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# Kinetic Resolution of Citronellal by Chiral Aluminum Catalysts: <br> $l$-Menthol Synthesis from Citral 

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A highly reactive catalytic ring-closing ene reaction is discussed. This reaction is catalyzed via novel optically active aluminum BINOL and TADDOL complexes. The kinetic resolution of the racemic ${ }_{10}$ analogs of citronellal was affected by these Al catalysts. The BINOL-Al catalyst afforded $68 \% e e$ of a diastereomer of isopulegol and $62 \% e e$ of citronellal at $47 \%$ conversion. The reaction mechanism proposed assumes that the optically active catalyst possesses a metal center between two parallel aromatic rings. We postulate that the edge of the aromatic rings can recognize the methyl group at the 3-position of citronellal, as the rings are oriented in a pseudo parallel orientation. We utilized the kinetic resolution for 15 the synthesis of $l$-menthol from citral.

## Introduction

A number of asymmetric intermolecular or intramolecular ene reactions have been reported for the synthesis of optically active ${ }_{20}$ products. ${ }^{1}$ The ene and Prins reactions between carbonyl and olefinic groups have been utilized and reported previously. ${ }^{1,2,3}$

Another common application for the ring-closing ene reaction is the synthesis of $(5 R)$-isopulegols (2) from $(R)$-citronellal $((R)$ 1) (Scheme 1). Citronellal and isopulegol are not only important ${ }_{25}$ aroma chemicals but are also valuable intermediates for the synthesis of $l$-menthol (3). A variety of methods has been

Scheme 1 I-Menthol synthesis from $(R)$-citronellal


I-menthol (3)
reported for the cyclization of citronellal to isopulegol. ${ }^{4-6}$ Several chiral organometallic Zn and Ti complexes have been employed for the asymmetric cyclization of $1 .^{7-10}$ The stoichiometric ring${ }_{30}$ closing ene reaction of citronellal using alkylaluminum chloride has also been reported previously, ${ }^{11}$ and various other aluminum complexes have also been applied to this end, with excellent diastereoselectivity. ${ }^{12-15}$

Kinetic resolution of racemic compounds, where one ${ }_{35}$ enantiomer reacts faster than the other, is an important method in asymmetric synthesis, and in recent years, a lot of research has been dedicated to the discovery and development of novel methods to achieve kinetic resolution. This is largely due to the cost associated with the purchase of optically pure starting 40 materials and the expertise required for their asymmetric assembly. Kinetic resolution can take many forms such as enzymatic or biocatalytic, ${ }^{16}$ thermal (high or low temperature), ${ }^{17}$ and organic or organometallic. ${ }^{18,19}$ Kagan et al. found a variety of kinetic resolution methods and laid the foundation for the modern ${ }_{45}$ study of kinetic resolution. ${ }^{20}$

Kinetic resolution can be divided into roughly six categories, including hydrolysis, reduction, oxidation, ring-opening, ringclosing, and other substituent changing reactions. ${ }^{20-22}$ However, to the best of our knowledge, neither the cyclization nor the kinetic ${ }_{50}$ resolution of citronellal derivatives with optically active aluminum complexes has been reported.

We describe herein the results of our investigation into the highly diastereoselective kinetic resolution of citronellal analogs catalyzed by chiral aluminum complexes such as BINOL-Al.
${ }_{55}$ These catalysts afforded isopulegol analogs in good diastereo-

Scheme 2 Kinetic resolution of racemic citronellal by chiral Al-catalyst

and enantioselectivities. It is expected that this process can be utilized for the synthesis of $\mathbf{3}$ from racemic starting materials (Scheme 2). A mechanistic analysis of the kinetic resolution is also discussed.

## Results and discussion

## Ring-closing ene reaction of ( $R$ )-citronellal using BINOL-Al

${ }_{10}$ As a first step, we carried out the reaction of $(R)$-citronellal $((R)$ 1) with BINOL (4a)-Al catalysts under various conditions. The results are summarized in Table 1. The conversions were calculated as the total consumption of substrate 1. Diastereoselectivity was calculated as the ratio of (5R)-n${ }_{15}$ isopulegol (2a) to the total (5R)-isopulegols (2). As can be seen from Table 1 (entries 1 and 2), the reactivities of $(R)-\mathbf{4 a - A l}$ and ( $S$ ) -4a-Al were significantly different. This result indicated that the BINOL-Al catalysts recognized the steric structure of $\mathbf{1}$, resulting in the different reactivity. The chiral recognition of the
${ }_{20}$ catalyst strongly affected the cyclization; the mismatched combination of ( $S$ )-4a-Al and ( $R$ )-1) afforded 2a in moderate reactivity (entry 2 , Table 1 ). The racemic $\mathrm{BINOL}(( \pm)-\mathbf{4 a})-\mathrm{Al}$ catalyst afforded a $80 \%$ selectivity of dimmer ester 5 by the Tishchenko reaction ${ }^{23,24}$ and $6 \%$ selectivity of 2 (entry 3, Table ${ }_{25} 1$ ). We have further investigated and optimized the details of this reaction.

## Screening of ligand equivalents

${ }_{30}$ The ligand equivalent effects are shown in Table 1, entries 1, 4, and 5 . The catalyst with one equivalent of $(R)-\mathbf{4 a}$ to aluminum afforded $\mathbf{2}$ in only $3 \%$ selectivity and ester $\mathbf{5}$ in $84 \%$ selectivity (entry 4, Table 1). In contrast, the ring-closing ene reaction proceeded exclusively when the ratio of BINOL equivalents to

Table 1 Ring-closing ene reaction of (R)-citronellal ((R)-1) using BINOL (4a)-AI catalysts

