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1 **Hyperbranched polyol decorated carbon nanotube by click** 2 **chemistry for functional polyurethane urea hybrid composites**

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11 **ABSTRACT**

12 In the present work we report a facile decoration of multi-walled carbon nanotubes with
13 hyperbranched polyether polyol using copper (I) catalyzed azide- alkyne click reaction in order
14 to create hydroxy terminal groups. This decoration has been designed to improve the
15 dispersibility of CNT in polymer matrix. These hydroxy functional decorated CNTs were then
16 dispersed into poly (tetramethylene ether) glycol (PTMG) at different weight percentages to get
17 the hybrid pre-polymers. These pre-polymers were reacted with 1-isocyanato- 4-[(4-
18 isocyanatocyclohexyl) methyl] cyclohexane (H₁₂-MDI) at NCO/OH ratio of 1.2:1 and cured
19 under atmospheric moisture to get the functional polyurethane -urea- CNT hybrid composites.
20 There has been substantial improvement in the thermal stability, mechanical strength, corrosion
21 resistance and antimicrobial activity of the polyurethane hybrid composites with the increase in
22 carbon nanotube loading in pre-polymers. For example, with 2 wt% loading of carbon nanotubes,
23 the tensile strength of the polyurethane hybrid composite improved from 1.25 N/mm² to 6.25
24 N/mm²; water contact angle improved from 54° to 108° and also the rate of corrosion reduced
25 from 0.047 mm/year to 0.0019 mm/year. We also observed that these hybrids possess remarkable
26 shape recovery properties. These results demonstrate that the decorated CNT can be used as high
27 performance additive for improving various properties of polyurethane hybrids in cost effective
28 and eco-friendly ways.

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30

31 1. Introduction

32 Carbon nanotubes (CNTs) are amongst the most researched allotrope of carbon since the past
33 decade because of its high aspect ratio, unique structure, outstanding electronic, thermal, optical
34 and mechanical properties.¹⁻⁴ Due to their excellent mechanical properties, very small amount of
35 incorporation of CNTs into a polymer matrix could lead to hybrid composites having superior
36 physico-chemical, mechanical, thermal, and bacterial resistance properties.⁵⁻⁹ In general,
37 incorporation of CNT into polymer matrices not only improves the overall physico- chemical
38 properties of the composite but also inculcates additional properties like shape recovery,
39 hydrophobic and anti-corrosive behaviors. Shape recovery polymers have an edge over their
40 shape-memory alloy counterpart since they have adjustable transition temperature, highly stable
41 shape, easy shaping, cost effective and easy processibility.¹⁰⁻¹² Shape memory polymer materials
42 have wide range of applications as coating materials, elastomeric materials, medical applications,
43 actuators, textiles and many more. Polyurethane based shape memory polymers is amongst the
44 most researched one, owing to its hard and soft segments which are microphase separated
45 heterogeneous structure. The soft segment of the polymer helps in fixation of the shape acting as
46 a molecular switch whereas the hard segment which has an inherently high melting point enables
47 effective cross-linking. In the view point of shape recovery materials, CNTs could fill the role of
48 being very effective filler for enhancing high shape recovery and shape recovery force. This
49 property is attributed again to the CNT's high thermal conductivity coefficient and excellent
50 mechanical properties.

51 The improvement of composite properties depends directly on the dispersibility and interfacial
52 interactions of CNT with polymer matrix.¹³ The dispersion of pristine CNTs is very difficult due
53 to their insolubility in both organic and inorganic solvents. Therefore, surface modifications are
54 made in order to make CNTs processable and soluble.¹⁴ The surface of Multi-walled carbon
55 nanotubes (MWCNTs) and single-walled carbon nanotubes (SWCNTs) can be functionalized by
56 both covalent¹⁵⁻¹⁷ and non-covalent¹⁸⁻²¹ means. A non-covalent functionalization involves surface
57 modification of CNTs via π - π staking interactions, van der Waals interaction, physical
58 entanglement, electrostatic adsorption or hydrogen bonding without disturbing the extended π -
59 conjugation of the nanotubes.²² In contrast, a covalent functionalization requires formation of
60 strong chemical bond between CNTs and the moieties to be attached. Oxidation of CNTs is the

61 most simple covalent modification which terminates the CNT surface with a carboxyl group (–
62 COOH) or hydroxyl groups (–OH). The oxidation of CNT can be achieved by either direct
63 exposure of CNTs to fixed air or oxygen, treatment with nitric acid, mixture of aqueous
64 hydrogen peroxide and sulphuric acid, acid mixtures etc.²³ It is very pertinent to have –COOH
65 group, because of its versatility in providing many different chemical reactions to occur on CNT
66 surface.²⁴ Further the –COOH group could be modified with various molecules to obtain the
67 necessary surface termination. The mechanical, thermal and optical properties depend on the π
68 conjugations of CNT.

69 The covalent modification of CNTs with hyperbranched polymers (HBPs) is one of the
70 promising ways to impart superior properties to CNTs. These branched polymers are
71 macromolecules with three-dimensional architecture, which possess excellent solubility, low
72 melt viscosity, broader molar mass distribution and extremely high density of functional groups
73 at the surface.²⁵ A major advantage of HBPs is that, they possess large number of terminal
74 functional groups which could be further exploited by coupling them with other materials like
75 fluorophores, dyes and other electroactive groups.²⁶ Anchoring HBPs on CNTs could be
76 achieved by a variety of well established reactions. Click chemistry has been used to attach linear
77 polymers to CNTs, however, HBP attachment is still unexplored. Among all click reactions,
78 copper(I) catalyzed azide-alkyne 1,3-dipolar cycloaddition reaction is most popular in polymer
79 and material science because it provides high quantitative yield, high tolerance of functional
80 groups²⁷, simple reaction conditions and insensitivity to solvents and reaction medium²⁸, high
81 chemo selectivity with no side products, chemical inertness of 1,2,3-triazole ring and ease of the
82 product isolation. Thus, employing click chemistry is a feasible approach for surface
83 functionalization of CNTs with polymer.²⁹

