure of the deposited film, are the ratio of deposition rate to surface diffusion rate (time available for surface processes) and the ratio of power density to

deposition rate.^{76,77} The exposed precursor surface area is another important factor which irrespective of the volume (if other factors are constant) determines the rate of vaporisation. The deposition of clean thin films, depends on the decomposition mechanism of the precursor, the temperature regime, but also upon the carrier gas used in the reactor.⁷⁸ With respect to ruthenium it is found that CVD experiments conducted under high O₂ concentrations lead to the formation of rutile phase RuO₂ through in situ metal oxidation.^{79,80} It is also believed that higher oxidation states may have a higher activation energy barrier which may induce hindrance for metal reduction in situ and its deposition. This can also account for the greater stability of the source precursor.⁷⁸ Under inert gas flow the mass loss of the precursor out of a crucible with time is nearly linear (for the exact theory, see Ref.⁸¹) and the residual mass should be negligible.⁸¹ Since, the volatility is a key property of a CVD precursor it is interesting to enumerate the factors which decrease the vapour pressure in a series of comparable compounds, in order to get an idea of how good (or unsuitable) precursors may look like. Charged or highly polar species are in general non volatile. Adduct formation, polymerization and H-bonding also tend to reduce volatility. Thus, for maximum volatility, molecule must be designed so that steric and inductive effects minimize its tendency of adduct or polymer formation. In this context, metal complexes containing β -diketonates are usually found to be volatile. Substitution of H by F is found to increase the volatility of the corresponding complexes further. The increase in volatility of fluorine containing β -diketonates corresponds directly to the extent of fluorine substitution. This owes to increased electronegativity of fluorine atoms as dominating the outer periphery of the complex. The fluorocarbon shell then reduces the van der Waals forces and intermolecular hydrogen bonding between fluorine substituted β -diketonates. For example, introduction of CF₃ groups into the pyrazole moiety increases the volatility of bridged ruthenium complexes as compared to nonfluorinated analogues. This is due to the repulsive force between lone electron pairs of the fluorine atoms and the low polarizability of the C-F bonds.^{82,83} A detailed account of the effect of the nature of metal ions and ligand frameworks on the volatility of metal β -diketonates had already been presented in earlier review.^{84,85}

4. Different classes of Ruthenium complexes used as CVD precursors

Since precursors are so important in CVD, we would like to go through the different classes of ruthenium precursors aiming to summarize the state of the art and in order to identify potentials for design and development of precursors. Often precursors are synthesized to be applied in microelectronics and optoelectronics aiming to reduce the thermal budget of film formation. The ruthenium precursors stem either from the available classes of metal complexes or they are even specially engineered compounds. In the deposition process, the Ru-adsorbate bonds play an important role. Initially, CVD precursors included only metallic ruthenium as well as ruthenium dioxide RuO₂.⁸⁶ High quality RuO₂ thin film can be obtained by a simple decomposition approach of the volatile precursor RuO₄ at 150-220 °C on either glass or silicon substrates $\text{RuO}_4 \rightarrow \text{RuO}_2 + \text{O}_2$ by low-temperature chemical vapor deposition; the films are found to be free of carbon impurities, which is not surprising regarding the carbon free precursors.

Later on, Sankar *et al.* prepared crystalline thin film of ruthenium and RuO₂ on polyurethane or poly(methyl methacrylate)

polymers. Amorphous thin films were deposited on polystyrene or poly(ether/ ester)polyurethane block copolymers from volatile RuO₄ in presence of H₂ carrier gas.²⁴ They choose RuO₄ as precursor owing to well established fixative stain of saturated/ unsaturated polymers by TEM studies. It developed a direct reaction with unsaturated sites n or with C-H bonds on the polymers with reduction of ruthenium. The films were characterized by different techniques such as Auger, XPS, XANES, XRD and SEM. However recently a large number of ruthenium complexes like ruthenium alkoxides, ruthenium alkyls, ruthenium amidinates, ruthenium diketonates, ruthenium carbonyls and others were exploited as precursor complexes.^{1,53,81-84} Thus, it is interesting to classify such complexes in terms of the nature of bonding of organic ligands formed by ruthenium and is described as follows:

(a) Ruthenium carbonyls, diketonates and their derivatives

Before the development of metal-organic precursors, ruthenium pentacarbonyl [Ru(CO)₅] **1** and triruthenium dodecacarbonyl [Ru₃(CO)₁₂] **2** were exploited as CVD precursors.⁸⁷ The molecular structures of some important ruthenium carbonyls are depicted in figure 2. Generally, mononuclear ruthenium carbonyls like ruthenium pentacarbonyl, Ru(CO)₅ with a vapor pressure of 50 mm Hg at 18°C starts to decompose at -18°C. This characteristic was used by Berry *et al.*⁸⁸ and was successfully exploited to grow thin films by using ruthenium pentacarbonyl [Ru(CO)₅]. The compound showed limited commercial use as CVD precursor due to its low stability. The decomposition of Ru(CO)₂Cl₂ or Ru(CO)Br in the absence of air at 200°C leads to the formation of bright metallic ruthenium films. However, ruthenium thin films were also prepared by annealing of Ru(CO)₂Br (boiling point 118°C, sublm m. p. 140°C) or Ru(CO)₃I (boiling point 114°C, subl m.p. 120 °C) in a stream of oxygen and phosgene. A pure ruthenium film was also prepared at 595°C in a hydrogen atmosphere.⁸⁹

Table 1 Threshold Temperatures of thermolysis of Ru(acac)₃ and Ru(tfa)₃ Source reference 95.

Compound	Process	Number of points	T / °C	ΔH _T ⁰ kJ mol ⁻¹	ΔS _T ⁰ kJ(molK) ⁻¹
Flow method					
[Ru(acac) ₃]	Subl.	7	150-220	127.0±0.9	212.5 ±2.0
Silica-membrane zero gauge					
[Ru(tfa) ₃]	Subl.	13	110-150	90.0 ±3.0	163.2±7.2
[Ru(tfa) ₃]	Vapour	11	160-210	78.7 ±0.8	137.2±1.7

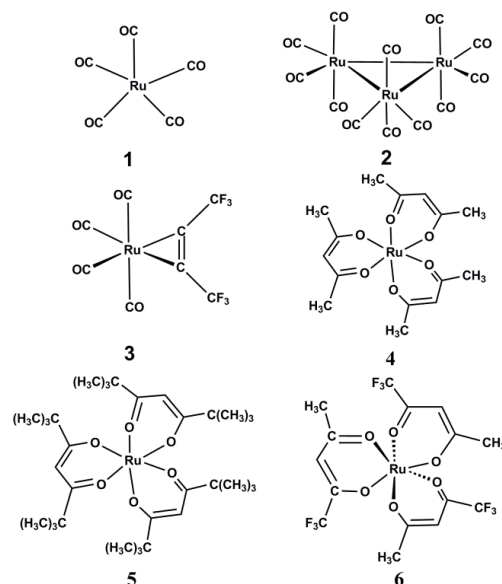


Figure 2 Structures of ruthenium carbonyl derivatives

In 2004, Wang *et al.* reported pure and uniform Ru films on Ta and low-resistivity films on SiO₂, they were grown by thermal CVD at temperatures as low as 423 K (150 °C) using Ru₃(CO)₁₂ **2** without accompanying reactive gas.⁹⁰ Senzaki *et al.*⁹¹ reported a monometallic alkyne carbonyl ruthenium complex [Ru(hfb)(CO)₄] **3**, where hfb = hexafluoro-2-butyne which produced a film of 1800 Å thickness in 3 min without any carrier gas at 500°C.

The second category of ruthenium precursor complexes are ruthenium-β-diketonates or Ru(CO)₂(β-diketonates)₂.⁹² Simple complexes of type [Ru(acac)₃] **4**, acac = acetylacetonate monoanion (brown colour) were prepared by Wolf *et al.*⁹³ A modified procedure by Rose *et al.* was also exploited to prepare ruthenium complexes.⁹⁴ Its thermal properties for the preparation of RuO₂ films by CVD was studied by Bykov *et al.*⁹⁵ (see also Table 1) The complex and its trifluorinated derivative were found as prospective precursors in CVD for the preparation of RuO₂ and Ru films.⁹⁶ The parent complex [Ru(acac)₃] follows similar decomposition pathway in both a nitrogen and a hydrogen containing atmosphere in TG measurements.⁹⁷ Both the complexes melted at 232 and 216°C, respectively with a corresponding sublimation yield of 65.00 and 100.00%. The thermodynamic properties of several β-diketone complexes have been widely covered in the literature.⁹⁸

Green *et al.* also reported that ruthenium acetylacetonate resulted in the deposition of RuO₂, only at elevated temperatures of 873 K. These films proved to have excellent conformation, which is one of the main advantages of the CVD process.⁹⁹ Conducting thin films of RuO₂ were grown on glass by metal-organic chemical vapor deposition (MOCVD) at lower substrate temperatures i.e. 623 K from tris-trifluoroacetylacetonate-ruthenium^{III} [Ru(tfa)₃] **6** tri substituted fluoro derivative of acetylacetonate.¹⁰⁰

The study thus, indicated that [Ru(tfa)₃] is a good CVD precursor due to its high volatility and high thermal stability in both vacuum and in the presence of O₂. Additionally, there are no transport problems of the compound to the substrate. The acceptable temperature regime for the deposition of RuO₂ film by both precursors [Ru(acac)₃] and [Ru(tfa)₃] is 300°C or higher. A mixture of oxygen and water as carrier gas improved several thin film properties like resistivity. The thin film growth mechanism was studied by in situ ellipsometry⁵³ which showed that the

nucleation occurred with lateral and vertical island growth in a first step followed by a homogeneous film growth preserving the surface roughness. A model reaction mechanism is proposed for growth kinetics: The reaction kinetics follows a first-order Langmuir-Hinshelwood mechanism with growth rate dependent deposition parameters.¹⁰¹ The film properties such as conductivity and adhesion were improved in the presence of water vapor due to a destabilization of the precursor complex which catalyzes the fragmentation at the hot surface and stabilizes the volatile ligand.

