

**Di-Magnesium and zinc catalysts for the copolymerization of  
phthalic anhydride and cyclohexene oxide**

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1     **Di-Magnesium and zinc catalysts for the copolymerization of phthalic anhydride and**  
2                                   **cyclohexene oxide**

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6     **Abstract**

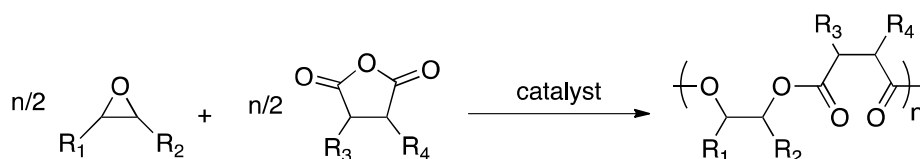
7     Two new homogeneous dinuclear catalysts for the ring-opening copolymerization of phthalic  
8     anhydride (PA) / cyclohexene oxide (CHO) and the terpolymerization of phthalic anhydride  
9     (PA) / cyclohexene oxide (CHO) / carbon dioxide (CO<sub>2</sub>) are reported. The catalysts are a di-  
10    magnesium (**1**) and a di-zinc complex (**2**), both are coordinated by the same macrocyclic  
11    ancillary ligand. Both catalysts show good polymerization control and activity (TOF = 97 (**1**)  
12    and 24 (**2**) h<sup>-1</sup>), with the di-magnesium complex (**1**) being approximately four times faster  
13    compared to the di-zinc (**2**) analogue. Their relative reactivity is closely related to that  
14    observed for well documented chromium salen/porphyrin catalysts. However, these results  
15    represent the first example of a well-defined magnesium catalyst which may be advantageous  
16    in terms of obviating use of co-catalysts, low cost, lack of colour and redox chemistry.

17

18    **Introduction**

19    Polyesters are a commodity produced on a 50 million tonne scale, annually.<sup>1</sup> The most  
20    commonly applied route to prepare them is via condensation ‘AA + BB’ copolymerizations.  
21    However, these step growth syntheses are limited by a number of factors including: 1) the  
22    requirement for precise monomer stoichiometry in order to access high molecular weights; 2)  
23    the need for forcing conditions to drive the esterification reactions; and 3) the lack of

24 polymerization control. Thus, the preparation of well-defined polyesters, as well as those  
 25 with sophisticated molecular architectures and block copolymers, is complex, sometimes  
 26 even impossible, using step-growth routes. The ring-opening polymerization (ROP) of cyclic  
 27 esters offers a controlled polymerization route to aliphatic polyesters.<sup>2,3</sup> However, there are  
 28 only a limited range of polymerizable lactones, thereby narrowing the range of possible  
 29 polymer structures.<sup>4</sup> An attractive alternative is the ring-opening copolymerization (ROCOP)  
 30 of epoxides and anhydrides (Scheme 1).<sup>5,6-12</sup> This method is particularly desirable as it is  
 31 highly controlled, and there is a wide variety of commodity epoxides/anhydrides which  
 32 significantly broadens the range of polymers. Importantly, the ROCOP route enables the  
 33 preparation of polyester backbones containing aromatic/semi-aromatic repeat units, which  
 34 cannot be accessed using ROP but are useful to improve the polymers' thermal-mechanical  
 35 properties.<sup>6,9,10,12,13</sup> Furthermore, the ROCOP route can be applied using a range of monomers  
 36 derived from renewable resources,<sup>14</sup> such as limonene oxide<sup>6,12</sup> or maleic anhydride,<sup>6,7,9,10</sup>  
 37 which could be beneficial to improve the sustainability of the polymer manufacture.<sup>14,15</sup>



38  $R_1, R_2, R_3, R_4$ : aliphatic or aromatic groups, or alkylene/arylene linkers

39 **Scheme 1:** Illustrates the ROCOP (ring-opening copolymerization) of epoxides / anhydrides  
 40 to afford polyesters.

41 The ROCOP route is critically dependent on the selection of the metal catalyst which controls  
 42 the polymerization rate, the degree of polymerization control and the monomer selectivity.  
 43 While a plethora of catalysts are known for the ROP of cyclic esters,<sup>3</sup> a far narrower range  
 44 are known for epoxide/anhydride ROCOP. The homogeneous catalysts generally feature a  
 45 Lewis acid metal centre(s), such as Zn(II), Cr(III), Co(III), Mn(III) or Al(III), either as

46 homoleptic alkoxide/alkyl complexes<sup>16</sup> or, more preferably, coordinated by ligands selected  
47 from salens<sup>9</sup> and salans,<sup>17</sup>  $\beta$ -diimines<sup>6,7</sup> or porphyrins.<sup>8,10-12,18</sup> Heterogeneous catalysts are  
48 also known and the most common type are double-metal cyanide (DMC) complexes.<sup>19</sup>  
49 Generally, homogeneous heteroleptic metal alkoxides/carboxylate complexes are preferable  
50 in terms of polymerization control and selectivity. In such cases, the copolymerization is  
51 proposed to occur via a coordination-insertion mechanism whereby the metal alkoxide  
52 intermediate, formed by ring-opening of the epoxide, reacts with the anhydride, and the  
53 resulting metal carboxylate intermediate reacts with the epoxide to regenerate the metal  
54 alkoxide. Therefore, alternating copolymerization occurs by the continual cycling between  
55 metal alkoxide and carboxylate intermediates. Most of the active catalysts for  
56 epoxide/anhydride ROCOP are also effective for epoxide/CO<sub>2</sub> ROCOP<sup>8,9,20</sup> an attractive  
57 carbon dioxide consuming reaction, which also occurs via a related coordination-insertion  
58 pathway (with rapid interchange between metal alkoxide and carbonate intermediates).  
59 Combining the two ROCOP processes in a terpolymerization of epoxide/CO<sub>2</sub>/anhydride is of  
60 interest to generate new materials, however, there are only limited reports of homogenous  
61 catalysts for such terpolymerizations. These include  $\beta$ -diiminate zinc complexes and  
62 chromium porphyrin/salen/salophen complexes.<sup>8,9,20</sup> The development of new  
63 terpolymerization ROCOP catalysts is of relevance in order to control the composition, and  
64 hence properties, of the copolymers.

