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2	Organophosphonic acids as viable linkers for the covalent
3	attachment of polyelectrolyte brushes on silica and mica
4	surfaces†
5	
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14	†Electronic supplementary information (ESI) available: synthetic scheme of initiator (5),
15	H NMR, C NMR, HR MS spectra of intermediate products and 5, theoretically calculated length
16	of 5, AFM images of PAA and PSPMAA brushes grafted from 6 on silica, degrafting studies of
17	PAA brushes synthesized from 5-modified silica wafer, PAA layer roughness data. See DOI:
18	



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Abstract

We report the first successful preparation of polyelectrolyte brushes using an ATRP 4 5 initiator that was covalently grafted to silica and mica substrates via an organophosphonic acid. Covalent attachment of the initiator to silica and mica and its subsequent synthesis of polyacrylic 6 7 acid (PAA) and poly(sulfopropyl methacrylic acid) brushes by water mediated-ATRP was 8 confirmed by ATR-FTIR, ellipsometry, AFM, and contact angle measurements. The initiator-9 substrate bond was robust and could resist a large range of pH in the absence of salt. Interactions between PAA brushes anchored to mica via the organophosphonic acid initiator were 10 investigated using the Surface Forces Apparatus. The results confirmed the robustness of the 11 initiator-mica bond as the brushes could resist shearing and compression under relatively high 12 applied loads. 13

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15 Introduction

Grafting of polymer brushes is a particularly versatile way of tailoring the surface properties of 16 materials such as wettability, lubrication, and biocompatibility.¹⁻³ Polymer brushes are 17 monolayers of polymer chains with one chain end tethered to a surface. Polymer brushes are 18 generally observed for large surface density of grafted chains for which the limited space forces 19 the chains to stretch. The polymer chain conformation depends on the grafting density, molecular 20 weight and chemical composition of the grafted chains.⁴ In addition, end-tethered polymer 21 brushes can change their conformation in response to the external trigger such as solvent quality. 22 pH and/or ionic strength.⁵ This dynamic behavior is widely exploited for developing smart 23 materials, having tunable surface properties.^{3, 6-8} One of the most suitable methods for preparing 24

polymer brushes having a controlled grafting density and thickness is the *grafting-from*approach. This relies on synthesizing polymers directly from an initiator-modified surface in a
well-defined manner.⁹ By controlling the initiator surface coverage and the polymer molecular
weight, a wide range of polymer grafting densities and chain conformations are possible.⁴

Silicon oxide derivatives are widely used as substrates for synthesizing and 5 characterizing polymer brushes.¹⁰ Silica surfaces have a significant number of silanol groups that 6 can undergo silanization reactions with organosiloxanes.¹¹ Surface polymerization takes 7 advantage of an organosiloxane initiator monolayer that is covalently anchored to the surface via 8 a Si–O–Si bond.^{12, 13} However, the labile siloxane bond between the surface and the initiator is 9 susceptible to hydrolysis, leading to polymer cleavage from silica substrates in aqueous media 10 under extreme pH and ionic strengths.^{14, 15} Organophosphonic acids are attractive alternatives to 11 organosiloxanes for anchoring polymers to substrates. This is in part owing to the multiple 12 bonds occurring between organophosphonic acids and various substrates.¹⁶⁻¹⁸ These are expected 13 to give rise to robustly grafted uniform monolayers. Indeed, grafted organophosphonic acids 14 have shown higher stability than siloxanes on metallic surfaces even at pH 7.5 for 7 days.¹⁹ For 15 this reason, organophosphonic acids have also been grafted onto silica surfaces for various 16 purposes such as immobilizing biological molecules,^{20, 21} biosensor applications,²² and organic 17 thin-film transistors.²³⁻²⁶ Despite their widespread use, only a limited number of studies have 18 investigated the controlled surface polymerization from organophosphonic acid initiators and 19 these exclusively focused on metal surfaces.²⁷⁻³¹ We therefore report the first controlled ATRP of 20 polyelectrolytes using an organophosphonic acid initiator covalently attached to silica and mica 21 substrates. Polyacrylic acid and poly(sulfopropyl methacrylic acid) brushes were examined 22 owing to their known responsiveness to pH and ionic strength. They were additionally targeted 23

to elucidate the role of brush conformation and electrostatic interactions in controlling adhesion 1 and friction forces between surfaces in an aqueous environment. This is important because the 2 use of dense end-grafted polyelectrolyte brushes has often been proposed for their remarkable 3 4 lubricating properties. However, the role of the polymer's conformation and its degree of ionization in controlling friction remains unclear.^{2, 32-34} Water-mediated ATRP was chosen 5 because it is fast, straightforward, and compatible with the targeted hydrophilic monomers.³⁵ In 6 7 addition, the desired polyelectrolytes can be prepared without additional experimental conditions and organic solvents that otherwise are incompatible with accurate surface measurements using a 8 Surface Forces Apparatus (SFA). Herein, we demonstrate as a proof-of-concept that the 9 organophosphonic acid (5, Scheme 1) is a viable alternative to its siloxane derivative for the 10 ATRP of polyelectrolytes that are covalently grafted on both silica and mica substrates. 11

12

13 **Experimental section**

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15 Materials and chemicals. Silicon wafers were obtained from University Wafer Co (100-16 mm diameter, boron-doped, (100) orientation, one side polished). All chemicals were used as 17 received from Aldrich unless specified. Copper bromide (CuBr) was purified according to the 18 previous report.³⁶ MilliQ water was obtained from Millipore A10 purification system with a 19 resistivity of 18.2 M Ω ·cm at 25° C. All surface manipulations were performed under an air flow 20 cabinet and all glassware was carefully cleaned and oven-dried at 120° C overnight.

