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Double Thermoresponsive Di- and Triblock Copolymers Based on N-vinylcaprolactam and N-vinylpyrrolidone: Synthesis and Comparative Study of Solution Behaviour

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Poly(N-vinylcaprolactam) (PNVCL) and poly(N-vinylpyrrolidone) (PNVP) are water soluble polymers of interest especially in the biomedical field. Moreover, PNVCL is characterized by a lower critical solution temperature close to 36 °C in water, which makes it useful for the design of thermoresponsive systems. In this context, we used the cobalt-mediated radical polymerization (CMRP) and coupling reaction (CMRC) for synthesizing a series of well-defined NVCL and NVP-based copolymers, including statistical copolymers as well as double thermoresponsive diblocks and triblocks. Dynamic light scattering and turbidimetry analyses highlighted the crucial impact of the copolymer composition and architecture on the cloud point temperature (TCP) of each segment but also their influence on the multistep assembly behaviour of block copolymers. Addition of NaCl enabled to adjust the inter-TTCP temperature range of the di- and triblock in which selective precipitation of one block and self-assembly of the copolymer were favoured. Overall, data presented here provide a basis for the synthesis of a broad range of NVCL/NVP based copolymer architectures with tunable thermal response in water.

Introduction

Development of stimuli-responsive polymers, whose properties and solubility can be triggered by small changes in their environment (temperature, pH, electric or magnetic field, light, etc.), has become an important field of research in the last years. These materials raised a great interest because they sustain a wide variety of applications notably in the biomedical area as biosensors, drug or gene delivery carriers or supports for tissue engineering, to name but a few. Temperature is very popular to regulate the solubility of the polymers because no additive is required, the hydration/dehydration cycle can be repeated endlessly, at least under non degradative conditions for the polymer chain, and it is easily controllable. Some thermoresponsive polymers are only soluble in water upon heating above a given temperature called upper critical solution temperature (UCST) while others precipitate when heated above a given temperature called lower critical solution temperature (LCST). Several common polymers exhibit a LCST behaviour in water including poly(oligoethylene glycol (meth)acrylate), poly(propylene oxide), poly(vinyl ether), or poly(2-oxazoline) but the most studied sequence remains poly(N-isopropylacrylamide) (PNIPAM), which undergoes a coil-to-globule transition at 32 °C. Nevertheless, poly(N-vinyl caprolactam) (PNVCL) whose LCST (~36 °C) is close to the body temperature, has proved to be a valuable alternative to PNIPAM especially for biomedical applications. In contrast to PNIPAM, PNVCL does not produce toxic low-molecular-weight amines during hydrolysis and offers a higher biocompatibility as proved by cytotoxicity assays. Moreover, PNVCL does not exhibit a hysteresis phenomenon in the coil-to-globule-to-coil transition contrary to PNIPAM which forms interchain hydrogen bonds in the collapsed state. The solution behaviour dependence of PNVCL in function of the temperature has been thoroughly studied. The LCST of this polymer is around 36 °C but it varies with the molar mass and the end-groups of the polymer. In this respect, controlled radical polymerization enables to tune the molar mass of the PNVCL but also to design statistical copolymers with precise NVCL content and copolymers containing one or more PNVCL segments. Some CRP techniques have distinguished themselves for the controlled polymerization of N-vinyl amides and NVCL in particular, i.e. the reversible addition fragmentation chain transfer (RAFT/MADIX), atom transfer radical polymerization (ATRP) and the organometallic-mediated radical polymerization (OMRP) based on cobalt complexes. The latter is based on the reversible deactivation of the growing radical chains by the metal complex. In particular, bis-acetylacetoniocobalt(II) (Co(acac)_2) is a very efficient controlling agent for the polymerization of non-conjugated N-vinylamides including NVCL (Scheme 1). Narrowly distributed PNVCL containing block copolymers with thermal induced self-assembly behaviour, like poly(vinyl acetate)-b-PNVCL and poly(vinyl alcohol)-b-PNVCL, were also prepared by OMRP. The controlled statistical radical copolymerizations of NVCL with N-methyl vinyl acetamide (MVMA) or vinyl acetate (VAc) were also achieved by OMRP and the LCST of these copolymers could be precisely tuned up and down by incorporation of precise amounts of vinyl amide or vinyl ester comonomers, respectively. After that, well-defined NVCL-based diblock having two LCSTs could also be prepared.
by sequential OMRP of NVCL followed by the controlled copolymerization of NMVA with the residual amount of NVCL.\textsuperscript{34} If examples of double thermoresponsive diblocks\textsuperscript{50, 20, 34, 50} and of single thermoresponsive triblocks\textsuperscript{15, 51-53} are rather common, only a handful of double thermoresponsive triblocks are reported\textsuperscript{56-59} and none of them based on NVCL.

Scheme 1: OMRP mechanism for N-vinylamides including NVCL and NVP.

