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1	The effects of carbon nanotubes on the nitrogen and phosphorus
2	removal from real wastewater in the activated sludge system
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11 Abstract

The wide use of carbon nanotubes (CNTs), such as single-walled carbon nanotubes 12 (SWNTs) and multi-walled carbon nanotubes (MWNTs), inevitably causes their release 13 14 into the environment. Previous studies pointed out that the released CNTs would cause 15 the negative effects on model animals, plants, or microorganisms. Nevertheless, to date, it is unclear whether the presence of CNTs in wastewater treatment plant (WWTP) could 16 affect biological nitrogen and phosphorus removal. In this paper, the potential effects of 17 CNTs (SWNTs and MWNTs) on nitrogen and phosphorus removal from real wastewater 18 in an activated sludge system were investigated. It was found that the presence of CNTs 19 had no significant impacts on nitrogen and phosphorus removal even at the exposure 20 21 concentration of 100 mg/L. Mechanism studies indicated that the sludge membrane integrity, viability and the respiration of both heterotrophic and autotrophic 22 23 microorganisms were not affected by CNTs. Further experiments revealed that the 24 presence of CNTs also did not change the transformations of intracellular metabolites (mainly glycogen and polyhydroxyalkanoates) and activities of key enzymes (mainly 25 ammonia monooxygenase, nitrite oxidoreductase, nitrate reductase, nitrite reductase, 26 exopolyphosphatase, and polyphosphate kinase), which was consistent with no observed 27 influences on nitrogen and phosphorus removal. 28

29 **1. Introduction**

The discovery and subsequent applications of numerous nanomaterials have accelerated the development of nanotechnology. In recent years, nanomaterials have been used in a wide range of fields, such as catalysts, semiconductors, microelectronics,

and drug carriers.¹ Among these nanomaterials, carbon nanotubes (CNTs), a group of
carbon-based nanomaterials, have become prevailing since their discovery in 1991.² The
outstanding structures of CNTs determine the exceptional electrical, chemical, mechanical,
and thermal characters, which lead to their growing applications in the fields of electronics,
optics, material science, and biomedicine.³⁻⁵

Recently, numerous studies have been conducted to investigate the potential risks of 38 CNTs. Nevertheless, these studies mainly focused on the toxicity of CNTs to human 39 cells,⁶⁻⁹ animals,¹⁰⁻¹³ and model bacteria.¹⁴⁻¹⁷ With the increasing production and 40 applications, CNTs have been found to release into the environment inevitably and finally 41 enter wastewater treatment plant (WWTP).^{18, 19} Usually, activated sludge process is 42 widely used to achieve biological nitrogen and phosphorus removal in WWTP. Previous 43 studies have reported the impacts of CNTs on the removal of chemical oxygen demand 44 (COD) and physical property of activated sludge fed with synthetic wastewater.^{20, 21} 45 However, whether the release of CNTs into activated sludge systems affects the 46 performance of biological nutrient removal from real wastewater is still unknown. 47 Therefore, it is necessary to investigate the potential influence of CNTs on biological 48 nitrogen and phosphorus removal, especially when the real wastewater was used as feed. 49

It is well-known that large numbers of microorganisms and intracellular metabolites are involved in biological nitrogen and phosphorus removal in activated sludge. For example, autotrophic and heterotrophic microorganisms play important roles in nitrification, denitrification and phosphorus release and uptake processes, and their respiration rates are closely linked with the nutrient removal efficiency. Besides, it was

reported that some pollutants such as heavy metal ions, organic pollutants and metal 55 oxides nanoparticles can affect the nitrogen removal via inhibiting microbial respiration, 56 enzymes activities, and intermediate metabolites transformations in activated sludge.²²⁻²⁶ 57 Hence, to understand the mechanisms of CNTs-induced effects on biological nutrient 58 59 removal, bacterial respiration, key enzyme activity, and intermediate metabolites of activated sludge should be investigated. 60