84 Hence in the present study we report a novel and easy approach for the modification of CNT
85 with hyperbranched polymer using click chemistry for the preparation of functional
86 polyurethane-urea- CNT hybrid composites. Initially the carboxyl terminated CNTs (CNT-
87 COOH) were converted to alkyne terminated CNT (CNT-Alkyne) by esterification with
88 propargyl alcohol. The obtained CNT-Alkyne was treated with an azide terminated
89 hyperbranched polyol (HBP-N₃) by click reaction in order to obtain hydroxyl terminated and
90 hyperbranched polyol decorated CNT (CNT-HBP). The various steps involved in surface

91 modification were confirmed by Thermo gravimetric analysis (TGA), Field emission Scanning
92 electron microscopy (FE-SEM), Transmission electron microscopy (TEM), X-Ray diffraction
93 (XRD), Fourier transform infrared (FT-IR) and Raman spectroscopic techniques. The obtained
94 CNT-HBP was loaded in different weight percentages such as 0.5, 1 and 2 into poly
95 (tetramethylene ether) glycol (PTMG) matrix. These pre-polymers were reacted with 1-
96 isocyanato- 4-[(4-isocyanatocyclohexyl) methyl] cyclohexane (H₁₂-MDI) at NCO/OH ratio of
97 1.2:1 and cured under atmospheric moisture to get the functional polyurethane -urea- CNT
98 hybrid composites. The resulting Polyurethane-urea-CNT hybrids along with pure polyurethane
99 were analyzed by TGA, Universal testing machine (UTM), Atomic force microscopy (AFM),
100 XRD, FT-IR, FE-SEM, water contact angle, antimicrobial and electrochemical polarization
101 studies.

102 **2. Experimental section**

103 **2.1. Materials**

104 Pristine Multi Walled CNTs (purity 95%, 15-20 nm in diameter (PlasmaChem GmbH) were
105 used without further purification. Trimethylolpropane (TMP), Propargyl alcohol, Boron
106 trifluoride diethyl etherate, Copper (I) Iodide were purchased from Aldrich Chemicals
107 (Milwaukee, WI, U.S.). Epichlorohydrin, acetonitrile, Sodium Azide, Chloroform, Anhydrous
108 Triethylamine, Tetrahydrofuran (THF), Dimethyl Formamide, Tetra butyl ammonium bromide
109 (TBAB), Diisopropyl ethylamine (DIPEA), Ammonia solution (NH₃.H₂O) were purchased from
110 Finar Reagents, Mumbai, India.

111 **2.2. Characterizations**

112 Fourier transform IR spectra (FTIR) of synthesized samples recorded by Thermo Nicolet Nexus
113 670 spectrometer. The ¹H and ¹³C NMR of the synthesized samples were done in VARIENE-200
114 and BRUKER-300 MHz spectroscopy by taking tetra methyl silane (TMS) as standard at room
115 temperature and dissolving in DMSO-d₆ solvent. Mass spectra (ESI-MS) of synthesized
116 hyperbranched polyols were recorded on a LC-MSD-Trap-SL mass spectrometer. XRD patterns
117 for the all the samples were obtained using a Siemens D-5000 X-ray diffractometer with Cu K α
118 radiation of wavelength 1.54. The thermo gravimetric analysis was conducted on TGA Q500
119 Universal TA instrument (U.K) at temperature ramp rate of 10⁰C min⁻¹ from 25 to 600⁰C with a

120 continuous N₂ flow at the rate of 30 ml min⁻¹. Raman spectra was recorded using Horiba
121 JobinYvon Raman spectrometer with a laser excitation wavelength of 632.81nm. The changes in
122 morphology of MWCNTs due to surface modification were observed under FESEM using S4300
123 SEIN HITACHI Japan at 10 kV. The samples were coated with a thin gold layer of ~5 nm of
124 thickness by sputtering process to make them conducting for SEM analysis.

125 **2.3. Synthesis of chlorinated hyperbranched polyether polyol (HBP-Cl)**

126 The chlorinated hyperbranched polyol was prepared according to a previous report.³⁰
127 Trimethylolpropane (2gm, 14.9 mmol, 1eq) was taken into a single neck round bottom flask
128 equipped with nitrogen inlet and a magnetic stirrer. Initially TMP was heated at 56°C up to melt.
129 After complete melting the heat was removed and 0.01ml of BF₃ etherate was added as a
130 catalyst. To this reaction mixture epichlorohydrin (4.13 gm, 44.7 mmol, 3eq) was added drop
131 wise for a period of 10 minutes. After complete addition the reaction mixture was stirred at 70°C
132 for 12hrs.

133 **FT-IR** (KBr, cm⁻¹): 3432.78 (O-H str), 2956.04 (asym -CH₃ str), 2917.58 (asym -CH₂ str),
134 2879.12 (sym -CH₃ str), 1451.57 (C-O str), 1100-1015 (C-O-C str), 749.75 (C-Cl str)

135 **¹H NMR** (500 MHz, CDCl₃): δ 0.85 (t, 3H, -CH₃), δ 1.37(q, 2H, -CH₂-), δ 3.39 (s, 6H, -CH₂-O-),
136 δ 3.59 (m, 6H, -CH₂-Cl), δ 3.72 (m, 6H, -CH-CH₂-O-), δ 3.98 (m, 3H, HC-O-)

137 **¹³C NMR** (125 MHz, CDCl₃): δ 7.55 (-CH₃), δ 23.12 (-CH₂-), δ 43.34 (-C-CH₂-CH₃), δ 45.75 (-
138 CH₂-Cl), δ 70.00 (-CH-OH), δ 72.12 (-CH₂-O-), δ 78.98 (-C-CH₂Cl)

139 **2.4. Synthesis of azide terminated hyperbranched polyether polyol (HBP-N₃)**

140 The above synthesized chlorinated hyperbranched polyol (5 gm, 1 eq) was dissolved in a solvent
141 mixture of acetonitrile and water (8:1). To this, excess Sodium azide (7.2gm, 7.5 eq) was added
142 portion wise. The resulting reaction mixture was stirred for 36 hrs at 75°C. After completion of
143 the reaction, the solvent was evaporated. The product was extracted in chloroform and washed
144 with brine solution for 2 times. The organic layer was collected and dried over sodium sulfate.
145 The product HBP-N₃ was obtained after evaporating the solvent.