Herein, we report the first synthesis of NVCL-based symmetrical ABA triblock copolymers having two discrete LCSTs. The latter are composed of PNVCL external blocks and a central block made of NVCL and N-vinyl pyrrolidone (NVP), i.e. PNVCL-b-P(NVCL-co-NVP)-b-PNVCL (Scheme 2). Briefly, the triblocks were obtained by isoprene-assisted bimolecular radical coupling\textsuperscript{40-62} of the corresponding double thermo-responsive PNVCL-b-P(NVCL-co-NVP) diblocks formed by OMRP. A series of di- and triblocks with different block lengths were prepared in order to evaluate the relationship between the macromolecular features of the copolymer on the LCSTs and the self-assembly behaviour in water. A great advantage of the radical coupling approach is the fact that triblocks have the exact same block compositions compared to the parent diblocks from which they were generated but also the same length for the PNVCL blocks, which allows a direct comparative study of their thermal response.

Scheme 2: General strategy for the synthesis of double thermo-responsive block copolymers by OMRP and radical coupling reaction.

Experimental Section

Materials

N-vinylpyrrolidone (NVP, >99%, Aldrich) and isoprene (99%, Aldrich) were dried over calcium hydride, degassed by two freeze-thawing cycles before distillation under reduced pressure and stored under argon. High purity N,N-dimethylformamide (DMF, >99%, Acros) and dichloromethane (CH$_2$Cl$_2$, 99.98%, Acros) are degassed by bubbling. Bis-(acrylamidomethacrylamide) cobalt(II) (Co(acac)$_2$) (>98%, Aldrich) and N-vinylcaprolactam (NVCL, 98%, Aldrich) were used as received. 2,2,6,6-tetramethylpiperidine 1-oxyl (TEMPO, 98%, Aldrich) and N-vinylcaprolactam (NVCL, 98%, Aldrich) were used as received. 2,2'-azobis(4-methoxy-2,4-dimethyl valeronitrile) (V-70, 96%, Wako) was stored at -20 °C and used as received. The alkyl-cobalt(III) adduct initiator [(Co(acac)$_2$-(CH(OAc)-CH$_2$)$_2$-$R_0$]; $R_0$ being the primary radical generated by 2,2'-azo-bis(4-methoxy-2,4-dimethyl valeronitrile) (V-70, Wako) was prepared as described previously\textsuperscript{63} and stored as a CH$_2$Cl$_2$ solution at -20 °C under argon.

Characterization

The molar masses (M$_w$) and molar mass dispersity (Đ) of the P(NVCL-stat-NVP) random copolymers were determined by size-exclusion chromatography (SEC) in dimethylformamide (DMF) containing LiBr (0.025 M) relative to polystyrene (PS) standards at 55 °C (flow rate: 1 mL/min) with a Waters 600 liquid chromatograph equipped with a 410 refractive index detector as well as two PSS GRAM analytical columns (1000 Å, 8*300 mm, particle size 10 µm) and one PSS GRAM analytical column (30 Å, 8*300 mm, particle size 10 µm). The absolute molar masses of the PNVCL-block-P(NVCL-stat-NVP) and PNVCL-block-P(NVCL-stat-NVP)-block-PNVCL block copolymers and their PNVCL precursors were determined by SEC equipped with a multiangle laser light scattering (MALLS) detector in DMF/LiBr (0.025 M). The Wyatt MALLS detector (120 mW solid-state laser, k ¼ 658 nm, DawnHeleos S/N342-DH) measures the excess Rayleigh ratio $R_g$ (related to the scattered intensity) at different angles for each slice of the chromatogram. The specific refractive index increment (dn/dc) of each (co)polymer was measured by using a Wyatt Optilab refractive index detector (k ¼ 658 nm). Data were processed with the Astra V software (Wyatt Technology). $^1$H NMR spectra of reaction mixtures were recorded in CDCl$_3$ at 298 K with a 250 MHz Bruker spectrometer and $^1$H NMR spectra of final polymers with a 400 MHz Bruker spectrometer.

Statistical cobalt-mediated radical copolymerization of NVCL and NVP. A bent 50 mL flask containing NVCL (9.18 g, 65.9 mmol) was connected to a 100 mL Schlenk equipped with a magnetic bar and degassed by three vacuum-argon cycles. The NVCL was melted at 40 °C under vacuum to eliminate oxygen. A solution of alkyl-cobalt(III) initiator ([Co(acac)$_2$-(CH(OAc)-CH$_2$)$_2$-$R_0$] in CH$_2$Cl$_2$) was introduced under argon in the 100 mL Schlenk (1.0 mL of a 0.1 M stock solution, 0.1 mmol) and the dichloromethane was evaporated to dryness under reduced pressure at room temperature. The melted NVCL at 40 °C was then transferred onto the CMRP initiator before addition of distilled NVP (0.77 mL, 0.81 g, 7.3 mmol) under argon (NVP/NVCL molar feed ratio = 10/90, $M_{w}^{th}$, 100% = 100000
parameters of the copolymer were measured by SEC in DMF using a Spectra/Por®1 membrane (6D8 kD, 8 mL/cm). The final (comonomer feed 128.0 mmol) was added under argon to the reaction mixture with medium, diluted in DMF containing LiBr and added with traces consumption reached 27%, a sample was picked out of the aqueous solution. SEC-MALLS measurements were carried out in DMF in order to determine the molecular parameters of the copolymer (dn/dc of PVCL-block-P(NVCL-stat-NVP) = 0.0992 mL/g, Ms_MALLS = 43100 g/mol, D_MALLS = 1.05 and D = 1.08 with PS calibration). The composition of P(NVCL-stat-NVP) block was determined by 1H NMR (81/19 : NVCL/NVP) and allowed to attribute the degree of polymerization of each segment of the copolymer (PVCL132-block-P(NVCL40-stat-NVP)172).