[a] Determined from the GC areas and corrected by the ratio of the GC areas and the molecular volumes of ( $R$ )-citronellal (( $R$ )-1), ( $5 R$ )-isopulegols (2), and dimmer 5. [b] The conversions were calculated as the total consumption of (R)-1. [c] Determined from the GC areas. [d] Reacted for 19 h . [e] $5 \mathrm{~mol} \%$ of $(R)-\mathbf{4 a}$ was used. [f] $25 \mathrm{~mol} \%$ of $(R)-4 a$ was used. [g] To a solution of $\mathrm{LiAlH}_{4}(19 \mathrm{mg}, 0.50 \mathrm{mmol}, 5 \mathrm{~mol} \%)$ in THF ( 5 mL ) a solution of ( $R$ ) $\mathbf{- 4 a}(300 \mathrm{mg}, 1.05 \mathrm{mmol}, 10$ $\mathrm{mol} \%)$ in THF ( 5 mL ) was added at $0{ }^{\circ} \mathrm{C}$. After stirring for 30 min at $0{ }^{\circ} \mathrm{C},(R) \mathbf{- 1}(1.54 \mathrm{~g}, 10.0 \mathrm{mmol})$ was added dropwise at a temperature below $5{ }^{\circ} \mathrm{C}$. The mixture was stirred for 1 h . The reaction products were analyzed using GC. ${ }^{25}$

Figure 1 Kinetic resolution of racemic citronellal ( $( \pm)-1$ ) using the $(R)-\mathrm{BINOL}((R)-4 \mathrm{a})-\mathrm{Al}$ catalyst: experimental values (dots); simulated data for the first-order reaction (lines)

aluminum was greater than 1.6 (entries 1 and 5, Table 1). BINOL-Al in an equimolar ratio has previously been used as a catalyst in the Tishchenko reaction. ${ }^{23}$ However, when the BINOL/Al ratio was 1.5 , the complex changed its character to 5 become a cyclization catalyst. Therefore, we only added a small excess of BINOL ligands in order to prevent the Tishchenko reaction. The details of the catalyst characteristics are described below in the section titled Reaction Mechanism. Moreover, these results suggest that the structure of the catalyst used in the ring${ }_{10}$ closing ene reaction is quite different from that of the Al-LiBINOL complex reported by Shibasaki (Table 1, entry 6). ${ }^{25}$

## Solvent effects

${ }_{15}$ The results of solvent screening for the citronellal cyclization are shown in entries 1 and $7-9$ of Table 1. As can be seen, the reaction did not proceed in THF (entry 9, Table 1), indicating that BINOL-Al catalysts could not be used in polar solvents. We believe that the lone-pair of polar solvents coordinates to 20 aluminum and decreases the Lewis acidity of the catalyst. Therefore, we concluded toluene and dichloromethane to be suitable reaction solvents.

## Kinetic resolution

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From the results of the cyclization of citronellal described above (Table 1), we hypothesized that kinetic resolution occurred in the presence of the BINOL-Al catalysts. The optical resolution of racemic citronellal $(( \pm)-\mathbf{1})$ was carried out using Al catalysts. We ${ }_{30}$ also explain the details of the kinetic resolution.

The value of $k_{\text {rel }}$, the ratio of the rate constants for conversion of the fast-reacting and slow-reacting enantiomers, controls the product distribution. For the purposes of calculating $k_{\text {rel }}$, catalytic reactions are often

35 assumed to follow zero-order rate laws. However, kinetic resolutions can be explained by both zero-order and firstorder reactions. ${ }^{21,26,27}$ Therefore, we decided to characterize the kinetic resolution of citronellal using both zero-order and first-order rate laws. ${ }^{16,19}$
40 T
The kinetic resolution of $( \pm)-\mathbf{1}$ by $(R)-\mathbf{4 a}-\mathrm{Al}$ was carried out. The variation in the $e e$ of $(S)-\mathbf{1}$ with conversion is plotted in Figure 1 and the results are summarized in Table 2. From Table 2, it appears that the resolution was complete with around $50 \%$ conversion in 90 min with $2 \mathrm{~mol} \%$ catalyst loading (entry 4). The
45 ee value of $\mathbf{2 a}$ gradually decreased as the reaction progressed (entries 1-5). It seems that the resolution of 2a is consistent with both zero-order and first-order reactions. However, the plot of the $e e$ of ( $S$ ) $\mathbf{- 1}$ with respect to conversion seems to follow first-order reaction kinetics, implying that the results of the kinetic
${ }_{50}$ resolution experiments are more consistent with a first-order reaction than it is with a zero-order reaction in the substrate and product. ${ }^{16}$ Therefore, we evaluated the kinetic resolution as a first-order reaction in the substrate and the product. The $k_{\text {rel }}$ value was found to gradually decrease from 26 to 5.5 as the reaction ${ }_{55}$ proceeded (entries 1-5).

The conversion is over $50 \%$ conversion only at 120 min . After 90 min , it is still $47 \%$. The $e e$ value of 2a gradually decreased as the reaction progressed. The $e e$ of $\mathbf{2 a}$ was $71 \%$ at $26 \%$ conversion (entry 1, Table 2) and decreased linearly to $67 \%$ at $56 \%$ ${ }_{60}$ conversion (entry 5, Table 2). It seems that the resolution of 2a is consistent with both zero-order and first-order reactions. The plot of the $e e$ of (S)-1 with respect to conversion seems to follow firstorder reaction kinetics. The results of the kinetic resolution experiments are more consistent with a first-order reaction than it ${ }_{65}$ is with a zero-order reaction in the substrate and product. ${ }^{16}$

| Table 2 Kinetic resolution of racemic citronellal (( $\pm$ )-1) using the $(R)$ - $\mathrm{BINOL}((R)-\mathbf{4 a})$-Al catalysts |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  <br> ( $\pm$ )-1 |  |  |  |  |  |  |
| Entry | Time (min) | Conv. $(\%)^{[a][b]}$ | Ratio of <br> 2a in 2 <br> (\%) ${ }^{[c]}$ | $\begin{aligned} & \text { ee of } \\ & \mathbf{2 a} \\ & (\%)^{[c]} \end{aligned}$ | $\begin{aligned} & e e \text { of } \\ & (S)-\mathbf{1} \\ & (\%)^{[c]} \end{aligned}$ | $k_{\text {rel }}{ }^{[d]}$ |
| 1 | 15 | 26 | 93 | 71 | 32 | 26 |
| 2 | 30 | 35 | 92 | 71 | 46 | 21 |
| 3 | 60 | 41 | 91 | 70 | 54 | 12 |
| 4 | 90 | 47 | 91 | 68 | 62 | 11 |
| 5 | 120 | 56 | 91 | 67 | 64 | 5.5 |