65

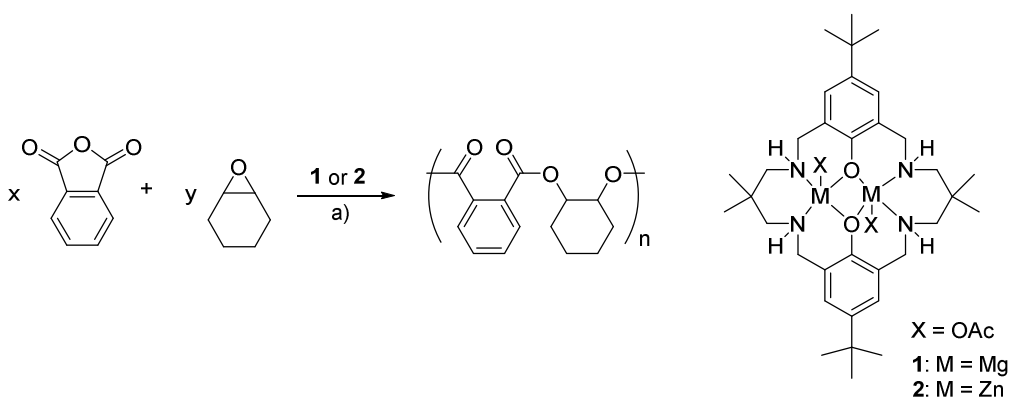
66 Here, two examples of new zinc and magnesium homogeneous catalysts for the alternating  
67 copolymerization (ROCOP) of cyclohexene oxide (CHO) and phthalic anhydride (PA) are  
68 reported.

69

70

71 **Results and Discussion**72 **ROCOP of Cyclohexene Oxide / Phthalic Anhydride**

73 Recently, we reported catalysts **1** and **2** for the ROCOP of cyclohexene oxide with CO<sub>2</sub>  
 74 affording polycarbonates, at only one atmosphere of CO<sub>2</sub>, with very high selectivity for  
 75 polymer formation.<sup>21,22-24</sup> Given the similarities between the proposed pathways for the two  
 76 ROCOP processes, **1** and **2** were tested for the copolymerization of cyclohexene oxide (CHO)  
 77 and phthalic anhydride (PA) (Scheme 2, Table 1).



79 **Scheme 2:** Illustrates ROCOP of phthalic anhydride (PA) and cyclohexene oxide (CHO),  
 80 initiated by complexes **1** or **2**. Reagents and Conditions (a): 100 °C, toluene, [PA] = 2.5 M,  
 81 catalyst:PA:CHO = 1:100:100 or neat CHO as the solvent, catalyst:PA:CHO = 1:100:800.

82

83 **Table 1:** Selected data for the ROCOP of CHO/PA initiated by complexes **1** or **2**.

Run	Catalyst (Cat.)	Cat./PA/CHO	Solvent	t (h)	PA conv. (%) a), b)	% ester linkages <sup>c)</sup>	M <sub>n</sub> <sup>d</sup> (g/mol)	M <sub>n</sub> Calc. (g/mol)	PDI <sup>d</sup>
1	<b>1</b>	1/100/800	Neat	1	97	>99	12670 5470	11930	1.10 1.06
2	<b>2</b>	1/100/800	Neat	1	24	>99	2570	5900	1.20
3	<b>1</b>	1/100/100	Toluene	22	19	83	3800	4670	1.11
4	<b>2</b>	1/100/100	Toluene	22	15	82	2250	3690	1.17
5	<b>2</b>	1/100/100	Neat	4	100	>99	21170 9100	12300	1.06 1.08

84

85 Reactions were conducted at 100 °C. a) Determined by  $^1\text{H}$  NMR spectroscopy ( $\text{CDCl}_3$ ) by  
86 integrating the normalized resonances for PA (7.97 ppm) and the phenylene signals in PE  
87 (7.30-7.83 ppm); b) % error in PA conversion was <3% in all cases; c) Determined by  $^1\text{H}$   
88 NMR spectroscopy ( $\text{CDCl}_3$ ) by integrating the normalized resonances for ester linkages  
89 (4.80-5.26 ppm) and ether linkages (3.22-3.64 ppm); d) Determined by SEC in THF,  
90 calibrated using polystyrene standards.

91

## 92 ***Polymerization in Toluene Solutions***

93 In toluene solutions, both catalysts slowly afforded polyester, poly(1,2-cyclohexylene-1,2-  
94 phthalate) (PE), at temperatures of 100 °C ( $[\text{PA}]_0 = 2.5 \text{ M}$ ). After 22 h, low conversions of  
95 PA were observed: 19 and 15 % using **1** and **2**, respectively (determined by comparison of  
96 the integrals of the aromatic protons in phthalic anhydride and the polyester, in the  $^1\text{H}$  NMR  
97 spectrum). Compared to neat conditions (see below section) the conversion values are much  
98 lower in toluene solutions, which is expected due to dilution factors.