146 **FT-IR** (KBr, cm⁻¹): 3432.78 (O-H str), 2956.04 (asym -CH₃ str), 2917.58 (asym -CH₂ str),
147 2879.12 (sym -CH₃ str), 2102.53 (N₃ str), 1451.57 (C-O str), 1100-1015 (C-O-C str)

148 ^1H NMR (500 MHz, CDCl_3): δ 0.82 (t, 3H, $-\text{CH}_3$), δ 1.31(q, 2H, $-\text{CH}_2-$), δ 3.34 (m, 6H, $-\text{CH}_2-$
149 N_3), δ 3.45 (m, 6H, $-\text{C}-\text{CH}_2-\text{O}-$), δ 3.5-3.7 (m, 6H, $-\text{CH}-\text{CH}-\text{O}-$), δ 3.92 (m, 3H, $\text{HC}-\text{OH}$)

150 ^{13}C NMR (125 MHz, CDCl_3): δ 7.39 ($-\text{CH}_3$), δ 23.12 ($-\text{CH}_2-$), δ 42.93($-\text{C}-\text{CH}_2-\text{CH}_3$), δ 53.27 ($-\text{CH}_2-\text{N}_3$), δ 65.52 ($-\text{CH}_2-\text{O}-$), δ 72.61 ($-\text{CH}-\text{OH}$), 78.86 ($-\text{CH}_2\text{O}-\text{C}-\text{CH}_2-\text{N}_3$)

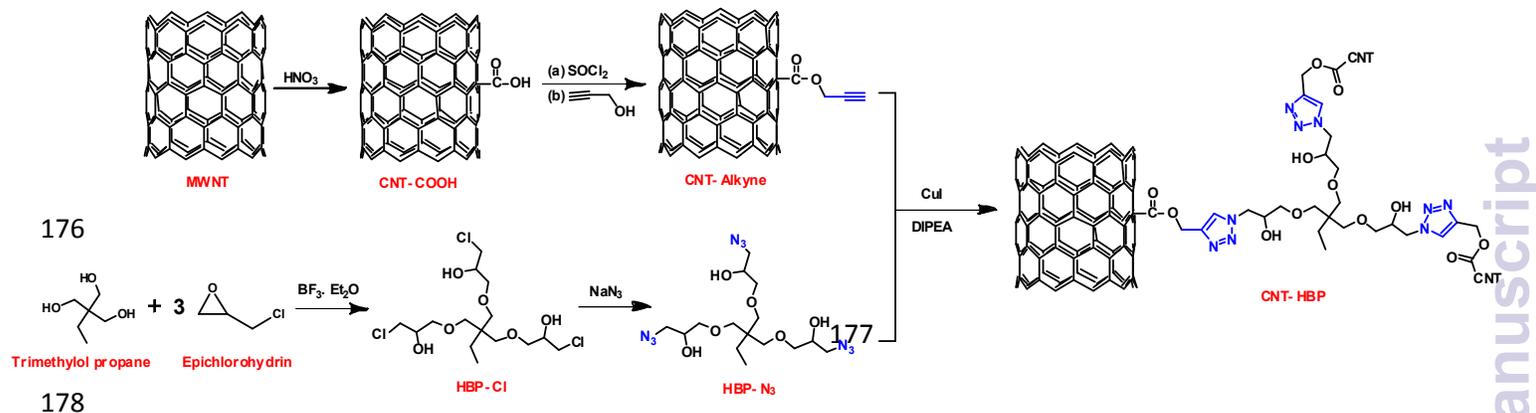
152 2.5. Synthesis of alkyne terminated MWCNT (CNT-Alkyne)

153 1.0g of pristine CNT was treated with 23ml of 50 wt% nitric acid in a round bottom flask. The
154 mixture was refluxed for 50 hours. The resulting solution was diluted with a large amount of de-
155 ionized water and filtered. The filtrate was washed several times with de-ionised water until it
156 reached a pH of 7, which was further dried at 120°C for 24h. Finally, 0.91 g of carboxyl
157 functionalised CNT (CNT-COOH) was obtained. To the resulting CNT-COOH (0.2g), excess
158 thionyl chloride (4ml) was added in a round bottom flask and then stirred for 24 hours at 70°C
159 respectively. After this, excess SOCl_2 was removed under reduced pressure. Then the flask was
160 cooled by an ice bath. To the reaction mixture, a mixed solution of propargyl alcohol (2 ml, 33.8
161 mmol), chloroform (4 ml) and anhydrous triethyl amine (2 ml, 14.34 mmol) were added drop
162 wise over a period of 0.5h under stirring. The resulting mixture was stirred at 0°C for one hour
163 followed by stirring at room temperature for 24 hrs. The product was filtered under vacuum, the
164 filter cake was washed with THF and distilled water several times. The obtained CNT-Alkyne
165 was dried under vacuum at 60°C for 24 hrs. The as prepared CNT-COOH and CNT-Alkyne were
166 characterized by using FT-IR, TGA and FE-SEM techniques.