[a] Determined from the GC areas and corrected by the ratio of the GC areas and the molecular volumes of citronellal (1), (5R)-isopulegols (2), and ester 5. [b] The conversions were calculated as the total consumption of 1. [c] Determined from the GC areas. [d] Calculated from the first-order rate law using the theoretical conversion value. ${ }^{16}$

Figure 2 Chiral ligands

(R)-4a: $\mathrm{R}^{1}=\mathrm{H}, \mathrm{R}^{2}=\mathrm{H}$
$(R)-\mathbf{4 b}: \mathrm{R}^{1}=\mathrm{Br}, \mathrm{R}^{2}=\mathrm{H}$

(R)-4c

(S)-6: $\mathrm{Ar}=1-\mathrm{Naph}$

Therefore, we evaluated the kinetic resolution as a first-order reaction in the substrate and the product. At the start of the reaction, the first-order $k_{\text {rel }}$ value was 26 (entry 1, Table 2). As the reaction proceeded, the $k_{\text {rel }}$ value gradually decreased from 26 5 to 11 . The $k_{\text {rel }}$ value decreased further to 5.5 when the reaction conversion was over $50 \%$ (entry 5, Table 2).
There was a difference between the estimated $e e$ of $\mathbf{2 a}$ from the $k_{\text {rel }}$ and the ee of $\mathbf{2 a}$ determined from the GC analysis. For example, the GC-analyzed ee of $\mathbf{2 a}$ was $68 \%$, whereas the ${ }_{10}$ estimated $e e$ from the $k_{\text {rel }}$ value was $71 \%$ at $47 \%$ conversion (entry 4, Table 2). The GC-analyzed ee was $67 \%$ at $56 \%$ conversion (entry 5, Table 2), whereas the estimated ee decreased significantly to $50 \%$. As the $k_{\text {rel }}$ values were calculated assuming 2a to be the only product, we attribute the differences in the $e e$ 15 values to the formation of other products. The difference in the $e e$ of 2a could also be because of the difference in the enantioselectivity of $\mathbf{2 a}$ and the other isomers of $\mathbf{2}$. There are 8 stereoisomers possible for $\mathbf{2}$, and the starting material does not convert cleanly to just one diastereomer. Another reason for the
${ }_{20}$ difference in the $e e$ values is the side reaction to form 5. At $47 \%$ conversion the selectivity of $\mathbf{2}$ was $96 \%$, but ester $\mathbf{5}$ was afforded by the Tishchenko reaction in $3.4 \%$ selectivity. This reaction consumed $3.4 \%$ of racemic citronellal ( $( \pm)-\mathbf{1})$ and contributed to the distortion between the analyzed and estimated values of $e e$ of ${ }_{25} \mathbf{2 a}$.

A variety of ligands and substrates were utilized for the kinetic resolution and the results are shown in Table 3. Toluene was chosen as the solvent instead of dichloromethane from the viewpoint of environmental friendliness. The structures of the ${ }_{30}$ chiral ligands tested are shown in Figure 2. The catalyst with $(R)$ 4a ligands showed the best result. The kinetic resolution in toluene and $\mathrm{CH}_{2} \mathrm{Cl}_{2}$ with the catalyst with $(R)$-4a ligands afforded almost the same ratio of $\mathbf{2 a}$ in $\mathbf{2}$ and enantioselectivity of $\mathbf{2 a}$ as the reaction in a mixture of dichloromethane and toluene (entries 352 and 3, Table 3).

A variety of alkyl aluminum substrates were investigated for the kinetic resolution. The results indicated that the alkyl groups on aluminum affected the performance of the catalysts with the best performance being observed for the catalyst with $(R)-\mathbf{4 a}$ 40 ligands (entries 4-7).

The kinetic resolution of $( \pm)-\mathbf{1}$ was also carried out using 1-NaphTADDOL-Al catalysts. The results indicated that ( $S, S$ )-1NaphTADDOL ((S)-6)-Al showed chiral recognition towards ( $\pm$ )1 (entry 8, Table 3). However, the resolution ability was lower 45 than that obtained with BINOL-Al.

## Reaction mechanism

[a] Determined from the molecular ratio of substrates, products, and dimmers calculated by GC area. [b] The conversions were calculated as the total consumption of substrates. [c] Calculated from the GC area. [d] Calculated from the first-order rate law using the theoretical conversion value. ${ }^{16}$ [e] Trimethylaluminum was used as a reagent for the catalyst. [ $f$ ] Triisobutylaluminum was used as a reagent for the catalyst. [g] 3 mol\% of ( $S$ )- 6 was used.

Figure 3 Tentative reaction mechanism of the ring-closing ene reaction by Al-catalysts ${ }^{11}$


Figure 4 Expected 3D structures of the asymmetric Al-catalysts and their mechanism of chiral recognition