The aim of this study was to evaluate whether the CNTs including SWNTs and 61 MWNTs could affect biological nitrogen and phosphorus removal from real wastewater in 62 an activated sludge system. The removal efficiencies of nitrogen and phosphorus were 63 measured to examine the possible effects of CNTs on activated sludge. Then, oxygen 64 65 and ammonium uptake rates were assayed to study the responses of heterotrophic and autotrophic microbes to SWNTs and MWNTs. Finally, sludge activity, surface integrity, 66 67 enzymes activities, and metabolites (glycogen and polyhydroxyalkanoates) transformations were determined to reveal the reasons for nutrient removal performance in 68 the absence and presence of CNTs. 69

70 2. Experimental

2.1. SWNTs and MWNTs preparation 71

The powders of SWNTs and MWNTs were obtained from Shenzhen Nanotech Port 72 Co. Ltd, China. The main diameters of SWNTs and MWNTs are less than 2 nm and less 73 than 10 nm, respectively. Their lengths are both 5-15 µm and the specific surface areas 74 (SSA) of SWNTs and MWNTs are 500-700 and 250-300 m²/g, respectively. 75 nanotubes were placed into a 12 M HCl solution for 8 h to remove residual metal catalysts, 76

The

washed with copious amounts of Milli-Q water until neutral pH, and then dried in an oven
(60 °C) overnight to get powder SWNTs and MWNTs. Before the exposure experiment,
1000 mg/L CNTs stock suspension was prepared in Milli-Q water following by
ultrasonication (25 °C, 250 W, 40 kHz) for 1 h.

81 **2.2. Parent sequencing batch reactor operation**

82 The anaerobic-low dissolved oxygen (DO: 0.15-0.50 mg/L)) sequencing batch reactor (SBR) was used to culture activated sludge, and this process was proved to achieve 83 efficient nitrogen and phosphorus removal.^{25, 26} Activated sludge was obtained from a 84 wastewater treatment plant (WWTP), and then cultured in an anaerobic-low DO SBR with 85 16 L working volume. The SBR was worked at 21 ± 1 °C with 8 h operation cycle, and 86 each cycle consisted of 1.5 h anaerobic and 3 h low DO periods, followed by 1 h settling, 87 10 min decanting and 140 min idle periods. In the low DO period, air was provided 88 using an on/off control system with an on-line DO detector to maintain the DO level 89 90 between 0.15-0.50 mg/L. The real wastewater was obtained from a WWTP, and the characteristics were listed as follows: chemical oxygen demand (COD) 170-215 mg 91 COD/L, ammonia-nitrogen (NH_4^+ -N) 19-29 mg/L, and soluble ortho-phosphorus (SOP) 92 3.2-5.5 mg/L. Before being pumped into the reactor, the raw wastewater was adjusted to 93 get average initial NH₄⁺-N, SOP and COD of 35, 10 and 300 mg/L by NH₄Cl, KH₂PO₄ 94 and CH₃COONa supplementation, respectively. Sludge was wasted at regular intervals 95 96 to keep the solids retention time (SRT) at approximately 20 d. After cultivation for 100 d, 97 the stable removal efficiencies of nitrogen and phosphorus were observed in the parent SBR, and then the following exposure experiments were conducted. 98

99

2.3. Exposure of activated sludge to SWNTs and MWNTs

In this study, the exposure concentrations of SWNTs and MWNTs were chosen to be 100 10 and 100 mg/L according to the literature.^{27, 28} To conduct the experiments, activated 101 sludge was withdrawn from the parent SBR at the end of cycle and washed with 0.9% 102 103 NaCl solution to remove residual substances. Then, stock suspensions of SWNTs and 104 MWNTs were added to prepare 10 and 100 mg/L CNTs in the batch reactors of 400 mL working volume, and all reactors were covered with aluminum foil to avoid light. 105 The 106 suspended activated sludge and raw wastewater were fed into each reactor following by pH adjustment. Prior to the start of cycle, nitrogen was purged into the reactors to assure 107 anaerobic circumstance. The reactors were operated anaerobically stirred for 1.5 h and 108 109 aerobically stirred under low DO (0.15-0.50 mg/L) condition for 3 h.

110 **2.4. Sludge viability assay**

111 Cell Counting Kit-8 (CCK-8) (Dojindo Co., Kumamoto, Japan), was used for sludge 112 viability assessment after 4.5 h of exposure to CNTs in this study. Compared to the conventional assay, CCK-8 was a convenient, efficient and sensitive assay to test cell 113 viability in cytotoxicity tests.²⁹ Briefly, 5 min before the end of cycle, 100 μ L sludge 114 115 suspension was gotten for viability measurement following by 10 µL CCK-8 being added to wells in a 96 well microplate. Then, the microplate was placed in a CO₂ incubator at 116 constant 30 °C for 1 h of reaction. The last procedure was to take a colorimetric reading 117 118 on a microplate reader at 450 nm.