Synthesis of PVCL-block-P(NVCL-stat-NVP)-block-PVCL copolymers C132(C34P241)C132. The above mentioned PVCL-block-P(NVCL-stat-NVP)-Co(acac)2 diblock (0.15 mmol) in DMF (12.5 mL) was reacted with 2 mL of isoprene (1.36 g, 20.0 mmol) at room temperature overnight in order to produce the triblock copolymer PVCL-P(NVCL-stat-NVP)-block-PVCL. After treatment with TEMPO, the diblock was precipitated in diethyl ether (250 mL), filtered, dried and purified by dialysis using a Spectra/Por®1 membrane (6-8 kD) in deionized water. The PVCL-block-P(NVCL-stat-NVP) copolymer was collected as a white powder after lyophilization of the aqueous solution. SEC-MALLS measurements were carried out in DMF containing LiBr (0.025 M) to determine the molecular parameters of the copolymer (dn/dc of PVCL-block-P(NVCL-stat-NVP)-block-PVCL = 0.0969 mL/g Ms_MALLS = 71900 g/mol, D_MALLS = 1.19 and D = 1.24 (calibration PS)). The composition of P(NVCL-stat-NVP) block is the same than the diblock (entry 2 in Tables 2 and S1).

A series of PVCL-block-P(NVCL-stat-NVP) diblock and PVCL-block-P(NVCL-stat-NVP)-block-PVCL copolymers with different block length and composition have been prepared accordingly (see Tables 2 and S1 for molecular characteristics and polymerization conditions). In order to distinguish the PVCL-block-P(NVCL-stat-NVP) and PVCL-block-P(NVCL-stat-NVP)-block-PVCL copolymers based on their composition, Cw(Cpα) and Cw(C3P2mα)C6 labels were used where C and P correspond to NVCL and NVP, respectively, and α denoted the number of NVCL units and m the number of NVP units.

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Aqueous solution behaviour. The transmittance of the aqueous solutions of polymers (1 g/L and 10 g/L) was recorded on a Jasco V630 UV-vis spectrophotometer at 700 nm equipped with a temperature controller system (ETCS-761). The heating/cooling temperature was 1 °C/min between 25 °C and 85 °C. The cloud point temperature (T_{CP}) was defined at the half drop transmittance from the initial transmittance. DLS experiments were performed on a Malvern CGSD3 apparatus equipped with a He-DNe laser with a wavelength of 633 nm. The measurements were performed in water at 90 ° angle at a concentration of 1 g/L. Data were analysed using the CONTIN method, which is based on an inverse-Laplace transformation of the data and gives access to a size distribution histogram.

Results and Discussion

Copolymer synthesis by CMRP

The first part of the discussion section is exclusively dedicated to the synthesis of the NVCL and NVP containing statistical, diblock and symmetrical triblock copolymers. The control of the radical copolymerization of this pair of N-vinylamides using Co(acac)₃ as mediating species will be presented as well as the macromolecular features of each copolymer like composition, block length, dispersity, etc. The solution behaviour and the thermal response of these copolymers will be addressed and compared in the next section. Nevertheless, when reading through the synthesis section, it is interesting to keep in mind that increasing the NVP content in a PANVL backbone is expected to increase the LCST of the polymer segment because NVP is more hydrophilic than PANVL and does not exhibit LCST in pure water.