99 Considering the structure of the polymer, it is possible to form either perfectly alternating  
100 polyester structures by sequential epoxide/anhydride copolymerization or by sequential  
101 enchainment of epoxides, ether linkages may also form. The relative amounts of these  
102 different repeat units are usually analysed by comparing the integrals of signals in the  $^1\text{H}$   
103 NMR spectra, however, it was discovered that when the sample dissolved was in  $\text{CDCl}_3$ , the  
104 results were inconclusive as the ether signals overlapped (3.5-3.3 ppm) with the end group  
105 signals of the polyester (3.6-3.4 ppm). However, the  $^1\text{H}$  NMR spectra recorded in  $\text{DMSO-d}_6$   
106 for a mixture of polyether and polyester showed no such overlap (Fig. S1: polyester signals  
107 observed at 3.46 ppm and ether linkages at 3.59 ppm). Hence, for solutions of the polymer in  
108  $\text{DMSO-d}_6$ , the ether content can be determined by comparison of the relative integrals of the

109 main chain and ether resonances. These spectra showed that in all cases there is a high  
110 content of ester linkages (> 80%) with only moderate (<20%) contamination by ether  
111 linkages (a representative example of a polymer sample with ether linkage contamination is  
112 illustrated in Fig. S2. The % ether linkages for all samples are reported in Table S1).

113 The polyesters have low number averaged molecular weights, SEC analysis shows  
114 monomodal distributions with  $M_n < 5000$  g/mol and narrow polydispersity indices (<1.2),  
115 due to the low conversion of PA (see Table 1). These values are in good agreement with the  
116 calculated values (without any calibration correction), assuming that, on average, one  
117 polymer chain is initiated per catalyst.<sup>24</sup>

118

### 119 *Polymerizations in Neat Cyclohexene Oxide*

120 Polymerizations using cyclohexene oxide as both the monomer and the solvent showed  
121 substantially faster rates and higher conversions than in toluene solutions (Table 1, runs 1-2).  
122 Indeed, under these conditions it was possible to drive the polymerizations to complete  
123 consumption of anhydride (Table 1, runs 1 & 5). The magnesium containing catalyst **1** is  
124 approximately four times faster than the zinc analogue **2** (Table 1, entry 2). Catalyst **1**  
125 converts ~ 97% of PA in 1 h, compared to **2** which converts 24% in 1 h, giving TOF = 97 h<sup>-1</sup>  
126 and 24 h<sup>-1</sup> for **1** and **2**, respectively, based on PA consumption at 100 °C. This result is in line  
127 with the relative rates observed for ROCOP of CHO/CO<sub>2</sub> where for the same catalysts **1** is six  
128 times faster than **2** (TOF = 152 h<sup>-1</sup> and 25 h<sup>-1</sup> for **1** and **2** at 100 °C, respectively).<sup>23</sup>

129 In the case of the zinc catalyst **2**, for CO<sub>2</sub>/CHO ROCOP the catalyst loading is 0.1 mole%  
130 and the TOF is 25 h<sup>-1</sup>, in contrast for PA/CHO ROCOP the catalyst loading is ten times  
131 higher (1 mol%) to achieve the same TOF (24 h<sup>-1</sup>): thus, CO<sub>2</sub>/CHO ROCOP is substantially

132 faster than PA/CHO. In the case of the magnesium catalyst, the ROCOP of CHO/CO<sub>2</sub> is  
133 around 1.5 times faster than CHO/PA at ten times lower catalyst loading. Considering the two  
134 different ROCOP catalytic cycles (Fig. 6), one explanation for this difference in rates may be  
135 a higher barrier to ring-opening of cyclohexene oxide by the zinc/magnesium carboxylate  
136 group (phthalate) (corresponding with a lower value for  $k_2$ ) compared to the zinc/magnesium  
137 carbonate group (corresponding to a higher value for  $k_2$ ). Examining the results for other  
138 known catalysts reveals that there are rather few comparisons between the two ROCOP  
139 processes. In the case of [(BDI)ZnOAc], these catalysts show a lower activity for  
140 anhydride/epoxide compared to CO<sub>2</sub>/epoxide copolymerization. This reduction in rate was  
141 attributed to the faster insertion of the epoxide into the Zn-carbonate bond compared to the  
142 Zn-carboxylate bond.<sup>20</sup>

143 Generally, the activities of **1** and **2** (TOF = 152 h<sup>-1</sup> and 25 h<sup>-1</sup> in bulk, respectively) are  
144 similar to those reported for [(salen)MCl] and [(salophen)MCl] (M = Al, Cr, Co)  
145 homogeneous catalyst systems, species which additionally require ionic co-catalysts. These  
146 combined salen/salt system which show activity values in the range 125 < TON < 250 and 25  
147 h<sup>-1</sup> < TOF < 50 h<sup>-1</sup>, in solution, with complexes bearing Co and Cr being the most active.<sup>11</sup>  
148 The highest activities are observed in bulk (at 130 °C) where values are observed for the  
149 TON = 250 and TOF = 100 h<sup>-1</sup>. Similarly, a metalloporphyrin catalyst [(TPP)CrCl], with  
150 DMAP as co-catalyst, shows comparable activities with TOF = 50 h<sup>-1</sup> or 65 h<sup>-1</sup> in solution or  
151 bulk, respectively.<sup>8</sup> However, unlike these catalysts, **1** and **2** are effective without any  
152 additional co-catalyst, either in solution or in bulk. Catalysts **1** and **2** afford polymers with  
153 high ester-linkage contents; it is notable that metalloporphyrin or salen systems are known to  
154 form significant ether linkage contents, with very low activities, if applied without co-  
155 catalysts.<sup>8,11</sup> In addition, such co-catalysts may be undesirable due to their ability to initiate  
156 side reactions and compromise the fidelity of the end groups.<sup>11</sup> To the best of our knowledge,