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22 Synthesis

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11-(2-Bromoisobutyrate)-undecyl-1-phosphonic acid was synthesized in five steps similarly to previously reported method (see Figure S1 for synthetic scheme).²⁷

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4 **11-Bromo-1-(tetrahydropyranyloxy)undecane (1).** In a 100 mL round-bottom flask equipped with a stir bar, 11-bromoundecanol (3.3 g, 13.1 mmol) and a catalytic amount of p-5 toluenesulfonic acid (15 mg, 0.09 mmol) were mixed in dichloromethane (15 mL) at room 6 7 temperature. The flask was then immersed in an ice bath at 0°C and an excess of 3,4-dihydro-2Hpyrane (6 mL, 65.7 mmol) was added drop-wise. After stirring the reaction mixture at room 8 temperature for 73 h, diethyl ether (20 mL) was added. The ethereal layer was washed 3 times 9 with a saturated sodium chloride aqueous solution. It was then dried over MgSO₄, filtered, and 10 concentrated under reduced pressure. The crude product was purified by column chromatography 11 (silica gel, eluent – hexane:ether=10:1, stained by vanillin or PMA). The title compound was 12 isolated as a yellowish liquid (3.6 g, 81 %). ¹H-NMR (400 MHz, CDCl₃): δ 1.28-1.42 (m, 18H), 13 1.54-1.59 (m, 6H), 3.41 (m, 2H), 3.5 (m, 2H), 3.72 (m, 1H), 3.87 (m, 1H), 4.57 (dd, 1H). MS 14 (ESI) m/z calculated 359 ([M+Na+H]+); found 359. 15

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17 **11-(Diethylphosphoryl)-1-(2-tetrahydropyranyloxy)-undecane (2)**. In a 100 mL 18 double-neck round-bottom flask fitted with reflux condenser was loaded with **1** (3.6 g, 10.7 19 mmol). It was then closed with a septum and triethyl phosphite (30 mL, 175 mmol) was added. 20 The reaction mixture was stirred at 165° C for 48 h. Afterwards, the triethyl phosphite excess was 21 removed by vacuum distillation at 40° C at 4 x 10^{-2} mm Hg. The title compound was obtained as 22 a yellowish liquid (1.46 g, 35 %). ¹H NMR (400 MHz, CDCl3): δ 1.25-1.30 (m, 24H), 1.57 23 (m,2H), 1.70 (m, 6H), 3.35-3.37 (m, 1H), 3.85 (m, 1H), 4.08 (m, 4H), 4.56 (dd, 1H). ¹³C-NMR

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$11 - (DDTG_{50} = 0.2 \dots 1) = 1^{1} + 1^{1} = 50 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + $	
11 (Diothylphosphonyl) undersonal (3) 2 (1.46 g. 3.7 mmal) and pyridinium a taluana	
29.5, 29.4, 29.1, 26.3, 25.5, 25.0, 19.7, 16.5. MS (ESI) m/z calculated 415 ([M+Na]+); found 415.	
(100 MHz, CDCl3): δ 98.8, 77.4, 77.1, 76.7, 67.7, 62.4, 61.4, 61.3, 30.8, 30.7, 30.5, 29.8, 29.6,	

sulfonate (PPTS; 50 mg, 0.2 mmol) were combined in a 50 mL two-necked flask along with In 5 methanol (15 mL). The reaction mixture was refluxed at 60° C for 48 h. Afterwards, the mixture 6 was cooled to room temperature and dichloromethane (20 mL) was added. The organic layer was 7 washed 3 times with a saturated sodium chloride aqueous solution. It was then then dried over 8 MgSO₄, filtered, and concentrated under reduced pressure. The title compound was isolated as a 9 vellowish liquid (1.1 g, 96 %). ¹H NMR (400 MHz, CDCl3): δ 1.26-1.30 (m, 24H), 1.54 (m, 10 2H), 3.62 (t, 2H), 4.08 (m, 4H). ¹³C-NMR (100 MHz, CDCl3): δ 77.4, 77.1, 76.7, 63.0, 61.4, 11 32.8, 30.7, 30.5, 29.5, 29.4, 29.3, 26.4, 25.7, 25.0, 22.4, 16.5. MS (ESI) m/z calculated 309 12 13 ([M+H]+); found 309.

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11-(2-Bromoisobutyrate)-undecyl-1-diethylphosphonate (4). In a 100 mL round 15 16 bottom flask was loaded **3** (1.1 g, 3.6 mmol), anhydrous THF (10 mL), and an aqueous pyridine 17 solution (99.5%; 1 mL, 12.4 mmol). 2-Bromoisobutyryl-bromide (1.2 mL, 9.7 mmol) was 18 dissolved in THF (5 mL) and then it was added dropwise to the reaction mixture over 5 min. The 19 reaction mixture was then stirred overnight at room temperature. Afterwards, petroleum ether (20 20 mL) was added and the organic layer was washed 3 times with 2 M HCl aq, twice with water, 21 and twice with a saturated sodium chloride aqueous solution. The organic layer was extracted, dried over MgSO₄, and filtered. The solvent was removed under reduced pressure and the 22 resulting oil was purified by column chromatography (silica; hexane:ethyl acetate=1:9; stained 23

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with KMnO₄). The title compound was isolated as a yellow oil (0.6 g, 38 %). ¹H NMR (400 MHz, CDCl3): δ 1.31 (24H), 1.67 (m, 2H), 1.92 (s, 6H), 4.08 (m, 4H), 4.16 (t, 2H). ¹³C-NMR (100 MHz, CDCl3): § 171.8, 66.2, 61.5, 56.0, 30.8, 29.4, 29.1, 28.4, 26.4, 25.8, 24.9, 22.4, 16.5. **Polymer Chemistry Accepted Manuscript**