Vasilyev *et al.* reported surface selective growth of ruthenium films from tricarbonyl(η^4 -cyclohexa-1,3-diene)ruthenium **7** under low-temperature pulsed CVD conditions in the temperature range of 110–275°C as shown in fig. 3.¹⁰² A year later, the Vasilyev group further investigated the resistivity and surface morphology of Ru films grown on sub-nanometer-thick Pt–Pd alloy seed layers in a surface selective growth region at 110–185°C using tricarbonyl(η^4 -cyclohexa-1,3-diene)ruthenium, ammonia, nitrous oxide, hydrogen, and pulsed chemical vapor deposition conditions.¹⁰³ The grown film morphology was stable up to 400–600°C even after rapid thermal processing but revealed surface agglomeration at 700 °C. Vasilyev¹⁰⁴ further studied the nucleation and initial stages of the growth of ruthenium layers on different surfaces in the temperature range 110–350°C under the pulsed deposition from vapor phase with participation of a carbonyl–diene precursor $[\text{Ru}(\text{CO})_3\text{C}_6\text{H}_8]$ in the presence of NH_3 , N_2O and H_2 as the second reagent. The growth of the ruthenium layers, formation of nuclei (nucleation) proceeds via a complex multifactor process which depends on the parameters of the deposition process, its type, properties and the uniformity of the sample surface. The Ru nucleation was determined at a stage of growth of the layers and is improved depending on the surface type at a low deposition temperature. It follows the order: $\text{Si}_3\text{N}_4 < \text{SiO}_2$; $\text{Si} < \text{Al}_2\text{O}_3$; $\text{HfO}_2 < \text{Pt–Pd}$; Pt ; Ru .

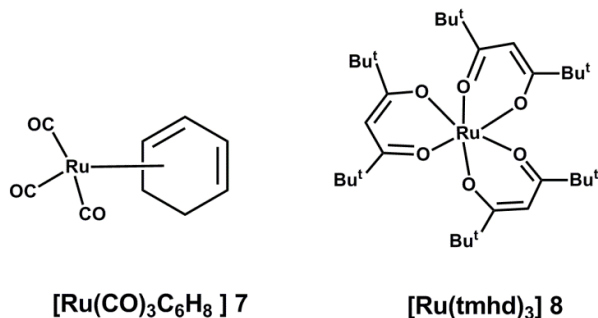


Figure 3 Molecular structure of tricarbonyl(η^4 -cyclohexa-1,3-diene)ruthenium and $[\text{Ru}(\text{tmhd})_3]$.

In 2001, Lee *et al.* explored pure and highly conducting RuO_2 thin films with good electrical resistivity as low as $45 \mu\Omega\text{cm}$ using tris(2,2,6,6-tetramethyl-3,5-heptanedionato)ruthenium $[\text{Ru}(\text{tmhd})_3]$ **8** as depicted in figure 6. The films were deposited only on Si substrates at 250–450 °C by low pressure metal organic chemical vapor deposition (LPMOCVD).¹⁰⁵ It is observed that $[\text{Ru}(\text{tmhd})_3]$ is a very promising CVD precursor due to its high vapor pressure and low decomposition temperature. As $[\text{Ru}(\text{tmhd})_3]$ is a solid substance, its direct delivery in the reactor creates some problem. So, Direct liquid injection (DLI) systems were used with a flash evaporator by dissolving $[\text{Ru}(\text{tmhd})_3]$ in n-butylacetate.²³ The ruthenium and ruthenium oxide thin films were deposited in a temperature range of 250–450°C. The formation of pure ruthenium thin films was preferred at low O_2 flow rate (300 sccm) and high injection rate (0.07 ml/min) of the precursor solution over 350 °C. However

RuO_2 films with low resistivity (approximately 45–60 $\mu\Omega$) were deposited at lower injection rate having dense and smooth surface morphology. Bai *et al.* also reported a highly conductive RuO_2 thin films with either (110)- or (101)-textured orientations grown by metal-organic chemical vapor deposition (MOCVD) on both $\text{SiO}_2/\text{Si}(001)$ and $\text{Pt}/\text{Ti}/\text{SiO}_2/\text{Si}(001)$ substrates from $\text{Ru}(\text{tmhd})_3$ at low temperature ranges from 275°C to 425°C.¹⁰⁶

Lee *et al.* again extended the work by developing and characterizing air stable ruthenium CVD precursors such as $[\text{Ru}(\text{CO})_2(\text{hfac})_2]$ **9** and $[\text{Ru}(\text{CO})_2(\text{tmhd})_2]$ **10** (hfac= 1,1,1,5,5,5-Hexafluoro-2,4-pentanedione or hexafluoro acetyl acetonate, tmhd= Tris(2,2,6,6-tetramethyl-3,5-heptanedionato)) which showed deposition of pure Ru films with small amounts of impurities in the presence of carrier gases such as H_2 and Ar.¹⁰⁷ Based on the isolation and characterization of the volatile co-products, they proposed the possible mechanism for Ru deposition. The only free ligand (tmhd)H and metallic Ru was obtained using $[\text{Ru}(\text{CO})_2(\text{tmhd})_2]$ **10** as the source reagent in H_2 as the carrier gas. However in the case of $[\text{Ru}(\text{CO})_2(\text{hfac})_2]$ **9**, free ligand (hfac)H together with a dark red Ru(III) complex, $[\text{Ru}(\text{hfac})_3]$ (25±41%) were obtained. The outline of the deposition pathway is depicted in scheme 1.



Scheme 1 Mechanism for the formation of Ruthenium thin film from $[\text{Ru}(\text{CO})_2(\text{hfac})_2]$ and $[\text{Ru}(\text{CO})_2(\text{tmhd})_2]$. Adapted from ref. 91

Further, Lai *et al.*¹⁰⁸ synthesized diketonate complexes of ruthenium such as $[\text{Ru}(\text{CO})_2(\text{hfac})_2]$ **9**, $[\text{Ru}(\text{CO})_2(\text{tmhd})_2]$ **10**, $[\text{Ru}(\text{CO})_2(\text{acac})_2]$ **11** and $[\text{Ru}(\text{CO})_2(\text{tfac})_2]$ **12** in high yields by the reaction of $\text{Ru}_3(\text{CO})_{12}$ with substituted β -diketone ligands such as (hfac)H, (tmhd)H, (acac)H and (tfac)H at 160–170 °C in hydrocarbon solvents (pentane or hexane) as depicted in scheme 3. One isomer of the tfac complex (**12a**) was also isolated during the reaction revealing octahedral coordination geometry with two CO ligands located at cis-positions and with CF_3 groups of β -diketonate ligands trans to the CO ligands which was identified by a single crystal X-ray diffraction study. Thermogravimetric analysis (TGA) showed that complex **9** is more volatile than its parent acac complex **11** owing to the CF_3 group reducing the intermolecular attraction. Whereas, complex **10** exhibited a lower volatility than complex **12** due to the smaller molecular weight which results in weaker van der Waals attractive interactions between each of the individual molecules. The deposition of ruthenium thin film was observed at temperatures of 350–450 °C under H_2 atmosphere or at temperatures of 275°C–400 °C using a 2% mixture of O_2 in argon as carrier gas from complexes **9** and **10**. Under 100% O_2 atmosphere, conductive RuO_2 thin films were deposited at a preferred (200) orientation at 350 °C with the lowest resistivity (156 $\mu\Omega\text{cm}$) obtained using complex **9**. The controlled partial pressure of oxygen (i.e. 2%) and accurate tuning of the deposition temperature are important for the formation of pure thin films *i.e.* a higher partial pressure of O_2 and higher temperatures would result in a mixture of Ru and RuO_2 thin films. The synthetic strategy and molecular structures of some dicarbonyl β -diketonato ruthenium derivatives are shown in figs. 4 (A) and (B), respectively. Details of the different CVD parameters observed for these complexes under different conditions are summarized in Table 2.

Entry	Source	CGFR/sccm	$T_s/T_D/^\circ\text{C}$	P_s/Torr	Thickness/ \AA	D. Rate/ \AA min^{-1}	Resistivity/ $\rho/\mu\Omega\text{ cm}$
1	9	H ₂ (20)	28 350	5	1600	23	618
2	9	H ₂ (20)	28 400	5	3200	46	39
3	9	H ₂ (20)	28 450	5	4800	69	26
4	9	O ₂ (2%)/Ar(10)	50 300	5	600	12	34
5	9	O ₂ (2%)/Ar(10)	50 350	5	1450	28	15
6	9	O ₂ (2%)/Ar(10)	50 400	5	5000	96	37
7	10	O ₂ (2%)/Ar(10)	80 275	1	1400	31	206
8	10	O ₂ (2%)/Ar(10)	80 325	1	1600	36	111
9	10	O ₂ (2%)/Ar(10)	80 375	1	3000	67	24
10	9	O ₂ (10)	25 300	5	1600	80	218
11	9	O ₂ (10)	25 350	5	4800	240	156
12	9	O ₂ (10)	25 400	5	5400	270	170

Abbreviations: CGFR: carrier gas flow rate, T_s : source temperature, T_D : deposition temperature, P_s : initial system pressure, D. Rate: deposition rate, and Cont.: content of nonmetal elements determined by XPS.

Table 2 Experimental data of CVD experiments. Source ref. 108

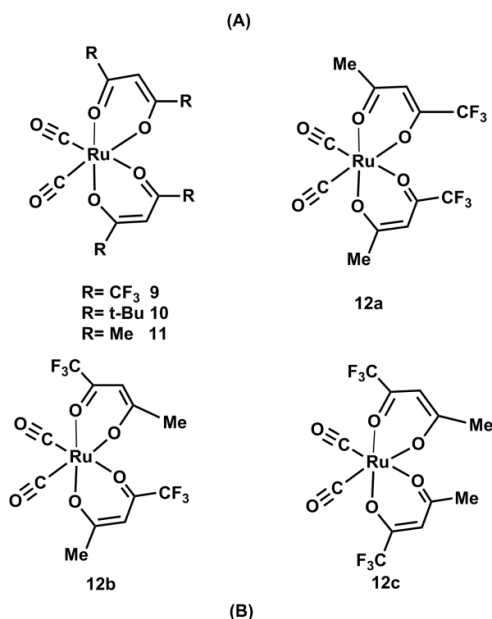
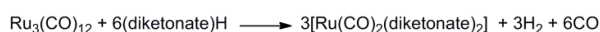


Figure 4 (A) Schematic illustration of reaction of metal carbonyl with diketones (B) Some dicarbonyl β -diketonato ruthenium derivatives. Adapted from ref. 108.