The carbonyl group of $(R) \mathbf{- 1}$ is coordinated to the aluminum ${ }_{5}$ active site $\mathbf{A}$. Then, a concerted reaction occurs to afford a 6membered ring, which goes on to afford ( $5 R$ )-isopulegols (2). When BINOL (4a) of the aluminum complex is substituted with 2 or any other alcohol, the reaction proceeds via another reaction path. The aluminum alkoxide complex (B) works as a catalyst for 10 the Tishchenko reaction. The reaction of two molecules of $(R)-\mathbf{1}$ with $\mathbf{B}$ affords dimmer 5. The transformation from $\mathbf{A}$ to $\mathbf{B}$ increases the possibility of the Tishchenko reaction. The increase in the concentration of $\mathbf{2}$ results in this transformation. For an equimolar ratio of aluminum and BINOL (4a), the transformation
15 will immediately occur by the substitution of an ethyl substituent on aluminum with $\mathbf{2}$. Hence, the Tishchenko reaction is initiated in the initial stage of the reaction and affords dimmer 5. It is assumed that a bulky ligand such as 1-NaphTADDOL (6) can prevent this substitution. Therefore, 1-NaphTADDOL (6)-Al 20 acted as a good cyclization catalyst with an equimolar ratio of ligand and aluminum.
Diastereoselectivity with chiral recognition could be achieved using BINOL (4) or TADDOL (6). The molecular models of ( $R$ )$\mathbf{4 a},(R)-\mathbf{4 b}$, and $(R)-\mathbf{6}$ complexes are shown in Figure 4. These ${ }_{25}$ complexes have a metal center between two parallel aromatic rings. During the ring-closing ene reaction, the aromatic rings are in close proximity to citronellal in the transition state, and this narrow space results in the excellent diastereo- and enantioselectivity of $\mathbf{2 a}$. It is assumed that these aromatic rings ${ }_{30}$ are horizontally aligned with respect to each other, so that the edge of the aromatic rings can recognize the 3-methyl group of citronellal when the carbonyl group coordinates to the aluminum reaction site. $(R)-\mathbf{1}$ can be fitted between the two parallel aromatic rings of $(R)-\mathbf{4 a}-\mathrm{Al}$; therefore, optical resolution of citronellal ${ }_{35}$ occurs. The narrow space between the aromatic rings results in

A tentative reaction mechanism is shown in Figure 3. The reaction follows an intramolecular ene reaction mechanism catalyzed by an aluminum complex acting as a Lewis acid. ${ }^{11.12}$

## Scheme 3 Preparation of a chiral amine co-catalyst



Scheme 4 l-Menthol (3) synthesis with kinetic resolutions


Asymmetric Hydrogenation
Citral (10)
( $E / Z=1 / 1$ )

the formation of $\mathbf{2 a}$ with high enantioselectivity. When BINOL is substituted with bromine ( $\mathbf{4 b}$ ), the edges of the BINOL ring expand horizontally to minimize chiral recognition from the rings, resulting in reduced chiral recognition.
5 1-NaphTADDOL-Al also has aromatic rings; however, the 1naphthyl substituents in TADDOL are more flexible than the BINOL rings. Therefore, $\mathbf{2 a}$ is formed with lower enantioselectivity.

We attempted to characterize these catalysts using NMR, but 10 the NMR spectra for all the catalysts indicated complex mixtures. Based on this, we predict that the catalysts are associated. ${ }^{28}$ In addition, the remaining alkyl group on aluminum also affected the structure and performance of the catalysts.

In order to increase $k_{r e l}$, we propose an expansion of the 15 aromatic rings and the substituents on the other side of the aluminum metal center to keep the slit narrow. This would prevent the undesired enantiomer of citronellal from approaching the reaction site.

## ${ }_{20} \boldsymbol{l}$-Menthol synthesis

We utilized this kinetic resolution for the synthesis of $l$-menthol (3). The synthesis path is shown in Schemes 3 and 4. Citral (10) was enantioselectively hydrogenated using a Dual Catalyst ${ }_{25}$ System. ${ }^{29}$ The new amine co-catalyst 9 was prepared from $(R, R)$ -cis-hydroxyproline ester 7 for this synthesis (Scheme 3). This cocatalyst 9 afforded good enantioselectivity of $(R)$ - $\mathbf{1}$ and the preparation of the co-catalyst was inexpensive compared to other co-catalysts previously reported. We concluded that the co${ }_{30}$ catalyst is suitable for industrial use.

The reaction afforded ( $R$ )-1 with $76 \%$ yield and $70 \%$ ee (Scheme 4). This kinetic resolution was used to amplify the enantioselectivity of $\mathbf{2 a}$. The reaction was carried out in toluene
$(R)-1$
$76 \%$ yield
$70 \%$ ee


Kinetic Resolution

2a
$62 \%$ isolated yield 91\% ratio of $\mathbf{2 a}$ in 2 $93 \%$ ee
and quenched at $78 \%$ conversion. This kinetic resolution afforded ${ }_{35} \mathbf{2 a}$ in $62 \%$ yield. The $e e$ of $\mathbf{2 a}$ was $93 \%$ and the ratio of $\mathbf{2 a}$ in $\mathbf{2}$ was $91 \%$. Subsequently, 2a was hydrogenated with Raney-Ni and 3 was obtained in $97 \%$ yield. The diastereoselectivity and enantioselectivity of $\mathbf{3}$ were the same as those of $\mathbf{2 a}$, indicating that chirality was maintained during this reaction.

## Conclusions

Optically active BINOL and TADDOL ligands were reacted with an aluminum reagent to afford new catalysts for the ring-closing 45 ene reaction. These catalysts successfully achieved the kinetic resolution of citronellal analogs. Racemic citronellal afforded optically active isopulegol with high diastereoselectivity. BINOL-Al catalysts showed the best performance in the kinetic resolution. The reaction mechanism is likely to involve chiral ${ }_{50}$ recognition of a citronellal enantiomer by the two parallel aromatic rings in the catalyst. The narrow space between the aromatic rings in the BINOL-Al and TADDOL-Al catalysts can recognize the methyl group in the 3-position of citronellal and these catalysts therefore afford high diastereoselectivity of 55 isopulegol. $l$-Menthol was synthesized from citral with excellent diastereoselectivity and enantioselectivity using kinetic resolution.