4 MS (ESI) m/z calculated 459 ([M+2H]+); found 459. 5 11-(2-Bromoisobutyrate)-undecyl-1-phosphonic acid (5). A 50 mL round-bottom flask 6 was loaded 4 (0.6 g, 1.3 mmol), afterwards bromotrimethylsilane (0.1 ml, 0.76 mmol) was added 7 dropwise. The reaction mixture was stirred overnight at room temperature and then it was 8 quenched with acetone/water (4/1) mixture. The excess acetone was removed under reduced 9 pressure and the final product was isolated as a vellow powder (475 mg, 1.18 mmol, 90 %). ¹H 10 NMR (400 MHz, CDCl3): δ 1.3 (m, 18H), 1.7 (m, 2H), 1.95 (s, 6H), 4.19 (t, 2H). ¹³C-NMR (100 11 MHz, CDCl3): § 171.9, 77.4, 77.0, 76.7, 66.2, 57.7, 56.0, 30.8, 29.5, 28.4, 25.8, 25.7, 21.6. MS 12 (ESI) m/z calculated 403 ([M+2H]+); found 403. HR-MS (ESI) m/z calculated 403 ([M+2H]+); 13 found 403. 14 15 Substrate preparation and initiator immobilization 16 Silicon wafers. Silicon wafers were cut into 1x2 cm² pieces using a diamond pencil, 17 sonicated in acetone for 20 min and then dried under nitrogen. The substrates were activated 18 using a Piranha solution ($H_2SO_4(conc.)$: $H_2O_2=70:30$ v:v) for 20 min at room temperature. 19 (Caution: Piranha solution is extremely corrosive and should be used with absolute carefulness!) 20 Afterwards, the substrates were removed from the Piranha solution and they were washed with 21 copious amounts of MilliQ water, absolute ethanol, and finally dried thoroughly under nitrogen. 22

concentration of 10⁻² M. The clean substrates were immersed into the initiator solution overnight at room temperature under argon. They were then removed from the solution, washed with dichloromethane, and sonicated for 15 min in a triethylamine solution (2 mM) in dichloromethane. Afterwards, the surfaces were rinsed with dichloromethane, dried under nitrogen, and finally annealed at 140°C for 3 h in the oven. The phosphonic acid initiator (5) modified surfaces were subsequently either used for ATRP polymer grafting or stored in at desiccator under an inert atmosphere.

8

SFA samples. Back-silvered mica pieces were glued silver side down to SFA silica discs 9 using an optical adhesive (Norland Products Inc., USA). The prepared surfaces were treated with 10 a H₂O/Ar plasma (total pressure of 300 mTorr) to generate an active mica silanol surface.³⁷ After 11 plasma activation, the disks were immediately transferred into a compartmentalized flask filled 12 with the phosphonic acid initiator solution in anhydrous THF at a concentration of 10^{-2} M. The 13 substrates were then removed from the solution, soaked in 2 mM triethylamine solution in THF 14 for 30 min, rinsed with THF, dried under a steam of nitrogen, and finally annealed at 140°C for 3 15 h. Afterwards, the 5-modified SFA samples were used for surface initiated (SI) ATRP of sodium 16 acrylate (NaA). 17

18

19 5 recycling. The excess 5 used in the grafting solutions was isolated and reused several 20 times without any detrimental effect either on the grafting or polymerization. A 50 mL round-21 bottom flask containing the 5 solution was closed with a septum and the solvent was evaporated 22 under a stream of argon until dry. The product was stored in the same flask under argon. The 23 product stability and structural integrity were confirmed by HR-MS (Figure S17).

Synthesis of conventional ATRP initiator and its grafting to silica. The ATRP initiator, 3-(chlorodimethylsilyl)propyl-2-bromoisobutyrate (6) was synthesized according to previous reports.³⁶ Piranha-treated silicon substrates were exposed to a 10⁻³ M initiator solution in toluene for overnight at room temperature under nitrogen.³⁶ Afterwards, the initiator-covered surfaces were washed with toluene, absolute ethanol, and finally dried under a steam of nitrogen. The freshly prepared initiator-functionalized silica substrates were either used for surfaceinitiated polymerization or stored in a desiccator until used.

9

Synthesis of polyacrylic acid (PAA) and poly(3-sulfopropyl methacrylic acid) 10 (PSPMAA) brushes via ATRP from initiator-functionalized silica and mica surface. A 11 typical polymerization from the initiator-modified substrate (silica or mica) was as follows: 12 bipyridine (129 mg, 0.83 mmol) and CuBr (48 mg, 0.33 mmol) were mixed in 100 mL double-13 necked round bottomed flask and the mixture was deoxygenated under vacuum and backfilled 14 with argon three times. CuBr₂ (15 mg, 0.07 mmol) was added to the mixture and three vacuum-15 argon cycles were performed. Sodium acrylate (NaA) (9 g, 95.7 mmol) or 3-sulfopropyl 16 methacrylate potassium salt (KSPMA) (23.5 g, 95.7 mmol) was solubilized in milliQ water (15 17 mL) along with methanol (3 mL) at room temperature. The resulting solution was degased for 30 18 min by purging it with argon. The monomer was transferred to the catalyst mixture and the 19 mixture was stirred at 50° until a homogeneous brown solution was obtained. The initiator-20 bearing substrates (maximum 4) were placed into an oven-dried compartmentalized flask, which 21 was deoxygenated under vacuum and backfilled with argon three times. The monomer/catalyst 22 23 solution was then transferred to the compartmentalize flask by cannula. Polymerization was done