Cheng *et al.*¹⁰⁹ investigated the initial growth behavior of ruthenium (Ru) on Si (100) surfaces from $[\text{Ru}(\text{hfac})_2(\text{CO})_2]$ in a temperature range of $548 \leq T \leq 623$ K using atomic force microscopy and X-ray photoelectron spectroscopy. The Volmer–Weber growth¹¹⁰ dominates the initial stage of the deposition for increasing substrate surface termination sites. They also studied the kinetic behaviour of the nuclei formation process, which showed a lower activation energy on H-terminated surface (5 kcal/mole) than on the oxide surface (11 kcal/mol). The reaction kinetics suggest that the deposition is controlled by hfac dissociation step due to high dissociation energy for Ru-hfac (241 kcal/mol) compared to Ru-CO (57 kcal/mol). Based on the experimental results together with theoretical calculations of the precursor formation energies, they proposed the following surface chemistry mechanism depicted in Fig. 5.

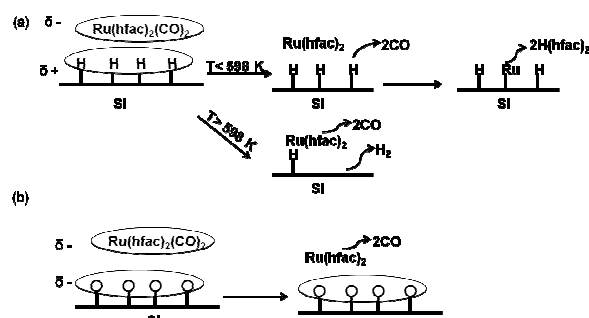


Figure 5 Reaction mechanism proposed for $[\text{Ru}(\text{hfac})_2(\text{CO})_2]$ on both (a) H-terminated and (b) OH-terminated Si(100) surfaces. Adapted from ref. 109.

Similar studies were also carried out for other ruthenium substituted carbonyl complexes by Lai *et al.*¹¹¹ They reported a new class of (amak)H ligands that is fluoro alcohol molecules with pendant amine functional group similar to the structure of β -diketonate ligand (hfac)H as depicted in fig. 6.

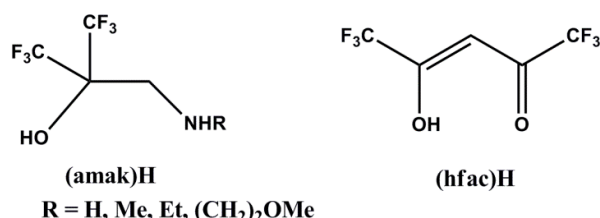
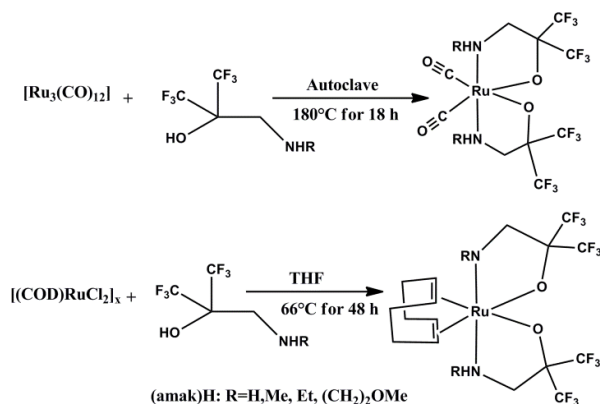


Figure 6 Structure of (amak)H ligand similar to (hfac)H ligand adapted from ref. 109.

Mostly, the (amak)H ligand having an amino group and an ionized alkoxy group, reacts with the cationic metal centre and forms stable five membered metallacycle arrangements.¹¹² The (amak)H ligands possess two electron-withdrawing CF₃ groups and enhance the acidity ($\text{pK}_a = 5.35\text{--}6.39$),¹¹³ reactivity and volatility in comparison to amino acids. So the (amak)H ligand is very suitable for the preparation of volatile metal chelate complexes for MOCVD applications. They (Lai *et al.*)¹⁰⁸ reported a series of ruthenium complexes $[\text{Ru}(\text{CO})_2(\text{amak})_2]$ (R = **13** : H, **14** : Me) and $[\text{Ru}(\text{COD})(\text{amak})_2]$ (where COD = 1,4-cyclooctadiene and R = **15** : H, **16** : Et, **17** : (CH₂)₂OMe) by the direct reaction of fluorinated amino alkoxide (amak)H with $[\text{Ru}_3(\text{CO})_{12}]$ and $[\text{Ru}(\text{COD})\text{Cl}_2]_x$ respectively as depicted in scheme 2.



Scheme 2. Synthetic scheme for the preparation of $[\text{Ru}(\text{CO})_2(\text{amak})_2]$ and $[\text{Ru}(\text{COD})(\text{amak})_2]$ complex. Adapted from ref. 112

A single crystal X-ray diffraction study¹¹² showed that the carbonyl derivative of ruthenium complexes (such as **13**, **14**)

exhibit only one type of structure with cis carbonyl ligands and with oxygen atoms of chelating amino alkoxides located trans to the carbonyl ligands. Whereas, the COD based ruthenium complexes show two distorted skeletal arrangements: one with cis amine functional groups (complex **15**), while the second has trans amino groups and with alkoxide oxygen atoms located at cis to COD ligand (complex **16**, **17**), as shown in fig. 7.

These complexes showed very good thermal stability and volatility.¹¹² Only complexes **13** and **16** were selected for CVD studies because of their higher volatility and lower melting point.¹¹² The deposition took place at temperatures of 325–425 °C in the presence of both H₂ and a mixture of 2% O₂ in argon as carrier gas. Complex **13** deposited lustrous, silver-gray colored thin films which adhered very well to the substrate surface at 375 °C using H₂ as carrier gas. Hexagonal C-axis oriented Ru thin films with (001) preferential orientation were deposited on glass substrates in a mixture of argon and ambient O₂. By switching to the COD complex **16**, Ru thin film with dense and smooth surface morphology can be obtained at a temperature as low as 325 °C under the mixed carrier gas.

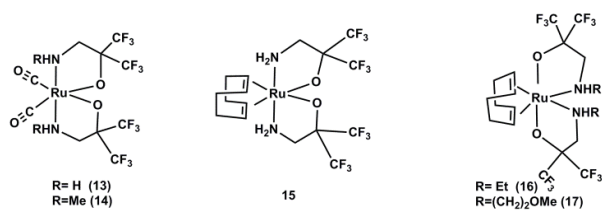


Figure 7 Structure of [Ru(CO)₂(amak)₂] and [Ru-(COD)(amak)₂] complexes. Adapted from ref. 112

(b) Organometallic Ruthenium derivatives

Organometallic compounds of ruthenium with especially hydrocarbyl containing like alkyl, allyl, olefin or cyclopentadienyl groups were found to be suitable precursors in chemical vapour deposition.¹¹⁴ The presence of hydrocarbyl precursors facilitate the thermal and/or photolytic decomposition to provide bare metal atoms condensing to form thin films of especially of nanoparticle size which are often also free from any contamination.¹¹⁵ In this process, the Ru-adsorbate bond plays an important role in thin film formation.

In this class of ruthenium compounds, several compounds based on cyclopentadienyl ligands have been prepared and studied as CVD precursor.^{116–127} The ligands attached to Ru(0) are shown in fig. 8.

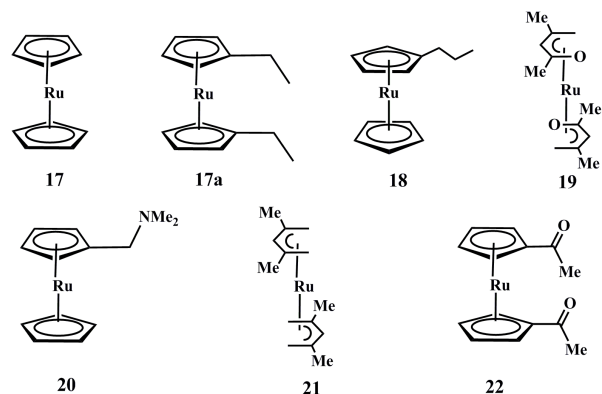
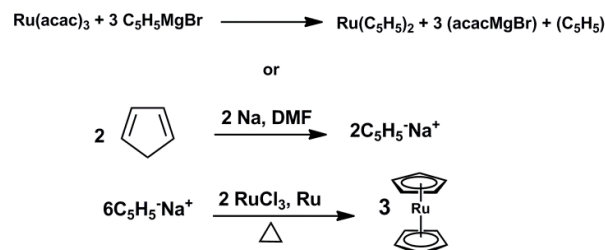


Figure 8 The structure of some ruthenium cyclopentadienyl compounds

Ruthenocenes **17** are widely applied in the preparation of resistors; they are also used as additives to liquid crystals and for plating of multilayer electrodes with oxides and metals. The

plasma induced vapor phase decomposition of ruthenocenes was used to produce metallic films at sufficiently high rate.^{116,117}

Ruthenocene (Rutheniumdicyclopentadienyl) was first synthesized in 1952 by Geoffrey Wilkinson, a Nobel laureate who assigned the structure of ferrocene only a year earlier. Originally, ruthenocene was prepared by the reaction of ruthenium tris-acetonate with excess of cyclopentadienyl magnesium bromide.^{118–120} Ruthenocene may also be prepared by the reaction of the sodium salt of cyclopentadiene with ruthenium dichloride as depicted in following scheme 3.