119 **2.5.** Specific oxygen uptake rate (SOUR) and ammonium uptake rate (AUR) assays

120

In this study, SOUR assay was used to assess the impacts of SWNTs and MWNTs on

sludge respiration. The sludge mixture was obtained from the parent SBR at the idle 121 period, pre-aerated and then placed into biochemical oxygen demand (BOD) bottles, in 122 which sodium acetate and thiourea (CH₄N₂S) were added to ensure sufficient carbon 123 source for respiration and to inhibit autotrophic nitrifying microbial respiration 124 respectively.³⁰ A certain volume of stock SWNTs and MWNTs were taken into the 125 bottles, and Milli-Q water was supplied to keep equivalent volume and 10 and 100 mg/L 126 CNTs in the BOD bottles. After equipping an online dissolved oxygen electrode and 127 128 magnetic stirrer for bottles, rubber stopper was used to seal the BOD bottle, and the dissolved oxygen concentration was recorded verse time until the oxygen depletion in all 129 After the completion in tests, the concentrations of mixture liquor volatile bottles. 130 131 suspended solids (MLVSS) were measured, and the SOUR data were obtained from the DO concentration gradient verse time being divided by MLVSS. 132

For the AUR measurement, activated sludge was transferred into batch reactors with continuous aeration in the dark. An appropriate amount of ammonium chloride solution, CNTs stock suspensions and phosphorus buffer were added into reactors to yield final concentration of 40 mg/L NH_4^+ -N and 10 or 100 mg/L SWNTs and MWNTs, following by adding Milli-Q water to 400 mL. Homogenized sludge mixture was taken periodically for NH_4^+ -N analysis. The slope of NH_4^+ -N concentration verse time was divided by MLVSS to calculate AUR.

140 **2.6.** Enzyme activity assays related to biological nitrogen and phosphorus removal

For the activities of several key enzymes measurements, the activated sludge samples
were taken from batch reactors and washed by corresponding buffer for 3 times. In detail,

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0.01 M phosphate buffer (pH 7.4) was for ammonia monooxygenase (AMO), nitrite 143 oxidoreductase (NOR), nitrate reductase (NAR) and nitrite reductase (NIR); and 1.5 M 144 NaCl buffer (pH 7.4, including 0.01 M EDTA and 1 mM NaF) was used in the 145 146 measurements of exopolyphosphatase (PPX) and polyphosphate kinase (PPK). Then, the 147 resuspended sludge was sonicated (4 °C, 20 kHz) for 5 min to break down bacterial cell 148 structure in sludge. After centrifugation at 12000g for 10 min (4 °C), the supernatant was used for the specific enzyme activity measurement. The detailed procedure and 149 constituent of assays mixture were according to the literature.²⁵ The activities of 150 enzymes were calculated as the basis of protein content, which was determined with 151 bovine serum albumin as a standard.³¹ 152

153 **2.7. Other analytical methods**

The liquid samples were immediately centrifuged at 12000 rpm, and the supernatant was for testing the concentrations of NH_4^+ , NO_3^- , NO_2^- and PO_4^{-3-} by a spectrophotometer according to the Standard Methods.³² The analyses methods of glycogen and PHA were the same as that described previously.³³

The structural integrity of activated sludge was measured by the lactic dehydrogenase (LDH) release assay and the detection kit for LDH activity was purchased from Roche Applied Science. At the end of batch exposure experiment, the mixture was centrifuged at 12000 rpm for 5 min and then the supernatant was seeded on a 96-well plate. After the addition of 50 μ L of substrate mix in wells, the microplate was placed in an incubator at 30 °C in dark for 30 min. Finally, 50 μ L of stop solution was added to each well, and the absorbance was recorded at 490 nm using a microplate reader (BioTek, USA).