167 2.6. Procedure for Click reaction between of HBP- N_3 and CNT-Alkyne (CNT-HBP)

168 150 mg of CNT-Alkyne was dispersed in 30 g of DMF in a round bottom flask. The mixture was
169 sonicated for 10 minutes and then flushed with nitrogen. Then 27 mg of CuI, 100mg HBP- N_3
170 and 0.02g of DIPEA were added to the above solution. The reaction mixture was stirred under
171 nitrogen atmosphere at 70°C for 12 hours. The reaction mixture was terminated by cooling the
172 reaction flask in ice bath followed by exposure to air. The reaction mixture was diluted with 10

173 ml DMF followed by ultrasonication for 10 minutes. The resulting mixture was filtered and
 174 washed with THF, aqueous ammonium hydroxide solution and pure water thrice. The resulting
 175 product was dried under vacuum to obtain CNT-HBP (Figure 1).



179 **Fig. 1** Synthetic protocol for hyperbranched polyol Functionalized MWCNT (CNT-HBP)
 180 through azide-alkyne click chemistry

181 2.7. Synthesis of Polyurethane- CNT hybrid composites (CNT-PU)

182 Calculated amount of CNT-HBP (0.1%, 0.2%, 0.5%, 1% and 2% with respect to total weight)
 183 and H₁₂-MDI (0.98 g, 3.73 mmol) were taken in a round bottom flask. To this 2.5g of cellosolve
 184 acetate was added as a solvent. The mixture was sonicated for half an hour and stirred at 60°C
 185 for three hours. To this solution a mixture of PTMG (1g, 1 mmol) and TMP (0.15g, 1.1 mmoles,
 186 15 weight % with respect to PTMG) dissolved in cellosolve acetate was added drop wise slowly.
 187 In all the hybrid composites, OH and NCO ratio was maintained as 1:1.2. The mixture was
 188 stirred at 65°C for 8 hours under inert atmosphere. Different CNT-PU hybrid composite films
 189 were casted on a tin foil supported over a glass plate by a manual driven square applicator, with
 190 adding one drop of 5% DBTDL in MIBK as catalyst and one drop Tagostab as surfactant. The
 191 excess NCO present in the hybrid films were moisture cured at 30°C and laboratory humidity
 192 condition (25–30%) for 15 days. The supported films were extracted after amalgamation and
 193 cleaning (Figure 2).

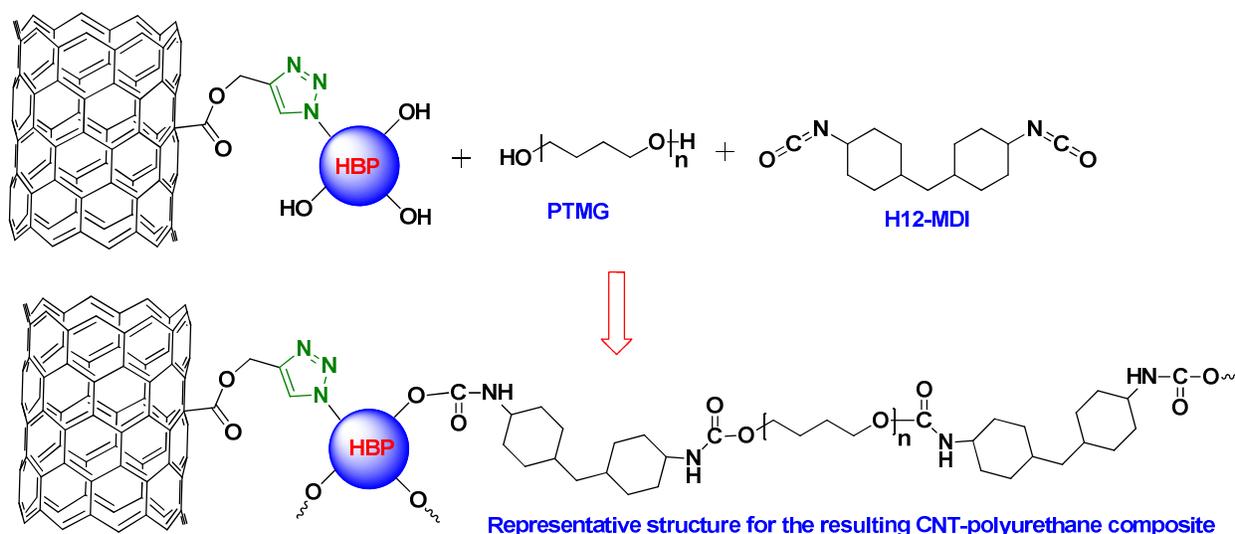


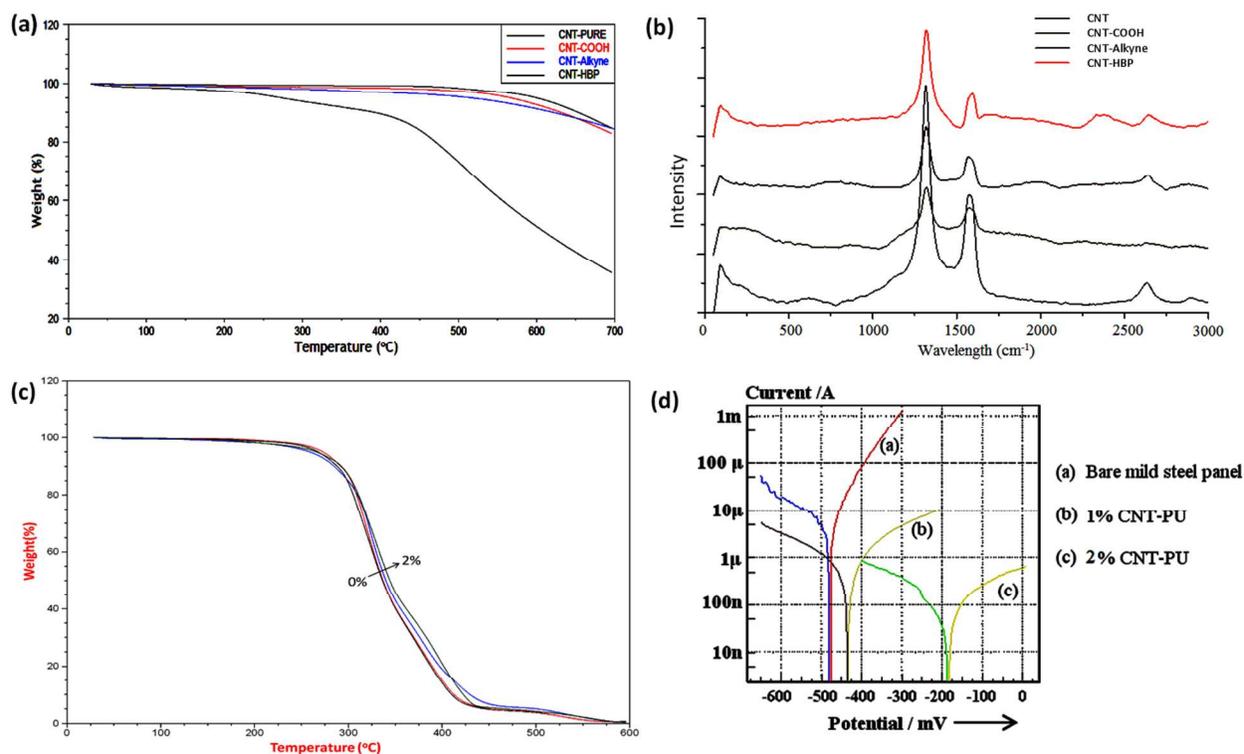
Fig.2 Synthesis of polyurethane-CNT hybrid composites