Scheme 3. Preparation methods of ruthenocene

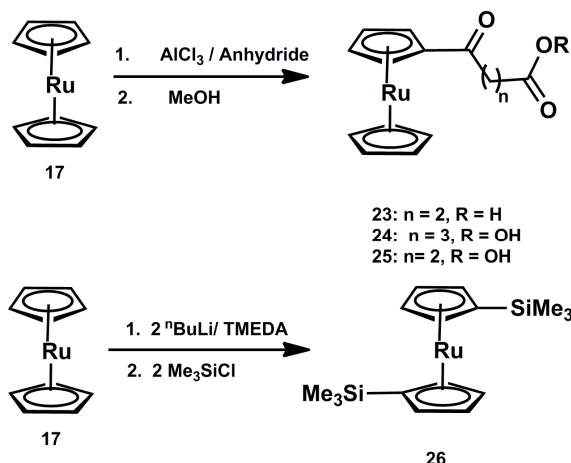
Aoyama *et al.* reported polycrystalline columnar ruthenium films deposited by liquid source chemical vapor deposition using ethylcyclopentadienylruthenium [Ru(C₂H₅C₅H₄)₂] as precursor yielding films with low resistivity, small tensile stress, and excellent step coverage.¹²¹ The complex [Ru(C₂H₅C₅H₄)₂] allows a repeatable and reproducible delivery in vapor form into the CVD reactor and is found very useful in the production of capacitor electrodes [(Ethylcyclopentadienyl)ruthenium(II)] **17a**^{122,123} have been fairly explored as CVD precursors. Although this precursor can be used to produce pure films of low resistivity when reacted with oxygen however the growth rates are very low or not reported.¹¹⁶ Therefore, another group of scientists including Nabatame *et al.* explored liquid source chemical vapor deposition from bis-(ethylcyclopentadienyl)ruthenium dissolved in THF and obtained pure ruthenium thin films deposited on SiO₂/Si substrates.¹²⁴ Later on, Kim *et al.*¹²⁵ also reported [Ru(EtCp)₂] **17a** as precursor for Ru and RuO₂ composite films grown on TiN/Ti/Si substrate by MOCVD. They investigated iodine sources (CH₃I, C₂H₅I) for improving the smoothness of the film surface at 300 °C for its application as a bottom electrode of a high density DRAM capacitor and reduce the leakage characteristics of Ru electrodes. As iodine containing sources were introduced during the deposition, a dramatically enhanced nucleation of thin film was observed at the initial deposition stage and the surface roughness of the films was reduced considerably without affecting crystallinity or the deposition rate of the composite films.

Further in 2006, Hur'yeva *et al.* explored pure ruthenium thin films by liquid-delivery metal-organic (MO)CVD in toluene in the temperature range of 330–460 °C via an oxygen-assisted pyrolysis.⁴⁴ The deposition of ruthenium thin films was controlled by surface reaction kinetics as the rate-limiting step (with an activation energy of 1.4 eV that is 135.1 kJ/mole) below 400 °C.

Ru thin films grown by [Ru(EtCp)₂] showed good properties such as low electrical resistivity, high deposition rates, and low impurity concentrations; however, [Ru(EtCp)₂] is very expensive and thus not suited for CVD in a mass production stage. Therefore, there is still a need of new precursors that can have a comparable performance as required. One high-growth rate precursor developed, is cyclopentadienyl-propylcyclopentadienyl ruthenium(II) [RuCp(i-PrCp)] **18**.¹²⁶ A [RuCp(i-PrCp)] complex leads to single phase metallic ruthenium films under all deposition conditions with growth rates of 7.5 nm/min to

20 nm/min also with a low resistivity (12 $\mu\Omega\text{cm}$) at the Ru/TiN interface. The interface between Ru and TiN was not changed even after annealing at 600°C under a N₂ atmosphere because of negligible amount of residual oxygen content. However, films showed a poor nucleation behaviour both on SiO₂ surfaces as well as on Ta₂O₅ surfaces owing to the non wetting behaviour of Ru on SiO₂ resulting in a rough surface morphology or very small film thicknesses respectively. The decrease in film growth rate indicated an increased stress which also affected the nucleation behaviour through intrinsic stress generation by zipping of the nuclei. This phenomenon was explained by a diffusive stress relaxation mechanism.¹²⁷

Complex **17** was used in preparation of complex **26-28** in 7, 14, 23 and 73% yield respectively as shown in Scheme 4.

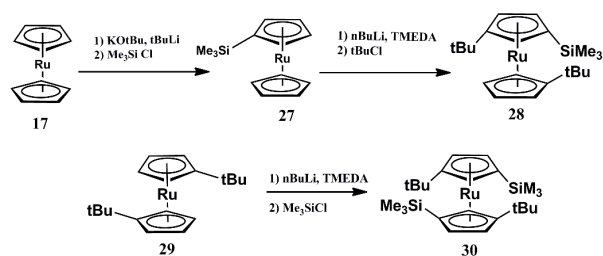


Scheme 4 Synthetic strategy followed for the preparation of complexes **23-26**. Adapted from ref. 128

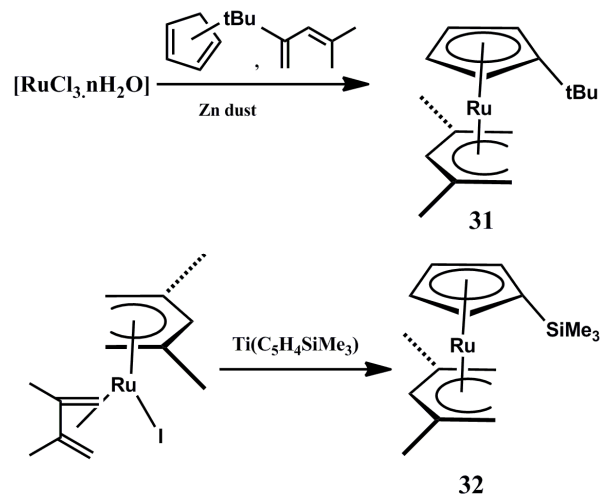
On prima facie, one can understand that complexes **23** and **24** lead to self assembled structure (bimolecular or more), because they contain carboxyl groups. However, to understand the quantitative differences in the reactivity of such ruthenocenes at least a theoretical investigation is required by optimizing the structures and calculating the electron density on each molecule. The physical properties of such molecules reported by Siddiqi *et al.*¹²⁸ could be taken into consideration to correlate their physical properties with the localization of electron density on ruthenium atom or on the molecular skeleton as a whole. It is concluded that **17-26** are most thermally stable molecule good volatility for CVD application.

It is well known that introduction of trimethylsilyl groups in ruthenocenes resulted in both high vapor pressures and low melting points.¹²⁹ Recently, Tuchscherer *et al.*¹³⁰ reported synthesis, characterization and application of a series of trimethylsilyl, 1-tert-butyl-substituted and half open ruthenocenes as CVD precursors for ruthenium thin film deposition. The complexes were characterized by single crystal X-ray diffraction and their synthetic route is depicted in scheme 5 and 6. All these ruthenocenes evaporate without decomposition at atmospheric pressure. The highest volatility among all ruthenocenes was found for **31** and **32**. It was also observed that the deposition temperature increases with the number of SiMe₃ groups. All complexes are found to be good precursors for MOCVD. The ruthenium film depositions on Si/SiO₂ targets were carried out in the temperature range of 633-688 K with a flow rate of 50 mL min⁻¹ using nitrogen as the carrier gas. Pure ruthenium thin films were formed from tBu-functionalized ruthenocenes **29** and **31**, while mixed ruthenium/SiO₂ layers were obtained from **27**, **28**,

29 and **32**. The appropriate layers possess thicknesses between 75–135 nm and are conformal and dense as proven by SEM, EDX spectroscopy, and XPS studies.



Scheme 5 synthesis protocol for the preparation of ruthenocenes **28** and **30**. Adapted from ref. 130



Scheme 6 synthesis protocol for the preparation of half open ruthenocenes **31** and **32**. Adapted from ref. 130.

Another family of ruthenium CVD precursors includes ruthenium arene-diene compounds of the type [Ru(η⁶-C₆H₅R)(η⁴-diene)] (R = H, Et, etc.; diene = 1,3-butadiene, 1,3-hexadiene, 1,5-cyclooctadiene, etc.) allowing pure Ru deposition at relatively low temperatures. Ruthenium films obtained from (η⁶-benzene)(η⁴-1,3-cyclohexadiene) ruthenium precursor complex (see fig. 9) were deposited on a p-type(100)silicon substrate by MOCVD without any reaction gas.¹³¹ The composition of the film was established using the elastic recoil detection time of flight (ERD-TOF) technique¹³² using both, the flight time and the energy of the recoiled elements.

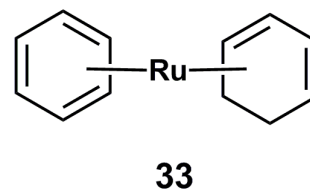
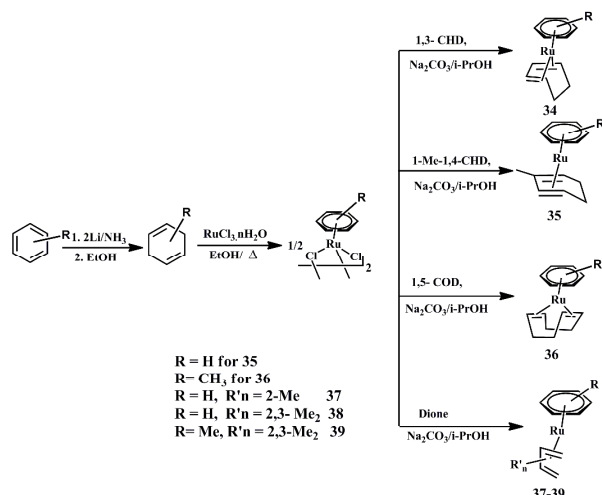


Figure. 9 Structure of (η⁶-benzene)(η⁴-1,3-cyclohexadiene) ruthenium, Ru(C₆H₆)(C₆H₈)

Further, Schneider *et al.*⁶ had also exploited [(Benzene)(1,3-cyclohexadiene Ru(0))] **33** as a MOCVD precursor for the formation of ruthenium thin films. They investigated mainly the process parameters which promote the purity of the deposited ruthenium films without the help of a reactive gas. The experiments were performed with Si wafers as substrates at a total pressure of 50 mbar, substrate temperature range of 200–450 °C, and helium carrier gas velocity of 1.5 to 16.5 cm s⁻¹. The

synthetic strategy for the preparation of [(benzene)(1,3-cyclohexadiene)Ru(0)] is depicted in scheme 7. Pure ruthenium films (with only 3 mol.-% carbon impurity) were deposited at a substrate temperature of 300 °C and a carrier gas velocity of 12.8 cm s⁻¹.