1	for 1 h at room temperature. The reaction was stopped by opening the flask and exposing the
2	catalyst to air. The substrates were removed, soaked in milliQ water for overnight (> 8 h), and
3	then soaked in ethanol for 1 h followed by drying under nitrogen. Under these conditions, the salt
4	is converted to the corresponding acid.
5	
6	PAA degrafting/swelling studies. Trizma base buffer solutions (0.1 M) were prepared
7	with MilliQ water and the pH was adjusted to 7.5, 9.0, 9.5, 10.0 and 10.5 with different volumes
8	of HCl (0.1 M). The pH was measured with a Symphony SB20 pH meter with Ag/AgCl
9	electrode. The ionic strength was adjusted by adding NaCl (10 mM) to the Trizma buffer
10	solution.
11	
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13	Surface Characterization
14	Contact Angle Measurements. The water wettability of initiator- and polymer-covered
15	substrates was measured with a FTA200 dynamic contact angle analyzer (First Ten Angstrom) in
16	the equilibrium static mode using MilliQ water as the probe liquid. Fta32 Video software was
17	employed for data analyses. At least three separate measurements were done for each substrate
18	and the average contact angle value was determined within an error of $\pm 3^{\circ}$.
19	
20	AFM measurements. All surface topographical studies in air (unless specified) were
21	performed using a MultiMode microscope equipped with a NanoScope V extended controller
21 22	performed using a MultiMode microscope equipped with a NanoScope V extended controller (Digital Instruments, Santa Barbara, CA) at room temperature with a constant humidity $\leq 40\%$.

with a resonance frequency of ~300 kHz and a spring constant of 40 N/m. All dry images were
obtained at 1 kHz scan rate, medium oscillation damping (15–20%) and resolution of 512 x 512
pixels. NanoScope 7.30 software was used to treat the images. A minimum of three different
areas were analyzed for each surface.

All AFM images in liquid were recorded using a Dimension 3100 MultiMode Scanning 5 Probe Microscope equipped with Veeco NanoScope V extended controller (Digital Instruments, 6 Santa Barbara, CA) by using HYDRA-All-G silicon nitride probes tip A or B. Triangular tip A 7 with a resonance frequency of ~ 66 kHz and a spring constant of 0.29 N/m was used for imaging 8 the polymer-coated surfaces at pH 9.5 and 10.5. Tip B with a resonance frequency of ~17 kHz 9 and a spring constant of 0.045 N/m was used at low pH (5.5-8.5). A sample was fixed inside a 10 custom-made Teflon liquid cell, filled with buffer solution, and left to equilibrate for at least 2 h 11 12 before scanning. All images were done in the tapping mode at 1 kHz scan rate with an image resolution of 512 x 512 pixels and weak oscillation damping (10-15%). NanoScope 7.30 13 software was used to treat the images. A scratch on the polymer layer was made by using a 14 scalpel and the height difference between bare substrate and an outer layer of the polymer film 15 was measured by step-height analysis at three different regions for each sample. 16

17

Spectroscopic ellipsometry. The thickness of the initiator- and polymer-coated silica substrates was measured using an Ellipsometer M2000V from J.A.Woollam Co at a 75° angle of incidence at room temperature in air. The wavelength range from 370 to 1000 nm was used. Three different spots were analyzed for each sample. The modeling and data fitting were performed using the WVASE32 software (J. A. Woollam Co, version 3.768). A 2 nm (±0.03 nm) thick silicon oxide layer was measured prior to surface modification and it was considered as a

constant when modeling the initiator and polymer layers using the Cauchy layer, assuming
 transparent and homogeneous polymer films.

3

Fourier Transform Attenuated Total Reflection Infrared Spectroscopy (ATR-IR).
ATR infrared spectra of the initiator- and polymer-modified silicon wafers were recorded with a
Tensor 27 Bruker Optics spectrometer equipped with a Harrick Seagull accessory. A germanium
ATR crystal was used with p-polarized light at an incidence angle of 60°. The spectra were
compile from 1024 scans at a resolution of 4 cm⁻¹.

9

Forces measurements with SFA. The surface forces technique (SFA) and detailed experimental 10 procedures for measuring the normal and friction forces are described elsewhere.³⁸⁻⁴¹ In brief, 11 12 two back-silvered molecularly smooth mica surfaces are glued to cylindrically curved silica lenses of radius R=2 cm. The force-distance profile between the surfaces is obtained by 13 changing the position of the lower surface using a nanopositioner and measuring the actual 14 variation in the separation distance between the surfaces with an interferometry technique using 15 Fringes of Equal Chromatic Orders (FECO) with subnanometric resolution. The interaction force 16 is then determined from the deflection of the spring by using Hooke's law. The upper surface is 17 mounted on a motor-driven sliding device allowing lateral motion.^{40, 41} Shearing cycles are 18 carried out by moving the upper surface at constant velocity over a certain distance after which 19 the driving direction is reversed. The upper surface is connected to a vertical cantilever spring 20 whose lateral deflection, allowing the friction force to be determined, is measured using strain 21 gauges with an accuracy of $\pm 10^{-3}$ mN. 22

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Initiator grafting to silica and mica

RESULTS AND DISCUSSION

The rationale for pursuing an organophosphonic acid (5) as an ATRP initiator 4 immobilized on silica substrates was to demonstrate that functional groups other than siloxyl 5 derivatives could be used for controlled surface polymerization of polyelectrolytes. This is of 6 importance given the limited number of examples demonstrating the viability of alternate 7 functional groups for the covalent immobilization of *grafted-from* polymers on silica substrates. 8 Organophosphonic acids are advantageous over commonly used trialkoxy silvl counterparts 9 because they consistently form monolayers without stringent deposition protocols. The 10 organophosphonic acid derivative (5) was prepared as a proof-of-concept to demonstrate that it 11 12 could sustain ATRP while being covalently attached to silica substrates. The targeted 5 was prepared by expanding upon known methods, starting from commercially available 11-13 bromoundecanol.²⁷ The hydroxyl of 11-bromoundecanol was protected by attaching 2-14 tetrahydropyranyl group with the presence of catalytic amount of *p*-toluenesulfonic acid. The 15 yield of the protected product 1 was 81%. Nucleophilic displacement of the bromide of 1 by 16 diethyl phosphonate was done in 35% yield. The obtained 2 was deprotected to afford 3 in 96% 17 yield. Next, 2-bromoisobutyryl was introduced to 3 in 38% yield. For the last step, the diethyl 18 groups were removed to afford the final product 5 in 90% yield and 9% overall yield for the five 19 20 steps.