First, the controlled statistical radical copolymerization of NVCL and NVP was performed in bulk at 40 °C by CMRP using a low molecular weight alkyl-cobalt(III) adduct (R-Co(acac)₃) as initiator. The latter consists of oligoPVAc (average number of 4 VAc units) capped by Co(acac)₃ whose synthesis and ability to initiate the CMRP of N-vinylamides has been previously reported. Upon heating, homolytic cleavage of the R-Co(acac)₃ bond occurs releasing the initiating fragment (R') and the metallic controlling agent which reversibly traps the growing radical chains. Table 1 summarizes the NVP/NVCL statistical copolymers prepared in this study. Several comonomer feed ratios were tested and the monomer/initiator molar ratio was adjusted to target P(NVCL-stat-NVP) copolymer of 100000 g/mol at full monomer conversion. Although CMRP of NVCL remains efficient above 60%, the above mentioned NVP/NVCL copolymerizations were stopped between 20% and 35% in order to ensure an optimal control of the polymerization and well-defined NVP/NVCL statistical copolymers with molar masses ranging between 20000 and 40000 g/mol were produced accordingly. In this case, the dispersity of the copolymers slightly increases with the NVP content in the feed. This observation is consistent with the better control reported for the NVCL homopolymerization compared to the NVP under the same experimental conditions. The compositions of the resulting copolymers were determined by ¹H NMR (see Figure S1). The NVP content was systematically a bit higher in the copolymer than in the feed, which is in good agreement with reactivity ratio reported in the literature (r_{NVCL} = 1.7, r_{NVP} = 2.8).

<table>
<thead>
<tr>
<th>Entry</th>
<th>In the feed (mol %)</th>
<th>[monomers]/[R-Co(acac)₃]</th>
<th>Time (h)</th>
<th>Conv. (%)</th>
<th>Mₙ (g/mol)</th>
<th>D</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>NVP/NVCL</td>
<td></td>
<td></td>
<td>NVCL</td>
<td>NVP</td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>10/90</td>
<td>731</td>
<td>5.5</td>
<td>24</td>
<td>17</td>
<td>28900</td>
</tr>
<tr>
<td>2</td>
<td>20/80</td>
<td>747</td>
<td>5</td>
<td>22</td>
<td>22</td>
<td>24900</td>
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<td>3</td>
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<td>759</td>
<td>4</td>
<td>22</td>
<td>34</td>
<td>22000</td>
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<tr>
<td>4</td>
<td>40/60</td>
<td>780</td>
<td>5.5</td>
<td>30</td>
<td>24</td>
<td>33600</td>
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<td>60/40</td>
<td>803</td>
<td>5.5</td>
<td>34</td>
<td>29</td>
<td>29300</td>
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</table>

* Determined by ¹H NMR 250 MHz in CDCl₃, † Determined by SEC in DMF containing LiBr (Calibration PS), ‡ Determined by ¹H NMR 400 MHz in CDCl₃.
Next, CMRP and CMRC methods were used to produce novel double thermo-responsive NVCL and NVP-based diblocks and triblocks (Scheme 3). Inspired by a recent one-pot procedure for the copolymerization of NVCL and NMVA, our general strategy consists in the controlled radical homopolymerization of NVCL from R-Co(acac)$_2$ in bulk at 40 °C up to 27% of conversion followed by injection of a precise amount of NVP which copolymerizes with the residual NVCL leading to the targeted PVNCL-block-P(NVCL-stat-NVP)-Co(acac)$_2$. After dilution with dimethylformamide, the resulting PVNCL-block-P(NVCL-stat-NVP)-Co(acac)$_2$ copolymer solution was divided in two equal parts. One of them was treated with TEMPO in order to eliminate the metal at the chain-end of the PVNCL-block-P(NVCL-stat-NVP) diblock. The other portion was reacted at room temperature with an excess of isoprene compared to the cobalt promoting the radical coupling of the diblock by CMRC and the formation of the corresponding PVNCL-block-P(NVCL-stat-NVP)-block-PNVCL trilock.

Briefly, the allyl radical resulting from the addition of one isoprene unit at the copolymer chain-end is not prone to propagate at room temperature nor to react with the Co(acac)$_2$. Consequently, massive dimerization of these allyl radicals terminated chains occurs. Importantly, the degree of polymerization of the PVNCL block and composition of the P(NVCL-stat-NVP) segment are identical in the triblocks and the parent diblocks, which is a serious advantage for comparing the thermal properties of both architecture, as will be discussed later.

Table 2 summarizes the molecular parameters (M$_n$, DP, D) of the PVNCL precursors, the PVNCL-block-P(NVCL-stat-NVP) diblocks and the final PVNCL-block-P(NVCL-stat-NVP)-block-PNVCL triblocks. Detailed polymerization conditions are provided in the experimental section and in Table S1. The exact molar mass of the polymers was obtained by DMF-SEC chromatography equipped with a multi-angle laser light scattering (MALLS) detector and the D using a PS calibration. The composition of the P(NVCL-stat-NVP) statistical segment in the diblock and the trilock was determined by $^1$H NMR by taking into account the degree of polymerization of the PVNCL block that it is linked to.

The low dispersity of the polymers, the composition of the statistical segment and the increase of molar mass at each step of the process provide a reliable basis to conclude on the efficacy of this synthetic approach. The overlay of the chromatograms in Figure 1 confirms the efficiency of the PVNCL-block-P(NVCL-stat-NVP) by chain extension carried out from the PVNCL-Co(acac)$_2$. Although not quantitative, the radical coupling reaction is efficient because the molar mass of a large majority of the chains double when treating the PVNCL-block-P(NVCL-stat-NVP)-Co(acac)$_2$ with isoprene. Indeed, a limited amount of unreacted diblock (15 % by deconvolution of the SEC chromatograms) contaminates the final PVNCL-block-P(NVCL-stat-NVP)-b-PNVCL trilock (Figure 1). The latter results from partial deactivation of the diblock chain-end before coupling.

