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1	In vitro and in vivo evaluation of PEG-conjugated ketal-based
2	chitosan micelles as the pH-sensitive carriers
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30 Abstract

31 A novel ketal-based PEGylated chitosan conjugate, mPEG-Chitosan-Ketal (PCK), as 32 a pH-sensitive drug carrier for cancer therapy, was found to have lower toxicity and to 33 form self-assembled micelles for curcumin (Cur) delivery in our previous study. In 34 this study, the PCK micelles encapsulated curcumin (Cur-PCK) with higher EE was 35 prepared with the enhanced method with the smaller diameters about 50 nm. In vitro 36 cellular uptake test indicated that PCK micelles could be good intracellular carriers 37 for the anti-tumor drugs. Curcumin was incorporated into PCK micelles not only as a 38 therapeutic drug but also a fluorescence marker. Ex vivo imaging showed the 39 fluorescence signals of the PCK micelles in the liver and spleen was much higher 40 from 5 h to 12 h indicated that PCK micelles improved the blood circulation time and 41 caused the selective accumulation in the liver and spleen. Pharmacokinetic studies 42 indicated that PCK micelles had a long circulating time. In tissue distribution, the 43 Cur-PCK micelles were decreased in the following order: spleen > liver > heart > lung. 44 In vivo anti-tumor efficacy assay, Cur-PCK micelles showed the most effective tumor 45 inhibiting ability. These findings have shed some light on the PCK polymer for 46 potential pH-sensitive carriers of curcumin.

Keywords: pH-sensitive; ketal based chitosan (PCK); curcumin; pharmacokinetics;
biodistribution; anti-tumor efficacy

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60 Introduction

Micelles can accommodate the drug in blood and to enhance its stability and bioavailability by self-assembly and perform potential carriers for the delivery small molecular drugs, proteins, and genes ¹⁻⁵. As one of the most interesting micelles, the pH-sensitive micelles could release the loading at the targeted site according to the pH stimuli ⁶⁻⁸. For example, due to the slightly acidic pH of endosomes or lysosomes of tumor, the pH-sensitive micelles could release anticancer drugs in tumor and decrease the side cytotoxicity and improve the efficacy ⁸.

In the last few years, several strategies have been proposed to develop the 68 69 pH-sensitive micelles which could be described two types. The one type was 70 copolymer based on the protonation of amino and/or carboxyl groups. The other type 71 was fabricated with pH-sensitive bonds such as hydrozone and acetal which could be detached under the lower pH condition. As the one type, for example, Filippov⁹ 72 73 reported a pH-sensitive nanoparticle fabricated by polyelectrolyte. Hsiue¹⁰ 74 presented pH-sensitive micelles fabricated a by poly (2-ethyl-2-oxazoline)-poly(l-lactide) copolymers. Leroux ¹¹ showed a pH-responsive 75 polymeric micelles fabricated by Nisopropylacrylamide (NIPAM). Lee and Kwon 76 prepared a pH-responsive mPEG-poly(β -amino ester) block copolymer micelles ¹². 77 13,14 As the other type, for example, Kataoka presented poly(ethylene 78 79 glycol)-poly(amino acid)s copolymers using hydrozone bonds to pH-sensitive micelles. Chen showed pH-sensitive mPEG-Hz-cholesterol copolymers fabricated 80 with hydrozone bonds used to pH-sensitive micelles, liposomes and gels ¹⁵⁻¹⁸. Frechet 81 presented PEO-dendrimer micelles with a pH-sensitive acetal bonds¹⁹. 82

Nevertheless, compared with the acidic products of most of polymers such as PLA,
PLGA, polyanhydride, polyesters, ketal polymers, as new and novel potential
polymers for pH-sensitive micelles, can avoid the inflammatory problems at the acidic
environment of lysosomes and tumors ²⁰⁻²⁵. Some new pH-sensitive polyketals with
good pH-sensitive properties were presented, such as poly (cyclohexane-1,4-diyl
acetone dimethylene ketal)(PCADK)²⁶, poly(1,4- Phenyleneacetone dimethylene ketal)
(PPADK)²⁷, PK3 ^{28,29} that can be fabricated to the pH-sensitive micelles.

In our previous studies ³⁰, a novel pH-sensitive mPEG-Chitosan-Ketal (PCK), was 90 reported with interesting pH-degradable ketal linkage that could degrade under locally 91 92 acidic physiological conditions. The polymeric PCK carrying pH-sensitive ketal 93 group as hydrophobic moieties and PEG group as hydrophilic moieties was 94 synthesized. The structure of the PCK was characterized by FTIR and ¹H-NMR. The 95 resulting PCK could form self-assembled nanoparticle encapsulated curcumin with 96 the diameter about 100 nm. The PCK nanoparticle could release encapsulated 97 curcumin with 89% at pH 5.0. The preliminary results of cytotoxicity assay and 98 anti-tumor efficacy might provide potential for pH-sensitive targeted curcumin 99 delivery.