Scheme 7 Preparation method some closed and open ruthenocene.

From fig 10, it is clearly observed that the formation of benzene by dehydrogenation of 1,3-cyclohexadiene takes place effectively in the surface reaction.⁶ The hydrogen obtained from the dehydrogenation could be trapped in the ruthenium film and is not removed by the carrier gas even at high flow velocities or high substrate temperatures. However, both ligands are directly connected by hydrogen transfer.

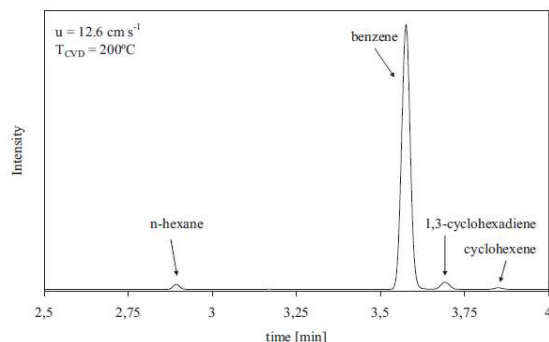


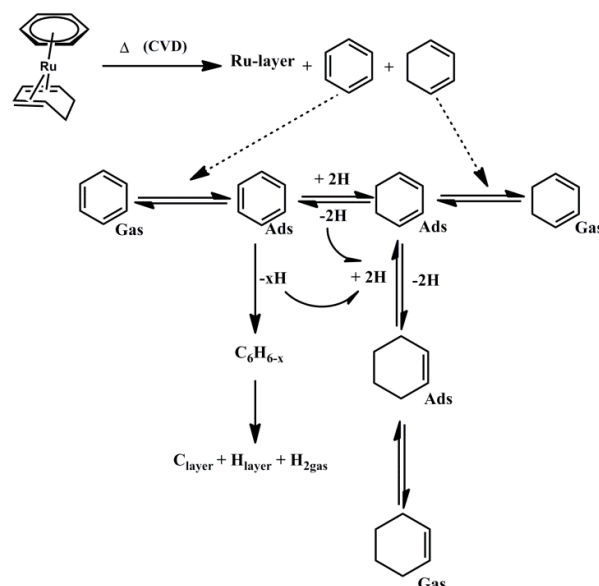
Figure. 10 Gas chromatogram of condensable organic by-products of the MOCVD formation of a thin ruthenium film with [(benzene)(benzene)1,3-cyclohexadiene)Ru] as the precursor. Source ref. 6.

The formation of benzene from 1,3-cyclohexadiene is thermodynamically strongly favoured due to its low Gibbs energy of formation. From the experimental findings (GC), the reaction mechanism for the formation of ruthenium thin film from [(benzene)(benzene)1,3-cyclohexadiene)Ru] was proposed⁶ and summarized in scheme 8. The authors also established the thermodynamic aspect of the adsorption/desorption equilibrium and calculated the gas phase equilibrium constant, K as given in Table 3 for a total pressure of 50 mbar and at various temperatures.

Reaction	Gas Phase equilibrium constant, K (-)		
$C_6H_8 \leftrightarrow C_6H_6 + H_2$	1.19×10^9	5.20×10^8	2.41×10^8

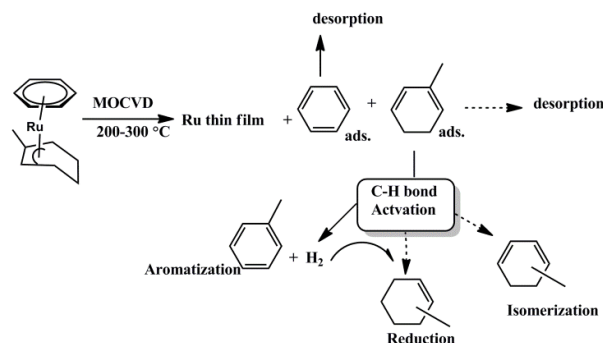
$C_6H_8 + H_2 \leftrightarrow C_6H_{10}$	6260	42.7	0.30
Temperature, T(°C)	200	300	450

Table 3 Calculated temperature dependent gas phase, equilibrium constant. Source ref. 6



35 Scheme 8 Decomposition of [(benzene)(1,3-cyclohexadiene)Ru(0)] and ruthenium surface chemistry of its ligands. Adapted from ref. 6.

Recently, Jipa *et al.* synthesized and characterized some methylated [(arene)(1,3-cyclohexadiene)Ru(0)] complexes such as [(Benzene)(2-methyl-1,3-cyclohexadiene)Ru(0)] **35**¹³³ as shown in scheme 7. Scheme 9 shows a possible reaction mechanism which follows the conversion of the 2-Me-1,3-CHD ligand of **35** into toluene without isomerization and reduction by a C-H bond activation of adsorbed Me-CHD.¹³³ The intermolecular hydrogen transfer processes, and the isomerisation of 2-Me-1,3-CHD also takes place, which lead to further Me-CHD isomers without affecting the benzene ligand owing to its high stability.

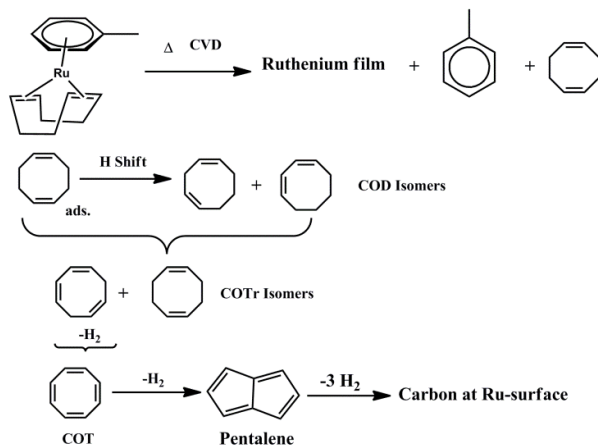


Scheme 9 MOCVD and follow-up chemistry of the ligands of complex **35** at 200-300 °C substrate temperature. Adapted from ref. 133.

Schneider *et al.* synthesized a half open ruthenium complex such as [(toluene)(1,5-cyclooctadiene)Ru(0)] **36** and deposited films on copper and silicon substrates in a vertical cold wall reactor. It led to the formation of thin metallic ruthenium films with low carbon content at 150-450 °C.¹³⁴ Smooth homogeneous ruthenium thin films were obtained using He carrier gas at a pressure of 100 mbar in the temperature range of 160-250 °C. The synthetic path is shown in scheme 7.

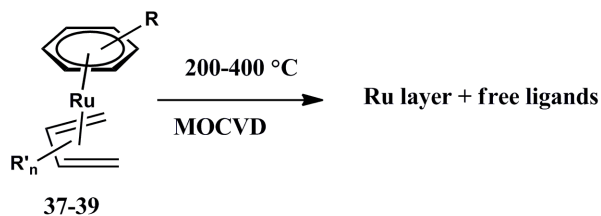
The MOCVD process starting with precursor **36** showed that the

decomposition of ligands into toluene and 1,5-COD with traces of the solvent n-hexane were found on the surface.¹³⁵ n-hexane was used throughout the synthesis of **36** and assumed that it is not a decomposition product of the ligands. The reaction pathways are depicted in scheme 10.



Scheme 10 Basic CVD Process of **36** and isomerization and dehydrogenation of the dissociated ligands 1,5-COD at the ruthenium surface. Adapted from ref. 135.

Jipa *et al.* also synthesized some methylated [(benzene)(1,3-butadiene)Ru(0)] derivatives such as [(Benzene)(2-methyl-1,3-butadiene) Ru(0)] **37**, [(benzene)(2,3-dimethyl-1,3-butadiene) Ru(0)] **39** and [(2,3-dimethyl-1,3-butadiene)(toluene)Ru(0)] **38** as novel MOCVD precursors with favorable deposition properties for thin polycrystalline ruthenium films with a low surface roughness as shown in scheme 7.¹³⁵ Polycrystalline thin ruthenium films with rough surface were deposited on silicon wafers at 200 and 400 °C substrate temperatures in a nitrogen gas atmosphere. The reaction kinetics starts with the activation of C-H and C-C bonds of hydrocarbons with the complex as a highly active Fischer-Tropsch catalyst and forms thin ruthenium films on the surface as depicted in scheme 11



Scheme 11 Ru layer formation from complexes **37-39**. Adapted from ref. 127.

Among the different sources of ruthenium mentioned above, [Ru(DMPD)(EtCp)], (DMPD=2,4-dimethylpentadienyl, EtCp= ethylcyclopentadienyl) showed the best physical properties which makes it a promising precursor of MOCVD as depicted in Fig. 11. It showed sufficient stability, fine volatility, and low viscosity. It has a lower deposition temperature (60°C), a short incubation time, a smoother surface and higher nucleation density at the initial stage of deposition. The most advantageous characteristic of this compound is that it can be used to deposit a stable conformal Ru film on 3D structured substrates without a Ru seed layer as it has a linear-dienyl ligand, 2,4-pentadienyl(DMPD) **40** instead of an allyl-substituted cyclopentadienyl ligand **43** in its precursor.

In this context, Kawano *et al.*¹³⁶ had reported that decomposition temperature of [Ru(DMPD)(EtCp)] **40** was 270°C, which was

80°C lower than that of [Ru(EtCp)₂]. It owes to change of one ligand from allyl-substituted cyclopentadienyl group to an alkyl-substituted linear pentadienyl group. The [Ru(DMPD)₂] with two alkyl-substituted linear pentadienyl ligand decomposed at 210°C which is 60°C lower than [Ru(DMPD)(EtCp)]. Thus, it showed that [Ru(DMPD)₂] may be a useful MOCVD precursor.