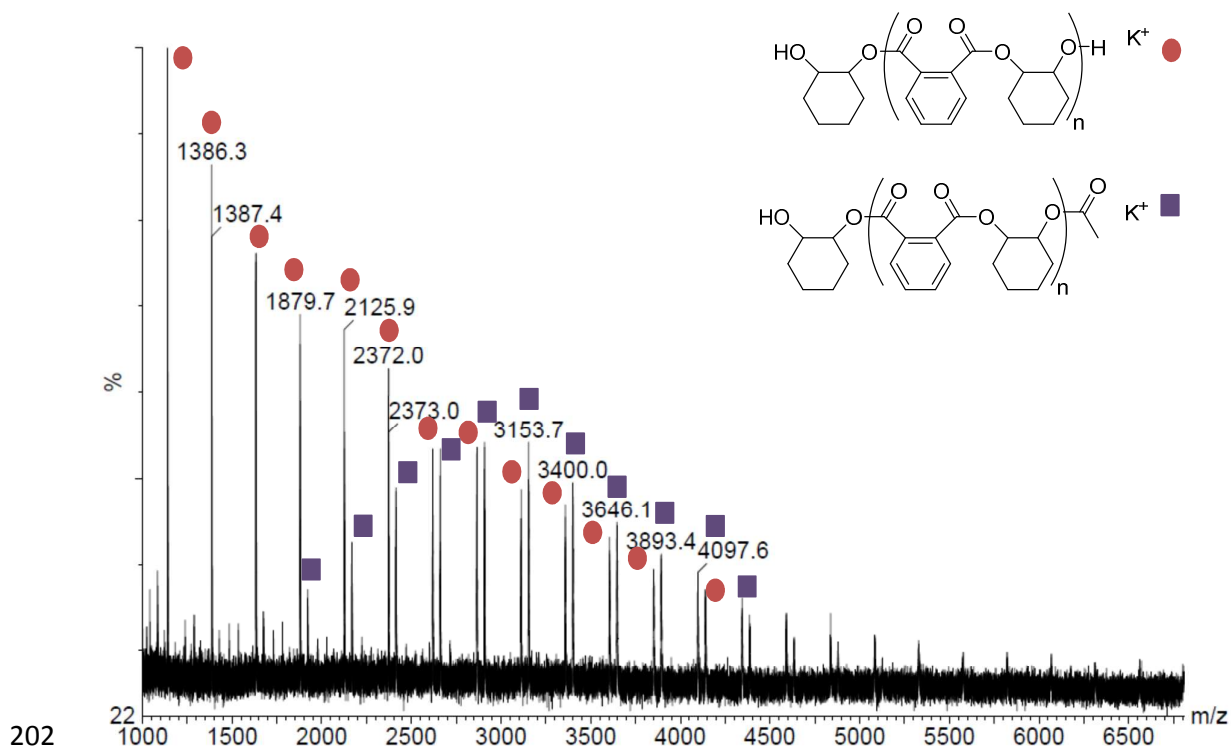


157 this is the first example of a well-defined magnesium complex for epoxide/anhydride  
158 ROCOP. Although one example of a homoleptic magnesium alkoxide catalyst ( $\text{Mg}(\text{OEt})_2$ )  
159 was reported previously, such species are known to aggregate<sup>25</sup> and so the precise catalyst  
160 nuclearity and structure is not clear. Magnesium catalysts are attractive due to the low cost,  
161 low toxicity and abundance of the element. As an additional benefit most Mg complexes are  
162 colourless and inert to any redox chemistry.

163 Using neat CHO as the reaction medium, the polyesters formed using **1** and **2** show perfectly  
164 alternating structures, with no detectable ether linkage contamination (Table 1, Fig. S1). This  
165 high selectivity towards polyester formation suggests that these dinuclear catalysts have the  
166 correct balance of Lewis acidity (to aid epoxide and anhydride binding) and lability (to aid  
167 carboxylate or alkoxide attack of the epoxide or anhydride respectively). The polyesters have  
168 low molecular weights and bimodal molecular weight distributions, with the higher peak  
169 being approximately twice the  $M_n$  of the lower (Fig. S3). Related bimodal molecular weight  
170 distributions were also observed for both **1** and **2** for CHO/ $\text{CO}_2$  ROCOP.<sup>22</sup> Furthermore, the  
171 molecular weights obtained are somewhat lower than the calculated values, although the  $M_n$   
172 values calibrated using polystyrene standards. As the properties and behaviour of PE is likely  
173 quite different to that of polystyrene, the molecular weights are only indicative.<sup>8,9</sup> However, it  
174 does appear that there is a general trend towards lower than expected molecular weights  
175 being observed for the products of epoxide/anhydride copolymerization. Other researchers  
176 have also observed that a range of different catalysts all produce polyesters of substantially  
177 lower molecular weights than would be expected;<sup>8</sup> this reduction in  $M_n$  has been attributed to  
178 chain transfer reactions occurring with protic impurities, including water. Here, it is notable  
179 that increasing the quantity of CHO present (by up to 8 times *versus* catalyst), results in a  
180 substantial decrease in  $M_n$ , despite the polymerizations reaching higher overall conversions.  
181 This suggests that the epoxide is the source of some of the chain transfer agents; one possible