## Experimental Section

${ }_{60}$ Gas chromatography (GC) was performed on a GC-2010AF system (Shimadzu) or GC-353B (GL science) and D-2500 (Hitachi) using DB-WAX ( $30 \mathrm{~m} \times 0.32 \mathrm{~mm} \times 0.5 \mu \mathrm{~m}$ ), IC-1 ( 30 $\mathrm{m} \times 0.25 \mathrm{~mm} \times 0.25 \mu \mathrm{~m})$, Chirasil-DEX-CB $(25 \mathrm{~m} \times 0.25 \mathrm{~mm} \times$ $0.25 \mu \mathrm{~m})$, Beta DEX ${ }^{\mathrm{TM}} 225(30 \mathrm{~m} \times 0.25 \mathrm{~mm} \times 0.25 \mu \mathrm{~m})$, and ${ }_{65}$ Beta DEX $^{\text {TM }} 325(30 \mathrm{~m} \times 0.25 \mathrm{~mm} \times 0.25 \mu \mathrm{~m})$ columns. Gas chromatography-mass spectrometry ( $\mathrm{GC}-\mathrm{MS}$ ) was performed on
a GC-QP2010 system (Shimadzu) using Rtx-1 ( $30 \mathrm{~m} \times 0.25 \mathrm{~mm}$ $\times 0.25 \mu \mathrm{~m})$ columns. ${ }^{1} \mathrm{H}-\mathrm{NMR}$ spectra were recorded on a Bruker 500 MHz spectrometer. Chloroform was used as the NMR solvent and chemical shifts are reported as $\delta$ values in parts per 5 million relative to trimethylsilane ( $\delta=0$ ). Optical rotations were determined using a JASCO P-1020 digital polarimeter (JASCO). Molecular orbital calculation was performed on SCIGRESS V2 powered by Fujitu. Graphic drawings were made using the Mathematica program.
10 All other reagents were purchased from Sigma-Aldrich, Wako Pure Chemical Industries, Ltd., Nacalai Tesque, Inc., Takasago International Corporation, or Strem Chemicals Inc. They were used as received. All compounds used were of commercial grade.
${ }^{15}$ General procedure of ( $R$ )-citronellal ring-closing ene reaction
using BINOL (4a)-Al catalyst (Table 1)
A mixture of BINOL (4a) ( $229 \mathrm{mg}, 0.80 \mathrm{mmol}, 8 \mathrm{~mol} \%$ ), a 1.0 $\mathrm{mol} / \mathrm{L}$ toluene solution of triethylaluminum $(0.50 \mathrm{~mL}, 0.50 \mathrm{mmol}$, $\left.{ }_{20} 5 \mathrm{~mol} \%\right)$, and given solvent ( 4.5 mL ) was added to a $50-\mathrm{mL}$ schlenk tube under a $\mathrm{N}_{2}$ atmosphere. After being stirred at r.t. for over 1 h , the solution was cooled to less than $10^{\circ} \mathrm{C} .(R)$ Citronellal $(R)-\mathbf{1}(1.54 \mathrm{~g}, 10 \mathrm{mmol})$ was added dropwise slowly below $10^{\circ} \mathrm{C}$ and stirred for 1 h . The reaction products were 25 analyzed by GC. The authentic samples of ( $5 R$ )-isopulegols $\mathbf{2 a}$, $\mathbf{2 b}, \mathbf{2 c}$, and 2d were also prepared by silica-gel catalyzed cyclization ${ }^{4,30}$

General procedure of kinetic resolution of racemic citronellal ${ }_{30}(( \pm)$-1) using ring-closing ene reaction by chiral Al-catalyst (Table 2 and 3)

A mixture of chiral ligand 4 or 6 ( $0.80 \mathrm{mmol}, 3.2 \mathrm{~mol} \%$ ), triethylaluminum in $1.0 \mathrm{~mol} / \mathrm{L}$ toluene solution $(0.50 \mathrm{~mL}, 0.50$ $\left.{ }_{35} \mathrm{mmol}, 2 \mathrm{~mol} \%\right)$, and given solvent ( 11 mL ) was added to a $50-$ mL schlenk tube under a $\mathrm{N}_{2}$ atmosphere. After being stirred at r.t. for over 1 h , the solution was cooled to less than $10^{\circ} \mathrm{C}$. Racemic citronellal ( $( \pm)-\mathbf{1})(3.86 \mathrm{~g}, 25 \mathrm{mmol})$ was added dropwise slowly below $10^{\circ} \mathrm{C}$ and stirred for a given amount of time. The solution 40 samples were consecutively collected at regular intervals since the initiation of the dropwise addition and analyzed by GC.

Preparation of ( $3 R, 5 R$ )-5-benzhydrylpyrrolidin-3-ol $\cdot \mathrm{HCl}$ (9) (Shceme 3)
${ }_{4} 5$
Step1; preparation of ( $6 R, 7 \mathrm{a} R)$-6-hydroxy-1,1-diphenyltetrahydropyrrolo[1,2-c]oxazol-3(1H)-one (8) ${ }^{31}$

A solution of $(2 R, 4 R)$-diethyl 4-hydroxypyrrolidine-1,2${ }_{50}$ dicarboxylate $7(208 \mathrm{~g}, 959 \mathrm{mmol})$ in THF $(457 \mathrm{~mL})$ was added dropwise to a solution of PhMgBr in THF ( 2285 mL ) ( 1.27 $\mathrm{mol} / \mathrm{L}, 3.03$ eq. vs ester, 2.91 mol ) under $\mathrm{N}_{2}$ at $40^{\circ} \mathrm{C}$. The solution was warmed to $65^{\circ} \mathrm{C}$ for 2 h . The reaction mixture was then added to an ice cold solution of $\mathrm{NH}_{4} \mathrm{Cl}$ and the aqueous layer extracted 55 into AcOEt. The combined organic layer was washed with $\mathrm{H}_{2} \mathrm{O}$ and dried over $\mathrm{Na}_{2} \mathrm{SO}_{4}$. Following silica-gel chromatography using a short column ( $5 \mathrm{~cm} \times 13 \mathrm{~cm}$ (please provide the dimensions)), the solvent was removed under reduced pressure to
give the title compound $\mathbf{8}$ as a white crystalline solid ( 255 g ), 60 which was used for the next reaction without purification.