In this way, we designed a series of NVCL and NVP di- and triblocks with various block lengths and compositions. Because copolymer with two discrete LCSTs are targeted, we ensured that the statistical P(NVCL-stat-NVP) segments of copolymers are NVP-rich (see Table 2) to make sure that their transition temperature sufficiently differs from the LCST of the PVNCL block.

### Table 2. Characteristics of the NVCL and NVP containing di- and triblock copolymers prepared by CMRP and CMRC.

<table>
<thead>
<tr>
<th>Entry</th>
<th>M$_n$ (g/mol)</th>
<th>D</th>
<th>M$_n$ (PVNCL-stat-NVP) (g/mol)</th>
<th>D</th>
<th>NPCL (mol%) in the stat segment</th>
<th>Label</th>
<th>M$_n$ (g/mol)</th>
<th>D</th>
<th>Label</th>
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<td>9500</td>
<td>1.07</td>
<td>31900</td>
<td>1.13</td>
<td>28</td>
<td>C$<em>{16}$C$</em>{9}$P$_{101}$</td>
<td>64500</td>
<td>1.24</td>
<td>C$<em>{16}$C$</em>{16}$P$<em>{352}$C$</em>{66}$</td>
</tr>
<tr>
<td>2</td>
<td>18400</td>
<td>1.05</td>
<td>24700</td>
<td>1.08</td>
<td>19</td>
<td>C$<em>{112}$C$</em>{9}$P$_{172}$</td>
<td>71900</td>
<td>1.24</td>
<td>C$<em>{112}$C$</em>{112}$P$<em>{344}$C$</em>{112}$</td>
</tr>
<tr>
<td>3</td>
<td>32800</td>
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<td>24700</td>
<td>1.06</td>
<td>26</td>
<td>C$<em>{259}$C$</em>{13}$P$_{154}$</td>
<td>110500</td>
<td>1.13</td>
<td>C$<em>{259}$C$</em>{110}$P$<em>{368}$C$</em>{259}$</td>
</tr>
<tr>
<td>4</td>
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<td>37000</td>
<td>1.08</td>
<td>8</td>
<td>C$<em>{329}$C$</em>{207}$</td>
<td>128000</td>
<td>1.13</td>
<td>C$<em>{329}$C$</em>{329}$P$<em>{394}$C$</em>{207}$</td>
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</table>

*a* Exact molar mass and dispersity determined by DMF-SEC using MALLS detector and RI detector with PS calibration, respectively. *b* Determined by $^1$H NMR taking into account the exact molar mass of the PVNCL segment. *C* and *P* designate NVCL and NVP, respectively. The numbers associated to these letters correspond to the degree of polymerization of each monomer. Detailed polymerization conditions are provided in Table S1.
Figure 1. Overlay of the SEC chromatograms of the homoPNVCL precursor (C132), the PNVCL-block-P(NVCL-stat-NVP) diblock (C132(CatCl2)) and PNVCL-block-P(NVCL-stat-NVP)-b-PNVCL triblock (C132(CatP30)C132) by sequential CMRP chain extension and CMRC (see Table 2, entry 2).

**Thermoresponsive behaviour of the copolymers**

In this section, the solution behaviour and the thermal response of the copolymers prepared above will be addressed starting with the statistical P(NVCL-stat-NVP) copolymers. As indicated by Table 1, the latter differ by their NVP/NVCL composition but their molar mass remains in the same range. The cloud point temperature ($T_{CP}$) of the aqueous solution of each copolymer (0.1 wt %) was measured by turbidimetry. The transmittance versus temperature plots, also called cloud point curves, are overlaid in Figure S2. A heating rate of 1 °C min$^{-1}$ was used and we considered that the cloud point was reached when half of the transmittance of the solution was lost. The $T_{CP}$ of each P(NVCL-stat-NVP) copolymer was then plotted as a function of its NVP molar fraction (Figure 2). For the sake of the comparison, the $T_{CP}$ of a homoPNVCL (37 °C) was added on the graph. Because NVP is more hydrophilic than NVCL that contains two additional aliphatic carbons in the lactam ring, the higher is the NVP content in the copolymer, the higher is the phase transition temperature (Figure 2). For example, a $T_{CP}$ of 72 °C was obtained when the NVP molar fraction in the copolymer was 0.57 (entry 5, Table 1). Note that no phase transition was detected below 100 °C for the copolymer containing 72 mol % of NVP (entry 6, Table 1). Although the dependence of the transition temperature with the NVP content does not appear to be linear, it is now possible to precisely tune the $T_{CP}$ of a P(NVCL-stat-NVP) copolymer by adjusting its composition.