Owing to the reduced reactivity of silica surfaces towards organophosphonic acids compared to metal oxides, a modified aggregation and growth ("T-BAG") method was used for grafting the initiator to the substrate.⁴² This was used as an initial grafting protocol because of the limited number of studies of organophosphonic acid grafted to silica and mica substrates.^{21, 43}
The given surfaces were immersed into either a 10⁻³ M dichloromethane or THF solution of 5
overnight (Scheme 1). The substrates were then cleaned in triethylamine solutions of DCM and
THF by sonication. This was done to ensure the formation of only a monolayer. The substrates
were finally annealed thermally at 120-140° C for 3 h to ensure covalent bonding of the targeted
5 to the substrate.⁴²





9 Scheme 1. Schematic representation of the immobilization of the organophosphonic acid
10 initiator studied on a silica surface.

11

8

The resulting **5**-modified surfaces were characterized by water contact angle, ellipsometry, ATR-FTIR, AFM measurements. Water contact angles of 65° and 62° were observed for the initiatormodified silica and mica, respectively (Table 1). Meanwhile, an initiator film thickness of 0.9 nm (Table 1) was measured by ellipsometry. The measured contact angles were similar to previously reported values for alkylsilane initiator-modified substrates bearing an identical initiating group. The large contact angles measured confirm the increased hydrophobicity of the surface upon grafting of **5**. This suggests that the initiator is coupled to the substrate, especially

1	when comparing the contact angle to Piranha treated native glass slides whose $\theta=0$. ¹⁴ Unequivocal
2	evidence of chemical bonding of 5 to the SiO ₂ substrate was provided by ATR-FTIR. Measurements were
3	done after sonicating the initiator-coated substrate in triethylamine solutions in DCM. This step was to
4	remove any physisorbed initiator. Immobilization of 5 on silica was confirmed by comparing its spectra to
5	an authentic powder sample of 5 that was not attached to a surface (Figure 1). The decrease in the signal
6	between 930 and 955 cm ⁻¹ , corresponding to the P–OH stretching, and the presence of vibrations from
7	PO_2^- groups at 1072-1048 cm ⁻¹ observed on 5-modified silica confirm covalent attachment of 5 to
8	the silica substrate. ⁴⁴

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- 10

 Table 1. Film thickness and water contact angle of 5-modified substrates and polymer

11 brushes.

Substrate	Thickness, nm ^a	Contact angle, degrees ^{o a}
5-modified silica	$0.9{\pm}0.2^{b}$	65±3
5-modified mica	-	62±3
PAA brushes on 5-modified silica	17±4	25±3
PSPMAA brushes on 5-modified silica	13±4	30±3

12 13

> 14 15

Concentration of the grafting solution of **5** is 10^{-2} M.

^a Results are the mean from three different samples \pm standard deviation.

^b The thickness was measured by ellipsometry in air after the sonication step.

ATR-FTIR was further beneficial for providing information about the surface packing. It is well known that the position of the methylene peak correlates with the degree of ordering of the alkyl chains in the film.⁴⁵⁻⁴⁷ The asymmetric CH₂ stretching of disordered chains usually occurs at higher wavenumbers (~2925 cm⁻¹) than that of well-ordered chains (~2915 cm⁻¹). Figure 1A shows the different relative intensity of the two characteristics CH₂ peaks for **5** in powder and immobilized **5**. After immobilizing the initiator on silica, the CH₂ stretching

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dominates at higher wavenumbers, suggesting a weak organization and alignment of the alkyl
chains on the surface. This can be attributed to the bulky 2-bromo-2-methylpropionyloxy groups
that prevent the layer from forming a close packed arrangement.²⁷

4



Figure 1. A) ATR-FTIR spectra of 5 as a powder (red line) and immobilized on silica
(dark green line). The ATR-FTIR spectrum of bare silica was subtracted from the spectrum of
the immobilized 5. B) Expanded region corresponding to the dashed line from (A).

9

Figure 2 illustrates the surface topography of the **5**-modified silica (A2, A3) and mica (B2, B3) surfaces. Randomly-distributed particles-like structures having a relative height of ca. 3 nm can be observed on both substrates. Since the length of a fully extended **5** is 2.05 nm (Figure S18), the observed particle-like structures most probably correspond to initiator aggregates on the surface. However, the overall low surface roughness measured by AFM (rms of 0.4 for silica and 0.2 nm for mica) suggests a relative homogeneous grafted initiator layer.



Figure 2. AFM images of native silica (A1 (0.5x0.5 um)) and native mica (B1 (2x2 um)),
5-modified silica (A2 (0.5x0.5 um), A3 (1.75x1.75 um)), and 5-modified mica (B2 (0.5x0.5 um),
B3 (1.75x1.75 um)). AFM images (A2, A3, B2, B3) were obtained with SmartSPM (AIST-NT
Inc, Novato) in the semicontact mode and Hi-Res C -14/Cr-Au probes (Mikromasch). All images
were done in air.

8

ATRP of immobilized organophosphonic acid initiator.