182 species being cyclohexane diol (CHD) which could form by the reaction (catalysed) between  
183 CHO and any residual water. Every effort was made to exclude water from the reaction,  
184 including by drying and distilling the CHO, however, it should be appreciated that levels as  
185 low as 0.06 mol% (<10 ppm by mass) of residual water, versus the total amount of epoxide  
186 present, would be expected to result in the observed reductions of  $M_n$ .<sup>8</sup> The bimodal weight  
187 distributions can be rationalised by the presence of mono-functional (acetate) and  
188 bifunctional (cyclohexane diol) initiating groups. Chains initiated from cyclohexane diol  
189 would be expect to propagate at the same rate as chains initiated from acetate groups,  
190 resulting in chain growth from both hydroxyl moieties and formation of a telechelic  
191 polymer.<sup>22</sup> Thus, the higher  $M_n$  series is attributed to telechelic polyesters formed by  
192 initiation from cyclohexane diol, whilst the lower  $M_n$  series corresponded to chains initiated  
193 by acetate groups (from the catalyst).<sup>8</sup> The MALDI-ToF spectrum of the polymer produced  
194 with complex **1** (Table 1, entry 1,  $M_n$ : 12, 670 (1.10) and 5,470 (1.06) g/mol) shows 2 series  
195 of peaks. These differ according to the end-groups: one series is  $\alpha$ -acetyl- $\omega$ -hydroxyl and  
196 the other is  $\alpha,\omega$ -di-hydroxyl end-capped (Fig. 1). It should be noted that in the MALDI-ToF  
197 spectrum, the higher  $M_n$  series (12, 670 g/mol by SEC) is not fully observed (only the lower  
198 molecular weight tail, red circles), likely due to a lower propensity to volatilize commonly  
199 observed with this technique. The lower  $M_n$  series (5470 g/mol by SEC), end-capped with  
200 acetate groups, corresponds well with the MALDI-ToF series with  $M_n$  3153 g/mol.

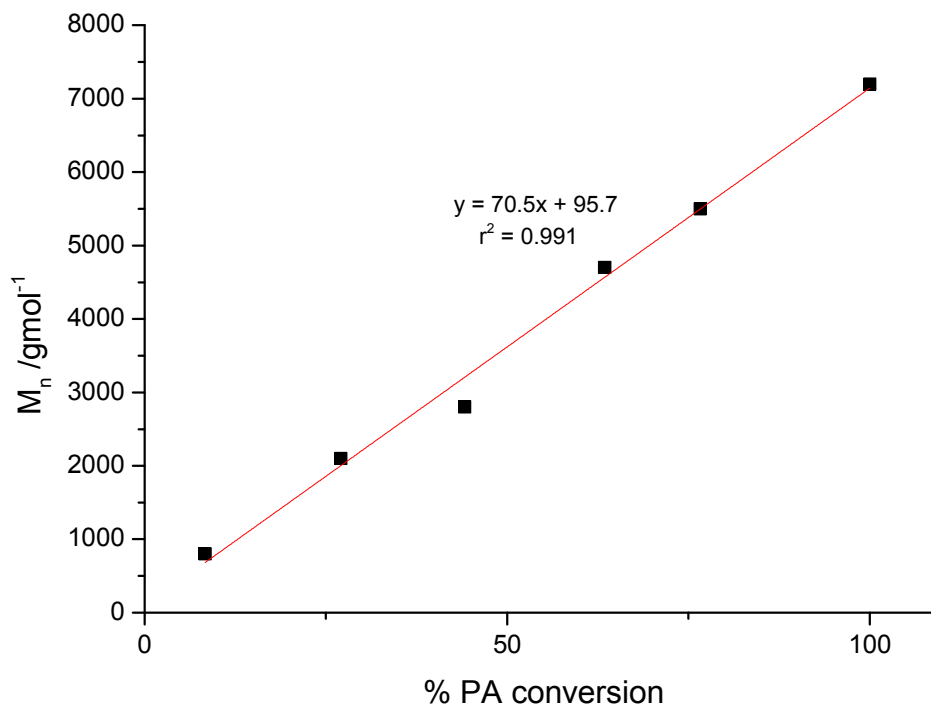
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**Fig. 1:** The MALDI-ToF spectrum of the polyester formed by complex **1** (Table 1 Run 1).

### *Polymerization Control and Kinetic Study*

The polymerization control was monitored by taking aliquots and the evolution of molecular weights of the polyesters plotted against the PA conversion (Fig. 2 and S4). This resulted in a linear correlation between the  $M_n$  and PA conversion for both catalysts, thereby signalling that both complexes were able to exert good polymerization control. Further support for well controlled polymerization comes from the narrow polydispersity indices of the polyesters produced.



213

214 **Fig. 2:** Evolution of  $M_n$  against PA conversion for ROCOP initiated by **1**. Polymerization  
215 conditions: Cat.:PA:CHO = 1:100:800, 100 ° C. At higher PA conversions SEC data  
216 becomes bimodal and, in these cases, the higher  $M_n$  of the two peaks is plotted.

217

218 It was also of interest to investigate the polymerization kinetics and in particular the  
219 relationship between phthalic anhydride conversion and reaction time (Fig.3a).