## Step2; preparation of (3R,5R)-5-benzhydrylpyrrolidin-3$\mathrm{ol} \cdot \mathrm{HCl}(9)^{31}$

${ }_{65}$ A mixture of $\mathbf{8}(210 \mathrm{~g}, 711 \mathrm{mmol}$ as $100 \%)$ and $10 \%-\mathrm{Pd} / \mathrm{C}(10.5$ $\mathrm{g}, 5 \mathrm{wt} \%$, N. E. Chemcat, PE type, $10 \% \mathrm{Pd}$, wetted) in MeOH ( 630 mL ) was stirred under a $\mathrm{H}_{2}$ atmosphere at room temperature for 26 h . The catalyst was filtered off and the solvent removed under reduced pressure. Purification over $\mathrm{Al}_{2} \mathrm{O}_{3}$ gave the title 70 compound as a colorless heavy oil. The oil was crystallized with 1-BuOH ( 840 mL ) and conc $\mathrm{HCl}(73 \mathrm{~mL})$. A white solid 9 was obtained ( $101 \mathrm{~g}, 351 \mathrm{mmol}$, all over $44 \%$ yield).

## (3R,5R)-5-benzhydrylpyrrolidin-3-ol•HCl (9) (Scheme 3)

75
$[\alpha]^{20}{ }_{\mathrm{D}}=-25.9(\mathrm{c}=0.4, \mathrm{EtOH}) .{ }^{1} \mathrm{H}-\mathrm{NMR}\left(500 \mathrm{MHz}\right.$, DMSO-D $\left.{ }_{6}\right):$ ס.1.48-1.52 (m, 1H), 2.08-2.16 (m, 1H), $3.07(\mathrm{dd}, 1 \mathrm{H}, J=11.7$, $2.1 \mathrm{~Hz}), 3.19(\mathrm{dd}, 1 \mathrm{H}, J=11.8,5.4 \mathrm{~Hz}), 4.32-4.40(\mathrm{~m}, 2 \mathrm{H}), 4.56-$ $4.63(\mathrm{~m}, 1 \mathrm{H}), 5.52(\mathrm{~d}, 1 \mathrm{H}, J=4.1 \mathrm{~Hz}), 7.18-7.55(\mathrm{~m}, 12 \mathrm{H}) .{ }^{13} \mathrm{C}-$ 80 NMR ( 125 MHz, DMSO-D $)$ : $38.7\left(\mathrm{CH}_{2}\right)$, $52.7\left(\mathrm{CH}_{2}\right), 54.3(\mathrm{CH})$, $60.2(\mathrm{CH}), 68.1(\mathrm{CH}), 126.8(\mathrm{CH}), 127.0(\mathrm{CH}), 127.8(2 \mathrm{C}, \mathrm{CH})$, $128.0(2 \mathrm{C}, \mathrm{CH}), 128.7(2 \mathrm{C}, \mathrm{CH}), 128.8(2 \mathrm{C}, \mathrm{CH}), 140.9(\mathrm{C})$, 142.0 (C). HRMS calcd for $\mathrm{C}_{17} \mathrm{H}_{20} \mathrm{NOCl}(\mathrm{M}+)$ 289.1233, found 289.1220. IR (KBr): $3291 \mathrm{~m}, 3023 \mathrm{~m}, 1498 \mathrm{~m}, 1450 \mathrm{~m}, 1402 \mathrm{~m}$, ${ }_{85} 1094 w, 1025 w, 918 w, 700 w$.

Preparation of $(R)$-citronellal $((R)$-1) with Dual Catalyst System (Scheme 4) ${ }^{29}$
${ }_{90}$ A mixture of citral $(\mathbf{1 0})(E / Z=50 / 50)(170 \mathrm{~g}, 1.12 \mathrm{~mol}),(3 R, 5 R)-$ 5-benzhydrylpyrrolidin-3-ol $\cdot \mathrm{HCl}(9)(850 \mathrm{mg}, 2.93 \mathrm{mmol}, 0.262$ $\mathrm{mol} \%$ ), citric acid ( $6.76 \mathrm{~g}, 35.2 \mathrm{mmol}, 3.14 \mathrm{~mol} \%$ ), and 210 mg of $5 \%-\mathrm{Pd} / \mathrm{C}(0.5 \mathrm{wt} \%$, Evonik Degussa type E $105 \mathrm{O} / \mathrm{W} 5 \% \mathrm{Pd}$, wetted with ca. $55 \%$ water) in $t$ - $\mathrm{BuOH} / \mathrm{H}_{2} \mathrm{O}(92: 8 \mathrm{v} / \mathrm{v})(170 \mathrm{~mL})$
${ }_{95}$ were stirred under a $\mathrm{N}_{2}$ atmosphere at $50^{\circ} \mathrm{C}$ for $1 \mathrm{~h} . \mathrm{H}_{2}$ gas was introduced into the mixture. After stirring for 18 h at $60^{\circ} \mathrm{C}$, the reaction mixture was filtered using Celite and the organic phase was analyzed by GC. The reaction was repeated three times and the reaction mixtures were gathered and evaporated (517 g). 100 Distillation with a Claisen distillation apparatus afforded $(R)$ citronellal $((R)-\mathbf{1})$ as a colorless oil (bath $75^{\circ} \mathrm{C}$, bottom $68^{\circ} \mathrm{C}$, top $64^{\circ} \mathrm{C}, 0.5$ Torr) (obtained $387 \mathrm{~g}, 76 \%$ yield, $70 \%$ ee).