The capacity of 5-immobilized on silica to initiate the polymerization of different 1 monomers was confirmed by synthesizing polyacrylic acid sodium salt (NaPAA) and poly(3-2 sulfopropyl methacrylic acid) potassium salt (KPSPMAA) polyelectrolyte brushes (Scheme 2). 3 4 The ATRP of NaA and KSPMA using the 5-modified silica wafer was done in water at room temperature using a CuBr/Bipyridine catalyst. A CuBr₂ to CuBr ratio of 1:5 was used to 5 moderate the polymerization. This ratio was found to be optimal for fast polymerization and for 6 7 forming films of sufficient thickness for accurate swelling studies. They were characterized by ellipsometry, ATR-FTIR, AFM and contact angle measurements. After polymerization, the water 8 contact angle of the coated substrates decreased and the grafted layer thickness increased, 9 compared to the original 5-immobilized substrates (Table 1). 10

- 11
- 12



Scheme 2. Schematic representation of the preparation of NaPAA and KPSPMA brushes
from surface immobilized 5.

The resulting polyelectrolyte brushes were converted to their corresponding acid form 2 (COOH) by washing the surfaces with MilliQ water (vide supra) as confirmed by ATR-FTIR. 3 The ATR-FTIR spectra of the PAA showed a strong signal at 1575 cm⁻¹, corresponding to COO⁻ 4 (Figure 3). Also, the broad peak at 1730 cm⁻¹ indicates the presence of hydrogen-bonded 5 carboxylic acids, consistent with previous reports for similar PAA brushes.⁴⁸ The presence of 6 both COO- and COOH bands confirms the partial ionization of the carboxylic acid groups. 7 Similarly, the FTIR spectrum of the PSPMAA brushes clearly showed a narrow band at 1730 8 cm⁻¹, corresponding to the carbonyl. Meanwhile, the sulfonate was confirmed by the two strong 9 peaks at 1195 and 1050 cm⁻¹. These correspond to the asymmetric and symmetric sulfonate 10 stretches.49 11







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The thickness of the polymerized films was quantitatively determined by ellipsometry. An 2 average dry polymer layer thickness of 17 nm for PAA and 13 nm for PSPMAA was measured 3 4 after 1 h of polymerization (Table 1). Both the PAA and PSPMAA layers were smooth with a rms of 0.4 nm (Figure 4 A1-A3) and 0.9 nm, respectively (Figure 4 B1-B3). It should be noted 5 that significant differences in the polymer layer thickness were obtained when polymerizing the 6 same monomer with identical polymerization conditions with immobilized 5 and 6. While both 7 have the same initiator (bromoisobutyrate), the aliphatic chain separating the surface-bound and 8 polymerizable ends of 5 is longer than 6. Using similar polymerization conditions and an 9 initiator concentration of 10⁻³ M for the surface functionalization, a dry PAA thickness of 2 nm 10 and 120 nm (Figure S19, A) was measured for silica surfaces coated with 5 and 6, respectively. 11 The different thickness can be attributed to the different initiator surface coverage (Figure 5). 12 Since 6 has a shorter aliphatic segment than 5, it is expected to form a denser monolayer, 13 resulting in a higher amount of initiating sites on the surface. We previously showed that an 14 increase in initiator coverage is associated with an increase in water contact angle.^{14, 15} An 15 increase in concentration of the grafting solution of 5 (from 10^{-3} to 10^{-2} M) also resulted in an 16 increase in the contact angle (from ca 60 to ca 65°) as well as an increase in brush thickness 17 (from 2 to 19 nm). Therefore, the smaller contact angle measured for the 5 -covered silica 18 $(60\pm3^{\circ})$ compared to 6-covered silica $(72\pm3^{\circ})$ for the same initiator concentration (10^{-3} M) 19 suggests a difference in the initiator surface coverage. This can explain the difference in the 20 resulting brush thickness. 21



Figure 4. AFM images (A1 (5x5 um), A2 (2x2 um)) and surface topographical profile (A3) of PAA brushes grafted from 5-functionalized silica. PAA film thickness=15 nm. AFM images (B1 (5x5 um), B2 (2x2 um)) and surface topographical profile (B3) of PSPMAA brushes grafted from 5-functionalized silica. PSPMAA film thickness=14 nm. All images were done in air.



Figure 5. Schematic representation of polymer brushes grafted from 5- (A) and 6- (B)
immobilized on a silica substrate.

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Hydrolytic stability of PAA brushes grafted from silica immobilized 5 and 6.

The stability of the substrate-initiator bond was investigated with PAA brushes 6 polymerized with the silica immobilized 5 and 6 under similar polymerization conditions. The 7 brush swelling and grafting robustness were assessed by measuring the brush thickness at 8 9 different pH and ionic strength using the AFM step-height method. The thickness of the PAA layer grafted from 6 progressively decreased with increasing pH from 7.5 to 10.0 in the absence 10 of salt (Figure 6). The PAA thickness decrease can arise from either polymer collapse or polymer 11 detachment from the surface. Polymer collapse can be dismissed because the PAA brush was 12 previously demonstrated to swell with increasing pH for this process.¹⁵ This swelling behavior is 13 also confirmed experimentally^{15, 50, 51} and predicted by theory⁵² for weakly charged 14 polyelectrolyte brushes. Therefore, the decrease in PAA thickness is most probably due to 15