With these data in hand, we investigated the solution behaviour of the PNVCL-block-P(NVCL-stat-NVP) diblocks and PNVCL-block-P(NVCL-stat-NVP)-block-PNVCL triblocks. Theoretically, both types of copolymers should be double thermoresponsive, the $T_{CP}$ of the PNVCL block(s) being inferior to the one of the NVP/NVCL statistical segment. Moreover, the transition temperature of one block could be influenced by the adjacent segment(s). Therefore, the impact of the copolymer architecture and composition on the $T_{CP}$ of each segment is a key issue addressed in the present work as well as the organization of the di- and triblock copolymers in solution at different temperatures. Overall, an increase of the temperature is expected to change the solubility of the blocks in a stepwise fashion, inducing a multistep self-assembly behaviour described in scheme 4. Below (region I) and above (region III) both $T_{CPS}$, the copolymer chains should be fully soluble and aggregated in water, respectively. At intermediate temperatures (region II, $T_{CP1} < T < T_{CP2}$), only the PNVCL block precipitates leading to the self-organization of the copolymers in water. For most copolymers (see Table 2), the statistical $T_{CP}$ segment is longer than the PNVCL block. Therefore, it is reasonable to expect the formation of spherical micelles composed of dehydrated PNVCL core stabilized by a P(NVCL-stat-NVP) corona, the latter consisting of loops in the case of the triblocks.

The thermal response of the block copolymers presented in Table 2 was systematically analysed by combination of turbidimetry and DLS. The study of the C$_{132}$(C$_{40}$P$_{172}$) and C$_{132}$(C$_{80}$P$_{344}$)C$_{132}$ copolymer pair is presented in detail hereafter (Figures 3 and 4) while the transition temperatures of all the copolymers determined by a similar approach are collected and compared in Table 3.

Figure 3 shows the evolution of the transmittance (dotted line) and of the relative scattered light intensity ($I/I_0$) measured by DLS upon heating the aqueous solutions of C$_{132}$(C$_{40}$P$_{172}$) and C$_{132}$(C$_{80}$P$_{344}$)C$_{132}$. For the diblock, two marked transitions are observed. The first one, indicated by the increase of the scattered intensity (full line in upper graph of Figure 3), appears around 45 °C. This transition corresponds to the selective precipitation of the PVCL block ($T_{CP1}$). The latter occurs at higher temperature compared to a homopolymer PVCL ($T_{CP} \approx 37$ °C) because in the diblock the PVCL is linked to a more hydrophilic sequence of P(NVCL-stat-NVP). The second phase transition was clearly identified by a sharp loss of transmittance at 70 °C, which is due to the dehydration and precipitation of the NVP containing segment. Importantly, the $T_{CP}$ of the statistical P(NVCL-stat-NVP) sequence of the copolymer (70 °C) is drastically lowered by the more hydrophobic, and already collapsed, PVCL block. Indeed, as mentioned above, an isolated NVCL/NVP statistical copolymer with the same composition ($F_{NVP} = 0.81$) should not exhibit a $T_{CP}$ since we did not detect any for the P(NVCL-stat-NVP) with a NVP molar fraction of 0.72. The DLS size distribution histograms recorded at different temperatures are presented in Figure 4. At 35 °C (region I), the sample mainly consists of free chains, corresponding to the main peak being observed around a few nm. The second population, around 100 nm, is probably due to a small fraction of loose aggregates but is negligible being absent from the number-weighted size distribution graph (not shown). At 70 °C (region III), rather large objects are formed (~300 nm) suggesting complete aggregation of the copolymer chains. At 50 °C, so in region II corresponding to temperatures between the two $T_{CP}$s, micelles with an average hydrodynamic radius of 20 nm and a low polydispersity index (0.02) were observed. The morphology of the object has been tentatively studied by transmission electron microscopy (TEM) but aggregation occurred during the deposition on the TEM grid and evaporation of the thermostated solution (55 °C). Considering the copolymer composition, those are probably core-shell spherical micelles. Micelles with a very similar size were observed at several temperatures within region II (see Figure S3, for additional DLS curves). Interestingly enough, in the previously studied PNVCL-block-P(NVCL-stat-NMA) copolymers, a transition region corresponding to the formation of large and ill-defined objects was observed prior micellization upon heating the copolymer solution. In contrast, the present PNVCL-block-P(NVCL-stat-NVP) diblock exhibits a sharp unimers/micelles transition.