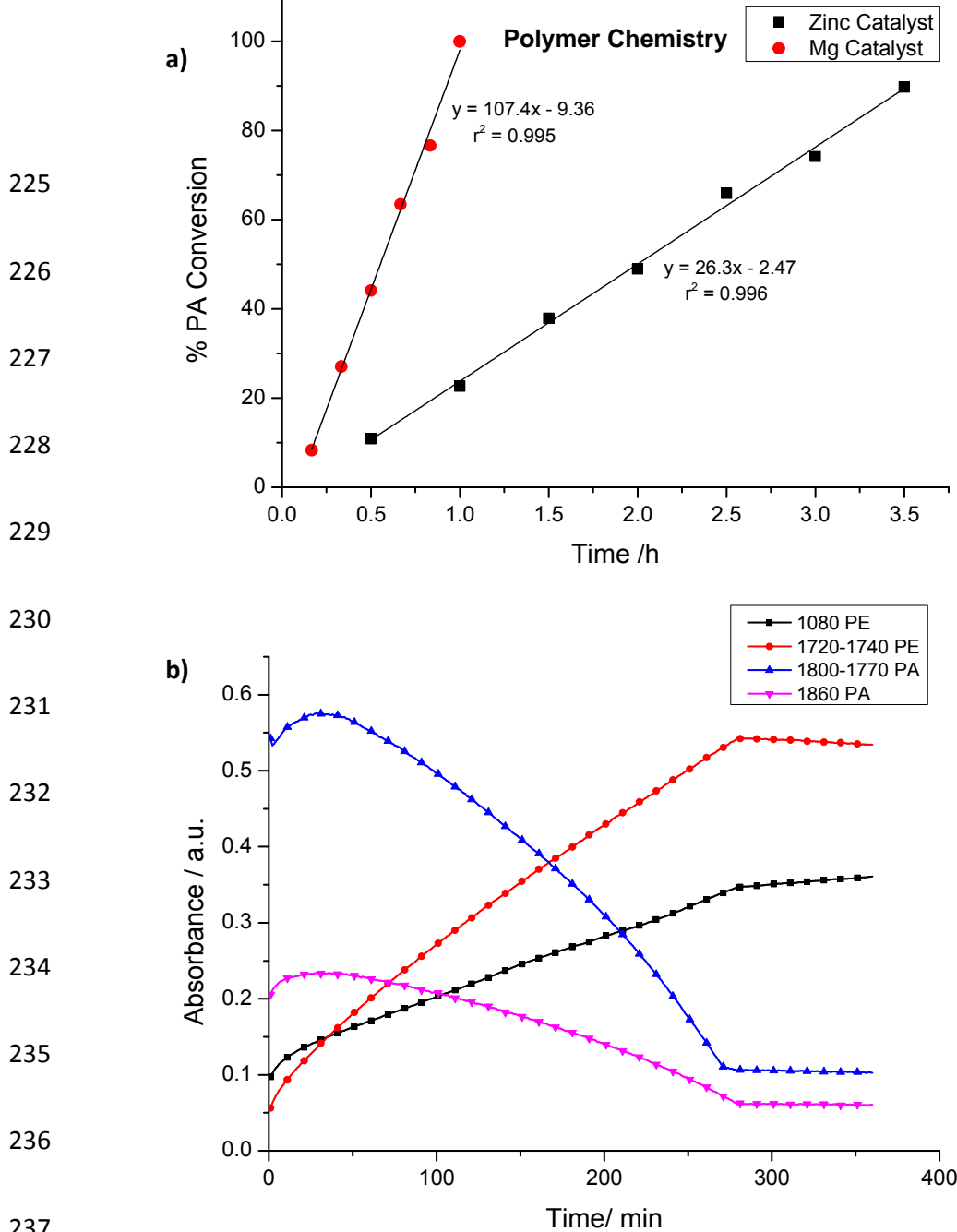
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238 **Fig. 3a:** Illustrates PA conversion (determined from the  $^1\text{H}$  NMR spectra) vs. time. **Fig. 3b:**  
 239 Illustrates the absorption intensity vs. time for various signals in the IR spectra for PA/CHO  
 240 copolymerization using **2**. Polymerization conditions: Cat. = **1** or **2**, cat.:PA:CHO =  
 241 1:100:800, 100 °C. Where PA = phthalic anhydride and PE = polyester. Increase in PA  
 242 concentration at start due to time required for PA to dissolve in the injected CHO.

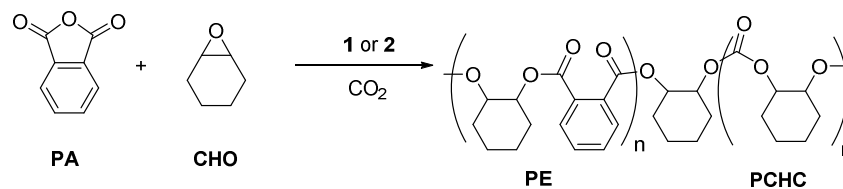
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244 The  $^1\text{H}$  NMR data (Fig. 3a) show that the % conversion of PA increases linearly vs. time, a  
 245 finding that is strongly indicative of a zero order dependence of the rate on PA concentration.  
 246 Such a zero order rate dependence is also supported by monitoring of the polymerization  
 247 using an *in situ* ATR-IR probe, which enables continual monitoring of the IR spectra as the  
 248 polymerization progresses (Fig. 3b) and S5). Plotting the intensity of resonances associated  
 249 with PA (1860 and 1800-1700  $\text{cm}^{-1}$ ) also indicated there was a linear reduction in phthalic  
 250 anhydride concentration. Thus, both NMR and IR spectroscopic data indicate that the rate of  
 251 polymerization does not depend on the concentration of phthalic anhydride, suggesting that  
 252 PA insertion occurs faster than epoxide ring-opening. In a previous polyester  
 253 copolymerization study, the [(BDI)ZnOAc] catalyst also showed a zero order rate  
 254 dependence on PA concentration.<sup>20</sup>

255

### 256 *Terpolymerizations*

257 The promising results for the ROCOP of CHO/PA prompted us to investigate the  
 258 terpolymerization of CHO/PA/ $\text{CO}_2$ , using CHO as the solvent, with catalysts **1** and **2** (Fig. 4).