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Scanning electron microscope (SEM) images were used to analyze the surface		
morphology of activated sludge after exposure to CNTs. Activated sludge was gotten		
from the batch reactor at the end of cycle and washed with 0.1 M phosphate buffer for 3		
times followed by fixing in 0.1 M phosphate buffer (pH 7.4) containing 2.5%		
glutaraldehyde at 4 °C for 4 h. After being washed twice with 0.1 M phosphate buffer		
(pH 7.4), the sludge pellets were dehydrated in a gradient ethanol serials (50%, 70%, 80%,		
90% and 100%), and then dried in air for the imaging by the FEI Quanta 200 SEM. To		
conduct the transmission electron microscope (TEM) analysis, CNTs were sonicated and		
dispersed in water, and a drop of mixture liquid was placed on Cu grids. After the		
samples were dried, TEM images of CNTs were taken using a FEI Tecnai F20 200 kV		
microscope (Philips).		
2.8. Statistical analysis		
All tests were performed in triplicate and the results were expressed as mean \pm		
standard deviation. An analysis of variance (ANOVA) was used to test the significance		

of results, and p < 0.05 was considered to be statistically significant.

3. Results and Discussion

3.1. Impacts of SWNTs and MWNTs on nitrogen and phosphorus removal

Figure 1 shows the biological nutrient removal performance of activated sludge in one cycle. In the control reactor, the NH₄⁺-N concentration was not significantly varied in the anaerobic stage, but the SOP was increased to the maximum (91.2 mg/L) due to the anaerobic phosphorus release. In the low DO stage, the NH₄⁺-N and phosphorus can be removed thoroughly at the end of this stage, and partial NH₄⁺-N was transferred to nitrate.

At the end of reaction, the removal efficiencies of TN and SOP were 70.8% and >99%, 187 respectively. According to the data, when the MWNTs dosage increased from 0 mg/L 188 (the control) to 100 mg/L, it did not make significant difference in the NH_4^+ -N, NO_3^- -N 189 and NO_2 -N concentration variations at any period (p>0.05). Similarly, the addition of 190 191 SWNTs did not cause any negative effects on the transformation or removal process, and the final TN removal efficiency were 69.0%, 68.6%, 68.0% and 67.6% after exposure to 192 10 mg/L SWNTs, 100 mg/L SWNTs, 10 mg/L MWNTs and 100 mg/L MWNTs (p > 0.05). 193 194 Besides, neither SWNTs nor MWNTs caused residual SOP in all reaction systems at last, therefore the presence of SWNTs and MWNTs did not affect the nitrogen and phosphorus 195 removal in activated sludge system even at a high concentration of 100 mg/L. 196





199 NO₂⁻-N (white) in (a), SOP (solid) and NO₃⁻-N (empty) in (b).

200 3.2. Effects of SWNTs and MWNTs on structural integrity and viability of activated

201 sludge

197

202 Since the unaffected nitrogen and phosphorus removal was shown in

above-mentioned observation, the underlying mechanisms should be revealed according to
204 CNTs cytotoxocity. Most studies proved that the SWNTs and MWNTs might exhibit
strong cytotoxicity due to their special physico-chemical properties. ^{9, 15-17, 34, 35} About th
206 inhibitory mechanism of CNTs, the cell membrane damage and the subsequent cytoplasm
207 leakage were thought to be the main reasons. ^{1, 14, 15} Hence, in this study, the structura
208 integrity of activated sludge was investigated. Figure 2 shows the SEM images o
activated sludge in the presence of 100 mg/L of SWNTs or MWNTs. Previous studie
showed that CNTs could adsorb microorganisms such as <i>Escherichia coli</i> and <i>Bacillu</i>
211 <i>subtilis</i> . ^{36, 37} It can be seen that SWNTs and MWNTs were attached onto the surface of
sludge flocs, but no significant surface structural damages were observed. The LDF
release analysis has been used to study the influence of nanomaterial on cell structura
214 integrality. It can be found in this study that the LDH releases in the 10 and 100 mg/L o
SWNTs or MWNTs exposure tests were almost the same as that in the control (Figure 3a)
suggesting that the presence of SWNTs or MWNTs did not cause the damage of activated
sludge and the leakage of cytoplasm. Similar results were achieved by Worle-Knirsch <i>e</i>
al., in whose study that the LDH assay data indicating no acute toxicity for CNTs. ³⁸



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221 mg/L SWNTs (b) and MWNTs (c)



224 with SWNTs and MWNTs.