Figure 3. Transmittance (1 °C min$^{-1}$) (dotted line) and scattered intensity measured by DLS (full line) for the C$_{132}$(C$_{40}$P$_{172}$) diblock and the parent C$_{132}$(C$_{80}$P$_{344}$)C$_{132}$ triblock aqueous solutions (1 g L$^{-1}$) as a function of temperature. See entry 2 in Table 2 for the detailed copolymer characteristics.
Next, we examined the corresponding C132(C80P132)C132 triblock under the same dilution condition (1 mg mL⁻¹). Again, two phase transitions were observed. The first one corresponds to the T_{CP} of the PNVCL external blocks of the copolymer and occurs around 40 °C as emphasized by the increase of the scattered light intensity measured by DLS (full line in lower graph of Figure 3). The second transition corresponds to the precipitation of the central P(NVCL-stat-NVP) segment of the triblock and was identified at 50 °C on the turbidimetry curve (dotted line in lower graph of Figure 3). The DLS size histograms presented in Figure 4 and S3 show that the triblock copolymer mainly exists as free chains below the first T_{CP} and is fully aggregated above the second T_{CP}, as for the diblock. In the inter-T_{CP} region, a major population around a hydrodynamic radius of about 100 nm is observed. This size seems too large to correspond to simple flower-like micelles, and the associated objects are probably small aggregates of micelles. The ABA triblock copolymer is indeed more prone to aggregation than the diblock because the A blocks can precipitate in two different micellar core, inducing the formation of bridges between micelles. Moreover, the self-assembly of the triblock in water is more difficult to control because the inter-T_{CP} window (region II) is much narrower compared to the diblock. Indeed, although the composition of the blocks of C132(C80P172) and C132(C80P132)C132 are strictly identical, their respective T_{CP} vary from one architecture to another and are lower in the triblock than in the diblock (see Table 3). Doubling of the molar mass of the copolymer and of the statistical P(NVCL-stat-NVP) sequence by radical coupling might contribute to this effect. Nevertheless, considering previous reports and the present range of molar mass variation, this factor should only be responsible for a decrease of few degree of the T_{CP}. By contrast, the presence of a more hydrophobic PNVCL block at both extremities of the P(NVCL-stat-NVP) segment of the triblock should strongly decrease its transition temperature (from 70 °C in the diblock to 50 °C in the triblock). On the other hand, the hydrophobicity of the polymer chain linked to the PNVCL block, i.e. P(NVCL-stat-NVP) in the diblock and P(NVCL-stat-NVP)-block-PNVCL in the triblock, is globally higher in the triblock than the diblock. This might account for the lower T_{CP} of the PNVCL segment in the triblock (40 °C) compared to one in the diblock (45 °C) (see Table 3).

Following the same approach, we studied the thermal response of the aqueous solution of the other diblocks and triblocks mentioned in Table 2 which differ by their block length and compositions. All turbidimetry and DLS curves are provided in the supporting section (Figure S3-S10). The key transition temperatures of each copolymer are reported in Table 3 and discussed hereafter. Except for C282(C12P132) and C282(C18P94) all copolymers exhibited a double thermo-responsive behaviour in water. Again, the T_{CP} of both PNVCL block and of the NVP containing sequence were lower in the triblock than in the parent diblock. Moreover, the transition temperature of longer PNVCL block is less impacted by the hydrophilic P(NVCL-stat-NVP) segment. For example, considering the series of diblocks, the T_{CP} of the PNVCL was recorded at 50 °C, 45 °C and 40 °C for degrees of polymerization (DP) of PNVCL equal to 68, 132 and 236, respectively (Table 3). As a reminder the T_{CP} of homoPNVCL is around 37 °C. Interestingly, between T_{CP1} and T_{CP2}, C60(C73P191), C12(C80P172) and C25(C80P154) formed well-defined micelles whose size increases with the length of the PNVCL segment. The R₆ of the micelles are equal to 15 nm, 20 nm and 30 nm for DP of 68, 132 and 236, respectively (Figures S5, S3 and S7). On the other hand, the study of the C60(C148P580)C68 and C25(C110P360)C256 triblocks confirmed the difficulty to form well defined objects and to avoid aggregation.

Table 3. T_{CP} of the NVCL/NVP-based di- and triblocks.

<table>
<thead>
<tr>
<th>Copolymers</th>
<th>T_{CP1} (°C)</th>
<th>T_{CP2} (°C)</th>
</tr>
</thead>
<tbody>
<tr>
<td>C60(C73P191)</td>
<td>50</td>
<td>70</td>
</tr>
<tr>
<td>C60(C148P580)C68</td>
<td>52</td>
<td>58</td>
</tr>
<tr>
<td>C12(C80P172)</td>
<td>45</td>
<td>70</td>
</tr>
<tr>
<td>C12(C80P132)C132</td>
<td>40</td>
<td>50</td>
</tr>
<tr>
<td>C25(C80P154)</td>
<td>40</td>
<td>67</td>
</tr>
<tr>
<td>C25(C110P360)C256</td>
<td>40</td>
<td>43</td>
</tr>
<tr>
<td>C25(C73P191)</td>
<td>35</td>
<td>/°</td>
</tr>
<tr>
<td>C25(C148P580)C256</td>
<td>40</td>
<td>/°</td>
</tr>
<tr>
<td>C25(C110P360)C256</td>
<td>25°</td>
<td>63°</td>
</tr>
<tr>
<td>C25(C148P580)C256</td>
<td>25°</td>
<td>68°</td>
</tr>
</tbody>
</table>