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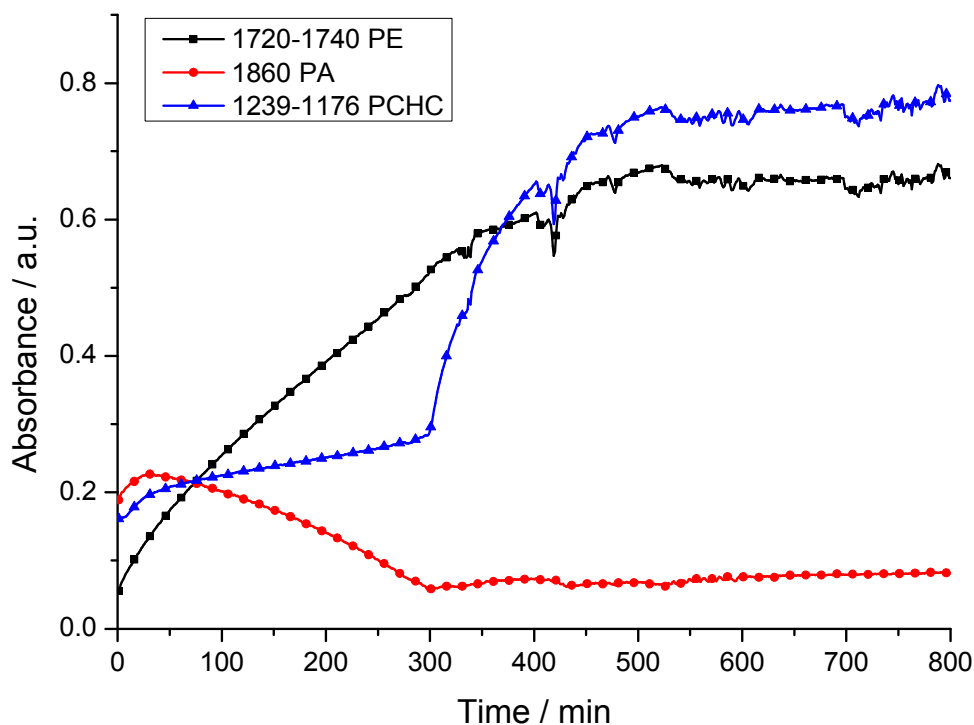
260 **Fig. 4:** Illustrates the ROCOP terpolymerization of PA, CHO and  $\text{CO}_2$  to produce a  
 261 copoly(ester-carbonate) (PE-PCHC), using catalyst **1** & **2** (catalyst structure illustrated in  
 262 Scheme 1).

263

264 A mixture of CHO/PA (800/100), under 1 bar of CO<sub>2</sub>, afforded well-defined block  
265 poly(ester-*co*-carbonates) with both catalysts **1** and **2**. The polymerizations were monitored  
266 using the ATR-IR spectroscopic probe (Fig. 5 (**2**), S6(**1**)). In both cases, there are two clearly  
267 observable phases during which different monomers are enchainned leading to the formation  
268 of the block copolymers. During the first phase, the concentration of anhydride decreases  
269 (1860 and 1800-1770 cm<sup>-1</sup>) and that of polyester (PE) increases (1720-1740 and 1080 cm<sup>-1</sup>).  
270 The concentration of polycarbonate (PCHC) is invariant (1014 and 1239-1176 cm<sup>-1</sup>),  
271 consistent with the first phase of the polymerization involving only PA/CHO  
272 copolymerization to give polyester. The slight increase in the intensity of the PCHC signal at  
273 1014 cm<sup>-1</sup> during this polyester forming phase is likely due to overlap of PCHC signals with  
274 polyester signals as they have similar stretch frequencies. After the PA has been fully  
275 consumed and the second phase of the polymerization begins. In this phase, the concentration  
276 of polycarbonate (PCHC) increases (1239-1176 and 1014 cm<sup>-1</sup>), but PA and polyester remain  
277 invariant (any apparent slight increase in signal intensity is due to the overlap of these  
278 frequencies with the PCHC frequencies). This is consistent with CHO/CO<sub>2</sub> copolymerization  
279 occurring only after the PA is fully consumed and with the formation of a block copoly(ester-  
280 carbonate). In order to confirm this, aliquots were taken during the reaction. <sup>1</sup>H NMR  
281 spectroscopy is used to determine the species present during different phases of the  
282 polymerization (Fig. S7, S8); during phase one only polyester is observed, and once PA  
283 consumption is complete (as evidenced by the loss of the signal at 7.9 ppm), the formation of  
284 PCHC occurs (as shown by the increase in intensity of the signal at 4.6 ppm). In the case of  
285 the magnesium catalyst **1**, which is substantially faster than the zinc analogue, some  
286 carbonate repeat units do form once the conversion of phthalic anhydride exceeds 95% as  
287 shown in the <sup>1</sup>H NMR spectra (Fig. S8). In the case of the zinc catalyst **2**, there is no evidence  
288 for any carbonate repeat units until the PA is completely consumed (Fig. S7). Using both

289 catalysts, there is <5% conversion to the cyclic carbonate by-product, demonstrating the high  
 290 selectivity of the catalyst.

291



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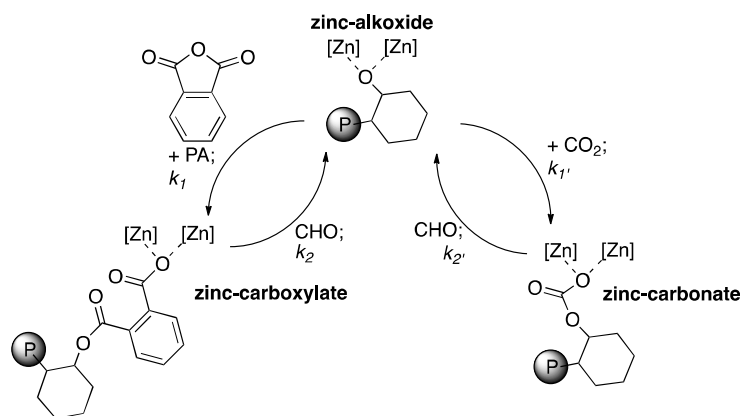
293 **Fig. 5:** Shows the changes in the intensity of the ATR-FTIR resonances observed during  
 294 ROCOP of PA, CHO and CO<sub>2</sub> using complex **2**. Polymerization conditions: Cat.:PA:CHO =  
 295 1:100:800 under 1 bar CO<sub>2</sub> at 100 °C. The baseline ‘noise’ observed after 400 mins results  
 296 from an increase in sample viscosity due to polymerization reaching relatively higher  
 297 conversions. Increase in PA concentration at start due to time required for PA to dissolve in  
 298 the injected CHO.