3. Results and discussion

3.1. Hyperbranched polyether polyol functionalised carbon nanotube

The development of compatible hyperbranched polymer is very essential for the functionalization of CNTs. This entails high precision at various stages involved in the synthesis of the final hyperbranched polymer. For this reason, firstly a chlorinated hyperbranched polyether polyol (HBP-Cl) was prepared and which was converted into HBP-N₃. The formation of HBP-Cl from Trimethylolpropane and epichlorohydrin is evident from the disappearance of epoxide stretching frequencies around 3050 and 900 cm⁻¹ and the formation of ether stretching at 1100-1000 cm⁻¹ in FT-IR; The appearance of new peaks related to ether linkage at δ 3.75, δ 3.98 in ¹H-NMR and δ 72.21, δ 70.13 in ¹³C-NMR; the presence of (M+Na) m/z peak at 435 in ESI-MS spectra and also the absence of peaks related to epoxide at δ 2.6, δ 2.8, δ 3.2 in ¹H-NMR and peaks at δ 51.35, δ 46.89 in ¹³C-NMR simultaneously, is a substantial confirmation of the as prepared HBP-Cl. Further the nucleophilic substitution of HBP-Cl with azide groups for the formation of HBP-N₃ was corroborated with the disappearance of C-Cl stretching at 750 cm⁻¹ and the appearance of sharp and intense peak at 2100 cm⁻¹ in FT-IR studies; the disappearance of peaks at δ 3.59 (-CH₂-Cl) in ¹H-NMR and δ 45.75 (-CH₂-Cl) in ¹³C-NMR; simultaneously the formation of peaks at δ 3.34 (-CH₂-N₃) in ¹H-NMR and δ 53.27 (-CH₂-N₃) in ¹³C-NMR; the presence of (M+Na) m/z peak at 454 in ESI-MS spectra. (For ¹H-NMR, ¹³C-NMR, FT-IR and

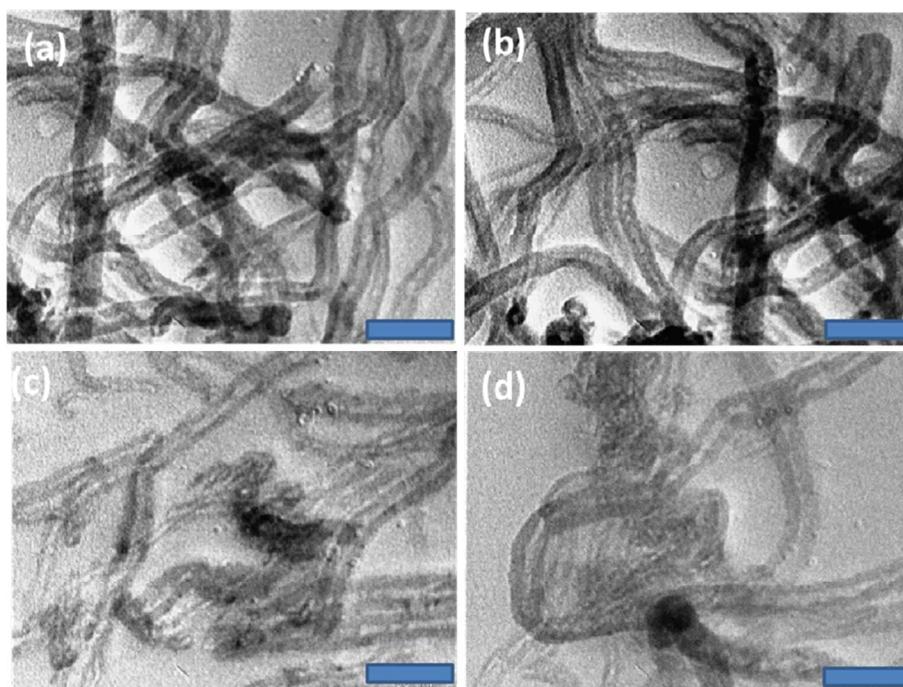
214 ESI-MS spectra refer Supplementary information) Once HBP-N₃ was synthesized the immediate
 215 step involved is the modification of CNT surface. Since pristine CNTs cannot be used for the
 216 attachment of HBP-N₃, it is obligatory that CNT undergoes certain changes. Thus CNTs were
 217 initially oxidized to form CNT-COOH, then to CNT-Alkyne by treatment with propargyl
 218 alcohol. The click reaction between the azide terminated HBP-N₃ and CNT-Alkyne, confirmed
 219 by the disappearance of azide and alkyne stretching peaks around 2100-2200 cm⁻¹ in the FTIR
 220 spectra. Thermo gravimetric analyses were also performed in order to determine the changes in
 221 thermal stability of various samples. The overlay image of various TGA graphs is shown in
 222 [Figure 3\(a\)](#). For instance, the onset decomposition temperatures of pristine CNT, CNT-COOH,
 223 CNT-Alkyne and CNT-HBP are 583.90°C, 572.14°C, 470.72°C, 379.94°C respectively. The
 224 thermal stability of the CNT decreases with subsequent surface modification ([Table S 1](#)).