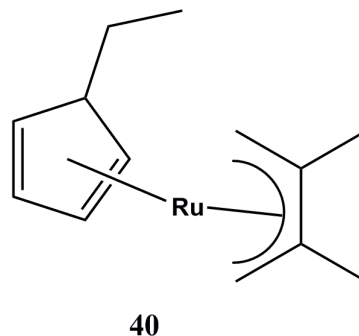


Figure 11 Molecular structure of [Ru(DMPD)(EtCp)] **40**

The study¹³⁶ showed that [Ru(DMPD)₂] can be used in a wider range of deposition temperature in the transported limited temperature regime as compare to [Ru(DMPD)(EtCp)]. The thin films of [Ru(DMPD)₂] can be deposited below 200°C whereas film deposition for [Ru(DMPD)(EtCp)] was found only above 250°C.

One interesting dinuclear complex of cyclopentadiene [Ru(C₅H₅(CO)₂)₂] **41** as shown in figure 16, formed pure, conductive, conformal ruthenium films on patterned Si₃N₄ and on flat barium strontium titanate BST in the presence of oxygen carrier gas.¹³⁷ [Ru(C₅H₅(CO)₂)₂] **41** has labile CO ligands as leaving groups decrease the decomposition temperature.

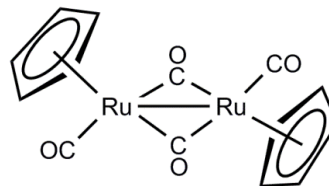
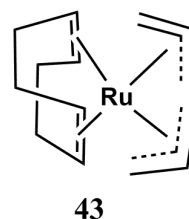


Figure 12 Molecular structure of [Ru(C₅H₅(CO)₂)₂] **41**

Pure Ru thin films were deposited on Si substrate using [Ru(OD)₃] **42** (OD = octanedionate) by metalorganic chemical vapor deposition (MOCVD). The study showed that deposition of thin film depend on MOCVD process parameters such as deposition temperature, O₂ / (O₂+Ar) ratio, and reactor pressure.^{138,139}

Cyclopentadienyl complexes of previously synthesized ruthenium allylic derivative such as [Ru(η³-C₃H₅)₂(COD)] **43**, COD= 1,4-cyclooctadiene,¹⁴⁰ had been extensively studied as CVD precursor at low temperatures on α-Al₂O₃ and surface oxidized Si(100) in N₂, N₂+ H₂, N₂+O₂, or O₂ flow.¹⁴¹ It was observed that H₂ addition increased the metal purity and decreased the grain sizes.

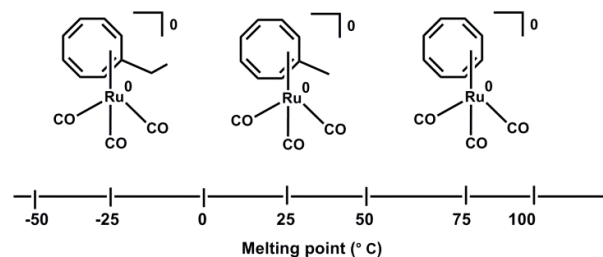


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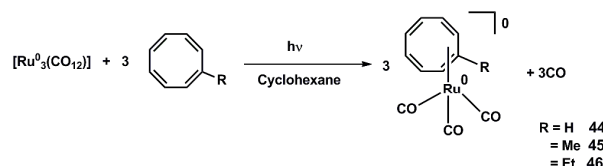
Figure 13 Molecular structure of $[\text{Ru}(\eta^3\text{-C}_3\text{H}_5)_2(\text{COD})]$ **43**

Barison *et al.* also studied nanocrystalline RuO_2 and Ru films obtained from $\text{Ru}(\text{COD})(\eta^3\text{-allyl})_2$ (COD =cycloocta-1,5-diene) on metallic titanium discs at a temperature of 300 °C by the electrocatalytic O_2 evolution.^{142,143}

Recently, Ando *et al.*¹⁴⁴ reported the synthesis of a series of Ru(0) cyclooctatetraene complexes for MOCVD (metal organic chemical vapour deposition) applications. A melting point relation of the CVD precursors is reported and shown in Figure 14. The melting point increases with the decrease in alkyl substituent at cyclooctatetraene ring.

Figure 14 Structure and Melting points CVD precursor **44-46**. Adapted from ref. 144.

The synthetic strategy of Ru(0) COT complexes, $[(\eta^4\text{-COT-R})(\text{CO})_3 \text{Ru}(0)]$ (R = H: **44**, Me: **45**, Et: **46**, COTR = R-cycloocta-1,3,5,7-tetraene for MOCVD is depicted in scheme 12. Conformal ruthenium films were deposited on SiO_2 substrates even within holes with aspect ratios of 40: 1. The ruthenium film formation improves by the introduction of methyl and ethyl group into the COT ligand.

Scheme 12 Synthesis of CVD precursor **44-46**. Adapted from ref. 144.

(c) Ruthenium derivatives containing N-donor ligands

N-donor ligands may be an interesting alternative to the precursors discussed so far. Since CVD precursors are mostly governed by the ligand frameworks, it may be helpful to enlist some selected nitrogen donors in Fig. 15. These nitrogen donors were used as ligands in transition metal complexes.¹⁴⁵

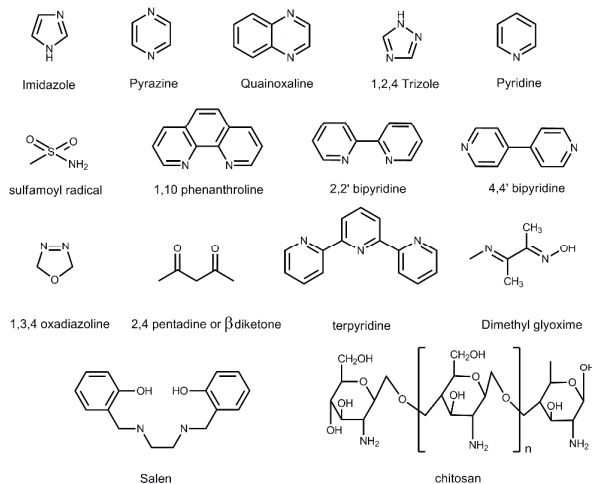
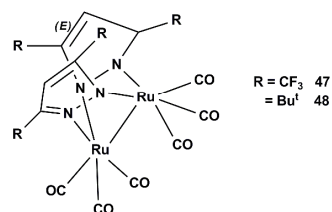
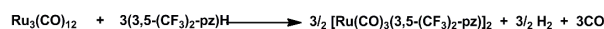


Figure 15 Some nitrogen donor ligands.

In 2003, Carty and co-workers reported the synthesis and structure of double pyrazolate bridged ruthenium complexes $[(\text{CO})_3\text{Ru}(3,5\text{-}(\text{CF}_3)_2\text{Pz})_2]$ **47-48** {3,5-(CF_3)₂Pz=3,5-bis(trifluoromethyl)pyrazole} at low temperature 180 °C which exhibited superior volatility as compared to its parent compound $\text{Ru}_3(\text{CO})_{12}$ and closely related 3,5, di-tert-butyl pyrazole complex $[\text{Ru}_2(\text{CO})_5(3,5\text{-t-Bu}_2\text{-pz})_2]$ for its practical use in CVD.^{146,147} The introduction of CF_3 groups in pyrazole moiety increases the volatility of the bridged ruthenium complex **47** as compared to complex **48**. The synthetic route for the preparation is shown in scheme 13 and the structure of the complex as derived from X-Ray diffraction is depicted in fig. 16.



Scheme 13 Synthesis and molecular structure of pyrazolate bridged ruthenium complexes. Adapted from ref. 147.

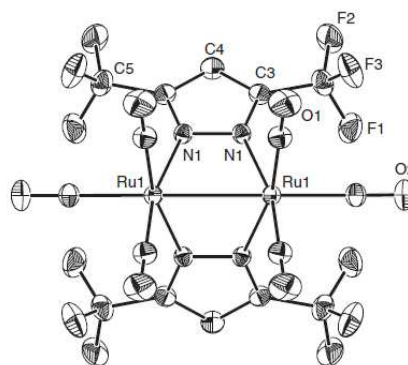
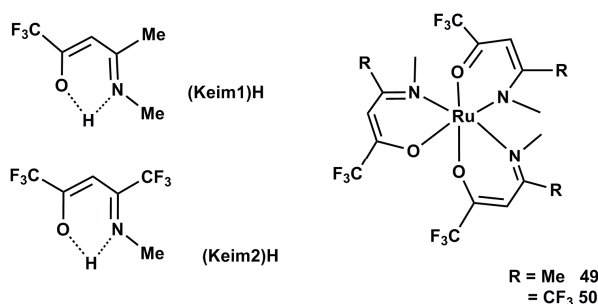
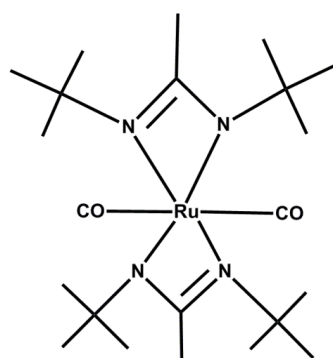
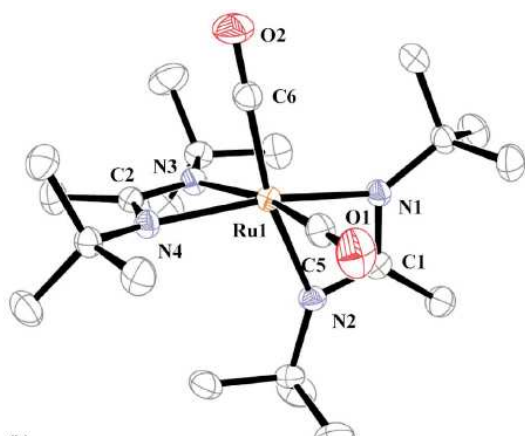


Figure 16 The structure of pyrazolate bridged ruthenium complex. Source ref. 147.