Copolymer aqueous solutions (1 g/L) ° T_{CP1} values correspond to the onset temperature of the increase of the scattered light intensity measured by DLS (as shown in Figure 3). ° T_{CP2} is measured at 50% of transmittance loss on the turbidimetry curves. ° No second T_{CP} was detected below 95 °C. ° Determined in 2 M NaCl aqueous solution.
For C282(C27P297) and C282(C84P94)C282, no precipitation was observed in water below 95 °C because the NVP content in their statistical block was too high (F_NVP = 0.92), suppressing the second T_CP. However, the T_CPS of the PNVCL segment in the diblock (Figure 5) and in the triblock (Figure S8) was detected around 40 °C. These copolymers mainly exist as free chains below 40 °C and self-assembled above the transition temperature. Narrowly dispersed micelles with a R_h of about 30 nm were observed for C282(C27P297) between 40 °C and 90 °C (Figure S9). In the same range of temperatures, C282(C27P94)C282 led to assemblies with a hydrodynamic radius between 25 and 35 nm, which probably correspond to flower-like micelles, as well as larger aggregates (R_h~200 nm) (Figure S9).

The polymer solubility, and LCST of thermoresponsive temperature.

hydration and phase behaviour of PNVCL diblock, the T_CPS observed upon heating and cooling the solutions were quite similar and hysteresis did not exceed a few degrees (Figure S11). Moreover, the objects formed in solution when increasing and decreasing the temperature were similar (Figure S12) although the micelles detected in the inter-T_CPS region were larger when cooling the solution. Indeed, the R_h of the micelles observed at 45 °C were around 35 nm and 60 nm in the heating and cooling phases, respectively (Figure S12). In such experiments, kinetically frozen micelles are most probably formed considering the abrupt character of the LCST transition. It is therefore not surprising to observe micelles of different sizes during heating and cooling, since it is well-known that the preparation protocol may strongly influence the micellar characteristics in the case of kinetically frozen micelles. In the case of the triblock C282(C84P94)C282, as indicated by the turbidimetry curves (Figure S11), the re-solubilisation of the copolymer when cooling the solution was difficult, which prevent us from performing a size analysis by DLS. Nonetheless, its double thermo-responsive character is demonstrated in both the heating and cooling cycles.

Conclusion

A series of novel well-defined thermoresponsive NVCL and NVP-based copolymers, including statistical, diblock and triblock copolymers, were prepared by cobalt-mediated radical polymerization (CMRP) and coupling reaction (CMRC). Due to the high level of control on the molecular parameters of the polymers it was possible to compare their thermal properties based on their composition, block length, and architecture. Increasing the NVP content in statistical NVCL/NVP copolymers was shown to increase their lower critical solution temperature in water, 0.7 being approximately the maximum NVP molar fraction for maintaining the T_CPS of such statistical copolymers below 90 °C. With these data in hands, four pairs of double thermo-responsive di- and triblock composed of PNVCL and P(NVCL-stat-NVP) blocks were obtained by sequential CMRP of NVCL and NVCL/NVP polymerizations followed by radical coupling with isopropene. In both types of architecture, the thermal response of PNVCL and of the P(NVCL-stat-NVP) segment influenced each other, the LCST of the PNVCL block being increased by the more hydrophilic

Figure 5. Transmittance (1 °C min −1) (dotted line) and scattered intensity measured by DLS (full line) for the C282(C27P297) diblock (1 g L −1) in water and in 2M NaCl aqueous solutions as a function of temperature.
P(NVCL-stat-NVP) block and vice versa. As a general trend, the inter-$T_{CP}$ region was narrower and occurred at lower temperature for the triblock because the central NVCL/NVP block is linked to PVNCL at both extremities. In the case of the diblocks, narrowly dispersed micelles were formed from unimers upon heating, and total collapse occurred above the second $T_{CP}$, confirming the multistep assembly behaviour of the copolymers. For the triblocks, the self-assembly was more difficult to control due to their enhanced tendency to aggregation, linked to their ABA structure, and the narrower inter-$T_{CP}$ window. Moreover, the NaCl salting-out effect was successfully used to adjust the $T_{CP}$ of these types of copolymers to the extent that the $T_{CP}$ of a NVP rich statistical segment became detectable below 100 °C, making the corresponding di- and triblock double thermoresponsive. Finally, the double thermo-responsive character of the NVCL- and NVP-based copolymers was also emphasized in both the heating and cooling cycles. As a result, the data presented here provide a basis to synthesize a broad range of double thermoresponsive NVCL- and NVP-based di- and triblock copolymers with tunable LCSTs and highlight the crucial impact of the architecture of the copolymer on their thermal phase transition.

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Notes and references

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