## ( $R$ )-Citronellal (70\% ee of (R)-1) (Scheme 4)

105
$[\alpha]^{20}{ }_{\mathrm{D}}=+13.3\left(\mathrm{c}=0.5, \mathrm{CHCl}_{3}, 70 \% e e\right) .{ }^{1} \mathrm{H}-\mathrm{NMR}(500 \mathrm{MHz}$, $\left.\mathrm{CDCl}_{3}\right): \delta 0.97(\mathrm{~d}, J=6.5 \mathrm{~Hz}, 3 \mathrm{H}), 1.23-1.31(\mathrm{~m}, 1 \mathrm{H}), 1.32-1.41$ $(\mathrm{m}, 1 \mathrm{H}), 1.60\left(\mathrm{br}, 1 \mathrm{H}, \mathrm{C}=\mathrm{C}-\mathrm{CH}_{3}\right), 1.69\left(\mathrm{br}, 1 \mathrm{H}, \mathrm{C}=\mathrm{C}-\mathrm{CH}_{3}\right), 1.94-$ $2.12(\mathrm{~m}, 3 \mathrm{H}), 2.23$ (ddd, $1 \mathrm{H}, J=16.0,8.0,3.0 \mathrm{~Hz}$ ), 2.40 (ddd, $\left.{ }_{110} 1 \mathrm{H}, J=16.0,5.5,2.0 \mathrm{~Hz}\right), 5.06-5.11(\mathrm{~m}, 1 \mathrm{H}, \mathrm{CH}=\mathrm{C}), 9.75$ (dd, $1 \mathrm{H}, J=2.5,2.0 \mathrm{~Hz}, \mathrm{CHO}) .{ }^{13} \mathrm{C}-\mathrm{NMR}\left(125 \mathrm{MHz}, \mathrm{CDCl}_{3}\right): 17.6$ $\left(\mathrm{CH}_{3}\right), 19.9\left(\mathrm{CH}_{3}\right), 25.4\left(\mathrm{CH}_{2}\right), 25.7\left(\mathrm{CH}_{3}\right), 27.8(\mathrm{CH}), 36.9$ $\left(\mathrm{CH}_{2}\right), 51.0\left(\mathrm{CH}_{2}\right), 124.0(\mathrm{CH}), 131.8(\mathrm{C}), 203.0(\mathrm{CHO})$.
${ }_{115}$ Synthesis of $l$-menthol (3) from ( $R$ )-citronellal ( $70 \%$ ee of (R)1) via kinetic resolution using BINOL-Al (Scheme 4)

A mixture of $\operatorname{BINOL}(R)-4 \mathrm{a}(742 \mathrm{mg}, 2.59 \mathrm{mmol}, 8 \mathrm{~mol} \%)$, triethylaluminum $1.0 \mathrm{~mol} / \mathrm{L}$ toluene solution $(1.6 \mathrm{~mL}, 1.62 \mathrm{mmol}$, $5 \mathrm{~mol} \%$ ), and toluene ( 14 mL ) was added to a $100-\mathrm{mL}$ schlenk 5 tube under a $\mathrm{N}_{2}$ atmosphere. After being stirred at r.t. for over 1 h , the solution was cooled to less than $10^{\circ} \mathrm{C} .(R)$-Citronellal $(70 \%$ $e e$ of $(R) \mathbf{- 1})(5.00 \mathrm{~g}, 32.4 \mathrm{mmol})$ was added dropwise slowly below $10^{\circ} \mathrm{C}$ and stirred for 1.5 h . The reaction mixture was poured into toluene $/ d i l \mathrm{HCl}$ after quenching. The oil layer was 10 washed with brine and dried on $\mathrm{MgSO}_{4}$. After filtration and evaporation, the residue was obtained as colorless oil. After purification by column chromatography (heptane $/ \mathrm{AcOEt}=6 /$ 1), 3.11 g of ( $5 R$ )-n-isopulegol (2a) ( $62 \%$ yield, $93 \% \mathrm{ee}, 91 \%$ ratio of $\mathbf{2 a}$ in $\mathbf{2}$ ) was obtained.
15 (5R)-n-Isopulegol (2a) (500 mg, 3.24 mmol$)$ was hydrogenated with Raney-Ni ( $10 \mathrm{mg}, 2 \mathrm{vol} \%$ ) in the presence of $\mathrm{H}_{2}(1 \mathrm{MPa})$ and $\mathrm{MeOH}(3 \mathrm{~mL})$ at $60^{\circ} \mathrm{C}$ for 5 h . After filtration and evaporation, 493 mg of $l$-menthol (3) ( $97 \%$ yield, $93 \% \mathrm{ee}$, $91 \%$ ratio of $\mathbf{3}$ in diastereoisomers) was obtained.