Besides the structural integrity, cell viability was also an effective method to show 225 the toxic effect in the test of cytotoxicity research. For example, the viabilities of 226 bacteria such as Escherichia coli and Pseudomonas aeruginosa were found to be affected 227 by the exposure to Ag nanoparticles (NPs), CuO NPs and SWNTs.^{35, 39, 40} In this study. 228 the viability of activated sludge exposed 10 and 100 mg/L SWNTs or MWNTs was shown 229 in Figure 3b, and the results indicated that activated sludge viability would not decrease 230 231 with the MWNTs concentration increasing from 0 to 100 mg/L. Kang et al. concluded that the SWNTs can show stronger antibacterial properties than MWNTs due to the 232 smaller size,¹⁵ but SWNTs did not cause significantly effects on the viability of activated 233 sludge (p > 0.05) in this study. Although CNTs were often thought to be toxic in many 234 studies, some publications reported that CNTs showed no sign of acute toxicity.^{34, 41} 235 Worle-Knirsch et al. also found that the cell viability was not influenced by CNTs unless 236 inappropriate assay was taken for viability measurement.³⁸ Besides, this results were 237

consistent with our previous publication, which indicated that SiO_2 NPs did not induce acute influence on activated sludge viability,²⁶ and therefore the pure culture bacteria and cells were likely more susceptible than mixed culture such as activated sludge.

241 **3.3. Effects of SWNTs and MWNTs on oxygen and ammonia utilization of activated**

sludge

The well structural integrity and viability are the basis for microbial metabolism, and 243 wastewater pollutant removal by activated sludge depends on the efficient cellular 244 respiration. Many results showed that the toxin might inhibit the microbial respiration in 245 activated sludge and further affect the function.^{22, 24, 42} SOUR and AUR were often taken 246 as important indicators to measure the toxic effects towards oxygen and ammonium 247 248 uptake rate of microbe in activated sludge, and the results were associated with the pollutants removal capacity closely. As Figure 4 illustrated, activated sludge exposed to 249 250 10 and 100 mg/L SWNTs did not exhibit significant difference on the respiration 251 compared to control. Similarly, the MWNTs caused no significant inhibition to the cellular respiration (p > 0.05). Then, the AUR data showed that SWNTs and MWNTs 252 did not produce inhibitory effects on ammonia uptake. Therefore, these results indicated 253 254 that the presence of SWNTs or MWNTs slight lowered the microbial metabolism and 255 pollutants removal capacity of activated sludge.

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FIGURE 4. The SOUR (a) and AUR (b) of activated sludge after exposure to 10
and 100 mg/L SWNTs and MWNTs

In literature, Pulskamp et al. investigated the cytotoxicity of SWNTs and MWNTs 259 and concluded that it's the metal traces associated with the commercial nanotubes were 260 responsible for the toxic effects rather than the purified CNTs.²⁸ Moreover, other than 261 the unremarkable effects of SWNTs and MWNTs on activated sludge, the heavy metal 262 ions were found to inhibit the growth, viability and metabolism significantly due to their 263 negative effects on SOUR and AUR.²² Also, Zheng *et al.* proved that ZnO NPs exposure 264 towards activated sludge caused adverse impacts on biological nitrogen and phosphorus 265 removal and the release Zn^{2+} was the main reason responsible for low removal 266 efficiency.²⁵ Like ZnO NPs, the toxicity of silver NPs, copper oxide NPs mostly 267 accounted for the dissolution of metal ions.^{43, 44} Therefore, in this study, the SWNTs and 268 MWNTs did not contain any metal elements after residual removal by HCl, so it was 269 reasonable that the CNTs did not show obvious toxicity compared to heavy metal ions, 270

271 metal NPs and metal oxides NPs.