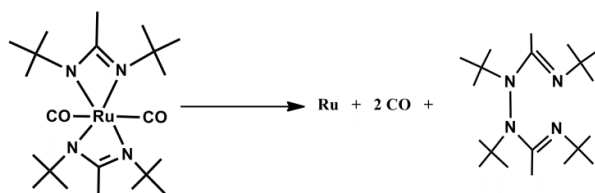
Chou *et al.*⁷⁸ synthesized two octahedral Ru(III) complexes such as $[\text{Ru}(\text{keim1})_3]$ **49** and $[\text{Ru}(\text{keim2})_3]$ **50**, (keim1= $-\text{OC}(\text{CF}_3)=\text{CHC}-\text{CH}_3=\text{NMe}$, keim2= $-\text{OC}(\text{CF}_3)=\text{CHC}-\text{CF}_3=\text{NMe}$) with good volatility and thermal stability. The CVD study showed that the film structures varied according to the nature of source reagent and carrier gas. $[\text{Ru}(\text{keim1})_3]$ lead to the formation of a (002) preferred orientation using a mixture of 2% O_2 in argon or pure O_2 as carrier gas, while $[\text{Ru}(\text{keim2})_3]$ yielded a randomly oriented Ru thin film. The physical properties such as temperature, of the complexes can seriously affect the kinetics and mechanism of RuO_2 growth behaviour. The formation of (200) growth of RuO_2 columnar thin films is observed when $[\text{Ru}(\text{keim1})_3]$ is used in pure O_2 carrier gas at 1 atm below 270°C.

Figure 17 structure of CF₃ substituted ketoimine ligands.

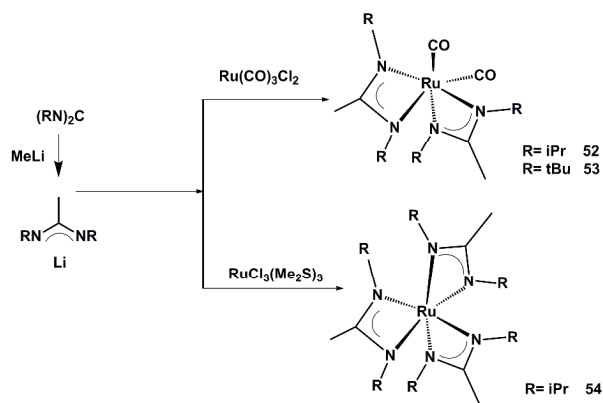
Li *et al.* reported high purity (0.2% impurities) fine-grained polycrystalline ruthenium thin film deposition obtained from a volatile ruthenium amidinate precursor [Ru(Bu-Me-amd)₂(CO)₂] **51**, (bis(N,N-di-tert-butylacetamidinato) ruthenium(II)dicarbonyl) without any coreactant via pulsed CVD at above 300°C.¹⁴⁸ The molecular structure and crystal structure of Ru amidinate precursor as shown in figure 18 and 19.

Figure 18 Molecular structure of [Ru(Bu-Me-amd)₂(CO)₂] **51**Figure 19 Crystal structure of [Ru(Bu-Me-amd)₂(CO)₂]

For thin film formation, they proposed a thermal decomposition mechanism as shown in scheme 14. It shows that [Ru(Bu-Me-amd)₂(CO)₂] **51** decomposes by releasing carbon monoxide and the ligand dimer.

Scheme 14 Mechanism of ruthenium thin deposition from [Ru(Bu-Me-amd)₂(CO)₂]. Adapted from ref. 136.

In this class of ruthenium complexes, three new amidinate complexes of types tris(diisopropylacetamidinato)-ruthenium(III) [Ru(iPrNC(Me)NiPr)₃] **52**, bis(diisopropylacetamidinato)ruthenium(II) dicarbonyl [Ru(iPrNC(Me)NiPr)₂(CO)₂] **53** and bis(di-tert-butylacetamidinato)ruthenium(II) dicarbonyl [Ru(tBuNC(Me)NtBu)₂(CO)₂] **54** were found to be sufficiently volatile and thermally stable and thus suitable for chemical vapor deposition.¹⁴⁹ A schematic view of their synthesis is shown in Scheme 15. Starting materials *mer*-RuCl₃(MeS)₃, [RuCl₂(CO)₃]₂, and RuCl₂(CO)₃(C₄H₈O) which have relatively high solubility in ether or THF, were suitable for the synthesis of ruthenium amidinate compounds with quite good yields.

Scheme 15. Synthesis of Ru amidinate **52-54**. Adapted from ref. 149.

These compounds owing to the formation of a Ru-N bond, are found to be reactive towards hydrogen (forming Ru), water (forming oxide) and ammonia (forming nitrides) with minimal impurities of carbon and oxygen in the deposited films. However, complexes containing Ru-C bonds lack a sufficient reactivity with hydrogen, water and ammonia.¹⁴⁸ Thus, in general, the advantages of the metal amidinate precursors include sufficient volatility, low deposition temperature, high thermal stability and good quality of the formed films.

The physical characteristics of these newly synthesized compounds **52-54** are listed in a Table 4.

	Vapor Pressure(°C/Torr)	M.P./ °C	Color	T _{1/2} (hr)
52	85/0.05	>250	blue	15
53	50/0.045	122	yellow	n/a
54	130/0.055	204	Pale yellow	44

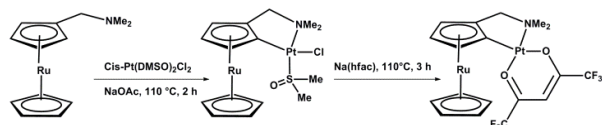
Table 4 Physical Properties of Complexes **52-54**. Source ref. 137.

(d) Miscellaneous ruthenium CVD precursors

As mentioned earlier, Ru thin film deposition often follows a Volmer-Weber growth mechanism. Due to the high surface energy and due to much faster diffusion at grain boundaries this leads to polycrystalline, columnar films.¹⁵⁰ For a good microstructure of Ru films, an improvement in the barrier properties are essential.¹⁵¹ As changing the Ru film microstructure from polycrystalline to nanocrystalline should eliminate or suppress the fast diffusion through grain boundaries and increase the film nucleation and deposition rate. It is also believed that the choice of precursors and reaction conditions can strongly influence both, the composition and the size of thin film. In this context, some other precursors including other atoms which may lead to the formation of Ru containing films in CVD will be discussed here.

Huang *et al.* reported¹⁵² a mixed Pt-Ru complex [CpRu(η⁵-C₅H₃CH₂NMe₂)Pt(DMSO)Cl] **55** which is highly volatile. The deposition of thin films from this compound at lower temperature leads to highly dispersed Pt-Ru binary alloys. However, the phase

separation of the initially homogenous Pt-Ru was observed upon raising the temperature to 400°C.



Scheme 16 synthetic strategy of $[\text{CpRu}(\eta^5\text{-C}_5\text{H}_5\text{CH}_2\text{NMe}_2)\text{Pt}(\text{DMSO})\text{Cl}]$ **55**. Adapted from ref. 152.

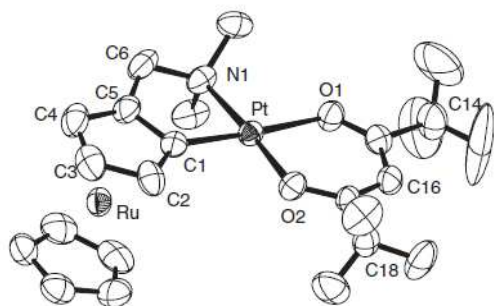


Figure 20 Crystal structure of $[\text{CpRu}(\eta^5\text{-C}_5\text{H}_5\text{CH}_2\text{NMe}_2)\text{Pt}(\text{DMSO})\text{Cl}]$ depicting the binding mode. Source ref. 152

Kang *et al.* also reported Pt-doped Ru thin films using cyclopentadienyl-propylcyclopentadienylruthenium(II) and (trimethyl)methylcyclopentadienylplatinum(IV) **56** as the Ru and Pt CVD precursors.⁷⁴

In 2006, Shin *et al.*¹⁵³ explored highly conformal, smooth films of amorphous ruthenium-phosphorus alloy (RuP) using *cis*- $\text{H}_2\text{Ru}(\text{PMe}_3)_4$ ($\text{Me}=\text{CH}_3$) which contains Ru-H and Ru-P bonds. The CVD process depends on the ligand selection: In *cis*- $\text{H}_2\text{Ru}(\text{PMe}_3)_4$ **57**, the PMe_3 ligands is a highly volatile leaving group whereas the Ru-H bond facilitate a dissociative adsorption. Growth of highly conformal, smooth films of amorphous RuP alloys were formed after the complete demethylation of PMe_3 on Ru(0001) at 500 K. The chemical composition of this precursor has a direct influence on both the elemental composition and morphology of the grown films. A further detailed study of this precursor¹⁵⁴ describes that the films remain amorphous even upon heating for 3 h at 635 K, and only begin to crystallize upon annealing at 775 K for 30 min in vacuum. Furthermore, it is believed that heteroatom incorporation interferes with crystallite formation, since the as-deposited films are X-ray amorphous showing no long range order. Further McCarty *et al.*¹⁵⁵ extended this concept and prepared some new ruthenium phosphite hydride complexes $\text{H}_2\text{Ru}(\text{P}(\text{OR})_3)_4$ ($\text{R} = \text{Me}$ **58**, Et **59** or *i*Pr **60**) in order to determine the possible effects of precursor ligand chemistry on film morphology and composition. The incorporation of trialkylphosphite ($\text{P}(\text{OR})_3$) over trialkyl phosphine as they are more air stable and less expensive. The composition of the films was found to depend on the ligand chemistry as well as on the deposition conditions. The use of H_2 as the carrier gas had the effect of increasing the relative concentrations of P and O for all films. The crystal structure of $[\text{H}_2\text{Ru}(\text{P}(\text{OMe})_3)_4]$ is depicted in Fig 21.