20
Compound characterization
(5R)-n-Isopulegol (2a) (93\% ee, $91 \%$ ratio of 2 a in 2 ) (Scheme 4) ${ }^{30}$

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$[\alpha]^{20}{ }_{\mathrm{D}}=-9.3\left(\mathrm{c}=0.5, \mathrm{CHCl}_{3}, 93 \% e e\right) .{ }^{1} \mathrm{H}-\mathrm{NMR}(500 \mathrm{MHz}$, $\mathrm{CDCl}_{3}$ ): $\delta 0.87-0.93(\mathrm{~m}, 1 \mathrm{H}), 0.95\left(\mathrm{~d}, 3 \mathrm{H}, J=7.0 \mathrm{~Hz}, \mathrm{CH}_{3}-\mathrm{CH}\right)$, $0.95-1.01(\mathrm{~m}, 1 \mathrm{H}), 1.27-1.37(\mathrm{~m}, 1 \mathrm{H}), 1.45-1.54(\mathrm{~m}, 1 \mathrm{H}), 1.64-$ $1.70(\mathrm{~m}, 2 \mathrm{H}), 1.71\left(\mathrm{~s}, 3 \mathrm{H}, \mathrm{CH}_{3}-\mathrm{C}=\mathrm{CH}_{2}\right), 1.84(\mathrm{~d}, 1 \mathrm{H}, J=2.0 \mathrm{~Hz})$, ${ }_{30} 1.85-1.91(\mathrm{~m}, 1 \mathrm{H}), 2.02-2.07(\mathrm{~m}, 1 \mathrm{H}), 3.43-3.49(\mathrm{~m}, 1 \mathrm{H}, \mathrm{CH}-$ OH ), 4.78-4.95 (m, 2H, C=CH2). ${ }^{13} \mathrm{C}-\mathrm{NMR}\left(125 \mathrm{MHz}, \mathrm{CDCl}_{3}\right)$ : $19.2\left(\mathrm{CH}_{3}\right), 22.2\left(\mathrm{CH}_{3}\right), 29.6\left(\mathrm{CH}_{2}\right), 31.5(\mathrm{CH}), 34.3\left(\mathrm{CH}_{2}\right), 42.7$ $\left(\mathrm{CH}_{2}\right), 54.2(\mathrm{CH}), 70.4(\mathrm{CH}), 112.8\left(\mathrm{CH}_{2}\right), 146.7(\mathrm{C})$.
${ }_{35} l$-Menthol (3) ( $\mathbf{9 3 \%} \boldsymbol{e e}, \mathbf{9 1 \%}$ ratio of $\mathbf{3}$ in diastereoisomers) (Scheme 4)
$[\alpha]^{20}{ }_{\mathrm{D}}=-39.6\left(\mathrm{c}=0.1, \mathrm{CHCl}_{3}, 93 \% e e\right) .{ }^{1} \mathrm{H}-\mathrm{NMR}(500 \mathrm{MHz}$, $\mathrm{CDCl}_{3}$ ): $\delta 0.81(\mathrm{~d}, 3 \mathrm{H}, J=7.0 \mathrm{~Hz}), 0.83-0.90(\mathrm{~m}, 2 \mathrm{H}), 0.91(\mathrm{~d}$,
$\left.{ }_{40} 3 \mathrm{H}, J=7.0 \mathrm{~Hz}\right), 0.93(\mathrm{~d}, 3 \mathrm{H}, J=7.5 \mathrm{~Hz}), 0.94-1.02(\mathrm{~m}, 2 \mathrm{H})$, $1.07-1.14(\mathrm{~m}, 1 \mathrm{H}), 1.40-1.46(\mathrm{~m}, 1 \mathrm{H}), 1.61(\mathrm{dq}, 1 \mathrm{H}, J=13.0,3.0$ $\mathrm{Hz})$, 1.63-1.68 (m, 1H), 1.94-1.99 (m, 1H), 2.14-2.21 (m, 1H), $3.41(\mathrm{td}, 1 \mathrm{H}, J=10.5,4.0 \mathrm{~Hz}) .{ }^{13} \mathrm{C}-\mathrm{NMR}\left(125 \mathrm{MHz}, \mathrm{CDCl}_{3}\right)$ : $16.1\left(\mathrm{CH}_{3}\right), 21.0\left(\mathrm{CH}_{3}\right), 22.2\left(\mathrm{CH}_{3}\right), 23.1\left(\mathrm{CH}_{2}\right), 25.8(\mathrm{CH}), 31.6$ $45(\mathrm{CH}), 34.5\left(\mathrm{CH}_{2}\right), 45.1\left(\mathrm{CH}_{2}\right), 50.1(\mathrm{CH}), 71.5(\mathrm{CH})$.

3,7-Dimethyloct-6-eonic acid 3,7-dimethyloct-6-enyl ester (citronellylcitronelate) (5) (Table 1, entry 4)
${ }_{50}{ }^{1} \mathrm{H}-\mathrm{NMR}\left(500 \mathrm{MHz}, \mathrm{CDCl}_{3}\right): \delta 0.91(\mathrm{~d}, 3 \mathrm{H}, J=6.6 \mathrm{~Hz}, \mathrm{CH}-$ $\left.\mathrm{CH}_{3}\right), 0.95\left(\mathrm{~d}, 3 \mathrm{H}, J=6.7 \mathrm{~Hz}, \mathrm{CH}-\mathrm{CH}_{3}\right), 1.12-1.58(\mathrm{~m}, 6 \mathrm{H}), 1.60$ $\left(\mathrm{s}, 6 \mathrm{H}, \mathrm{C}=\mathrm{C}-\mathrm{CH}_{3}\right), 1.68\left(\mathrm{~s}, 6 \mathrm{H}, \mathrm{C}=\mathrm{C}-\mathrm{CH}_{3}\right), 1.90-2.04(\mathrm{~m}, 6 \mathrm{H})$, $2.10(\mathrm{dd}, 1 \mathrm{H}, J=14.6,8.3 \mathrm{~Hz}), 2.30(\mathrm{dd}, 1 \mathrm{H}, J=14.6,8.3 \mathrm{~Hz})$, 4.05-4.18 (m, 2H, COO-CH2), 5.05-5.15 (m, 2H, C=CH). ${ }^{13} \mathrm{C}-$
${ }_{55} \mathrm{NMR}\left(125 \mathrm{MHz}, \mathrm{CDCl}_{3}\right): 17.6\left(\mathrm{CH}_{3}\right), 19.4\left(\mathrm{CH}_{3}\right), 19.6\left(\mathrm{CH}_{3}\right)$, $25.4\left(\mathrm{CH}_{2}\right), 25.4\left(\mathrm{CH}_{2}\right), 25.7\left(\mathrm{CH}_{3}\right), 29.5(\mathrm{CH}), 30.1(\mathrm{CH}), 30.9$ $(\mathrm{CH}), 35.5\left(\mathrm{CH}_{2}\right), 36.8\left(\mathrm{CH}_{2}\right), 37.0\left(\mathrm{CH}_{2}\right), 41.9\left(\mathrm{CH}_{2}\right), 62.7$ $\left(\mathrm{CH}_{2}\right), 124.3(\mathrm{CH}), 124.6(\mathrm{CH}), 131.3(\mathrm{C}), 131.5(\mathrm{C}), 173.4$
(CH), 206.9 (CO).

## Notes and references

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${ }^{b}$ Hisanori Itoh, Prof. Takashi Mino*, Prof. Masami Sakamoto Department of Applied Chemistry and Biotechnology, Graduate School of ${ }_{10}$ Engineering, Chiba University, Yayoi-cho, Inage-ku, Chiba 263-8522, Japan Tel:+81-43-290-3385; E-mail: tmino@faculty.chiba-u.jp $\dagger$ Electronic Supplementary Information (ESI) available: Experimental procedures and analysis data for products; ${ }^{1} \mathrm{H},{ }^{13} \mathrm{C}-\mathrm{NMR}$ spectra and GC chart of compounds $(R) \mathbf{- 1 , 2 a}, 3$ and 5. See DOI: 10.1039/b000000x/
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