225 **Fig. 3** (a) TGA thermograms (b) Raman Spectra of pristine CNT, CNT-COOH, CNT-Alkyne and
 226 CNT-HBP; (c) TGA thermograms of 0%, 0.5%, 1% and 2% CNT-PU (d) The polarization
 227 curves of different CNT-PU coatings along with the bare metal valuated by the Tafel method in a
 228 5% NaCl solution.

230 X-ray Diffraction peaks of the CNT samples reveal two prominent peaks at 26.5° and 42° ([Figure](#)
 231 [S 10](#)) which can be attributed to the (002) and (100) plane of hexagonal graphite.³¹ However

232 there is a distinct change in the intensities of modified CNTs as compared to the pristine CNT. In
233 the pristine CNT the van der Waals force of attraction holds the nanotube bundles together.
234 Following the acid treatment, it is evidently seen in TEM and FE-SEM images that nanotubes
235 become loosely bundled (Figure 4 and Figure S 11). This is credited to the decrease in the van
236 der Waals force of attraction between nanotubes which leads to a reduced inter-tubular interaction
237 as compared to pristine CNT.³² Since the aim of the work is to obtain CNTs that are soluble for
238 use as composite materials, it is necessary to have substantial interaction between nanotube and
239 also its immediate surroundings. Thus in the present study CNT surface is anchored with a
240 hyperbranched polyol, so as to ensure the solubility of CNTs and also facilitate the interaction
241 between tubes through hydrogen bonding between the hyperbranched polyols. The soft segments
242 of HBP impart inherent amorphous nature to the CNT, which is observed as a decrease in
243 intensity of the XRD peak.



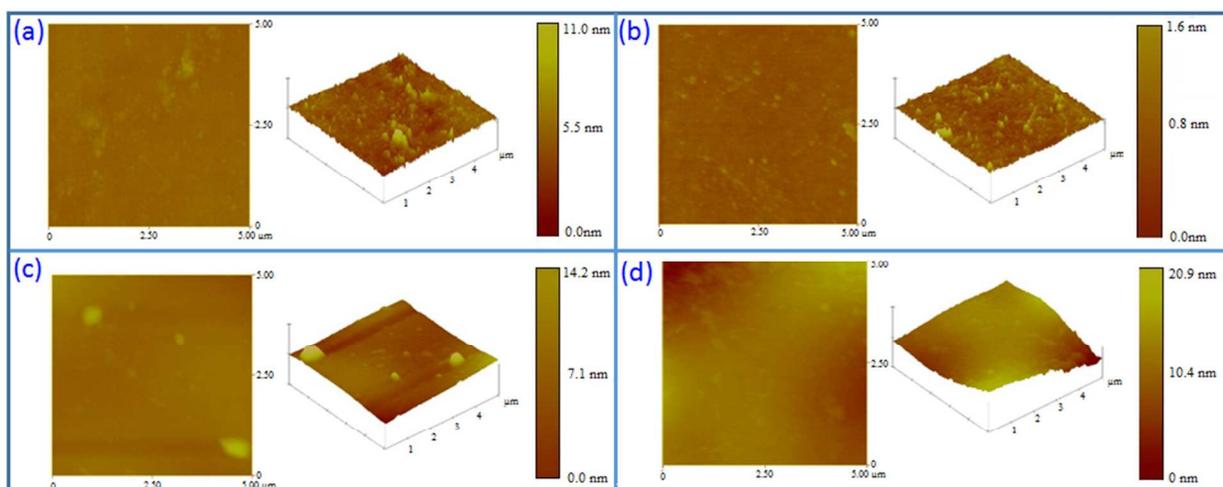
244
245 **Fig. 4** TEM images of (a) pristine CNT, (b) CNT-COOH, (c) CNT-Alkyne, (d) CNT-HBP (scale
246 bar indicates 50 nm)

247 Raman analysis also clearly reveals the difference in each of the modified CNTs. The peak at
248 1312.42 cm^{-1} corresponds to CNT and 1318.91 cm^{-1} for other functionalized CNT (Table S 2)
249 corresponds to D-band ('D' for defect or disorder). From the experimental observations it is

250 evident that there is a significant shift in D-band with modification of CNT surface. This is an
251 outcome of the disorders present and symmetry lowering. The appearance of D-band in
252 carbonaceous materials is because of one-phonon second order Raman scattering process, which
253 involves both elastic and inelastic scattering.^{33,34} Jorio *et al.*³⁵ reported that the D-band shows a
254 strong dependence on the excitation wavelength. The polarization dependence studies carried
255 out, suggest that origin of D-band is because of disorder and finite size effect of carbons. There
256 is also evident change in the I_D/I_G ratios in each modified CNT. I_D/I_G ratio provides information
257 on the alignment of CNT. G-band (G stands for graphite) originates from first order Raman
258 effect. G-band which comprises of six modes (according to group theoretical analysis) for each
259 symmetrical mode in the nanotubes, the vibration of atoms are either along the tube axis (G+) or
260 along the circumferential direction(G-). There is also a definite change in the intensities of G-
261 band from the [Figure 3\(b\)](#). The G-band in CNT corresponds to optical phonon mode between the
262 two dissimilar carbon atoms in the unit cell. It is known to originate from the sp^2 carbons present
263 in the CNT.³⁶