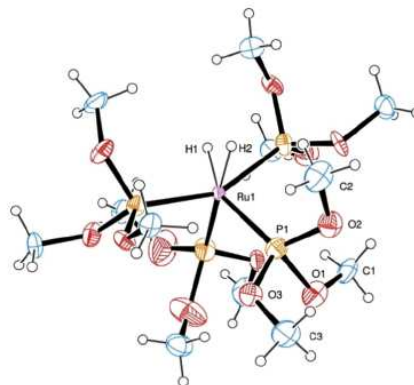


Figure 21 Crystal structure of $[\text{H}_2\text{Ru}(\text{P}(\text{OMe})_3)_4]$. Source ref. 155.

In the formation of ruthenium thin films, mainly carbon impurities have been present owing to insufficient oxidant supply during the deposition process. The co-deposited C may hinder the grain growth of metal particles, resulting in the formation of nanoparticle with significantly large surface area. For utilization of this property in 2007, Sakata *et al.*¹⁵⁶ reported ruthenium-carbon (Ru-C) nano-composite films which were prepared by plasma-enhanced chemical vapor deposition (PECVD) in the presence of Ar gas using $[\text{Ru}(\text{dpm})_3]$ or $[\text{Ru}(\text{tmhd})_3]$ (dipivaloylmethanate) **61** as ruthenium precursors (Figure 22). Ruthenium thin films were formed as (10 to 20 nm) agglomerated grains with 2.5 to 3.5 nm of Ru particles in a carbon matrix. The interfacial electrical conductivity is calculated $0.2 \times 10^{-3} \text{Sm}^{-1}$ at the Ru-C/YSZ interface (Ru-yttria-stabilized zirconia solid electrolyte) at 500K.

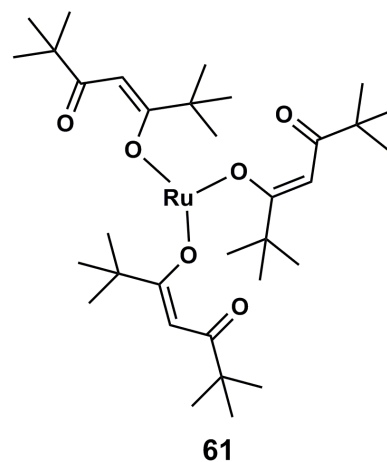


Figure 22 Structure of dipivaloylmethanate ruthenium or $\text{Ru}(\text{tmhd})_3$

Li *et al.*¹⁵⁷ also explored a single source precursor heteronuclear carbonyl cluster $[\text{RuOs}_3(\text{CO})_{13}(\mu\text{-H}_2)]$ **62** for the preparation of thin films of Os-Ru binary alloy. The ratio of osmium to ruthenium varied with the deposition temperature, and at higher temperatures (400-500°C) the deposited surface was smoother. The structure of the cluster represented in fig. 23.

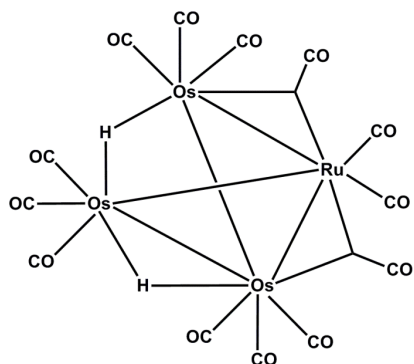
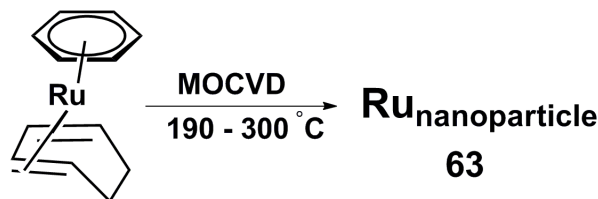


Figure 23 Molecular structure of mixed Os-Ru carbonyl cluster **62**

Recently Popovska *et al.* prepared carbon nanotubes by catalytic chemical vapor deposition (CCVD) with the help of iron, ruthenium and bimetallic Fe/Ru nanoparticles on spNaY zeolite support and acetylene as the carbon source.¹⁵⁸ The nanoparticle catalysts **63** were prepared by fluidized bed metal organic chemical vapor deposition (FB-MOCVD) using ruthenium precursor [(benzene)(1,3-cyclohexadiene)Ru(0)] and form CNTs with low 0.05 wt.% Ru concentrations. The crystalline solid ruthenium thin film deposition occurs as the formation of metal nanoparticles at oxidic surfaces. The deposition scheme is presented in Scheme 17.



Scheme 17 [(Arene)(diene)Ru(0)] **63** metal organic precursor complexes used for the metal catalysts deposition. Adapted from ref. 158.

In 2011, Bouanis *et al.* reported single-walled carbon nanotubes (SWCNTs) growth on Ru nanoparticle catalyst **64** synthesized by RuTTP complex (5,10,15,20-Tetraphenyl-21H,23H-porphine ruthenium(II) via chemical vapor deposition as represented in Figure 24.¹⁵⁹ Self assembled monolayers of Ru tetraphenyl porphyrins (RuTTP) were used as catalyst on the SiO₂/Si(100) growth substrates pre-functionalized by silanization to form a pyridine terminated molecular monolayer by HFCVD (hot filament CVD).

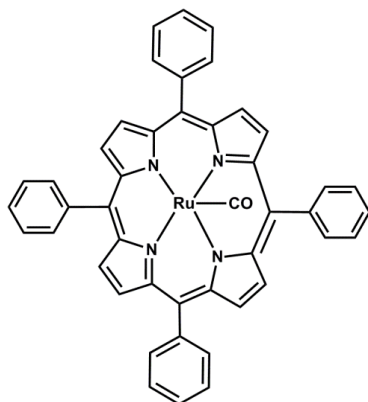


Figure 24 Structure of 5,10,15,20-Tetraphenyl-21H,23H-porphine ruthenium(II) carbonyl **64**

Recently, nanoparticles from dodecatriiruthenium dodecacarbonyl Ru₃(CO)₁₂ **65** were also explored for ruthenium deposition on SiO₂/Si(001) substrates at 473 K with and without an

overpressure of CO by Liao *et al.*¹⁶⁰ A highly smooth thin film is obtained by carbon monoxide addition during growth. The reaction kinetics showed that the addition of CO to the reaction chamber reduces the Ru nanoparticle density by limiting the Ru adatom formation at hydroxyl sites as depicted in Figure 25. The gas CO also adsorbs on the surface of Ru nanoparticles and facilitates the ruthenium island formation. The addition of CO with proper timing and effective partial pressure decreases the film growth rate, surface roughness, and nanocrystalline grain growth. Carbon monoxide was employed to inhibit the growth of previously nucleated islands to allow the formation of additional nuclei. After inhibition of growth, previously nucleated islands of ruthenium allow the formation of additional nuclei. The CO blocks the free hydroxyls present on the silica substrate where the Ru precursor adsorption and decomposition occurs. After two hours, thinner and smoother ruthenium thin films were obtained compared to a deposition without CO for 10 min because CO adsorption on the Ru surface slows the Ru island growth rate.

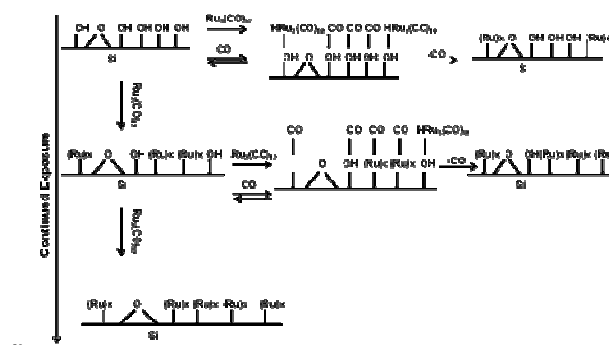


Figure 25 Schematic diagram of CO addition to Ru CVD at different times as compared to deposition without CO. Adapted from ref. 160.

In summary, an introduction of F over H on diketonate and pyrazolate ruthenium precursors increases the volatility leading to the formation of thin film layer formation. However, carboxyl groups bearing ruthenocene complexes show a higher volatility compared to the corresponding ruthenocenes. Introduction of trimethyl silyl groups in organometallic ruthenium precursors also induces both, higher vapor pressures and lower melting points. As compared to [(benzene)(1,3-cyclohexadiene)Ru(0)], its alkyl substituted derivatives also improves the CVD properties.

5. Conclusion

In this review, we have discussed a variety of ruthenium precursors for chemical vapour deposition that have been reported in last few years. Exciting progress has been made during the past few decades in the synthesis and electronic applications of ruthenium precursors to form pure films using developed methodology and have been attempted to present an up-to-date overview of this rapidly growing field of research. For those unfamiliar with CVD, a brief introduction of the CVD technique and significant applications of CVD films are given. The examples collected in the present review focus mainly on ruthenium based organometallics, carbonyls, diketonates and nitrogen containing derivatives. It is observed that small changes in the ligand frame work in the periphery of ruthenium precursors can significantly influence properties which in turn can have a distinct effects in the properties of the deposited thin films. Mainly different bond strengths of C-H and C-C bond compared to Ru-C or Ru-O bonds are important regarding the

decomposition pathways and temperature regimes for CVD. These properties also directly influence the carbon contamination within the films. Further improvements seem possible here, also including theoretical evaluation methods to estimate bond strengths in designed precursors prior to their synthesis. But theory, in general, cannot provide good estimates regarding vapor pressures, while reasonably high vapor pressures are a crucial prerequisite for CVD. At the end careful experiments will be needed to investigate the difference of evaporation temperature and deposition temperature of a given precursor.

The properties of the deposited films are intrinsically coupled to the properties of the precursors, thus further progress regarding typical properties like specific conductivity can be expected with improved precursor design. As seen, quite often a compromise must be found between the desired precursor and film properties and the price of the precursors, and thus of the production. Many material chemists are actively involved in this promising field, and hence, the synthesis of new highly efficient and advanced ruthenium precursors for CVD is still demanding.

20 Notes and references

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