3.4. Effects of SWNTs and MWNTs on enzymes activities and metabolic intermediates related to nitrogen and phosphorus removal

274 Apart from the cellular respiration, the efficient nitrogen and phosphorus removal 275 process depends on a series of biomacromolecule, such as enzymes, glycogen and PHA. Enzymes are a series of special substances which often play vital roles in biological 276 metabolic reactions. In biological nitrogen and phosphorus removal, several enzymes 277 278 such as AMO, NOR, NAR NIR, PPX, and PPK, catalyze the vital steps. Previous literature reported that nanomaterials such as Al₂O₃ and ZnO NPs decreased the activities 279 of key enzymes involved in denitrification and phosphorus removal process.^{25, 45} 280 Our 281 further investigation showed the activities of key enzymes after activated sludge exposure to SWNTs and MWNTs (Figure 5). The data showed that the presence of SWNTs and 282 MWNTs did not decrease the specific activities (p > 0.05), indicating that the presence of 283 284 CNTs would not affect the biological transformation process of nitrogen and phosphorus. This result was consistent with the above results of unaffected nitrogen and phosphorus 285 removal efficiencies. 286



FIGURE 5. The key enzymes activities involving the transformation of nitrogen and phosphorus in activated sludge after exposure to 10 and 100 mg/L SWNTs and MWNTs.

287

291 In biological phosphorus removal process, the synthesis and consumption of 292 glycogen and PHA are connected with the SOP release and uptake. Specifically, in anaerobic stage, intracellular glycogen was utilized and PHA was synthesized, which were 293 294 accompanied with the SOP release. Then in low DO stage, the consumption of PHA, 295 synthesis of glycogen and uptake of SOP were observed. As seen in Figure 6a, all the 296 activated sludge systems kept the same levels of glycogen consumption in anaerobic stage (p > 0.05). Then, the maximum synthesis amount of PHA was measured at the end of 297 298 anaerobic phase (Figure 6b). It can be found that 10 or 100 mg/L SWNTs and MWNTs 299 did not influence the synthesis of PHA. It is well-known that two groups of microbe, polyphosphate-accumulating organisms (PAO) and glycogen-accumulating organisms 300

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301 (GAO), were involved in the metabolism of glycogen and the synthesis of PHA.⁴⁶ In this
302 study, the glycogen variation and PHA accumulation were similar between the control and
303 CNTs groups. Hence, it can be inferred that the metabolisms of both GAO and PAO
304 were not disturbed by the presence of SWNTs and MWNTs.





307 during one cycle and the PHA synthesis amount (b).

From the above results, activated sludge showed high tolerance to SWNTs and 308 MWNTs from the aspects of structural integrity, cell viability, microbial respiration, 309 310 ammonium uptake and enzymes activities. Compared to other CNTs toxic studies on pure culture, the complexity in activated sludge system might be a primary reason for the 311 312 unobservable toxicity. In aqueous solution, the CNTs appear to buddle together forming aggregation easily due to the strong π - π interaction (Figure 7), and the dispersion status is 313 314 a key factor to the toxic expression of CNTs. Kang et al. had proved that partially debundled MWNTs of 4.1 µm have shown higher toxicity than MWNTs bundles of 77 µm 315 in diameter.¹⁶ Besides the poor dispersity, the extracellular polymeric substances (EPS) 316

excreted by activated sludge could obstruct the direct contact and further protect the
 microorganisms from the toxicity of CNTs.⁴⁷ Finally, the acute exposure of SWNTs and

319 MWNTs towards activated sludge would not disturb metabolism and function.





321 FIGURE 7. TEM images of SWNTs (a) and MWNTs (b) used in this study.

322 **4. Conclusion**

In this study, the effects of SWNTs and MWNTs on wastewater nutrient removal 323 were investigated. It was found that both SWNTs and MWNTs showed no significant 324 325 inhibition to the nitrogen and phosphorus removal at the dose of 10 and 100 mg/L. Then. the further analysis showed that the structural integrity, cell viability, respiration rate and 326 key enzymes activities were not influenced by the presence of CNTs. All these results 327 328 were in accordance with the former observation of unaffected removal efficiencies of 329 nitrogen and phosphorus. Although CNTs were reported to show antibacterial properties 330 in many studies, our investigation demonstrated that the presence of SWNTs and MWNTs 331 would not cause adverse effects on microorganism in activated sludge at a dosage as high as 100 mg/L. The neglectable toxicity of CNTs might be due to the poor dispersity, EPS 332 protection and CNTs metal residual removal. Overall, the nitrogen and phosphorus 333

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334	rem	oval process of activated sludge would not be affected when CNTs were released into
335	WV	VTP in a short-term exposure period.
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