In this study, as shown in Fig.1, our aim was to develop the in vivo behavior of ketal-based PEGylated pH-sensitive chitosan (PCK) micelles. In vitro cellular uptake of PCK micelles, curcumin was chosen as the self-fluorescence indicator with MCF-7 tumor cells. Then, a series of evaluation in vivo pharmacokinetics, biodistribution and anti-tumor efficacy of PCK micelles were also evaluated.

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Fig.1. Schematic illustration of the formation, accumulation at tumor site and drugdelivery of self-assembled Cur-loaded PCK micelles

110 **Results and discussion**

111 **Preparation and characterization of PCK micelles**

112 The PCK conjugate was synthesized by chemical conjugation of PEG and IPG to 113 choitosan backbone as previously reported. Its characterization methods were also report in our previous study ³⁰. Briefly, chitosan of PCK was confirmed by 1HNMR 114 115 with the peak at 4.70ppm(H-1), 3.0ppm(H-4), $3.9 \sim 3.6$ ppm(H-2, H-3, H-5, H-6). The 116 peak at 8.00 ppm of amide bond was confirmed by the IPG conjugated to PCK. The 117 peaks at 2.75 ppm and 2.90ppm of imino group were confirmed by the mPEG 118 conjugated to PCK. The CMC of PCK was 0.024 mg/ml used by pyrene emission 119 spectra (shown in Fig.2.).



Fig.2. The intensity ratio (I_{373}/I_{384}) of the pyrene emission spectra versus the log concentrations of PCK

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As our previous study shown, the pH-sensitive property of PCK conjugate could be controlled by pH condition. The PCK micelles could release encapsulated curcumin with accelerated rate under lower pH condition. The encapsulated curcumin PCK micelles were stable and have less toxicity compared to curcumin suspension [30]. As shown in Fig.3, the PCK micelles encapsulated curcumin (Cur-PCK) with higher EE was prepared with the enhanced method with the samller diameters about 50 nm than the previous 100 nm ³⁰.





136 Fig.3. Size distribution (A) and AFM image (B) of Cur-PCK micelles

138 In vitro cellular uptake of PCK micelles

139 During our research, the studies of in vitro cellular uptake and intracellular behavior 140 of PCK micelles have also taken other markers, such as coumarin-6, doxorubicine. 141 But there is no innovation. When the Cur-PCK micelles were used into the cellular 142 uptake test, the good pictures of cellular uptake were obtained. There is little report 143 about Cur labeled nanoparticle. As shown in Fig.4, the fluorescent signals treated with 144 Cur-PCK micelles were detected in the MCF-7 cancer cells from 0.5-6 h. However, 145 the fluorescent signals treated with the control were not detected due to the Cur 146 dissolved in the ethanol. The fluorescent intensity was higher at 4 h increasingly by 147 the cellular uptake. Then, the cells presented the atrophy or apoptosis decreasingly at 148 6 h due to the encapsulated Cur therapeutic effect. This finding demonstrated that 149 PCK micelles could be good intracellular carriers for the anti-tumor drugs.



151 Fig.4. Fluorescent imaging of cellular uptake of Cur-PM at different times after

- 152 incubation with MCF-7 cell
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154 Ex vivo imaging of PCK micelles

155 In this study, the preliminarily biodistribution and tissue targeting efficiency of PCK 156 micelles were presented with the noninvasive near infrared optical imaging technique. 157 Fig.5. showed the real-time images of DiR labeled PCK micelles and the free DiR in 158 the mice at 4 h. Due to the blood circulation of micelles, the abundant fluorescence 159 signals of PCK micelles were founded in liver about 4 h. However, a little 160 fluorescence signals of free DiR were founded in liver about 4 h. This could indicate 161 that the pH-sensitive PCK micelles will have a longer blood circulation after 4 h 162 detection.



Fig.5. In vivo non-invasive NIRF images of free DiR (control) and DiR labeled PCKmicelles in mice at 4 h

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Then, the further studies in different time were carried out. Fig.6. showed the fluorescence signals of free DiR reduced more quickly. Interestingly, the fluorescence intensity of the PCK micelles in the liver and spleen was much higher from 5 h to 12 $h^{30,31}$. These results indicated that PCK micelles improved the blood circulation time and caused the selective accumulation in the liver and spleen.



175 Fig.6. Time-dependent whole body images after intravenous injection

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177 Pharmacokinetic Studies of Cur-PCK micelles

To assess the pharmacokinetic behavior of Cur-PCK micelles, each formulation was administrated at a dose of 10 mg/kg. The pharmacokinetic parameters for Cur in plasma were tested by the compartmental method under HPLC analysis. The plasma concentration profiles of Cur after intravenous injection are shown in Fig.7.