264 In the present study the CNT surface is anchored with hyperbranched polyol, so as to ensure the
265 solubility of CNTs and also facilitate the interaction between tubes through hydrogen bonding
266 between the hyperbranched polyols. It is observed that the surface modified CNTs such as CNT-
267 COOH, CNT-Alkyne and CNT-HBP show improved dispersion stability in various solvents.
268 Moreover, CNT-HBP shows higher solubility behaviour because of its surface hydroxyl
269 functional groups. The presence of carboxyl, alkynyl and hydroxyl groups facilitate swift
270 dispersion of CNT-COOH, CNT-Alkyne and CNT-HBP in polar solvents because of their
271 inherent polar nature. This behaviour may be due to formation of hydrogen bonds with solvent
272 systems. The poor dispersion stability of the modified CNTs in toluene solvent is accounted to
273 the non-polar nature of the solvent system. ([Figure S 12](#) and [Table S 3](#))

274



275

276 **Fig. 5** AFM images of (a) 0%CNT-PU (b) 0.5%CNT-PU, (c) 1% CNT-PU (d) 2% CNT-PU277 **3.2. Functional CNT-HBP Polyurethane-urea hybrid composites (CNT-PU)s**

278 Once the properties of CNT-HBP were established, the next step is to covalently link it to the
 279 polyurethane matrix so as to fabricate functional hybrid composites. The successful synthesis of
 280 carbon nanotube incorporated-polyurethane hybrids is based on the complete absence of free –
 281 NCO peak around 2270 cm^{-1} in the FT-IR spectra (Figure S 5), which indicates complete
 282 moisture curing of the polyurethanes. The observed absorption bands (cm^{-1}) at 3050–3700 (–NH
 283 stretch), 2800–3000 (–CH stretch consisting of asymmetric –CH₃ stretch at 2957, asymmetric –
 284 CH₂ stretch at 2920, symmetric –CH₃ stretch at 2872 and symmetric –CH₂ stretch at 2851 cm^{-1}),
 285 1600–1800 (–C=O stretching of amide I), 1500–1600 (amide II stretch consisting of a mixture of
 286 peaks $\delta_{\text{N-H}}$, $\nu_{\text{C-N}}$ and $\nu_{\text{C-C}}$), 1394 ($\delta_{\text{CH}_2\text{symm/assym}}$), 1215–1350 cm^{-1} (amide III, $\nu_{\text{C-N}}$), 766
 287 (amide IV due to –NH out of plane vibration) and 720 (–CH₂ rocking) supports the formation of
 288 polyurethanes. Neat polyurethane (0% CNT-PU) has a highly smooth surface, whereas the
 289 incorporation of CNT transforms the PU film into a rough and fractured micro structure with
 290 many pleats (Figure S 11). The effect of incorporating CNTs in the organization of PU matrix
 291 could be correlated with the increase in the density of pleats with the percentage of CNT, which
 292 could be verified by the surface roughness analysis from AFM (Figure 5). The formation of these
 293 rough surfaces facilitates high elongation at break and tensile strength of the polyurethane film.³⁷

294

Table 1 Mechanical properties of CNT-PU hybrids (from UTM analysis)

Sample code	Tensile strength (N/mm ²)	Elongation at break(%)
0% CNT-PU	1.25	10.74
0.5% CNT-PU	2.031	40.5
1% CNT-PU	4.31	136.5
2% CNT-PU	6.25	146.3

XRD analysis also confirm the increase in the crystallinity of CNT-PU_s with the CNT-HBP loading (Figure S 10). From UTM analysis (Figure S 13 and Table 1), it is observed that both tensile strength and elongation at break increases with CNT loading. Since, CNTs are thermo-oxidative stable materials³⁸; their incorporation enhances the thermal conductivity of polyurethane hybrid composites and therefore increases its thermal stability (Figure 3(c) and Table 2). The reason for improvement of thermal and mechanical stability of these hybrid films are due to the formation of urethane linkages by the hydroxyl groups present on the surface of CNT-HBP. The formed urethane linkages are capable of cross-linking through hydrogen bondings with the polyurethane matrix, which also imparts hydrophobicity to the hybrids which is realized from water contact angle measurements (Figure S 14). Electrochemical polarization curves (Figure 3(d) and Table 3) from the Tafel plot suggests an improvement in the polarization resistance and deterioration in the corrosion rate amongst different CNT-PU films. This might be due to the formation of more cross-linked structures with increasing the CNT-HBP content, which restricts the penetration of corrosive species by acting as a strong physical barrier.

Table 2 TGA profile of various CNT-PU_s

Sample code	Onset decomposition Temperature (T _{ON}) (°C)	10%, wt loss temperature (T _{d10}) (°C)	50%, wt loss temperature (T _{d50}) (°C)	% wt remaining at 350°C
0% CNT-PU	247.02	286.67	335.45	40.08
0.5% CNT-PU	251.91	284.40	336.02	40.09
1% CNT-PU	254.45	291.21	339.42	42.61
2% CNT-PU	256.34	292.34	343.39	45.90