299 Similar monomer selectivity and block copolymer formation was previously observed for  
 300 terpolymerizations using zinc β-diiminate<sup>20</sup>, chromium porphyrin,<sup>8</sup> chromium salen<sup>9</sup> and  
 301 chromium salophen<sup>8</sup> catalysts. The observed selectivity is in accordance with the rate of  
 302 insertion of anhydride being considerably faster than that of CO<sub>2</sub> ( $k_I > k_{I'}$  in Figure 6).



303 Previous kinetic studies using catalyst **2** for CO<sub>2</sub>/CHO copolymerization have shown that  
 304 there is a zero order dependence of the rate on CO<sub>2</sub> pressure, over the range 1-40 bar.<sup>22</sup> Thus,  
 305 both the PA and CO<sub>2</sub> insertion steps are pre-rate determining steps. Moreover, it is notable  
 306 that the presence of the CO<sub>2</sub> doesn't appear to significantly affect the polymerization kinetics  
 307 of polyester formation; the complete consumption of PA occurs approximately as quickly as  
 308 under a N<sub>2</sub> atmosphere.

309 Thus, the proposed elementary steps occurring during polymerization are illustrated in Fig. 6.  
 310 The zinc alkoxide intermediate formed by ring-opening of the cyclohexene oxide can react  
 311 either with phthalic anhydride or CO<sub>2</sub>. The rate of reaction with PA exceeds that of CO<sub>2</sub>,  
 312 leading to rapid formation of the zinc carboxylate intermediate. The carboxylate reacts with  
 313 CHO to re-generate the alkoxide. Only once all of the PA is consumed does the  
 314 polymerization enter the second cycle (Fig. 6, RHS) whereby the alkoxide intermediate reacts  
 315 with carbon dioxide to generate the polycarbonate block.



317 **Fig. 6:** Illustrates the proposed pathways possible for metal alkoxide, carbonate and  
 318 carboxylate intermediates during ROCOP. It is proposed that the relative order of rates is:  
 319  $k_1 > k_{1'} \gg k_{2'} > k_2$ . Where [Zn]<sub>2</sub> = **2** (illustrated in Fig. 1) and P = growing polymer chain.

320

321 **Polymer Characterization**

322 Thermal analyses of the polymers obtained using catalysts **1** and **2** revealed glass transition  
 323 temperatures ( $T_g$ ) of 57 and 83 °C for the polyester PE and 65 °C for the polycarbonate  
 324 PCHC (produced using **2**). The values for PE and PCHC are lower than the maximum values  
 325 reported for these materials which are 107 °C and 115 °C, respectively.<sup>10,12</sup> This is, likely,  
 326 due to the lower molecular weights of the samples and/or unoptimised purification  
 327 procedures.<sup>8,9</sup> The block poly(ester-co-carbonates), PE-PCHC show only a single  $T_g$  at 97  
 328 and 104 °C, for polymers from **1** and **2**, respectively. This indicates that the blocks are  
 329 miscible, a related observation was made for block copoly(ester carbonates) by Duchateau et  
 330 al.<sup>8</sup> These block copolymers show a pronounced increase in  $T_g$  which has probably arisen due  
 331 to the increases in molecular weights.

332 **Table 2:** Thermal properties of selected polymers obtained from **1** and **2**.

Entry	Polymers	$M_n$ (PDI) <sup>a)</sup>	PDI	% ester <sup>b)</sup>	% carbonate <sup>b)</sup>	% ether <sup>b)</sup>	$T_g$ / °C	$T_d$ / °C
<b>1</b>	PCHC (Zn)	4035	1.16	0	>99	<1	65	162
<b>2</b>	PE (Zn)	4200	1.14	>99	0	<1	57	316
<b>3</b>	PE (Mg)	12700 5500	1.03 1.08	>99	0	<1	83	351
<b>4</b>	PE-PCHC (Zn)	20000 9300	1.01 1.03	30	56	<1	104	199/317
<b>5</b>	PE-PCHC (Mg)	19450 8400	1.10 1.06	28	66	<1	97	167/291

333 *a) Determined by SEC using polystyrene standards to calibrate the instrument, b)*334 *Determined by <sup>1</sup>H NMR spectroscopy by integrating the normalized resonances for ester*335 *(4.80-5.26 ppm), carbonate (4.40-4.80 ppm) and ether linkages (3.22-3.64 ppm).*

336

337

**338 Conclusions**

339 In conclusion, two new catalysts for the alternating copolymerization of cyclohexene oxide  
340 and phthalic anhydride are reported. These catalysts are di-magnesium and di-zinc  
341 macrocyclic complexes. The former is particularly significant because magnesium  
342 complexes are not yet well preceded for epoxide/anhydride ROCOP catalysis, despite their  
343 beneficial properties including low cost, lack of colour, lack of redox chemistry and  
344 abundance. The magnesium catalyst was four times faster than its zinc counterpart, which is  
345 in line with the relative rates observed with the same catalysts for the copolymerization of  
346 cyclohexene oxide/CO<sub>2</sub>. Both catalysts afford well controlled polymerizations, yielding  
347 polyesters with low molecular weights. Both complexes are also active for the  
348 terpolymerizations of cyclohexene oxide, phthalic anhydride and CO<sub>2</sub>: resulting in the  
349 formation of block copoly(ester-carbonates). The thermal properties of all the new polymers  
350 are reported, the terpolymers show a single glass transition above 100 °C, indicative of block  
351 miscibility. The differences between the catalysts, and the polymer products, for the two  
352 ROCOP processes illustrate the central importance of selecting the metal centre for this class  
353 of polymerizations. It also highlights the potential to control the rate, selectivity and polymer  
354 morphology by judicious choice of the metal catalyst. Future studies will exploit these  
355 findings to prepare a wide range of (co)polymers.

356

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