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201 Table 1 Pharmacokinetic parameters of Cur in the two formulations af

202 administration

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Parameter	Mnits	CUR	CUR-PCK
AUC	h*µg/ml	1.25±0.26	2.53±0.44*
K01	1/h	0.123±0.033	1.009 ± 0.032
K10	1/h	6.32±2.09	1.07±0.39
K12	1/h	4.18±1.52	2.02±0.81
K21	1/h	2.059±0.658	0.586±0.137
α	1/h	10.41±1.81	3.77±1.30
β	1/h	1.315±0.417	0.164±0.040*
$t_{1/2\alpha}$	h	0.068 ± 0.013	$0.208 \pm 0.092*$
$t_{1/2\beta}$	h	0.559 ± 0.152	3.722±1.921*
А	μg/ml	7.39±1.15	2.20±0.53
В	µg/ml	0.584±0.191	0.324±0.046
CL_F	L/h	4.12±0.83	2.03±0.36*
Tmax	h	0.026 ± 0.007	0.039±0.011
Cmax	µg/ml	5.05 ± 1.70	2.34±0.57
Vc_F	L	0.793±0.209	1.997±0.469*

204 Data represent mean value \pm SD, n =5.

²⁰⁵ *p<0.05, compared with Cur

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207 The pharmacokinetic parameters are shown in Table 1. Both Cur-PCK micelles and 208 Cur control presented the similar distribution trends at the same dose of 10 mg/kg. 209 However, the plasma AUC of Cur-PCK was 2.0-fold higher than that of the control; 210 and the Vc, $t1/2\alpha$, and $t1/2\beta$ of Cur in Cur-PCK were increased by 2.5-, 3.06-, and 211 6.66-fold, respectively. Free Cur in DMSO was quickly removed from the circulating 212 system after intravenous injection with a rapid distribution phase (t1/2 α (h) 213 =0.068±0.013) and a rapid terminal elimination phase (t1/2 β (h)= 0.559±0.152 h), 214 and was under the HPLC detection limit after 6 h. The Cur-PCK micelles have a slow 215 distribution phase (t1/2 α (h) =0.208±0.092) and a slow terminal elimination phase 216 $(t1/2 \beta (h) = 3.722 \pm 1.921 h)$. But the Cur-PCK micelles could be detected after 12 h 217 and 24 h. However, Cur-PCK micelles signifycantly changed the Cur 218 pharmacokinetic parameters in comparison with Cur suspension. Pharmacokinetic 219 studies indicated that PCK micelles had a good and long circulating time and will obtain the good therapeutic effect ^{15, 30, 31}. 220

221 Tissue Distribution Studies of Cur-PCK micelles

222 The tissue distribution profiles of Cur-PCK and Cur after i.v. administration were 223 studied in mice. The concentration of Cur in each tissue was tested by HPLC assay. 224 As shown in Fig.8, 9, Cur was widely and rapidly distributed into most tissues, and 225 the highest concentration of Cur-PCK micelles was found in lung, followed by liver 226 and spleen at 15 min after administration. However, after 6 h, the Cur concentration 227 was decreased in the following order: spleen > liver > heart > lung (Fig.9.). Therefore, 228 it is interesting that the pH-sensitive Cur-PCK micelles might have lower 229 accumulation in the liver and spleen than the Cur suspention and have the potential lung-targeted effect³¹. 230







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263 In vivo anti-tumor efficacy assay

In the previously study, the primary of anti-tumor efficacy assay was assayed. As shown in Fig.10, the pathological image is the tissue sections in different mice group¹⁵. The saline group showed typical pathological characteristics of tumor with closely arranged tumor cells. However, tumor tissue in the Cur control presented spotty necrosis and intercellular blank. Moreover, Cur-PCK micelles showed the most effective tumor inhibiting ability³¹. The findings indicated the Cur-PCK nanoparticle is a potential carrier for Cur anti-tumor delivery.

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Fig.10. Pathology section of tumor with different Cur formulation. Normal saline as a control (A), Cur control (B), Cur-PCK(C)

276 Conclusion

In this study, we have prepared a novel curcumin-loaded pH-sensitive ketal based chitosan polymer, mPEG-Chitosan-Ketal (PCK). The mechanism of pH-sensitive ketal based chitosan polymer drug delivery system could be explained that the PCK micelles can deliver drugs to the acidic environments of tumors, inflammatory tissues³⁰.

282 The PCK micelles encapsulated curcumin (Cur-PCK) with higher EE was prepared 283 with the enhanced method with the smaller diameters about 50 nm than the previous 284 150 nm. In vitro cellular uptake test indicated that PCK micelles could be 285 intracellular carriers for the anti-tumor drugs. As a novelty, curcumin (Cur), was 286 incorporated into PCK micelles not only as a therapeutic drug but also a fluorescence 287 marker. Ex vivo imaging showed the fluorescence signals of the PCK micelles in the 288 liver and spleen was much higher from 5 h to 12 h indicated that PCK micelles 289 improved the blood circulation time and caused the selective accumulation in the liver 290 and spleen. Pharmacokinetic studies indicated that PCK micelles had a longer 291 circulating time and will obtain the good therapeutic effect.

In tissue distribution, the Cur-PCK micelles were decreased in the following order: spleen > liver > heart > lung. It is interesting that the pH-sensitive Cur-PCK micelles might have lower accumulation in the liver and spleen and have the potential lung-targeted effect. In vivo anti-tumor efficacy assay, Cur-PCK micelles showed the most effective tumor inhibiting ability. Overall, the findings have shed some light on the PEG conjugated ketal-based chitosan Cur micelles (Cur-PCK) will be a potential pH-sensitive carrier for nano-drug delivery

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