polymer degrafting. This is in contrast to previous studies that reported no PAA degrafting from 1 silica immobilized derivatives of 6 in salt-free solutions at pH between 5.5 and 10.5.14, 48 2 Different PAA degrees of ionization and initiator coverage resulting from different experimental 3 4 conditions can account for the observed discrepancy. The polymerization conditions used for water-mediated ATRP (pH 8.5) favor the ionization of the carboxylic acid to its carboxylate, 5 whereas the PAA brushes obtained in non-aqueous-mediated ATRP are expected to be neutral.¹⁴, 6 ⁴⁸ Indeed, a previous report confirmed no PAA brush swelling at pH < 7.5, regardless of the 7 initiator surface coverage, when the brush was prepared in organic solvents using siloxane 8 initiator-covered silica.⁴⁸ This behavior was explained by the initial hydrophobicity of the PAA 9 brushes prepared in organic solvents that block water and ions from penetrating the brush that 10 would otherwise cleave the substrate-initiator bond. On the other hand, PAA brushes prepared in 11 aqueous-media are significantly swollen at pH 7.5 (Figure 6A). They are therefore more 12 permeable to water and ions and favor hydrolysis of the substrate-initiator bond. The behavior of 13 the PAA brush prepared from the immobilized-5 is different from that of the immobilized-6 14 (Figure 6). For the immobilized-5, the brush thickness remained constant between pH 7.5 and 9.0 15 and increased at pH 10.0, regardless of the ionic strength. This suggests a more stable substrate-16 5 bond compared to its substrate-6 counterpart under similar polymerization conditions. At 17 higher pH (10.5), the PAA layer thickness slightly increased in salt-free solutions, whereas it 18 drastically decreased in the presence of 10 mM NaCl (Figure 6B, S20). Polymer cleavage was 19 reported for PAA prepared from immobilized-6 at similar pH and with added salt.^{14, 48} It was 20 shown, theoretically and experimentally, that salt can promote the dissociation of the carboxylic 21 acids.^{50, 52} This leads to highly stretched chains that are hydrated, making the substrate-initiator 22 more susceptible to hydrolysis by hydroxyl ions, and ultimately, polymer detachment.^{14, 48} Even 23

though numerous stimuli-sensitive polyelectrolyte brushes studies have been reported, the exact 1 swelling responses of PAA brushes and the conditions leading to their cleavage from the surface 2 remain unclear. This is in part owing to the lack of systematic control of the initiator grafting 3 4 density and molecular weight of the brushes between different analyzed samples. Nevertheless, our comparative study (Figure 6) clearly shows the resistivity of the substrate-5 bond towards 5 hydrolysis over a large range of pH, especially in the absence of salt, compared to the substrate-6 **6** bond. The stability of the substrate-initiator bond was theoretically evaluated using Density 7 Function Theory. This was done by calculating the bond dissociation enthalpy (BDE) of the 8 substrate-initiator bond, using the heats of formation (ΔH_f) of the corresponding compounds. 9 The BDE was calculated according to: $[\Delta H_f(\text{substrate-initiator}) + \Delta H_f(H_2O)_n] - [\Delta H_f(\text{substrate})]$ 10 $+\Delta H_{f}$ (initiator)], where n= 1 for 6 and n=2 for the bidentate bonding for 5 to the surfaces. 11 Si(OH)₄ was used as a representative model for the silica substrate to significantly reduce the 12 computational times. This simplification is valid given only the relative BDE of the substrate-13 initiator are targeted and not the absolute values. Gas phase geometric optimization was done 14 using the 6-31g* basis set. Single point energies and the corresponding ΔH_f of the optimized 15 geometries were calculated by applying a given solvent continuum. While the absolute $\Delta H_{\rm f}$ 16 cannot be accurately calculated for a given compound, the relative values, and hence the BDE, 17 are highly representative of the actual bond strengths. Therefore, the relative BDE of the 18 19 different substrate-initiator bonds can accurately be calculated according to: $\Delta BDE = [BDE(substrate-5)] - [BDE(substrate-6)].$ The calculated ΔBDE was 14 kJ/mol (gas 20 phase), 46 kJ/mol (dichloromethane), and 120 kJ/ mol (water). The theoretical calculations 21 confirmed the substrate-5 bond is indeed stronger than the corresponding substrate-6 bond, 22 especially in water. 23





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Figure 6. A) Variation of the PAA layer thickness grafted from initiator 6 at different pH 4 without added salt. The pH was increased from 7.5 to 10.0. Original dry PAA thickness=120 nm. 5 B) Variation of PAA layer thickness prepared from immobilized 5 at different pH without 6 (squares) and with 10 mM NaCl (triangles). The sample was exposed to salt-free buffer 7 solutions, where the pH increased from 7.5 to 10.5. Then, the sample was soaked in milliQ water 8 9 overnight and exposed to buffer solutions from pH from 7.5 to 10.5 with 10 mM NaCl. Original dry PAA thickness=20 nm. All thickness measurements were done in situ using the AFM step-10 height method. 11

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Surface forces characterization.

Normal and friction forces between two PAA layers of mica immobilized-5 were measured using SFA under different pH and ionic strength. This was to assess the adhesion, friction and resistance to compression and shear of the polyelectrolyte brushes. The force profiles were measured step-wise during both approach and separation and each separation distance was

1 measured at equilibrium. This was taken at a distance variation of less than 0.5 nm/min. Measurements were done on five different pairs of PAA coated mica surfaces and they were 2 reproduced on different contact positions with the same pair of surfaces. A wait time of 2 hours 3 4 was set between each approach-separation cycle. The friction forces were measured at different separation distances ranging from large distances (corresponding to a negligible applied normal 5 load) to smaller distances, corresponding to pressure of ca 40 atm for all pairs of surfaces. As for 6 the force profiles, the lateral motion was initiated once the variation in the separation distance 7 was less than 0.5 nm/min. The reported friction forces, F_s, are the average kinetic forces 8 measured in the steady-state conditions (i.e., constant driving velocity and friction forces). The 9 reported measurements were done at a sliding velocity of 1 μ m/s for comparison with previously 10 reported results. All reported friction forces were measured in the absence of surface damage, 11 12 confirmed by the direct visualization of the contact region with the optical interferometry technique used for force measurements. 13