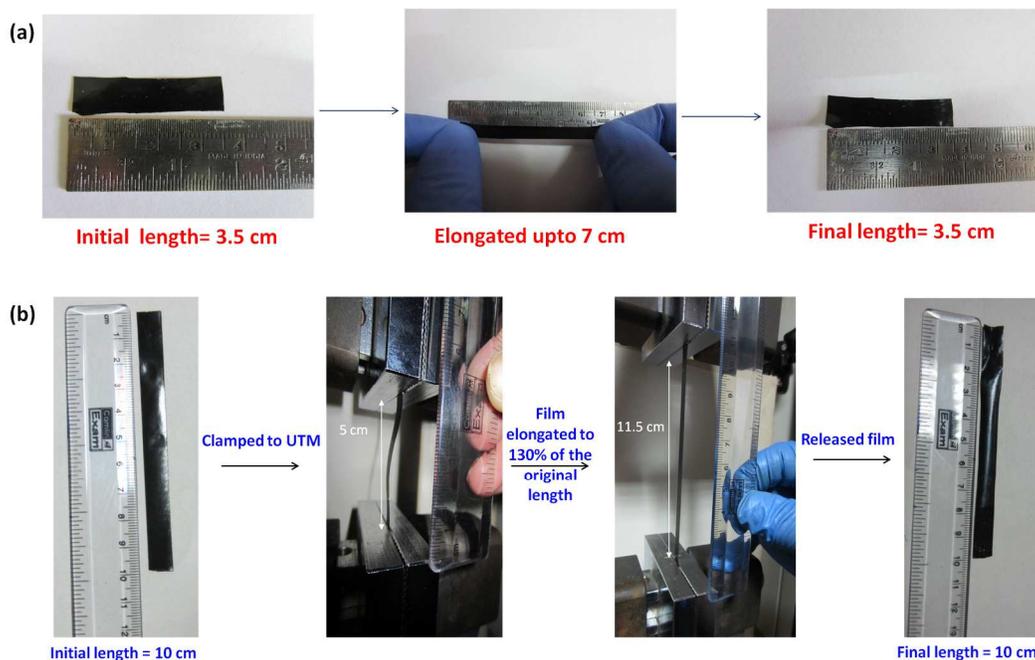
316

317 **Table 3** The electrochemical parameters measured from the Tafel plots of different CNT-PU
 318 hybrid coatings along with bare metal.
 319

<i>Sample code</i>	<i>Coating thickness (μm)</i>	<i>E_{corr} (mV)</i>	<i>I_{corr} (nA/cm²)</i>	<i>Polarization resistance (R_p) (kOhm cm²)</i>	<i>Corrosion rate (C_R) (mm/yr)</i>
Bare mild steel panel	-	-476.6	3.86×10^3	8.85	0.047
1%CNT-PU	67	-474.4	1.12×10^3	490.64	0.012
2%CNT-PU	69	-200.0	164	3502.07	0.0019

320

321 Thus the cross-linking density of CNT-PU increases with increase in the CNT percentile. Thus,
 322 CNT-PU films are an excellent barrier to atmospheric moisture and oxygen. Such high cross-
 323 linking of CNT-HBP with polyurethanes instills shape recovery property to CNT-PU hybrid
 324 materials, which were examined by using UTM instrument in tensile mode. It is observed that,
 325 with increase of CNT loading, the shape recovery nature of the polyurethane film increases. First
 326 the samples were elongated up to their break point at room temperature using UTM. The
 327 elongated samples were removed from UTM and kept at 60°C for recovery. The sample
 328 recovered its original shape within 10 sec of heat treatment and the process was repeatable
 329 (Figure 4 and Table 4). The shape recovery property of CNT-PU hybrids was attributed to the
 330 excellent mechanical stability of the CNT and high thermal conductivity coefficient. The shape
 331 recovery of CNT-PU depends on the presence and volume fraction of the CNT-HBP in the
 332 polyurethane film. Experimental studies reveal that the addition of CNT-HBP to PU lowers the
 333 unrestrained recoverable strain limit and increases the attainable controlled recovery stress . The
 334 particles are forced to store internal elastic strain energy during elongation. This mechanism
 335 restricts the generation of large recoverable strain, imparts higher recoverable force levels. The
 336 stored elastic strain energy in the particles is released once the film is unloaded. The temperature
 337 provided during the process help in rapid shape recovery. The transaction between recoverable
 338 displacement and force is an important characteristic of the shape memory CNT-PU. The film
 339 with 2% loading was found to be optimum which showed a 100% shape recovery. CNT-PU
 340 were also treated with different bacterial and fungal stains in order to estimate its tolerance to
 341 microbial attack. It is observed that the films with higher CNT-HBP content showed superior
 342 anti-microbial properties (Figure S 15).



343

344

Figure 4 Shape recovery tests of 2% PUCNT film (a) manual and (b) UTM

345

Table 4 Shape recovery behavior of various CNT-PU samples

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Sample code	% Elongation	100 % Shape recovery time (approximately)	
		Room Temp.	At 60°C
0.5% CNT-PU	30	40 sec	20 sec
1% CNT-PU	130	25 sec	10 sec
2% CNT-PU	130	20 sec	10 sec

351

4. Conclusions

352

The past decade has shown a remarkable improvement in the carbon nanotechnology. However

little has been researched about polyurethane based hybrids. We have successfully synthesized

multifunctional Polyurethane-urea-CNT hybrid composites with superior mechanical, thermal,

hydrophobic, antimicrobial and anticorrosive properties along with excellent shape recovery

behavior. These properties are mainly due to uniform distribution of decorated CNTs in

polyurethane-urea-CNT hybrid composite matrix as well as improved cross-linking. This

improvement is due to the presence of extra hydroxy groups on decorated CNTs as compared to

359 neat polyurethane. Though the research is fundamental, it can be exploited for applications as
360 smart coatings for different substrates such as metals, textiles, leather, fiber etc. The shape
361 recovery with stimuli-responsive nature along with hydrophobicity can be exploited for smart
362 wrinkle resistant textiles whereas the moisture curing, corrosion resistance, antimicrobial
363 properties and hydrophobicity can be exploited for smart high performance coatings on metals in
364 moist marine environments. Thus Polyurethane-urea-CNT hybrid composites could represent a
365 new exciting direction that may open up opportunities in various scientific fields.

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370 **Supporting Information**

371
372 Tables related to TGA, Raman, solubility. Figures related to FT-IR, ¹H-NMR, ¹³C-NMR, ESI-
373 MS, XRD, FE-SEM, solubility test, UTM, Contact angle, antimicrobial result.

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