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Figure 7 shows the measured normal force profiles in water at 25 °C for different pH (5.5 15 and 9.5) and salt concentrations (0 and 10 mM NaCl) between two PAA layers on mica prepared 16 from immobilized-5. For given pH and salt concentration, the force profiles were not 17 systematically reproducible and they exhibited hysteresis. These results suggest significant 18 surface heterogeneity and thickness variability between the pairs analyzed. This most likely is 19 from slight variances in the different degrees of polymerization^{53, 54} and polydispersities⁵⁵ of the 20 brushes between different samples, even when polymerized in the same reactor using identical 21 polymerization conditions.^{9, 56} The variable polydispersity of the polymer brushes are confirmed 22 23 by AFM surface roughness measurements. The film roughness (rms) of PAA polymerized from

mica immobilized-5 ranged from 1.3 to 2.3 nm (Table S1). This is much rougher than 1 previously reported grafted-to PAA brushes whose surface roughness was 0.5 nm.⁵⁰ The rough 2 film polymerized from the immobilized-5 suggests that the polymer molecular weight and/or 3 4 grafting density are not well controlled with grafted-from. Therefore, the polymer's structural changes in response to its surroundings cannot be accurately quantified. Nevertheless, the on-5 approach and separation force profiles measured are typical of those for polymer brushes. Only 6 the on-approach measured surface profiles are illustrated for clarity. It should be noted that the 7 force profiles do not clearly exhibit the long-rang exponential decay that is characteristic of 8 double-layer electrostatic interactions. This would suggest that onset of the repulsion 9 corresponds to the initial compression of the two apposing brush layers (i.e. twice the non-10 perturbed brush thickness). Half the onset distances for the mica immobilized PAA range 11 12 between 50 and 225 nm is significantly larger than the measured AFM step-heights for the corresponding silica immobilized PAA of ca 20-160 nm (Fig. 6B). This can be explained by the 13 two different approaches used to determine thickness with both instruments, AFM and SFA. The 14 AFM thickness (or step-height) was determined using contact mode, which does not exclude the 15 compression of the brushes. With SFA, the thickness was inferred from the range of the 16 repulsive forces which is sensitive to the non-compressed outer most segments of the brushes. In 17 addition, the long-ranged repulsive forces may also include some non-contact electrostatic 18 effects. Therefore, it is expected to measure a larger thickness by the non-compressed SFA 19 compared to AFM. 20

The relationships between the friction force (F_S) and the normal load (F_N) for three different experimental conditions with and without added salt are depicted in Figure 8. As can be seen from the normal forces profiles, the responsiveness of the brush to changes in pH and ionic

strength cannot be unequivocally identified from the F_8 measurements (Figure 8). The F_8 versus 1 F_N curves are delimited by two linear curves, which set the limiting values of the friction 2 coefficient. The friction coefficients range from ca 0.4 to 1.1 in salt-free water and increase to a 3 4 maximum value of 3.9 with added salt. The values are relatively high compared to those previously measured between two grafted-to PAA brushes under similar experimental 5 conditions.⁵⁰ The measured friction coefficients are more consistent with those measured by 6 AFM for poly(2-(dimethylamino)ethyl methacrylate) brushes grafted-from gold.⁵¹ The different 7 friction coefficients for the grafted-to and grafted-from PAA brushes are most probably due to 8 variations in the surface roughness, where the rms of grafted-from PAA in water was 1.3 as 9 opposed to 0.4 for the grafted-to PAA. This is based on the well-known fact that the surface 10 roughness has a significant effect on the friction coefficient, where it increases with surface 11 roughness.^{57,58} It is worthy to note that although high friction coefficients were obtained, the 12 surfaces were not damaged during the force analyses. No surface damage was observed even 13 under applied loads up to 20 mN/m, corresponding to pressures of ca 40 atm. This confirms the 14 strong covalent attachment of the organophosphonic acid to the mica substrate and illustrates the 15 suitability of this anchoring group as a robust alternative to siloxanes. 16

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Figure 7. Normalized force profiles measured on approaching two opposing PAA brushes
across (pink) water, (green) buffer solution pH 9.5 without salt and (gray) buffer solution pH 9.5
with salt. The shade areas represent the variability in the measured force profiles and the most
representative profiles are illustrated. The PAA brushes were prepared on five mica pairs
obtained from three independents experiments. (■) sample 1, (●) sample 2, (▲) sample 3 (◆)
sample 4 and (+) sample 5.



Figure 8. Friction force, F_S, as a function of the normal force, F_N, between two opposing PAA
brushes measured in water (red symbols), buffer solution pH 9.5 without salt (green symbols)
and buffer solution pH 9.5 with 10 mM salt (black symbols). Sample 1 (■), sample 2 (●), and
sample 4 different spots (◆, ◇). All measurements were done at a sliding velocity of 1µm/s.
Inset: typical friction traces. t₁ and t₂ illustrate times where sliding direction was reversed.

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8 Conclusion

9 An organophosphonic acid (5) was investigated as an alternative to commonly used 10 organosiloxanes for robustly immobilizing an ATRP initiator to silica and mica. Covalent 11 attachment of a monolayer of 5 to both mica and silica substrates was possible. We 12 demonstrated for the first time that the covalently grafted 5 to silica underwent surface 13 polymerization of NaPAA and KPSPMAA via water-mediated ATRP to afford polyelectrolyte 14 brushes. The swelling behavior of the resulting grafted PAA brushes and their resistance to

1 cleavage from the substrate at pH < 10.5 demonstrated the robustness of the 5-substrate bond. The 2 robustness of the 5-mica bond was further illustrated by surface force measurements, where PAA brushes resisted shearing and compression upwards of several atmospheres. It was 3 4 successfully proven that organophosphonic acids are viable alternatives to siloxanes derivatives for the robust covalent attachment of ATRP initiators to silica surfaces. 5 is an attractive anchor 5 for preparing covalently immobilized responsive smart materials that can sustain a wide range of 6 environmental conditions. Meanwhile, SFA measurements demonstrated the complexity of 7 controlling the thickness and surface homogeneity of PAA brushes prepared from water-8 mediated ATRP. The polydispersity and molecular weight of the polyelectrolytes grafted-from 5-9 substrates are important parameters that need to be examined in more detail because they affect 10 the surface roughness of the polymer film and the brush surface properties. 11

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